



UNIVERSITI PUTRA MALAYSIA

***BIOMONITORING PALM OIL MILL EFFLUENT FINAL DISCHARGE
POLLUTED RIVERS USING MOLECULAR-BASED APPROACHES***

NURHASLIZA BINTI ZOLKEFLI

FBSB 2020 30



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© Thesis Submitted to the School of Graduate Studies, Universiti Putra
Malaysia, in Fulfilment of the Requirements for the Degree of Master of
Science

July 2020

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Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfilment of the requirement for the degree of Master of Science

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By

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July 2020

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Palm oil industry has been associated with water quality issues from the practice of palm oil mill effluent (POME) final discharge disposal into nearby river. Nevertheless, it is hardly proven since the river is exposed to many other anthropogenic pollutants. The current method for the pollution assessment using physicochemical profiling is less specific. This necessitates the establishment of a complementary molecular-based biomonitoring system where the bacterial indicator and genetic biomarker detections specific for POME pollutants can be utilized. Present study was done to determine the dominant presence of *Alcaligenaceae* and *Chromatiaceae* in the POME final discharge polluted rivers in comparison to the other rivers polluted by mining drainage, automotive oil and chemical-related industrial effluents. Based on the capability of *Alcaligenaceae* to biodegrade lignin derived phenolic compounds, this research was also done to identify the functional genes involved in POME pollutants biodegradation. To achieve the objectives, physicochemical characterization was done to correlate with the detection of the potential bioindicators. 16S rRNA next generation amplicon sequencing was done by Illumina MiSeq, while the bacterial cells viability and nucleic acid activities in all studied rivers were evaluated by utilizing double nucleic acid-based assay of the flow cytometry. In addition, the significant functional genes carried by the bacterial communities involved in the POME biodegradation were screened in the final discharge and polluted rivers by conventional polymerase chain reaction (PCR). Overall, it was confirmed that *Alcaligenaceae* (0.53% - 0.96%) and *Chromatiaceae* (0.81% - 3.44%) are dominant in the POME final discharge polluted rivers and not in other polluted rivers. The principle coordinate (PCO) analysis had proved the strong positive correlation between these two bacterial families with 5-day biological oxygen demand (BOD_5). Plus, the environmental changes lead to a higher bacterial viability (58.3% - 69.5%) and high nucleic acid (HNA) composition (75.0% - 82.2%) in the POME final discharge polluted rivers as compared to the other polluted rivers with cell viability ranging from 4.4% - 57.6% and HNA from 2.2% - 13.4%. The functional gene screening had resulted with the characterization of phenol

hydroxylase and protocatechuate 3,4-dioxygenase genes which are consequentially involved in the degradation of lignin derived phenolic compounds via the β -ketoadipate metabolic pathway. It is impressive that the high intensity of the protocatechuate 3,4-dioxygenase gene at 800 bp was shared only by the bacterial communities in POME final discharges and the receiving downstream rivers but not in the other comparative rivers. These findings prove on the specificity of *Alcaligenaceae* and *Chromatiaceae* as the POME final discharge pollution bioindicators in the river and the potential of protocatechuate 3,4-dioxygenase to be used as a molecular biomarker for the detection of this pollutant in the river.

KEYWORDS: *amplicon sequencing; bioindicator; palm oil mill effluent; phenol hydroxylase; protocatechuate 3,4-dioxygenase*

Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia
sebagai memenuhi keperluan untuk ijazah Sarjana Sains

**BIOPEMANTAUAN SUNGAI YANG DICEMARI PELEPASAN AKHIR EFLUEN
KILANG MINYAK SAWIT MENGGUNAKAN PENDEKATAN BERASASKAN
MOLEKUL**

Oleh

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Industri minyak kelapa sawit telah dikaitkan dengan isu-isu kualiti air daripada amalan pelupusan pelepasan akhir efluen kilang minyak sawit (POME) ke dalam sungai berhampiran. Walaubagaimanapun, ianya sukar untuk dibuktikan kerana sungai juga terdedah kepada banyak bahan cemar antropogenik lain. Kaedah semasa bagi penilaian pencemaran tersebut menggunakan pemprofilan fizikokimia adalah kurang spesifik. Ini memerlukan satu pembentukan sistem biopemantau pelengkap berdasarkan molekul dimana pengesanan bakteria penunjuk dan biopenanda genetik yang spesifik bagi bahan pencemar POME boleh digunakan. Kajian ini telah dijalankan untuk menentukan kehadiran dominan *Alcaligenaceae* dan *Chromatiaceae* di dalam sungai-sungai yang dicemari oleh pelepasan akhir POME berbanding sungai-sungai lain yang dicemari oleh saliran pelombongan, minyak automotif dan efluen industri berkaitan kimia. Berdasarkan keupayaan *Alcaligenaceae* untuk biodegradasi sebatian fenolik yang berasal dari lignin, kajian ini juga telah dilakukan untuk mengenalpasti gen-gen berfungsi yang terlibat dalam biodegradasi bahan pencemar POME. Bagi mencapai objektif ini, pencirian fizikokimia dilakukan untuk menghubungkaitkannya dengan pengesanan bioindikator yang berpotensi. Penjujukan amplikon generasi akan datang 16S rRNA dilakukan dengan penjujukan "Illumina MiSeq", sementara kebolehhidupan sel bakteria dan aktiviti asid nukleik di dalam semua sungai yang dikaji dinilai dengan menggunakan sitometri aliran berdasarkan asai asid nukleik berganda. Di samping itu, gen berfungsi penting yang dibawa oleh komuniti bakteria yang terlibat dalam biodegradasi POME telah disaring di dalam efluen akhir serta sungai-sungai tercemar menggunakan reaksi rantai polimerase (PCR) konvensional. Secara keseluruhannya, disahkan bahawa *Alcaligenaceae* (0.53% - 0.96%) dan *Chromatiaceae* (0.81% - 3.44%) adalah dominan di dalam sungai-sungai dicemari pelepasan akhir POME dan tidak di dalam sungai tercemar lain. Analisis penyelarasan koordinat utama (PCO) telah membuktikan hubungan positif antara kedua-dua keluarga bakteria ini dengan permintaan

oksigen biologi 5 hari (BOD_5). Tambahan lagi, perubahan persekitaran ini membawa kepada kebolehhidupan bakteria (58.3% - 69.5%) dan komposisi asid nukleik tinggi (HNA) (75.0% - 82.2%) yang lebih tinggi di dalam sungai yang dicemari pelepasan akhir POME berbanding dengan sungai tercemar yang lain dengan kebolehhidupan sel antara 4.4% - 57.6% dan HNA antara 2.2% - 13.4%. Penyaringan gen berfungsi menghasilkan pencirian gen fenol hidroksilase dan protokatekuat 3,4-dioksigenase yang terlibat secara berturutan dalam biodegradasi sebatian fenolik dari lignin melalui laluan metabolismik β -ketoadipate. Ianya mengagumkan apabila intensiti protokatekuat 3,4-dioksigenase pada 800 bp hanya dikongsi oleh komuniti bakteria di dalam pelepasan akhir POME dan hilir sungai yang dicemarinya sahaja tetapi tidak di sungai-sungai lain yang dibandingkan. Penemuan ini membuktikan kekhususan *Alcaligenaceae* dan *Chromatiaceae* sebagai bakteria penunjuk bagi pencemaran pelepasan akhir POME di dalam sungai dan potensi gen protokatekuat 3,4-dioksigenase untuk digunakan sebagai biopenanda molekul untuk mengesan pencemaran ini di dalam sungai.

KATA KUNCI: *penjukan amplikon; biopenanda; pelepasan akhir efluen kilang minyak sawit; fenol hidroksilase; protokatekuat 3,4-dioksigenase*

ACKNOWLEDGEMENTS

Praise be to Allah for His graciousness in letting me explore the beauty of His creations up to the smallest biological molecules unseen by naked eyes. It is miraculous that I get to fill my entire life up to this point to learn and understand a bit of His unlimited knowledge. Thank you Allah, for arranging these people that I have encountered along my Master's journey-

Utmost appreciation is extended to my main supervisor, Assoc. Prof. Dr. Norhayati Ramli for caring and sharing her wisdom for me to keep my pace steady along my research. I must say, this was the best supervision that I have received in my higher education pursue and I believe there are a lot more to learn from her. I would like to deliver my thankfulness to Dr. Mohd Zulkhairi Mohd Yusoff for giving his opinions on matters that I might have missed in my research. I am also thankful to Assoc. Prof. Dr. Toshinari Maeda for his endless generosity which had smoothen the path for me to finish my master study. They have been very attentive supervisory committee members for my research.

Warmest appreciation to my co-researcher, Noor Shaidatul Lyana Mohd Zainal for always providing me technical supports while hustling with her own challenges. Not forgotten Dr. Nurul Asyifah Mustapha for guiding and helping me in Illumina MiSeq analyses which was so new to me. My sincerest gratitude to all my laboratory seniors and members who had always offered their helps, Mohamad Farhan Mohamad Sobri, Nik Ida Mardiana Nik Pa, Diana Mohd Nor, Siti Suhaliah Sharuddin and Fatini Mat Arisah. To all final year students who might have gone through hardship under my co-supervision, I would like to also thank all of you for reminding me on how to remain curious and cautious in doing experiments.

To my mother, Rohana Mahalan and all my siblings, thank you for giving me all the time, space and trust I needed to complete my path. As to my always reachable friends, Nur Inani Azizan, Salma Malihah Mohammad, Husmaruzaini Syaza Husin and many more that I don't have enough space to mention them here, they have eased more than enough difficulties for me all these years of study. To Mohamad Ariff Mohamad Yussoff, for always standing a step ahead of me, I thank him. He has been a great friendly rival, keeping me rationalized and objective with my life perspectives. Last but not least, I would like to express my appreciation to *Biasiswa Yang Di Pertuan Agong* for sponsoring my educational pursue.

I could only pray for Allah's mercy to be with all of you all the time.

This thesis was submitted to the Senate of Universiti Putra Malaysia and has been accepted as fulfilment of the requirement for the degree of Master of Science. The members of the Supervisory Committee were as follows:

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TABLE OF CONTENTS

| | Page |
|---|-------|
| ABSTRACT | i |
| ABSTRAK | iii |
| ACKNOWLEDGEMENTS | v |
| APPROVAL | vi |
| DECLARATION | viii |
| LIST OF TABLES | xiii |
| LIST OF FIGURES | xv |
| LIST OF ABBREVIATIONS | xviii |
| CHAPTER | |
| 1 INTRODUCTION | 1 |
| 2 LITERATURE REVIEW | |
| 2.1 Palm oil mill effluent | 4 |
| 2.1.1 Environmental issue of palm oil mill effluent and its treatment | 7 |
| 2.1.2 Occurrence of phenolic compounds from lignin depolymerization in palm oil mill effluent | 9 |
| 2.2 Lignin-derived phenolic compound | 10 |
| 2.2.1 Biodegradation pathways of lignin derived phenolic compounds | 10 |
| 2.2.2 Phenolics bioremediation in wastewater by bacteria | 13 |
| 2.3 Monitoring system for the release of palm oil mill effluent final discharges into the river | 15 |
| 2.3.1 Currently adapted palm oil mill effluent pollution monitoring system | 15 |
| 2.3.2 River water quality indices | 16 |
| 2.3.3 Standard discharge limit of palm oil mill effluent | 18 |
| 2.4 Current technology for complementary monitoring system of wastewater and river water | 19 |
| 2.4.1 Fluorescent technology for aquatic bacterial viability assessment | 19 |
| 2.4.2 Role of next generation sequencing (NGS) in establishing a reliable complementary monitoring system | 21 |
| 2.4.3 Credibility of molecular-based probe technology in environmental monitoring | 23 |
| 2.5 Bioindicator for environmental quality evaluation | 25 |
| 2.5.1 Biomonitoring of environmental pollutants using bacteria by adapting ecological variations | 25 |

| | | |
|----------|--|----|
| 2.5.2 | Bacterial community as a potential bioindicator in determining environmental quality | 26 |
| 2.5.3 | Potential bioindicator in evaluating palm oil mill effluent final discharge contamination | 28 |
| 2.6 | Review Summary | 30 |
| 3 | MATERIALS AND METHODS | |
| 3.1 | Research overview | 31 |
| 3.2 | Samples collection | 33 |
| 3.3 | Physicochemical characteristics profiling | 34 |
| 3.3.1 | pH and temperature | 35 |
| 3.3.2 | Chemical oxygen demand | 35 |
| 3.3.3 | Biological oxygen demand | 35 |
| 3.4 | Bacterial genomic DNA extraction | 37 |
| 3.5 | Gel electrophoresis | 38 |
| 3.6 | Quantitation of DNA | 38 |
| 3.7 | Illumina MiSeq sequencing | 38 |
| 3.8 | Illumina MiSeq output data analyses | 39 |
| 3.9 | Statistical, richness and α -diversity analyses of bacterial community | 39 |
| 3.10 | Nucleic acid double staining based on flow cytometry | 41 |
| 3.10.1 | Water sample preparation | 41 |
| 3.10.2 | Staining for double nucleic acid assay | 42 |
| 3.10.3 | Flow cytometry analysis | 42 |
| 3.11 | Measurements of total phenolic content | 43 |
| 3.12 | Functional gene primers selection and screening by conventional polymerase chain reaction | 43 |
| 3.13 | Purification of amplified PCR product | 46 |
| 3.14 | Sequencing and sequence analyses | 47 |
| 4 | RESULTS AND DISCUSSION | |
| 4.1 | Physicochemical characteristics of studied rivers | 48 |
| 4.2 | Evaluation on the presence of <i>Alcaligenaceae</i> and <i>Chromatiaceae</i> as pollution bacterial indicators in different rivers | 51 |
| 4.3 | Correlation between environmental factors and bacterial indicators | 56 |
| 4.4 | Comparative α -diversities of bacterial communities in different rivers | 57 |
| 4.5 | Total cell concentrations and bacterial cell viabilities in different rivers | 59 |
| 4.6 | Determination of high and low nucleic acid contents of bacterial cells in different rivers | 62 |
| 4.7 | Correlation between α -diversities and quantified bacterial cells | 63 |
| 4.8 | Measurement of total phenolic contents in POME final discharge and river waters | 65 |

| | | |
|-----------------------------|--|-----|
| 4.9 | Amplification of potential genes involved in lignin-derived phenolics biodegradation | 66 |
| 4.10 | Amplification of phenol hydroxylase gene | 69 |
| 4.10.1 | Optimization of phenol hydroxylase gene amplification | 69 |
| 4.10.2 | Bioinformatics analysis of the amplified phenol hydroxylase gene | 72 |
| 4.11 | Gene amplification of protocatechuate 3,4-dioxygenase | 75 |
| 4.11.1 | Amplification of protocatechuate 3,4-dioxygenase in final discharge and river waters | 75 |
| 4.11.2 | Bioinformatics analysis of protocatechuate 3,4-dioxygenase genes in the POME-related samples | 77 |
| 5 | CONCLUSIONS AND RECOMMENDATIONS FOR FUTURE RESEARCH | |
| 5.1 | Conclusions | 83 |
| 5.2 | Recommendations for future research | 83 |
| REFERENCES | | 85 |
| APPENDICES | | 121 |
| BIODATA OF STUDENT | | 130 |
| LIST OF PUBLICATIONS | | 131 |

LIST OF TABLES

| Table | | Page |
|--------------|--|-------------|
| 2.1 | Physicochemical characteristics of raw palm oil mill effluent (POME) generated from different palm oil mills | 5 |
| 2.2 | Physicochemical characteristics of biologically treated palm oil mill effluent (POME) in different palm oil mills in Malaysia | 6 |
| 2.3 | Phenolic compounds-degrading bacteria isolated from various types of wastewaters and polluted environments | 13 |
| 2.4 | Bacteria with capability to adapt and thus bioremediate palm oil mill effluent (POME) at different treatment stages | 14 |
| 2.5 | Water quality classification according to different Water Quality Index methods | 16 |
| 2.6 | Malaysian National Water Quality Standards (NWQS) | 17 |
| 2.7 | Usage of water for each water quality index (WQI) class | 17 |
| 2.8 | Physicochemical ranges for palm oil mill effluent (POME) prior to its release into the aquatic ecosystem | 18 |
| 2.9 | Main categories of the next generation sequencing (NGS) platforms or technologies which are commercially available | 22 |
| 2.10 | Application of Illumina platform in microbial community determination in the environment | 22 |
| 2.11 | The use of bacteria as biological indicators in environmental monitoring | 26 |
| 2.12 | Microorganisms isolated from the palm oil mill effluent (POME) treatment system for various applications | 29 |
| 3.1 | The primer sets used to amplify several genes related to phenol degradation in palm oil mill effluent (POME) final discharge | 44 |
| 3.2 | Pre-established polymerase chain reaction (PCR) conditions for primers of the selected functional genes | 45 |
| 4.1 | Physicochemical characteristics of pH, temperature, chemical oxygen demand (COD) and 5-day biological oxygen demand (BOD_5) of the studied river water samples | 49 |
| 4.2 | (a) Summary of one-way analysis of variance (ANOVA) for the polluted river samples generated by Paleontological Statistics (PAST) | 50 |
| | (b) Post-hoc: Tukey's pairwise comparisons between the palm oil mill effluent (POME) final discharge polluted rivers and other rivers polluted due to automotive industry (AOP), chemical industry (CIP) and mining activity (MDP) | 51 |

| | | |
|-----|--|----|
| 4.3 | Operational taxonomic units (OTUs) reads numbers and α -diversities of bacterial communities: richness (Chao1), Shannon-Weaver (H') and Evenness (E') indices for each river water sample sequenced by Illumina MiSeq | 58 |
| 4.4 | Pearson's correlation between α -diversity indices, total cell concentrations (TCC) and high nucleic acid (HNA) bacterial cells data obtained from flow cytometry analyses | 64 |
| 4.5 | Bacteria carrying phenol hydroxylase genes in palm oil mill effluent (POME) related samples, the clean upstream river, waterfall, and non-palm oil mill effluent (POME) final discharge polluted rivers | 73 |
| 4.6 | Protocatechuate 3,4-dioxygenase genes carried by the phenolic degrading bacteria in palm oil mill effluent (POME) related samples compared to non-palm oil mill effluent (POME) final discharge polluted rivers | 78 |

LIST OF FIGURES

| Figure | | Page |
|---------------|--|-------------|
| 2.1 | The general biotreatment process of palm oil mill effluent (POME) adapted by palm oil mill operators | 8 |
| 2.2 | Aerobic phenolic compound biodegradation pathway adopted by bacteria where phenol hydroxylase is the limiting factor for the conversion of phenol into the less stable catecholic structures | 11 |
| 2.3 | Plant lignin degradation pathway in bacteria (<i>Pseudomonas</i> , <i>Comamonas</i> , and <i>Sphingomonas</i>) | 12 |
| 3.1 | Summary of workflow to confirm the dominant presence of potential pollution bacterial indicators, <i>Alcaligenaceae</i> and <i>Chromatiaceae</i> in only POME final discharge polluted rivers (first objective) | 32 |
| 3.2 | Summary of workflow for the screening of functional genes carried by the potential pollution bacterial indicator (second objective) | 32 |
| 3.3 | Sampling locations of palm oil mill effluent (POME) final discharge and river waters across the Peninsular Malaysia | 34 |
| 4.1 | Bacterial phyla compositions in the upstream river which acts as a control (UR: Upstream), POME final discharge polluted rivers (PFD1 and PFD2) and non-POME final discharge polluted rivers (AOP: Automotive oil pollution, CIP: Chemical industry pollution, MDP: Mining drainage pollution) | 52 |
| 4.2 | Heat map indicating the abundance of dominant bacterial families (relative percentage abundance $\geq 0.15\%$) within Proteobacteria phylum in studied rivers (UR: Upstream (unpolluted) river; PFD1: POME final discharge polluted river 1; PFD2: POME final discharge polluted river 2; MDP: Mining drainage polluted river; AOP: Automotive oil polluted river; CIP: Chemical industry polluted river) | 53 |
| 4.3 | Principal coordinate analysis (PCO) of <i>Beta-</i> and <i>Gammaproteobacteria</i> abundances in the studied rivers ordinated with BOD_5 , COD and pH parameters by Paleontological Statistics (PAST) software through the “correlation” similarity index. | 56 |
| 4.4 | Total cells concentration (TCC) for upstream (unpolluted) river (UR), POME final discharge polluted river 1 (PFD1), POME final discharge polluted river 2 (PFD2), Mining drainage polluted river (MDP), automotive oil polluted river (AOP) and chemical industry polluted river (CIP) | 59 |

| | | |
|------|---|----|
| 4.5 | (a) Comparison of viable and dead cells; and (b) flow cytometry dot-plot representing cells viability percentages in the upstream river, the rivers polluted by POME final discharge (PFD1: POME final discharge polluted river 1; PFD2: POME final discharge polluted river 2) and other polluted rivers (MDP: Mining drainage polluted river; AOP: Automotive oil polluted river; CIP: Chemical industry polluted river) | 60 |
| 4.6 | Comparison of high nucleic acid (HNA) and low nucleic acid (LNA) proportions obtained from the fractions of viable cells in POME final discharge polluted rivers (PFD1: POME final discharge polluted river 1; PFD2: POME final discharge polluted river 2) with non-POME final discharge polluted rivers (UR: Upstream (unpolluted) river; MDP: Mining drainage polluted river; AOP: Automotive oil polluted river; CIP: Chemical industry polluted river) | 62 |
| 4.7 | Phenolic content in the final stages of POME treatment (facultative and algae ponds), final discharge, the receiving downstream river and the clean upstream river | 65 |
| 4.8 | Primary functional genes screening using genomic DNA extracted from POME final discharge. | 67 |
| 4.9 | Pathway adopted by <i>Alcaligenaceae</i> in POME biodegradation (El Azhari et al., 2007) whereby PHE primer is used to amplify phenol hydroxylase gene and 3,4-PCD primer is used to amplify protocatechuate 3,4-dioxygenase gene | 69 |
| 4.10 | (a) Optimization of PHE primer for the amplification of phenol hydroxylase gene on POME final discharge sample using gradient PCR run on 2% agarose gel electrophoresis stained with ethidium bromide; (b) Confirmation of the optimized annealing temperature for PHE primer on final discharge sample where a clear single band was showed at 59°C without any non-specific bindings | 70 |
| 4.11 | Amplification of the genomic DNA extracted from final stages of the POME treatment system which were algae pond (A), facultative pond (F) and inlet of bio-polishing plant (I) using PHE primer targeting on phenol hydroxylase gene | 71 |
| 4.12 | Screening of phenol hydroxylase genes using PHE primer on the control (unpolluted) sample from Jeram Toi Waterfall (J); final discharge generated from Serting Palm Oil Mill (S); non-POME polluted rivers (AOP: Automotive oil polluted river; MDP: Mining drainage polluted river) | 71 |
| 4.13 | Comparison of protocatechuate 3,4-dioxygenase fingerprinting between final discharges (FD1: POME final discharge 1; FD2: POME final discharge 2; FD3: POME final discharge 3; FD4: POME final discharge 4; FD5: | 76 |

POME final discharge 5), final discharge receiving rivers (PFD1: POME final discharge polluted river 1; PFD2: POME final discharge polluted river 2, PFD3: POME final discharge polluted river 3; PFD4: POME final discharge polluted river 4), rivers polluted by different pollutants (MDP: Mining drainage pollution, AOP: Automotive oil pollution, CIP: Chemical Industry pollution) and clean water resources (UR: Upstream River; WF: Waterfall; R: Rainwater) run along with 100 bp ladder (L) (Vivantis, Malaysia)

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|------|---|----|
| 4.14 | Fingerprinting of protocatechuate 3,4-dioxygenase gene for facultative (Fac) and algae (Alg) ponds in comparison to the final discharge sample (FD) run along with 100 bp DNA ladder (Vivantis, Malaysia) | 77 |
| 4.15 | Phylogenetic tree for protocatechuate 3,4-dioxygenase obtained from the POME final discharges, POME final discharge polluted rivers and the rivers polluted by chemical industry wastewater, mining drainage and automotive oil | 82 |

LIST OF ABBREVIATIONS

| | |
|------------------|--|
| °C | Degree Celsius |
| × g | Gravitational force |
| α- | Alpha- |
| β- | Beta- |
| 1,2-CTD | Catechol 1,2-dioxygenase |
| 2,3-CTD | Catechol 2,3-dioxygenase |
| 3-D | Three-dimensional |
| 3,4-Pcd/PCD | Protocatechuate 3,4-dioxygenase |
| 4,5-PCA | Protocatechuate 4,5-dioxygenase |
| AOP | Automotive oil polluted river |
| APHA | American Public Health Association |
| BLASTn | Basic Local Alignment Search Tool for nucleotide |
| BOD ₃ | Biological oxygen demand after 3 days |
| BOD ₅ | Biological oxygen demand after 5 days |
| bp | Base pair |
| CCMEWQI | Canadian Council of Ministers of the Environment Water Quality Index |
| CIP | Chemical industry polluted river |
| CO ₂ | Carbon dioxide |
| COD | Chemical oxygen demand |
| CPO | Crude palm oil |
| DAPI | 4',6-diamino-2-phenylindole |
| DGGE | Denaturing gradient gel electrophoresis |
| DNA | Deoxyribonucleic acid |
| DO | Dissolved oxygen |
| DOE | Department of Environment |
| DOM | Dissolved organic compounds |
| E' | Evenness index |
| EDTA | Ethylenediaminetetraacetic acid |
| EFB | Empty fruit bunch |
| EEA | Environmental Quality Act |
| FFB | Fresh fruit bunch |
| FSC | Forward-scattered light |
| g | Gram |
| GAE | Gallic acid equivalent |

| | |
|---------------------------------|--|
| H' | Shannon-Weaver index |
| HNA | High nucleic acid |
| kbp | Kilo base pair |
| kg | Kilogram |
| L | Liter |
| LNA | Low nucleic acid |
| <i>m-</i> | <i>Meta-</i> |
| MDP | Mining drainage polluted river |
| MEGA | Molecular Evolutionary Genetics Analysis |
| mg/L | Milligram per liter |
| mL | Milliliter |
| mM | Milli molar |
| MMWQI | Malaysian Marine Water Quality Index |
| MPOB | Malaysian Palm Oil Board |
| NA | Not available |
| Na ₂ CO ₃ | Sodium carbonate |
| ND | Not detected |
| ng | Nanogram |
| nm | Nanometer |
| NCBI | National Center for Biotechnology Information |
| NGS | Next generation sequencing |
| NH ₃ -N | Ammoniacal nitrogen |
| NO ₃ ⁻ | Nitrate |
| NSFWQI | National Sanitation Foundation Water Quality Index |
| <i>o-</i> | <i>Ortho-</i> |
| OPF | Oil palm frond |
| OTU | Operational taxonomic unit |
| OWQI | Oregon Water Quality Index |
| <i>p-</i> | <i>Para-</i> |
| PAH | Polycyclic aromatic hydrocarbon |
| PAST | Paleontological Statistics |
| PCO | Principal coordinate |
| PCR | Polymerase chain reaction |
| PFD | POME final discharge polluted river |
| Phe/PHE | Phenol hydroxylase |
| PI | Propidium iodide |
| PKC | Palm kernel cake |

| | |
|---------------|---|
| PKS | Palm kernel shells |
| POME | Palm oil mill effluent |
| PPF | Palm pressed fiber |
| QIIME | Quantitative Insights into Microbial Ecology |
| qRT-PCR | Quantitative real-time polymerase chain reaction |
| rDNA | Ribosomal deoxynucleic acid |
| rRNA | Ribosomal ribonucleic acid |
| SSC | Side-scattered light |
| SRA | Short reads archive |
| T-RFLP | Terminal-restriction fragment length polymorphism |
| TAE | Tris-Acetate-EDTA |
| TCC | Total cells concentration |
| TN | Total nitrogen |
| TS | Total solid |
| TSS | Total suspended solid |
| VSS | Volatile suspended solid |
| WF | Waterfall |
| WQI | Water quality index |
| WWTP | Wastewater treatment plant |
| μL | Microliter |
| μM | Micro molar |

CHAPTER 1

INTRODUCTION

Palm oil is an important agro-based industry which contributes to economic growth not only for South East Asian countries but also some Sub Saharan African countries (Robins, 2018) and Papua New Guinea (Bonneau, 2019). With the continuous increase in demand for palm oil worldwide (Silalertruksa et al., 2017), there is an urgency in terms of environmental monitoring of the released generated wastewater known as palm oil mill effluent (POME). POME is of great concern as it causes mass depletion of oxygen in the aquatic ecosystem which negatively affects the aquatic communities and water resources including bacterial community changes in the receiving waterways (Sharuddin et al., 2017). In conforming with the requirement for POME to be treated prior to being released into nearby rivers, it is common for palm oil mills to use anaerobic and aerobic processes for the treatment of POME (Mohd-Nor et al., 2019). In the palm oil industry, physicochemical parameters outlined for the released POME are being defined such as biological oxygen demand (BOD), chemical oxygen demand (COD) and pH which act as means to monitor the environmental impact of the POME discharges into the environment (Iskandar et al., 2018). However, other than POME final discharge, the receiving rivers could also be polluted by many other anthropogenic pollutants (Geissen et al., 2015) which make the management and monitoring of the released POME final discharge into the river water challenging. Thus, a more specific complementary monitoring method is required to aid palm oil mill operators in ensuring the POME being discharged into the rivers fulfills the environmental requirements.

Bacterial bioindication is receiving an increasing attention in the aquatic system monitoring due to the direct involvement of microorganisms in the biogeochemical cycle of the river ecosystem (Sims et al., 2013). This makes it an inevitable factor to complement the current use of the physicochemical monitoring system. Therefore, a more specific bacterial indicator as well as molecular-based monitoring tool can be the reliable alternatives or complementary for POME final discharge detection in the river water due to their direct response in reflecting the variability of ecological consequences of the environment (Shade et al., 2012). Moving closer to this vision, Illumina sequencers have been applied to generate metagenomic data from various samples including environmental metagenomes (Mason et al., 2014; Ju et al., 2014) such as river (Lu et al., 2016) and wastewater treatment systems (Bai et al., 2017). From these, identifiable bacterial communities can be analyzed in terms of their patterns, dominances, abundances and diversities. Moreover, by utilizing the Illumina MiSeq technology, the use of bacteria namely *Alcaligenaceae* and *Chromatiaceae* as specific bioindicators to indicate POME final discharge contamination in receiving rivers has been proposed since they were found to be dominant in POME final discharges (Sharuddin et al., 2017; Mohd-Nor et al., 2018).

In concurrence with the discovery of the pollution bacterial indicators, the biodegradation mechanisms taking place in the POME could be predicted. Conceptually, resistant or adaptable species is able to emerge in a polluted environment due to their capabilities to metabolize either the organic or inorganic compounds whereby this is determined by their genetic constitutions and physiological tolerances (Potvin & Tousignant, 1996). The detection of catabolic genes expressed or carried by dominant bacterial community can be used to develop an advanced molecular technique to identify and monitor targeted microbial subpopulation biodegrading the concerned pollutants (Táncsics et al., 2008).

The detection of *Alcaligenaceae* among other dominant *Proteobacteria* in POME is relatable to the presence of lignin-derived phenolic compounds in the wastewater. This knowledge opens the path to develop a molecular-based probe which is specific for the detection of functional genes involved in the biodegradation of POME final discharge carried by the bacterial community. Besides, *Proteobacteria* with the ability to biodegrade lignin derived aromatic compounds had been thoroughly reported in previous studies (Pérez-Pantoja et al., 2012; Pérez-Pantoja et al., 2017). This fact harbors the possibility of *ortho*- and *meta*-cleavage pathways of the intermediary catechol or protocatechuate to occur in the partially treated POME, final discharge and the receiving downstream rivers which were dominated by *Alcaligenaceae* (Sharuddin et al., 2017).

With the proposal of *Alcaligenaceae* and *Chromatiaceae* as the potential bioindicators for POME final discharge pollution in the river, it is important to prove that these two bacteria are only dominant in POME final discharge polluted rivers, so that their specificity as bioindicators can be securely established. Moreover, with the understanding of POME biodegradation mechanisms by *Alcaligenaceae*, a molecular-based monitoring system specific for POME pollution in the environment could be developed by profiling the functional genes involved in the biodegradation of aromatic compounds in the POME. For this research, Illumina MiSeq sequencing platform was utilized to obtain the bacterial communities in POME final discharge polluted rivers compared with unpolluted rivers and rivers polluted by other pollutants not related to POME. The sequencing data was statistically correlated further with the quantitative data of physicochemical characteristics and flow cytometry analyses. Upon confirming the distinct presence of *Alcaligenaceae* in the POME final discharge polluted rivers, the potential genes carried by this bacterial family were screened by conventional PCR in order to pioneer a basis for genomic biomarker development specific for the detection of such pollution.

In this study, it was hypothesized that the *Alcaligenaceae* and *Chromatiaceae* are dominant in POME final discharge polluted rivers, thus can be used as specific bioindicators to indicate the river water pollution due to this pollutant. On another note, with the knowledge of *Alcaligenaceae* as the lignin derived phenolic compounds degrader, it was hypothesized that the functional gene involved in the degradation of aromatic compound within the targeted bacterial

community in POME final discharge and its receiving river water could be amplified. In the future, a molecular based-indicator to indicate the river water contamination due to POME final discharge could be developed.

Therefore, the objectives of this study are:

1. To determine the dominant presence of pollution bacterial indicators, *Alcaligenaceae* and *Chromatiaceae* associated with POME final discharge polluted rivers.
2. To identify the functional genes involved in the biodegradation of aromatic compounds in POME final discharge and the receiving river.



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