



UNIVERSITI PUTRA MALAYSIA

***DYNAMIC RULE REFINEMENT STRATEGY OF ASSOCIATIVE
CLASSIFIER FOR EFFECTIVE MAMMOGRAPHIC IMAGE
CLASSIFICATION***

NIRASE FATHIMA ABUBACKER

FSKTM 2016 31



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By

NIRASE FATHIMA ABUBACKER

**Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia,
in Fulfillment of the Requirement for the Degree of Doctor of Philosophy**

September 2016

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DEDICATION

My Beloved Parents



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Abstract of the thesis presented to the Senate of Universiti Putra Malaysia in fulfillment of the requirement for the degree of Doctor of Philosophy

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September 2016

Chairperson : Azreen Azman, PhD

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Computer-aided diagnosis (CADx) has gained significant attention in helping radiologists in the interpretation of mammograms to assist in diagnostic decision-making. A more effective CADx increases the probability of cure. An effective mammogram classification technique benefit to the research of computer aided mammography for a better diagnostic assistance. However, the effectiveness of classifiers depends on the training data sets that are often small in data size and static, which does not adapt to changes. The main aim of this thesis is to propose an effective associative classifier using rule refinement technique that adapts changes in databases for building an effective CADx model in the classification of mammogram images.

The classifiers using Association Rule (AR) mining gain popularity compared to traditional classifiers due to their nature in reflecting close dependencies among single or multiple features for composing rules with its excellent interpretation. The existing associative classification techniques that are used in Computer Aided Diagnosis (CADx) have proved their efficiency in mammogram classification. The research aims to propose an improved associative classification model with its first step preprocessing that uses segmentation technique with filter that includes certain areas of the image for mammogram peripheral enhancement. The feature extraction is used to extract the most prominent features from mammogram images that represent various classes of the images to be used by classification techniques. A feature selection technique named Correlation Feature Selection (CFS) that involves a heuristic search is adopted for dimensionality reduction of feature space to improve efficiency and at the same time maintain the effectiveness of classification. The thesis discovers useful and interesting relations between features and class in the form of rules to build an efficient associative classifier from a large collection of mammogram images using association rule mining technique. An Associative Classifier that uses rules Highest Average Confidence (ACHAvC) is proposed for an effective classification of mammography. The classifier ACHAvC has achieved high

accuracy of 90% and specificity of 90%, however the sensitivity is 78.5% and not commendable in medical domain.

The effectiveness of an associative classifier depends largely on the generated rules based on training data. In previous works such as HiCARE, SACMINER, MINSAR, including ACHA_vC the training data have been limited, which may produce the classification rules that are static and cannot adapt to a changing characteristic of test images, as such it may not produce complete and accurate rules for classification. The classification performance can be further improved if the static rules are updated dynamically. The availability of radiologist ground truth for every case could be used to validate the classification result and refine the set of rules generated. A method Rule Refinement based on Incremental Modification (RRIM) is proposed that dynamically refines the rules every time when it is validated with the experts ground truth. As such these refined rules that adapt the changes in the data are then used for classification to further enhance the performance of the classifier ACHA_vC with a reduced minimal error and with improved prediction accuracy.

The Performance of the proposed methods are evaluated for accuracy, sensitivity and specificity for the mammogram image data set, taken from the digital database for mammography from the University of South Florida, *Digital Database for Screening Mammography* (DDSM). The proposed method has achieved an overall classification accuracy of 96%, with sensitivity 92.56% and specificity 96.94% in testing stage which is comparatively better than the three benchmark approaches HiCARE, SACMINER, MINSAR that are chosen for the proposed research with accuracy of (91%, 85%, 79%), sensitivity (95%, 84%, 87%) and specificity (84%, 86%, 67%).

Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk ijazah Doktor Falsafah

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Bantuan diagnosis komputer (CADx) mendapat tumpuan penting bagi membantu ahli radiologi mentafsir mamogram untuk membuat keputusan diagnostik. CADx yang lebih efektif meningkatkan kebarangkalian untuk tahap kesembuhan. Teknik pengkelasan mamogram yang efektif memberi manfaat kepada penyelidikan mamografi berasaskan komputer untuk memberi bantuan diagnostik yang lebih baik. Walau bagaimanapun, keberkesanan pengkelasan ini bergantung kepada set data latihan yang sering kali bersaiz kecil dan statik, yang mana tidak peka kepada perubahan. Tujuan utama tesis ini adalah untuk mencadangkan satu pengkelasan menggunakan teknik peraturan perbaikan bersekutu yang peka kepada perubahan yang berlaku di pangkalan data bagi membina model CADx yang berkesan untuk klasifikasi imej mamogram.

Pengkelasan menggunakan Association Rule (AR) lebih terkenal berbanding pengkelasan secara tradisional di mana sifatnya yang mencerminkan kebergantungan antara ciri-ciri tunggal atau pelbagai untuk menghasilkan peraturan dengan tafsiran yang sangat baik. Langkah proses awal menggunakan teknik segmentasi dengan penapis yang merangkumi bahagian-bahagian tertentu imej untuk meningkatkan periferal mamogram. Ciri pengestrakkan digunakan untuk ekstrak ciri-ciri yang paling tepat dari imej mamogram yang mewakili pelbagai kelas imej untuk digunakan oleh teknik pengkelasan. Teknik pemilihan ciri bernama Korelasi Ciri Pemilihan (CFS) yang melibatkan carian heuristik digunakan untuk pengurangan dimensi ruang ciri bagi meningkatkan kecekapan dan pada masa yang sama mengekalkan keberkesanan klasifikasi. Tesis mendapati hubungan yang berguna dan menarik antara ciri-ciri dan kelas untuk membina pengkelasan bersekutu yang efektif daripada koleksi imej mamogram yang banyak dengan menggunakan teknik penyatuan. Pengkelasan bersekutu yang menggunakan peraturan Highest Average Confidence (ACHAvC) dicadangkan untuk klasifikasi mamografi yang efektif. Pengkelasan ACHAvC mencapai 90% ketepatan dan 90% kekhususan, akan tetapi kepekaan yang agak kurang iaitu 78.5% dan tidak diterima di bidang perubatan.

Keberkesanan pengkelasan bersekutu bergantung kepada peraturan yang dihasilkan berdasarkan data latihan. Dalam kerja-kerja penyelidikansebelum ini seperti HiCARE, SACMINER, MINSAR termasuk ACHA_vC, data latihan adalah terhadap, yang mana boleh menghasilkan peraturan klasifikasi yang statik dan tidak peka kepada ciri-ciri imej ujian yang berubah-ubah, oleh itu ia tidak boleh menghasilkan peraturan yang lengkap dan tepat untuk pengkelasan. Prestasi klasifikasi boleh diperbaiki jika peraturan statik dikemaskini secara dinamik. Kepakaran ahli radiologi boleh digunakan bagi setiap kes untuk megesahkan hasil klasifikasi dan menghalusi set peraturan yang dijana. Kaedah Rule Refinement berdasarkan Incremental Modification (RRIM) dicadangkan untuk memperbaiki peraturan secara dinamik setiap kali ia disahkan oleh pakar. Oleh itu peraturan-peraturan yang diperbaiki ini akan peka kepada perubahan yang berlaku pada data dan ianya digunakan untuk meningkatkan lagi prestasi pengkelasan ACHA_vC dengan ralat yang minimum dan ketepatan ramalan yang lebih baik.

Prestasi kaedah yang dicadangkan dinilai dengan ketepatan, kepekaan dan kekhususan terhadap set data imej mamogram, yang diambil dari pangkalan data digital mamografi dari University of South Florida, Pangkalan Data Digital untuk Saringan Mamografi (DDSM). Kaedah yang dicadangkan telah mencapai ketepatan pengkelasan keseluruhan 96%, dengan 95.56% kepekaan dan kekhususan 96.94% dalam peringkat ujian di mana ia jauh lebih baik berbanding tiga kaedah lain yang diguna sebagai penanda aras yang mana ketepatannya (91%, 85%, 79%), kepekaan (95%, 84%, 87%) dan kekhususan (84%, 86%, 67%).

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LIST OF ABBREVIATIONS

| | |
|------|--|
| ACR | American College Of Radiology |
| ACS | American Cancer Society |
| AMA | American Medical Association |
| BI | Blurred Image |
| CAD | Computer Aided Diagnosis |
| CADe | Computer Aided Detection |
| CADx | Computer Aided Diagnosis |
| CC | Cranio-Caudal |
| NN | Neural Network |
| DDSM | The Digital Database For Screening Mammography |
| FN | False Negative |
| FP | False Positive |
| GLCM | Gray Level Co-Occurrence Matrix |
| JPEG | Joint Photographic Expert Group |
| MIAS | The Mammographic Image Analysis Society |
| MLO | Mediolateral-Oblique |
| MRI | Magnetic Resonance Imaging |
| NCI | National Cancer Institute |
| NTP | Normalized Thickness Profile |
| ROC | Receiver Operating Characteristic |
| ROI | Region Of Interest |
| SI | Segmentation Image |
| SVM | Support Vector Machine |
| TN | True Negative |
| TP | True Positive |

CHAPTER 1

INTRODUCTION

1.1 Introduction

Breast cancer is the most common leading cancer among women worldwide. In the western and developing countries, including Malaysia, breast cancer has become a major health problem. The most recent estimate by Forouzanfar et al. (2010) indicates that more than 1.6 million new cases of breast cancer occurred among women worldwide in 2010. Early detection of breast cancer is possible only when regular screening examinations are carried out (Anderson & Jakesz, 2008). All types of breast cancer diagnosis depend on a biopsy using mammography findings. A mammogram is a special type of x-ray photograph that uses high-resolution film, high contrast and low dose x-ray for imaging the breasts (Vainio & Bianchini, 2002). This helps to detect and diagnose breast cancer effectively in its early stage. Digital Mammography is the most excellent gold standard screening method for breast cancer detection in its early stage (Vainio & Bianchini, 2002). Several studies have found that women with a family history may benefit from regular breast cancer screening, reporting higher cancer detection rates (Halapy et al., 2004; Kerlikowske et al, 2000). A mortality benefit from screening mammography in women at average risk of developing breast cancer has been established (Nelson et al., 2009; Canadian Task Force on Preventive Health Care, 2011); Studies have demonstrated that digital mammography has higher cancer detection rates in women who are more likely to have their cancer missed by screen-film mammography (Burrell et al., 1996; D'Orsi & Newell, 2007; Ikeda et al., 1992; Rosenberg et al., 1998). However, not all breast cancers are detectable accurately using mammograms even though they are very sensitive due to its difficulty in reading that requires abundant experience (Joseph Y.L, 1999). Several researches have shown that 20% to 40% of breast cancer detection failure rate is due to image complexity structure and radiologist fatigue (Harvey J et.al, 1993; Beam.C et.al, 1996; Elmore.J et.al, 1994). Due to this, about 65% of cases that are referred to surgical biopsy are just the normal (Kopanas, 1992; Knutzen, 1993). Additionally, mammogram interpretation is a high demanding job. About 10% of normal mammograms are misidentified by physicians as abnormal leading to more stressful tests and unnecessary diagnostic procedures for a normal patient moreover the misinterpretation of abnormal mammograms results in high rate of death (Jackson, 1993).

Computer-aided diagnosis (CADx) has gained significant attention in helping radiologists in the interpretation of mammograms to assist in diagnostic decision-making (Yusof, N.M et.al, 2007). A more effective CADx invariably increases the probability of cure. In particular, the CAD system for automated detection/classification could provide a second opinion that improves the chances of detecting tumors and also reduces the human workload associated with the diagnosis. Such a system is capable to automatically classify and suggest the pathological terms for a new mammography image. These suggested terms are presented to doctors as additional information to assist them in the diagnosis of

breast cancer. As such, it is vital to select a tool for revealing unknown information using the features extracted from images. An effective mammogram classification technique benefit to the research of computer aided mammography for a better diagnostic assistance. The classifiers using the Association Rule (AR) mining gain popularity compared to traditional classifiers due to their nature in reflecting close dependencies among single or multiple features for composing rules with its excellent interpretation. However the classification rules are stagnant on the training set and not adaptable to a changing distribution of test images. The classification performance can be improved if the static rules are updated dynamically. The main aim of this thesis is to propose an effective classifier using rule refinement technique that updates rules incrementally to adapt changes in databases. As such the database becomes more knowledgeable and helps in optimizing the performance of an associative classifier, thus can be used in a hierarchically CADx system that classify the mammogram images firstly according to its features, after indicates if there is a lesion and finally what kind of lesion is.

This chapter consists of five sections. The Section 1.2 outlines the motivation to carry out this work and the background for the research. Subsequently, In Section 1.3, the research problem statement is presented. The Aim and objectives of the research are explained in the sections 1.4 and 1.5 respectively. In addition, section 1.6 presents the significance of the research work. Following this, the sections 1.7 and 1.8 presents the research contributions and organization of this work.

1.2 Motivation of the work

The advancement in digital images has created a new breakthrough in every field. Medical imaging has developed into one of the most important fields due to its continuous growth in computerised medical image visualisation and advances in analysis methods. However, exploring the ever increasing quantities of medical images for manual diagnosis is cumbersome and time consuming. Also, factors like image quality and eye fatigue may affect the diagnostic results. Furthermore, most often radiologists have to deal with urgent cases where they need to analyze and evaluate the images comprehensively in a shorter period of time, thus abnormality may be unobserved (Sampat et.al, 2005).

Assisting the radiologists to classify conspicuous cases may help to improve accuracy in the interpretation of medical images and deliver a better diagnosis. Two different radiologists interpretation of the same mammogram could enhance the prediction accuracy of mammographic screening more than 30% (Skaane, P., et.al, 2013). Nevertheless, this double reading could increase the radiologists cost, work load and makes it unfeasible. Also there are possibilities for a slight overlap on different judgements given by different radiologists for the same diagnosis. Hence, by making use of computer based systems along with the knowledge of radiologist's feedback could be useful to detect the abnormalities and thus making better diagnostic decisions; this process is called Computer Aided Diagnosis (CADx). Therefore, it is important to develop a computer-aided diagnostic system to aid

radiologists in finding the abnormalities effectively and to reduce the number of unnecessary biopsies.

1.3 Problem Statement

Many CADx based systems were used by the radiologist for the diagnosis of breast masses. In order to automate the classification system for CADx, there are some limitations in the process of breast mass diagnosis (Jalalian. A et.al, 2013). Accurate segmentation of a breast mass could be one of the important step for the diagnosis of breast cancer in mammography. The mammograms may contain some labels namely the background and that must be removed before subsequent tasks such as feature extraction and classification step. In full-field digital mammograms background region is totally black and uniform. Therefore, the background is composed of all pixels with an intensity equal to zero. However, mammogram contains many artifacts (Mustra, M., & Grgic, M. , 2013) and due to this nature the dark regions of the border might be set to background which are likely to be ignored during the segmentation process. As such these segmentation techniques may result in some missed parts of the peripheral region when applied to mammogram images. Hence a filter that scales the gray level of the border regions to brighten the mammogram image is required to enhance the details of images and helps to obtain a better segmented output.

A mammogram uses a machine that takes a lower dose x-ray by flattening the breast between 2 plates to look only at the breast tissue that spreads apart. However, this flattening of the breast is subject to the deformation due to heavy force applied during compression that leads to a difference in the thickness of the breast (Kallenberg, Michiel GJ, et al., 2012). Hence a smoothly varying correction function is required to expand the perceptibility of the peripheral area. Peripheral enhancement is a technique that greatly reduces the dynamic range of the mammogram (He, W. et.al, 2014).

In the context of mammogram classification, there are some traditional classification methods such as Decision Trees (Devi R.D.H et.al, 2015); Sequential Minimal Optimization (SMO) (Sharma, V., & Singh, S., 2014), Radial Basis Function (Pratiwi, M., Harefa, J., & Nanda, S., 2015) proved with good prediction accuracies. However, a few other traditional classification methods produced using neural network and probabilistic approaches, are difficult to understand (Setiawan, A. S., Wesley, J., & Purnama, Y. 2015). Methods using Naive Bayes generate strong feature independence assumptions (Karabatak, M., 2015). Therefore, the prediction accuracies using traditional methods may not be commendable. Hence there is a need to build an efficient classifier that generates strong associations between features and reveals hidden relationship that can be missed by other classification algorithms. The existing associative classification techniques HiCARE (Riberio et.al, 2008), SACMINER (Watanabe et.al, 2011), MINSAR (Traina AJ et.al, 2012), that are used in Computer Aided Diagnosis (CADx) are static and do not adapt to changes in the database over the time. The classification association rules generated using training samples form the base knowledge to come up with a target output for

new images. However, these existing rules are stagnant and cannot adapt to a changing distribution of test images. Hence the process of knowledge (rule) refinement is required to have an effective knowledge base that dynamically adapts to changes for a more accurate classification. The information about the lesions for each patient that are provided in the ground truth by the experts are used for rule refinement.

1.4 Research Aim

The main aim of the proposed research work is to propose an efficient classifier in order to build an effective CADx model for the classification of mammogram images based on refined classification association rules with the validated appearances of instances over time.

1.5 Research Objectives

The primary focus of this research work is to propose methods and algorithms for improving the performance of mammogram classification. The following objectives are set to accomplish this.

1. To improve an effective segmentation technique with a filter that includes specific areas of the image for mammogram peripheral enhancement.
2. To propose a classification model using generated association rules for an effective mammogram image classification of new instances.
3. To propose a dynamic rule refinement strategy of the association rules based on experts ground truth for an effective classification of mammography images

1.6 Significance of Study

This study will be a significant endeavour in promoting assistance to radiologists in their interpretation of mammogram images with the following benefits.

- Factors like image quality, eye fatigue do not affect the diagnostic results.
- Reducing the number of missing detection cases, combined with an enhanced technique have resulted in the effective diagnosis and potential survival.
- Evaluates the mammogram images comprehensively in a short time.
- Easy analysis of disease specific information among patients using different modalities is possible.
- Adapt to changes by learning from prior known cases to arrive at correct conclusions

- Automated classification technique of abnormalities assists radiologists in their interpretation of mammogram images and overcomes the inconsistencies in manual grading.

1.7 Research Contributions of the Thesis

The technical and social contributions of the proposed research are as follows:

- Enhancing the preprocessing technique using peripheral enhancement that uses the modified segmentation technique
- Proposed a new Associative Classifier that uses a predefined weight as highest average confidence based on a category for classification decision.
- Enhancing the Association Rule based classifier that dynamically refines the classification rules

1.8 Organization of the Thesis

The Organization of the thesis comprises of eight chapters and shown in Figure 1.1. The detailed descriptions of each chapter are provided below:

Chapter 1 presents the introduction, motivation, aim, objectives, significance, contribution of the research and the organization of the thesis.

Chapter 2 discusses appropriate related works for Segmentation, Enhancement, Feature Extraction methods, Feature Selection methods, Data Mining Algorithm techniques, and Classification. Soft computing theories such as rule refinement techniques increment learning are also presented in this chapter.

Chapter 3 presents the overall implementation methodology with a detailed framework for the interpretation of the proposed automated classification of mammogram.

Chapter 4 presents the preprocessing, feature extraction and feature selection processes to identify more discriminating features irrespective of its excessive dimensionality. The selected features are effective in mammogram diagnosis to facilitate the improvement in the performance of a medical diagnostic process in minimizing the failure rate of the diagnostic process. Also the proposed system results are compared with the existing methods.

Chapter 5 focuses on generating Association Rules (AR) for an effective construction of different classifiers using association rules. Generated rules are used to build a classification model and is evaluated to study its predictive capability. The

proposed Associative Classifier using Highest Average Confidence (ACHAvC) is compared with other traditional classifiers.

Chapter 6 focuses on association rule refinement for the performance optimization of classification models. The refined rules are used to optimize a classification model, which is evaluated with different prediction models to study its predictive capability.

Chapter 7 provides the conclusions of the research work and future work is described briefly.



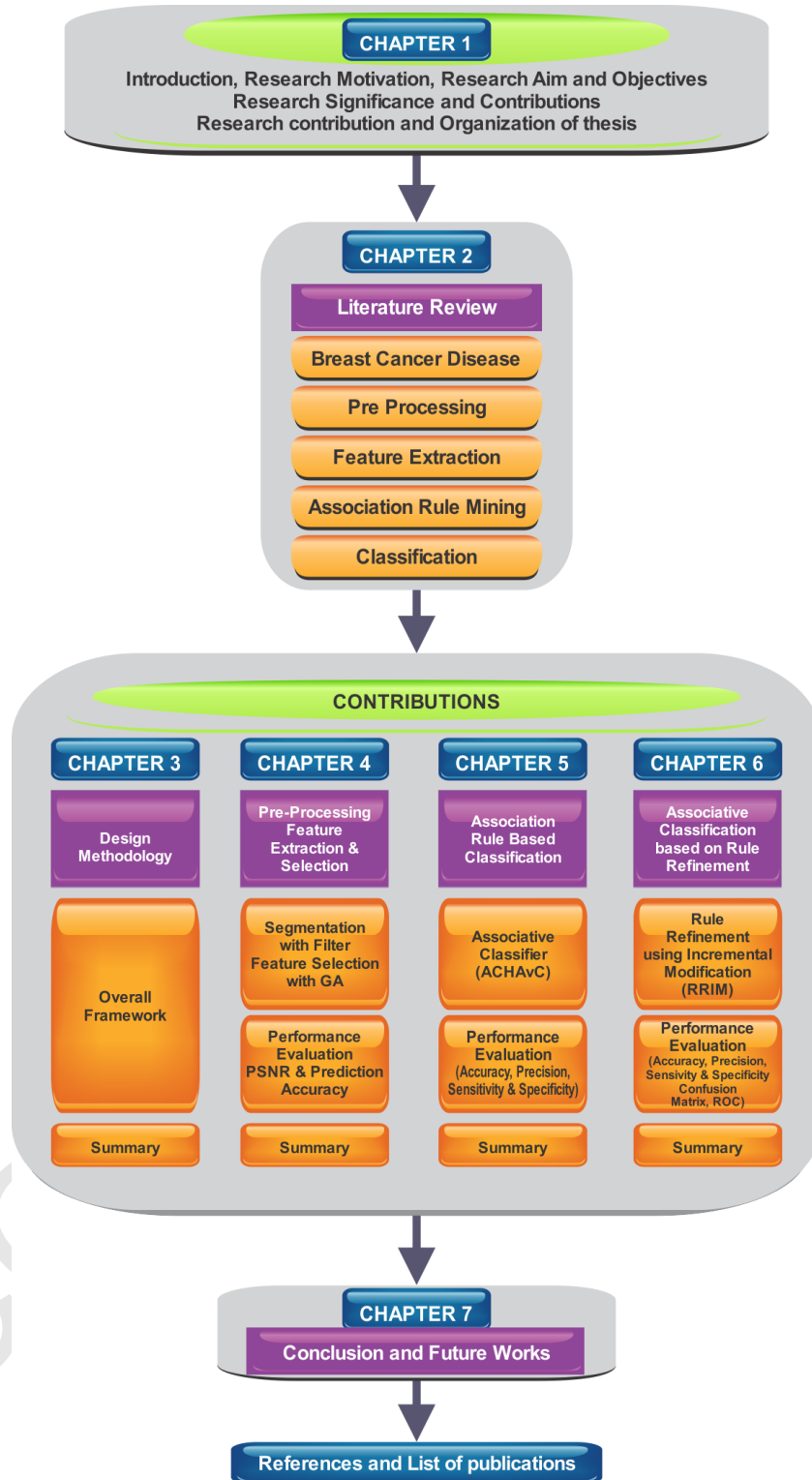


Figure 1.1: Organization of the thesis

1.9 Summary

This work is an integration of Computer Science and Medical Science, and highly contributes to the specified problem domains. This chapter gives a gist about the thesis, challenges faced, motivation for this work and major contributions. In the coming chapters more detailed technical explanation and experimental analysis are given.



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LIST OF PUBLICATIONS

A. International Journals (Published)

Nirase Fathima Abubacker A. Azman, M.A.A. Murad and S. Doraisamy (2015), "Effective Rule Based Classifier using Multivariate Filter and Genetic Miner for Mammographic Image Classification", *Research Journal of Applied Sciences, Engineering and Technology*, Maxwell Scientific Organization, 10(5), pp.591-59

B. International Journals (Published)

Nirase Fathima Abubacker A. Azman, M.A.A. Murad and S. Doraisamy (2016), "*An Integrated Method of Associative Classification and Neuro Fuzzy Approach for Effective Mammographic Classification*", *Journal of Neural Computing & Applications*

C. Book Chapter (Published)

Nirase Fathima Abubacker A. Azman, M.A.A. Murad and S. Doraisamy (2014), "*Correlation-Based Feature Selection for Association Rule Mining in Semantic Annotation of Mammographic Medical Images*" 14th International Conference on Asia Information Retrieval Society' 2014, Springer LNCS 8870, pp 482-493, Springer International Publishing Switzerland 2014

D. International Conferences (Published)

Nirase Fathima Abubacker A. Azman, M.A.A. Murad and S. Doraisamy (2013), "An Approach For The Skull Fracture Detection In Dicom Images Using Neighboring Pixels" *Intelligent Systems Design and Applications (ISDA), 2013 13th International Conference on* , vol., no., pp.177,181, 8-10 Dec. 2013, doi: 10.1109/ISDA.2013.6920731

E. International Conferences (Accepted)

Nirase Fathima Abubacker A. Azman, M.A.A. Murad and S. Doraisamy (2016), "*Adaptive Associative Classifier for Mammogram Classification*" SAI Intelligent Systems Conference 2016, September 21-22, 2016 | London, UK

F. International Journals (Submitted)

Nirase Fathima Abubacker, A. Azman, M.A.A. Murad and S. Doraisamy (2016),
“An Improved Peripheral Enhancement of Mammogram Image by using Filtered Region Growing Segmentation” Japanese Journal The Institute of Electronics, Information and Communication Engineers (IEICE)

Nirase Fathima Abubacker, A. Azman, M.A.A. Murad and S. Doraisamy (2016),
“An Effective Rule Refinement Technique for Adaptive Classifier in Mammogram Image Classification” International Journal of Advances in Electrical and Computer Engineering (AECE)





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