

UNIVERSITI PUTRA MALAYSIA

ENERGY DETECTION FOR SPECTRUM SENSING IN OFDM-BASED COOPERATIVE AND NON-COOPERATIVE RADIO NETWORKS

EMAD HMOOD SALMAN

FK 2019 16



ENERGY DETECTION FOR SPECTRUM SENSING IN OFDM-BASED COOPERATIVE AND NON-COOPERATIVE RADIO NETWORKS

By

EMAD HMOOD SALMAN

Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia, in Fulfilment of the Requirements for the Degree of Doctor of Philosophy

October 2018

COPYRIGHT

All material contained within the thesis, including without limitation text, logos, icons, photographs, and all other artwork, is copyright material of Universiti Putra Malaysia unless otherwise stated. Use may be made of any material contained within the thesis for non-commercial purposes from the copyright holder. Commercial use of material may only be made with the express, prior, written permission of Universiti Putra Malaysia.

Copyright © Universiti Putra Malaysia



DEDICATION

To The memory of my Mother and Father,

To my

Wife, my great woman, My Soul, heart, mind ... and everything,

Adorer, Maha that love me and always pray to me.

Kids, the flavor of my life,

Sisters and Brothers, my real family,

Country, Iraq, country of ethics, sciences, and Martyrs,

Second country, Malaysia, country of development, progress, and technology

"Without Your Support and Encouragement, supporting, and Praying My Success Would not have Been Possible." Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfillment of the requirement for the degree of Doctor of Philosophy

ENERGY DETECTION FOR SPECTRUM SENSING IN OFDM-BASED COOPERATIVE AND NON-COOPERATIVE RADIO NETWORKS

By

EMAD HMOOD SALMAN

October 2018

Chairman: Professor Nor Kamariah Noordin, PhDFaculty: Engineering

The Cognitive Radio (CR) concept aims to opportunistically access the different bandwidths of wireless spectrum with preventing harmful interference to the licensed users to address the fixed bands access issue. The Spectrum Sensing (SS) stage is crucial in CR system to reliably estimate the presenting of licensed user. This stage can be performed thorough several techniques to reuse the OFDM signal in 4G and 5G systems. The Energy Detection (ED) technique is the simplest and low computational complexity. However, it has some challenges in low Signal-to-Noise Ratio (SNR). In this thesis, we address the challenges in addition to reduce the computational complexity and improve the detection accuracy.

Firstly, a new approximated closed-form expression is derived for the non-cooperative SS (NSS) based on ED technique to sense an OFDM signal. With the purpose of low SNR effect reduction, the expression is presented a novel Constant Local False Alarm Rate (CLFAR) with Constant Local Detection Rate (CLDR) algorithm, in which Secondary User (SU) can detect the licensed band in high noise variance medium accurately. Next, we developed a Constant Global False Alarm Rate (CGFAR) with Constant Global Detection Rate (CGDR) algorithm to mitigate the Cooperative SS (CSS) requirements. This algorithm can also work for big number of SUs that detect the OFDM signal. The last but not least, a new scheme of Modified Compressive SS (COMPSS) technique, proposed to significantly decrease the consumed power in Analog-to-Digital Convertors (ADCs). These stages are the Wavelet Transform based on pyramid algorithm, and the previous algorithms to apply this system on NSS and CSS networks. This cascaded COMPSS system does not require any signal reconstruction although it reduces the sub-Nyquist rate.



Simulation results show that the analysis on the first algorithm has precise sensing and enhances the detection performance in low SNR case till -50 dB. In addition, it decreases the computational complexity. Moreover, the second algorithm improves the global decision through realizing the desired detection performance with low computational complexity. Besides, the number of SUs can be increased to 100 users. The cascaded system can compress and sense the licensed wideband with compression ratio till to 81.5% for one and multi SUs. The analytical results are validated the simulation results.



Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk ijazah Doctor Falsafah

PENGESANAN TENAGA UNTUK PENDERIAAN SPEKTRUM DALAM RANGKAIAN RADIO KOPERASI DAN BUKAN-KOPERASI

Oleh

EMAD HMOOD SALMAN

Oktober 2018

Pengerusi Fakulti :

:

Profesor Nor Kamariah Noordin, PhD Kejuruteraan

Konsep Radio Kognitif (CR) bertujuan untuk mengakses jalur lebar spektrum tanpa wayar yang berbeza dengan menghalang gangguan berbahaya kepada pengguna berlesen untuk menangani isu akses jalur tetap. Tahap Sensing Spektrum (SS) adalah penting dalam sistem CR untuk menganggarkan secara pasti penyampaian pengguna berlesen. Tahap ini boleh dilakukan beberapa teknik menyeluruh untuk menggunakan semula isyarat OFDM dalam sistem 4G dan 5G. Teknik Pengesanan Tenaga (ED) adalah kerumitan komputasi yang paling sederhana dan rendah. Walau bagaimanapun, ia mempunyai beberapa cabaran dalam Nisbah Signal-to-Noise (SNR) yang rendah. Dalam tesis ini, kita menangani cabaran di samping mengurangkan kerumitan komputasi dan meningkatkan ketepatan pengesanan.

Pertama, ekspresi bentuk tertutup yang baru diperolehi untuk SS (NSS) bukan koperasi berdasarkan teknik ED untuk merasakan isyarat OFDM. Dengan tujuan pengurangan kesan SNR yang rendah, ungkapan itu dibentangkan sebagai novel Penggantian False Local False Rate (CLFAR) dengan algoritma Rate Detector Local Constant (CLDR), di mana Pengguna Sekunder (SU) dapat mengesan band berlesen dalam medium variance noise yang tinggi dengan tepat. Seterusnya, kami membangunkan Kadar Penggera Global Berterusan (CGFAR) dengan algoritma Pengesanan Global Tetap (CGDR) untuk mengurangkan keperluan Koperasi SS (CSS). Algoritma ini juga boleh berfungsi untuk sejumlah besar Sus yang mengesan isyarat OFDM. Yang terakhir tetapi paling tidak, skim baru Modified Compressive SS (COMPSS), yang dicadangkan untuk mengurangkan secara signifikan kuasa yang digunakan dalam Pengubah Analog-untuk-Digital (ADCs). Tahap-tahap ini adalah Transform Wavelet berdasarkan algoritma piramid, dan algoritma sebelumnya untuk menerapkan sistem ini pada rangkaian NSS dan CSS. Sistem cas ini melengkapkan sistem COMPSS tidak memerlukan sebarang pembinaan semula isyarat walaupun ia mengurangkan kadar sub-Nyquist.



Hasil simulasi menunjukkan bahawa analisis pada algoritma pertama mempunyai penderiaan yang tepat dan meningkatkan prestasi pengesanan dalam kes SNR yang rendah hingga -50 dB. Di samping itu, ia mengurangkan kerumitan pengiraan. Selain itu, algoritma kedua meningkatkan keputusan global dengan menyedari prestasi pengesanan yang diingini dengan kerumitan komputasi yang rendah. Selain itu, jumlah SU boleh ditingkatkan kepada 100 pengguna. Sistem cascaded dapat memampatkan dan merasakan wideband berlesen dengan nisbah mampatan hingga 81.5% untuk satu dan multi SU. Keputusan analisa disahkan hasil simulasi.



ACKNOWLEDGEMENTS

First and foremost, I would like to express my special gratitude and appreciation to my supervisor Professor Dr. Nor Kamariah for her guidance throughout my Ph.D. study at University of Putra Malaysia. When I first came to Malaysia, I did not have an assistantship, but she believed in me. Her broad knowledge, interest and intuition in all areas of wireless communications will affect the way I do my research throughout my life. She is a role model in research, teaching and generally as a kind person.

I would also like to thank my supervisory committee members Professor Dr. Shaiful Jahari bin Hashim and Professor Dr. Fazirulhisyam Hashim for their insightful comments and suggestions. We had a really informative discussion in my defense and their perspective and suggestions will be useful in improving and extending this work.

Special thanks to Dr. Chee Kyun Ng, Dr. Omar Abdulghafour, Dr. Mouayad Khalil, Dr. Khalid Alhussainy, Dr. Montadar Abbas Taher, and Dr, Montadar Qasim, for their great assistance during this research journey.

Last but not least, I would like to thank my family for their endless support encouragement and love. Most of this work occurred on weekends, nights, while on vacation, and other times inconvenient to them. This thesis was submitted to the Senate of the Universiti Putra Malaysia and has been accepted as fulfilment of the requirement for the degree of Doctor of Philosophy. The members of the Supervisory Committee were as follows:

Nor Kamariah binti. Noordin, PhD

Professor Faculty of Engineering Universiti Putra Malaysia (Chairman)

Shaiful Jahari bin. Hashim, PhD

Associate Professor Faculty of Engineering Universiti Putra Malaysia (Member)

Fazirulhisyam bin. Hashim, PhD

Senior Lecturer Faculty of Engineering Universiti Putra Malaysia (Member)

> **ROBIAH BINTI YUNUS, PhD** Professor and Dean School of Graduate Studies Universiti Putra Malaysia

Date:

Declaration by graduate student

I hereby confirm that:

- this thesis is my original work;
- quotations, illustrations and citations have been duly referenced;
- this thesis has not been submitted previously or concurrently for any other degree at any institutions;
- intellectual property from the thesis and copyright of thesis are fully-owned by Universiti Putra Malaysia, as according to the Universiti Putra Malaysia (Research) Rules 2012;
- written permission must be obtained from supervisor and the office of Deputy Vice-Chancellor (Research and innovation) before thesis is published (in the form of written, printed or in electronic form) including books, journals, modules, proceedings, popular writings, seminar papers, manuscripts, posters, reports, lecture notes, learning modules or any other materials as stated in the Universiti Putra Malaysia (Research) Rules 2012;
- there is no plagiarism or data falsification/fabrication in the thesis, and scholarly integrity is upheld as according to the Universiti Putra Malaysia (Graduate Studies) Rules 2003 (Revision 2012-2013) and the Universiti Putra Malaysia (Research) Rules 2012. The thesis has undergone plagiarism detection software

Signature:

Date: ____

Name and Matric No: Emad Hmood Salman, GS38989

Declaration by Members of Supervisory Committee

This is to confirm that:

- the research conducted and the writing of this thesis was under our supervision;
- supervision responsibilities as stated in the Universiti Putra Malaysia (Graduate Studies) Rules 2003 (Revision 2012-2013) were adhered to.

Signature: Name of	
Chairman of	
Supervisory	
Committee:	Professor Dr. Nor Kamariah binti, Noordin
Signature	
Nome of	
Name of	
Member of	
Supervisory	
Committee:	Associate Professor Dr. Shaiful Jahari bin. Hashim
Signature:	
Name of	
Member of	
Supervisory	
Committee	De Ferindhimme hie Hashim
Committee:	Dr. Faziruinisyam om. Hashim

TABLE OF CONTENTS

			Page
ABST	[RAC]	ſ	i
ABST	TRAK	-	iii
ACK	NOWI	LEDGEMENTS	v
APP	ROVAI		vi
DEC	LARA'	ΓΙΟΝ	viii
LIST		ABLES	xii
LIST	OF FI	GURES	viii
LIST		RREVIATIONS	xvii
	OF SY	MROI S	XVII XX
	OF 51		АЛ
СНАР	TER		
1	INTR	ODUCTION	1
-	11	Background and Motivation	1
	1.1	Problem Statement	3
	1.2	Research Objectives	4
	1.5	Scope of the Thesis and its Significance	5
	1.4	Research Methodology	5
	1.5	Contributions of Thesis	8
	1.0	Thesis Organisation	9
	1.7	Thesis organisation)
2	LITE	RATURE REVIEW	10
	2.1	Introduction	10
		2.1.1 Keys to the Lack of Spectrum	10
		2.1.2 CR Considerations	11
		2.1.3 SS Considerations	12
	2.2	SS Classifications and Techniques	14
	2.3	Non-cooperative Scheme	16
		2.3.1 SS Techniques	16
		2.3.2 Non-cooperative Test Statistic	21
	2.4	Cooperative Scheme	24
		2.4.1 CSS Basics	24
		2.4.2 CSS Classifications	25
		2.4.3 FC Schemes	26
		2.4.4 Cooperative Test Statistics	28
	2.5	COMPSS Techniques	29
		2.5.1 COMPSS Fundamentals	29
		2.5.2 COMPSS Aspects	31
	2.6	Related Research Works and Research Gap	31
		2.6.1 Related Research Works for ED-based SS Techniques	32
		2.6.2 Related Research Works for COMPSS Techniques	34
		2.6.3 Research Gap	36
	2.7	Summary	37

3	NON	-COOPERATIVE SENSING OF OFDM SIGNAL FOR CRN	38
	3.1	Introduction	38
	3.2	System Level and Problem Formulation	42
		3.2.1 System Level	42
		3.2.2 Problem Formulation	42
	3.3	The Proposed NSS-CDCT Technique	44
	3.4	Performance Evaluation and Comparison	50
		3.4.1 Benchmark Validation	50
		3.4.2 NSS-CDCT Performance Evaluation	51
		3.4.3 NSS-CDCT Performance with Various Parameters	
		Values	54
	3.5	Summary	65
4	C00	PERATIVE SENSING OF OFDM SIGNAL FOR CRN	66
-	4.1	Introduction	66
	4.2	System Level and Problem Formulation	68
	4.3	The Proposed CSS-MCDCT Technique	69
	4.4	Performance Evaluation and Comparison	72
		4 4 1 lobal Detection Performance	74
		4.4.2 The CSS Performance in terms of Error Ratio SUs and	, .
		SNR	78
	45	Summary	82
	1.5	Summary	02
5	MOE	DIFIED COMPSS TECHNIQUE FOR COOPERATIVE AND	
	NON	-COOPERATIVE CRN	83
	5.1	Introduction	83
	5.2	System Level and Problem Formulation	85
		5.2.1 System Level	85
		5.2.2 Problem Formulation	86
	5.3	Proposed Modified COMPSS Technique	87
	5.4	Performance Evaluation and Comparison	95
		5.4.1 Detection Performance for Fixed Q_f	98
		5.4.2 Detection Performance for Fixed SNR	101
		5.4.3 Q_e of Modified COMPSS System	103
	5.5	Summary	104
6	CON	CLUSIONS AND FUTURE WORKS	105
	6.1	Summary	105
	6.2	Conclusions	106
	6.3	Suggestions for Future Studies	106
REFI	RENG	TES	108
BIODATA OF STUDENT			117
LIST OF PUBLICATIONS			118
	~ ~ ~ `		

LIST OF TABLES

T	able		Page
2.	.1	A Comparison of SS Algorithms	18
2.	.2	A Comparison of SS Topologies	25
2.	.3	A Comparison of CSS Models	26
2.	.4	A Comparison of CSS Fusion Models	28
2.	.5	Summary of Reviewed ED-based SS Techniques	34
2.	.6	Summary of Reviewed COMPSS Techniques	36
3.	.1	Simulation Parameters	50
3.	.2	Signal Variance and Operations Number	54
3.	.3	<i>Ne</i> and ϵ of NSS-CDCT (16-QAM Scenario) for Different Values of Cyclic Prefix and SNR	56
3.	.4	<i>Ne</i> and ϵ of NSS-CDCT (QPSK Scenario) for Different Values of Cyclic Prefix and SNR	59
4.	.1	Computational Complexity Comparison	74
4.	.2	<i>Ne</i> and ϵ of CSS-CDCT (16-QAM Scenario) with for Different Values of SUs Number, Cyclic Prefix and SNR.	76
4.	.3	<i>Ne</i> and ϵ of CSS-MCDCT (QPSK Scenario) with for Different Values of SUs Number, Cyclic Prefix and SNR	78
5.	.1	Parameters Comparison	98
5.	.2	Parameters Comparison	98
5.	.3	<i>Qd</i> , ϵ_h , and ϵ_l of DDWT-CDCT for <i>Qf</i> = 0.01, and Different Values of Cyclic Prefix	100
5.	.4	Qd , ϵ_h , and ϵ_l of DDWT-CDCT for SNR = -50 dB, and Different Values of Cyclic Prefix	103

LIST OF FIGURES

Figur	e	Page
1.1	The CR Network Architecture	2
1.2	Spectrum Allocations in Malaysia [3]	3
1.3	The Scope of the Thesis	6
1.4	The Research Methodology	7
2.1	DSA Fundamental	11
2.2	The Task Positions of CR Technology	13
2.3	The Spectrum Hole Types: (a) Temporal and (b) Spatial	13
2.4	The SS Attributes	14
2.5	The SS Technique Classifications	15
2.6	The SA Overlay and SA Underlay	15
2.7	The Topologies of (a) One SU and (b) Multi SU	16
2.8	Block Diagram for Main SS Algorithms for an input signal $x(t)$	20
2.9	The DCT Original Signal and its Family: (a) The Original Signal, (b) DCT-I, (c) DCT-II, (d) DCT-III, and (e) DCT-IV	21
2.10	Schematic Diagram of False Alarm and Detection Probabilities	23
2.11	One SU issues: Receiver Uncertainty, Shadowing, and Multipath Fading	24
2.12	The CSS Technique Classifications	25
2.13	CSS models: (a) Centralised model, (b) Decentralised model, (c) Assistive Relay model, and (d) External Assistant model	27
2.14	CSS Fusion Schemes: (a) HDF, (b) SDF	28
2.15	Block Diagram of COMPSS Steps	29
2.16	Structure of Compression Process	30
2.17	Block Diagram of COMPSS	30

	2.18	Block Diagram for COMPSS Classification	31
	3.1	Energy-Sensed by SU	39
	3.2	Analog ED-based SS Block Diagram	39
	3.3	Digital ED-based SS Block Diagram	40
	3.4	Methodology Framework of the Designed NSS Technique	41
	3.5	A Comparison of the Transforms: (a) Original Signal, (b) DCT-II of Original Signal, (c) The Real Component of DFT of Original Signal, and (d) The Imaginary Component of DFT of Original Signal	43
	3.6	Proposed ED based on CDCT for SS Block Diagram	45
	3.7	Flowchart of Design of NSS Technique Steps	49
	3.8	Welch's Periodogram Algorithm Validation for Different SNR Values	51
	3.9	ROC of NSS-CDCT Compared to Welch's Periodogram Algorithms for Different SNR Values	52
	3.10	The Eliminated Coefficients: (a) The original signal, (b) The DCT transform of original signal	53
	3.11	Probability of Error of NSS-CDCT Compared to Welch's Periodogram Algorithms for Different SNR Values	54
	3.12	ROC of NSS-CDCT (16-QAM Scenario) for Different Values of Cyclic Prefix and SNR	55
	3.13	Cross-Correlation of Original PU Signal and New-Length PU Signal (16-QAM Scenario) for Different Values of Cyclic Prefix and SNR	57
	3.14	ROC of NSS-CDCT (QPSK Scenario) for Different Values of Cyclic Prefix and SNR	58
\bigcirc	3.15	Cross-Correlation of Original PU Signal and New-Length PU Signal (QPSK Scenario) for Different Values of Cyclic Prefix and SNR	60
	3.16	Error Probability of NSS-CDCT Algorithm (16-QAM Scenario) for Different Values of Cyclic Prefix	61
	3.17	Error Probability of NSS-CDCT Algorithm (QPSK Scenario) for Different Values of Cyclic Prefix	61
	3.18	Probability of Detection vs. Sensing Threshold Relationships for Different SNR Values in 16-QAM Scenario	63

3.19	Probability of Detection vs. Sensing Threshold Relationships for Different SNR Values in QPSK Scenario	64
4.1	Block Diagram of Centralised Cooperation Scheme	66
4.2	Methodology Framework of the Designed CSS Technique	67
4.3	Centralised CSS Framework Block Diagram	68
4.4	Model of PF Protocol	69
4.5	Proposed ED based on MCDCT for SS Block Diagram	70
4.6	Flowchart of Design of CSS Technique Steps	71
4.7	ROC of CSS-MCDCT Compared to Optimal Threshold based Welch's Periodogram Algorithms for SNR = -15 dB	73
4.8	Probability of Error of CSS-MCDCT Compared to Optimal Threshold based Welch's Periodogram Algorithms for SNR = -15 dB	73
4.9	ROC of CSS-MCDCT (16-QAM Scenario) and SNR = -50 dB (a) G = $1/4$, (b) G = $1/8$, (c) G = $1/16$, and (d) G = $1/32$	74
4.10	ROC of CSS-CDCT (QPSK Scenario) and SNR = -50 dB (a) G = $1/4$, (b) G = $1/8$, (c) G = $1/16$, and (d) G = $1/32$	77
4.11	<i>Qe</i> of CSS-CDCT (16-QAM Scenario), $nsu = 10 - 100$ users and SNR = -50 - 0 dB (a) G = 1/4, (b) G = 1/8, (c) G = 1/16, and (d) G = 1/32	80
4.12	<i>Qe</i> of CSS-CDCT (QPSK Scenario), $nsu = 10 - 100$ users and SNR = - 50 - 0 dB (a) G = 1/4, (b) G = 1/8, (c) G = 1/16, and (d) G = 1/32	81
5.1	Methodology Framework of the Designed Single and Two Stages COMPSS Techniques	85
5.2	Modified COMPSS for NSS Block Diagram	87
5.3	Modified COMPSS for CSS Block Diagram	87
5.4	Signal Analysis by One Level Decomposition	91
5.5	Cascaded Two-Stage COMPSS Structure	92
5.6	Flowchart of Design of Modified COMPSS NSS Technique Steps	93
5.7	Flowchart of Design of Modified COMPSS CSS Technique Steps	94
5.8	ROC of DDWT-CDCT Compared to SWET for $SNR = -10 \text{ dB}$	96

5.9	ROC of DDWT-MCDCT Compared to Signal Matrix Estimation for $SNR = 1 \text{ dB}$ and $nsu = 10 \text{ users}$	96
5.10	Probability of Error of DDWT-CDCT Compared to SWET Algorithms for $SNR = -10 \text{ dB}$	97
5.11	Probability of Error of DDWT-MCDCT Compared to Signal Matrix Estimation Algorithms for SNR = 1 dB and nsu = 10 users	97
5.12	<i>Qd</i> of DDWT-CDCT, $Qf = 0.01$, $nsu = 1 - 100$ users and SNR = -50 - 0 dB (a) G = ¹ / ₄ for 16-QAM Scenario, (b) G = ¹ / ₄ for QPSK Scenario, (c) G = 1/32 for 16-QAM Scenario, and (d) G = 1/32 for QPSK Scenario	100
5.13	<i>Qd</i> of DDWT-CDCT, SNR = -50 dB, $nsu = 1 - 100$ users and $Qf = 0 - 1$ (a) G = ¹ / ₄ for 16-QAM Scenario, (b) G = ¹ / ₄ for QPSK Scenario, (c) G = 1/32 for 16-QAM Scenario, and (d) G = 1/32 for QPSK Scenario	102
5.14	<i>Qe</i> of DDWT-CDCT for 16-QAM Scenario and QPSK Scenario	104

C

LIST OF ABBREVIATIONS

16-QAM	16-quadreture amplitude modulation
ADC	Analog to digital converter
AWGN	Additive white Gaussian noise
BPSK	Binary phase shift keying
BPF	Band pass filter
CR	Cognitive radio
CRN	Cognitive radio network
CSS	Cooperative spectrum sensing
СОМР	Compressed spectrum sensing
CDCT	Compact discrete cosine transform
CAF	Cyclic autocorrelation function
CSD	Cyclic spectral density
CDF	Cumulative distribution function
CMFB	Cosine modulated filter bank
CLFAR	Constant local false alarm rate
CLDR	Constant local detection rate
Crocor-Rel	Cross-correlation Relation
CGFAR	Constant global false alarm rate
CGDR	Constant global detection rate
DST	Discrete sine transform
DDWT	Discrete Daubechies wavelet transform
DVB	Digital video broadcast

DSA	Dynamic spectrum access
DRiVE	European dynamic radio for IP services in vehicular environments
DARPA	US Defence Advanced Research Projects Agency
DWT	Discrete wavelet transform
DCT	Discrete cosine transform
DFT	Discrete Fourier transform
DCOMP	Distributed COMP
DWPT	Discrete wavelet packet transform
ED	Energy detection
EM	Expectation-maximisation algorithm
EWT	Empirical wavelet transform algorithm
FFT	Fast Fourier transform
FCC	Federal Communications Commission
FC	Fusion centre
HSDPA	High-Speed Downlink Packet Access
HSPA	High Speed Packet Access
HDF	Hard decision fusion
i.i.d	Independent and identically distributed random process
JCOMP	Jointly COMP
LAP	Aerial low altitude platform
MCMC	Malaysian Communications and Multimedia Commission
MAC	Media access control layer
MWC	Modulated wideband converter

OCRA	OFDM-based cognitive radio network
OFDM	Orthogonal frequency division multiplexing
PF	Parallel fusion
PSD	Power spectral density
PU	Primary users
QPSK	Quadrature phase shift keying
QoS	Quality of service
QAM	Quadrature amplitude modulation
ROC	Receiver Operating Characteristics
SS	Spectrum sensing
SA	Spectrum access
SU	Secondary user
SNR	Signal to noise ratio
SWT	Stationary wavelet transform
TV	Television
USS	Uncooperative spectrum sensing
UMTS	Universal Mobile Telecommunications System
WS	White space
WHT	Welch Hadamard transform,
WT	Wavelet transform
WB	Wavelet Bases

LIST OF SYMBOLS

<i>a</i> [n]	Time domain stream
A[k]	DCT stream
Ν	Length of signal
Κ	Length of transformed signal
H_0	Hypothesis for idle PU signal
H_1	Hypothesis for busy PU signal
w[n]	Gaussian noise
σ_w^2	Variance of noise
<i>s</i> [<i>n</i>]	Transmitted PU signal without noise
σ_s^2	Variance of s
<i>x</i> [<i>n</i>]	Received PU signal
ξ	Test statistic
η	Predefined threshold
P _f	Probability of false alarm
P _{md}	Missed detection probability
P_d	Probability of detection
$\Phi_{H0}(\eta)$	CDF of ξ under H_0
$\Phi_{H1}(\eta)$	CDF of ξ under H_1
<i>Q</i> (.)	Tail probability of the standard normal distribution
Q_d	Global detection probability
Q_f	Global false alarm probability
nsu	Number of cooperating SUs
ψ	Spread bases

C

	S	Extension of signal
	М	Number of column
	ϕ	Sensing matrix
	у	Represents the measurements of signal
	ĩ	Reconstructed signal
	En	Energy
	T_0	Signal interval
	N_0	Signal length of discrete PU signal
	<i>x</i> (<i>t</i>)	Continuously received signal
	f_c	Central frequency
	BW	Bandwidth
	PSD _{FFT}	Power spectral density for raw periodogram
	X[k]	Frequency domain of <i>x</i> [<i>n</i>]
	Y[k]	Frequency domain of $x[n]$ with shorter length $Ne < N$
	PSD _{CDCT}	Power spectral density for CDCT periodogram
	γ	SNR of received PU signal
	α	Length ratio
	Pe	Probability of error
	Z_{XY}	Cross-correlation stream
	G	Reverse of the cyclic prefix ratio
	ε	Vanished Power ratio
	P _{fcss}	Local probability of a false alarm in the CSS-MCDCT algorithm
	P _{dcss}	Local probability of detection in the CSS-MCDCT algorithm

Q_e	Global probability of error	
Q_{md}	Global miss detection probability	
New	Compressive stream length (length of Z), <i>New</i> << <i>N</i>	
УСОМР	Compressed signal of <i>x</i> _{COMP}	
Φ_1	DDWT matrix	
<i>S</i> ₁	Scaling function of pyramid DDWT	
W ₁₁₀₂₃	Wavelet coefficients of pyramid DDWT	
Y	Wavelet coefficients matrix contains only traffic	
NCOMP	Length of Y	
Φ_{DDWT}	Pyramid discrete Daubechies wavelet transform matrix	
Φ_{DCDT}	CDCT transform matrix	
Z	Compressive coefficients stream	
PSD _{COMP}	Power spectral density for hybrid compression algorithm	
€h	Higher vanished Power ratio	
el la	Lower vanished Power ratio	

C

CHAPTER 1

INTRODUCTION

1.1 Background and Motivation

SIn the last decade, the cognitive radio (CR) concept has attracted a lot of interest ever since it was used for efficiently utilising spectrum, enabling coexistence for different wireless networks, weakening harmful interference, and increasing reliable wireless services. Cognitive radio network (CRN) schemes can be divided into two types, as shown in Figure 1.1: CRN without infrastructure, and CRN with infrastructure. The difference between the two is that the second type contains a secondary base station and a spectacular spectrum. In general, both networks do not require any authorisation to work and they are used as accessible spectrum in an opportunistic manner [1]. To perform the work of these networks, one of the main tasks of CR is to achieve spectrum sensing (SS). The function of SS is to observe a licensed spectrum, sense it, and decide whether it is busy or idle. This process is called SS, or more specifically, noncooperative spectrum sensing (NSS), where it is performed by one CR user (also called secondary user, SU). However, the NSS process has some setbacks like hidden terminal problem, poor detection performance, and detection uncertainty. To address this, the SS can be carried out by more than one CR user, which is called cooperative spectrum sensing (CSS) [2].

Since the rapid development of services offered by current wireless communication technologies, electromagnetic spectrum resources have become more and more scarce. In recent years, these technologies have not been able to handle the increasing demands of huge amounts of data. This problem can be seen in the overcrowded Malaysian spectrum allocation chart, as depicted in Figure 1.2 as provided by the Malaysian Communications and Multimedia Commission (MCMC) [3]. From Figure 1.2, it can be observed that an overcrowded spectrum that is licensed by governmental and other types of owners can lead to a lack in spectrum resources, especially for those below 3 GHz bands. To address these issues, several conventional SS techniques that contain both benefits and limitations were designed. Unfortunately, the limitations in these techniques are substantial and thus they should be reduced as much as possible [4]. On the other hand, compressed spectrum sensing (COMPSS) is one of the more desirable approaches for sensing the wideband signals. The COMPSS techniques suffer from high computational complexity for both its techniques; matrix sensing and recovery approaches [5].





Figure 1.1 : The CR Network Architecture

In the literature, some related studies have proposed algorithms to solve the issue of the lack of spectrum. G. Yang, *et al.*, (2016) [6] did a study on an SS scheme based on normalising energy detection (ED) to decrease the signal to noise ratio (SNR) wall. This scheme was applied on two types of channel: additive white Gaussian noise (AWGN) and Rayleigh fading. This scheme also showed good detection performance, but it required high computational complexity. Another ED scheme was proposed for two cascaded Rayleigh fading channels by deriving a computational model for such type of channel [7]. However, this model has computational complexity and a bad performance for low SNR.



Figure 1.2 : Spectrum Allocations in Malaysia [3]

F. Li, *et al.*, (2016) [8] built a COMPSS algorithm using discrete sine transform (DST) and OR-rule for CSS networks, but this algorithm showed poor performance for SNR < -5 dB. In the studies conducted by H. Al-Hmood and H. S. Al-Raweshidy, (2017) and S. E. El-Khamy, M. B. Abd-el-Malek, and S. H. Kamel, (2017) [9, 10], an ED based on mixture γ distribution algorithm was investigated. However, it also required computational complexity and showed poor performance at low SNR. Another investigation was carried out on COMPSS using a stationary wavelet transform (SWT) scheme since it has a simple computational model, but it does not good in lower SNR [10]. Lastly, a maximum inner product COMPSS algorithm was derived for big compression ratio by S. Ren, Z. Zeng, C. Guo, and X. Sun, (2017) [11]. However, the detection performance for this algorithm was poor at SNR < -5 dB. Thus, the ED-based SS and COMPSS-proposed techniques failed to make a trade-off between increasing the benefits and decreasing the effects of the limitations.

1.2 Problem Statement

Traditional SS techniques in CRNs use spectrum to expand the capacity of various wireless systems in order to handle huge rates of data transmission. This transmission may be achieved using orthogonal frequency division multiplexing (OFDM) signal. Although good performance in detection capability is an advantage of such techniques, they are hampered by computational complexity and a high SNR wall. Moreover, in CRNs, the raw COMPSS techniques provide wideband signals to the wireless networks that need them. However, these techniques have a small compression ratio rather than computational complexity, resulting in high energy

consumption in front-end receivers. The problems that will be addressed throughout this thesis are listed as follows:

- 1. In the OFDM systems, its spectra that can be reused in 4G and 5G systems, must be sensed actively and precisely. By using one SU, many problems should be addressed during sensing process to deliver a smooth licensed (PU) signal with high quality. However, the traditional NSS techniques that play a major role in CRNs have many drawbacks during the OFDM signal detection process in the wireless systems, such as high noise variance, poor detection performance, high system error, and big computational complexity [69].
- 2. The wireless communication networks that are need to OFDM signals such as of 4G and 5G in transmission need to spectra to avoid the congestions and the lack in spectra and then ensuring the transmission service. Nevertheless, the conventional CSS approaches, which CRNs currently use have poor detection performance in low SNR during the OFDM signal detection process. Moreover, these approaches have trade-off problems among a number of secondary users, computational complexity, global detection performance, and detection error [72].
- 3. The OFDM signals based wideband require high-cost front ends for sensing rather than receiving it and then large power will be consumed in. Thus, pollution will be created due to the large power consumption for both cases of CRNs; one SU and multi-SUs. In addition, the raw COMPSS techniques that are required for sensing the wideband signals showed high power consumption in the receiver front end for one [10] or more secondary users [80] in CRNs. These techniques also have high computational complexity and non-accurate signal measurement.

1.3 Research Objectives

In contrast to COMPSS techniques, most current SS techniques have failed to consider some factors such as coherency, pre-known data requirement, detection performance, computational complexity, compression ratio, and SNR wall. These techniques are applied either for USS or for CSS that work in various wireless communication networks. In a nutshell, this thesis aims to achieve the following specific objectives:

1. To design a new NSS technique to sense OFDM signal reliably with different kinds scenarios of mappers. The proposed technique should be decreased the noise variance, improved the detection performance, and reduced the error of USS technique, and simplified the computational complexity level in a CRN.

2. To develop a new CSS technique to sense OFDM signal, which will be sensed by more than one SU during same network. The new proposed technique should make a trade-off among enhancing the global detection performance, increasing the number of secondary users, and reducing the error of CSS technique, and simplifying computational complexity level in a CRN.

3. To design new COMPSS techniques for sensing OFDM signal based on one SU detection and multi SUs detection, respectively. In both proposed technique, many issues should be addressed such as decreasing the power consumption, improving the compressive detection performance, reducing the error of signal measurement, and simplifying computational complexity level in a CRN.

1.4 Scope of the Thesis and its Significance

This thesis focuses on providing wireless communication networks with unlicensed spectrum by temporarily sensing OFDM signal. The scope of this thesis is detailed in Figure 1.3 which shows the CRN of previous work focuses on the type of CRN that does not have an infrastructure. The solid lines denote the direction followed in this thesis to achieve its aims and objectives while the dotted lines denote the other research fields, which are beyond the scope of this thesis.

Traditional solutions have many drawbacks as each solution addresses only one or two issues. Other networks that communicate using the wideband spectrum also face the same challenges. As the 5G network promises to send and receive data incoherency, the solution must therefore also be able to transmit incoherency, especially since it has a responsibility to handle larger amounts of data. In view of the above, it would be an excellent solution to these issues if a CRN can be designed which can sense a spectrum with high accuracy, low cost and at low SNR. It would also be a remarkable achievement to implement a CRN that can sense a wideband spectrum with high accuracy and lower power consumption. Both these solutions must be workable in incoherent conditions in order to handle the 5G networks.

Thus, for one SU only, the sensing procedure of OFDM spectrum should be divided into two kinds according to signal type; narrowband and wideband. The first kind will be sensed by using the energy detection technique, whereas the second one will be sensed by using the sub-Nyquist sampling without reconstruction technique. On the other hand, both techniques are upgraded to deal with more than one SU case for centralized cooperative cognitive radio networks. The upgraded techniques are employed the soft decision fusion and OR-rule to achieve a better detection performance with maximum SUs number.

1.5 Research Methodology

Based on the aforementioned objectives, the main aims of this thesis were achieved using methods which are explained in three separate chapters (Chapters 3, 4 and 5), as shown in Figure 1.4.

Chapter 3 details the designing of NSS algorithms for solving the SS issues and problems which previously have not been effectively and carefully solved. To achieve

the first objective, an analytical model of the NSS technique is developed for sensing the OFDM signal. According to the ED-frequency domain approach, the model derived at is a closed-form expression model for evaluating the power spectral density (PSD) using compact discrete cosine transform (CDCT) filter, which is the proposed form of DCT. This filter can identify noise, compact energy, and it has lower complexity than other filters using its real bases. Furthermore, this model does not require pre-known data on the targeted signal and coherency.



Figure 1.3 : The Scope of the Thesis

The second objective is performed in the chapter 4 by upgrading the NSS algorithm. The proposed CSS algorithm was developed using the multi CDCT (MCDCT) filters and the soft decision fusion (SDF) to sense the same previous types of signals. This algorithm can address problems such as the detection performance, computational complexity, and the limited number of secondary users. More investigations and analyses are depicted in the Chapter 4.

Chapter 5 shows another sensing algorithm that is required for sensing the OFDM signals using COMPSS technique. This chapter is divided into two main parts: the COMPSS algorithm and the modified COMPSS algorithm. In the first part, the COMPSS algorithm was derived using the non-recovery matrix form of CDCT (MCDCT). This algorithm has two main models: one for SU and other for centralised cooperative SUs. It will be shown that both models addressed issues such as conserving sensed signal measurement and computational complexity but did not significantly reduce the consumed power.

To significantly meet the challenges of the last issue mentioned above, a modified COMPSS algorithm was developed, and this forms the second part of Chapter 5. This technique was performed using two cascaded transforms: discrete Daubechies wavelet transform (DDWT), followed by MCDCT. It also has two models using non-recovery matrix form for one SU and other for centralised cooperative SUs. To vastly reduce power consumption, DDWT should precede MCDCT in system building.



Figure 1.4 : The Research Methodology

In general, both parts have less computational complexity, do not need signal reconstructing, and have incoherence transmitting, reduced consumed power, and high detection performance. Moreover, the OR-rule is used in both stages to achieve the proposed techniques from one secondary user to multi-secondary users. Investigations and analyses are described in detail in Chapter 4.

1.6 Contributions of Thesis

Based on the aforementioned objectives, this thesis presents the following contributions to the existing body of work:

A. Develop a New NSS Technique to Sense OFDM Signal.

The new NSS technique that is developed in Chapter 3 differs in four ways from the traditional ones mentioned in the literature. First, it can differentiate noise from the information signal, while the others could not. Second, it can decrease the SNR wall (i.e., work accurately in low SNR), while the best technique from the literature could do it no smaller than -5dB. Third and fourth, its computational complexity level is lower than others, and its detection performance is better than others. Furthermore, the developed technique does not need coherency transmitting and no pre-known data is required.

B. Develop a New CSS Technique to Sense OFDM Signal.

The new CSS technique which is designed in Chapter 3 is based on trade-offs among detection performance, computational complexity level, and a number of SUs. Other techniques that are cleared in the literature did not address the above factors especially the number of SUs.

C. Design a New COMPSS Technique to Sense Wideband Signals.

In Chapter 4, the new COMPSS technique is designed to counter specifications which were not efficiently addressed in other surveyed techniques. The specifications are better detection performance and easier computational complexity. Moreover, the new COMPSS technique can be applied to single or multi-secondary users in a CRN.

D. Design a Modified COMPSS Technique to Significantly Decrease the Power Consumption.

The modified COMPSS technique which is designed in Chapter 4 significantly reduces the power consumption in receiver front ends during the sensing process. Thus, the proposed technique makes the hardware of receiver front ends cheaper since its computational model is acceptable when compared to other surveyed techniques. In addition, it can be applied to single and multi-secondary users in a CRN.

E. Analytical Models to Obtain the Better Detection Performance of Designed Techniques

All the above techniques were obtained analytically in Chapter 3. They were used to compare the overall performance of the proposed NSS and CSS techniques against the

8

traditional SS techniques. The analytical models contain the SNR wall, computational complexity, detection performance, and noise identification. In a similar manner, another comparison in Chapter 4 was made for the proposed COMPSS technique.

1.7 Thesis Organisation

This chapter gave a brief introduction and outlined the problem statement and the main objectives of the thesis as well as the scope of the study and its significance. The rest of this thesis is organised as follows:

Chapter 2 presents the current SS techniques that are used in a CRN for one-SU and multi-SU schemes, and current COMPSS techniques that are used to sense wideband signals. Related studies on the SS techniques (rather than COMPSS techniques) that work in various wireless networks are also reviewed and analysed. Two comparisons are made to analyse these related studies: the first is for the ED-based SS techniques, and the second is for the COMPSS techniques. Finally, a solution for the ED-based SS and COMPSS techniques issues.

In Chapter 3 and 4, the proposed ED-based SS scenarios of NSS and CSS for OFDM signal are systematically modelled and formulated based on CDCT and CDCT + ORrule, respectively. Next, the chapter outlines the steps taken to achieve the first and second objectives of this study, and the results are presented. Then, a system level simulation for each of the above models is designed to validate the proposed techniques. After that, the results of the analytical and system simulations are shown graphically and numerically. Finally, a comparison is made between the proposed work and benchmark studies.

Chapter 5 begins with the derived expressions of the proposed COMPSS scenarios of NSS and CSS for wideband signals and the built system models which are based on NSS and CSS These expressions are derived from the proposed system which is explained in Chapter Three. Then, the chapter presents the results that relate to the achievement of the third objective. Next, the modified COMPSS is performed based on DDWT + MCDCT and DDWT + MCDCT + OR-rule for NSS and CSS, respectively, and the results are presented. After that, a system level simulation for each of the above models is designed to validate the proposed techniques. Then, the results of the analytical and system simulations are shown graphically and numerically. Finally, a comparison is made between the proposed work and related works.

In Chapter 6, the thesis summary, its conclusions, and various suggestions for further studies are introduced.

REFERENCES

- [1] I. F. Akyildiz, W. Y. Lee, M. C. Vuran, and S. Mohanty, "NeXt generation/dynamic spectrum access/cognitive radio wireless networks: A survey," *Computer Networks*, vol. 50, pp. 2127-2159, 2006.
- [2] F. Gao, J. Li, T. Jiang, and W. Chen, "Sensing and Recognition When Primary User Has Multiple Transmit Power Levels," *IEEE Transactions on Signal Processing*, vol. 63, pp. 2704-2717, 2015.
- [3] M. A. Sarijari, R. A. Rashid, N. Fisal, A. Lo, S. Yusof, and N. Mahalin, "Dynamic spectrum access using cognitive radio utilizing GNU radio and USRP," in *26th Wireless World Research Forum (WWRF26)*, 2011.
- [4] S. A. Razavi, M. Valkama, and D. Cabric, "Covariance-based OFDM spectrum sensing with sub-Nyquist samples," *Signal Processing*, vol. 109, pp. 261-268, 4// 2015.
- [5] F. Salahdine, N. Kaabouch, and H. El Ghazi, "A survey on compressive sensing techniques for cognitive radio networks," *Physical Communication*, vol. 20, pp. 61-73, 2016.
- [6] G. Yang, J. Wang, J. Luo, O. Y. Wen, H. Li, Q. Li, et al., "Cooperative Spectrum Sensing in Heterogeneous Cognitive Radio Networks Based on Normalized Energy Detection," *IEEE Transactions on Vehicular Technology*, vol. 65, pp. 1452-1463, 2016.
- [7] P. C. Sofotasios, L. Mohjazi, S. Muhaidat, M. Al-Qutayri, and G. K. Karagiannidis, "Energy Detection of Unknown Signals Over Cascaded Fading Channels," *IEEE Antennas and Wireless Propagation Letters*, vol. 15, pp. 135-138, 2016.
- [8] F. Li, G. Li, Z. Li, Y. Wang, and G. Zhang, "A Novel Approach to Wideband Spectrum Compressive Sensing Based on DST for Frequency Availability in LEO Mobile Satellite Systems," *Mathematical Problems in Engineering*, vol. 2016, p. 13, 2016.
- [9] H. Al-Hmood and H. S. Al-Raweshidy, "Unified Modeling of Composite \$kappa -mu\$/Gamma, \$eta -mu\$/Gamma, and \$alpha -mu\$/Gamma Fading Channels Using a Mixture Gamma Distribution With Applications to Energy Detection," *IEEE Antennas and Wireless Propagation Letters*, vol. 16, pp. 104-108, 2017.
- [10] S. E. El-Khamy, M. B. Abd-el-Malek, and S. H. Kamel, "A stationary wavelet transform approach to compressed spectrum sensing in cognitive radio," *International Journal of Communication Systems*, vol. 30, pp. n/a-n/a, 2017.
- [11] S. Ren, Z. Zeng, C. Guo, and X. Sun, "A Low Complexity Sensing Algorithm for Wideband Sparse Spectra," *IEEE Communications Letters*, vol. 21, pp. 92-95, 2017.

- [12] H. Holma and A. Toskala, *HSDPA/HSUPA for UMTS: high speed radio* access for mobile communications: John Wiley & Sons, 2007.
- [13] M. Sternad, T. Ottosson, A. Ahlén, and A. Svensson, "Attaining both coverage and high spectral efficiency with adaptive OFDM downlinks," in *Vehicular Technology Conference*, 2003. VTC 2003-Fall. 2003 IEEE 58th, 2003, pp. 2486-2490.
- [14] X. Junfeng, R. Q. Hu, Q. Yi, G. Lei, and W. Bo, "Expanding LTE network spectrum with cognitive radios: From concept to implementation," *IEEE Wireless Communications*, vol. 20, pp. 12-19, 2013.
- [15] J. Mitola and G. Q. Maguire, Jr., "Cognitive radio: making software radios more personal," *IEEE Personal Communications*, vol. 6, pp. 13-18, 1999.
- [16] J. Mitola, "Cognitive radio---an integrated agent architecture for software defined radio," 2000.
- [17] L. Xu, R. Tonjes, T. Paila, W. Hansmann, M. Frank, and M. Albrecht, "DRiVE-ing to the Internet: Dynamic radio for IP services in vehicular environments," in *Local Computer Networks*, 2000. LCN 2000. Proceedings. 25th Annual IEEE Conference on, 2000, pp. 281-289.
- [18] F. S. P. T. Force, "Report of the spectrum efficiency working group, Nov. 2002," *URL http://www.fcc.gov/sptf/reports.html*.
- [19] D. X. W. group, "The XG architectural framework," *Request for Comments, version*, vol. 1, 2003.
- [20] I. Akyildiz and Y. Li, "OCRA: OFDM-based cognitive radio networks," *Broadband and Wireless Networking Laboratory Technical Report*, 2006.
- [21] E. Hossain, D. Niyato, and D. I. Kim, "Evolution and future trends of research in cognitive radio: a contemporary survey," *Wireless Communications and Mobile Computing*, pp. n/a-n/a, 2013.
- [22] T. Yucek and H. Arslan, "A survey of spectrum sensing algorithms for cognitive radio applications," *IEEE Communications Surveys & Tutorials*, vol. 11, pp. 116-130, 2009.
- [23] M. Jun, G. Y. Li, and J. Biing-Hwang, "Signal Processing in Cognitive Radio," *Proceedings of the IEEE*, vol. 97, pp. 805-823, 2009.
- [24] G. Zhao, J. Ma, Y. Li, T. Wu, Y. H. Kwon, A. Soong, *et al.*, "Spatial spectrum holes for cognitive radio with directional transmission," in *Global Telecommunications Conference*, 2008. *IEEE GLOBECOM* 2008. *IEEE*, 2008, pp. 1-5.
- [25] A. Ghasemi and E. S. Sousa, "Spectrum sensing in cognitive radio networks: requirements, challenges and design trade-offs," *IEEE Communications Magazine*, vol. 46, pp. 32-39, 2008.

- [26] L. Gavrilovska, V. Atanasovski, I. Macaluso, and L. A. DaSilva, "Learning and Reasoning in Cognitive Radio Networks," *IEEE Communications Surveys* & *Tutorials*, vol. 15, pp. 1761-1777, 2013.
- [27] A. B. MacKenzie, J. H. Reed, P. Athanas, C. W. Bostian, R. M. Buehrer, L. A. DaSilva, *et al.*, "Cognitive Radio and Networking Research at Virginia Tech," *Proceedings of the IEEE*, vol. 97, pp. 660-688, 2009.
- [28] Z. Yonghong and L. Ying-Chang, "Spectrum-Sensing Algorithms for Cognitive Radio Based on Statistical Covariances," *IEEE Transactions on Vehicular Technology*, vol. 58, pp. 1804-1815, 2009.
- [29] T. Zhi and G. B. Giannakis, "A Wavelet Approach to Wideband Spectrum Sensing for Cognitive Radios," in *1st International Conference on Cognitive Radio Oriented Wireless Networks and Communications, 2006.*, 2006, pp. 1-5.
- [30] K. Challapali, S. Mangold, and Z. Zhong, "Spectrum agile radio: Detecting spectrum opportunities," in *Proc. Intern. Symp. Advanced Radio Technologies., Boulder, CO, USA*, 2004, pp. 61-65.
- [31] S. Haykin, "Cognitive radio: brain-empowered wireless communications," *IEEE Journal on Selected Areas in Communications*, vol. 23, pp. 201-220, 2005.
- [32] T. Cui, J. Tang, F. Gao, and C. Tellambura, "Moment-Based Parameter Estimation and Blind Spectrum Sensing for Quadrature Amplitude Modulation," *IEEE Transactions on Communications*, vol. 59, pp. 613-623, 2011.
- [33] P. Jihoon, P. Pawelczak, P. Gronsund, and D. Cabric, "Analysis Framework for Opportunistic Spectrum OFDMA and Its Application to the IEEE 802.22 Standard," *IEEE Transactions on Vehicular Technology*, vol. 61, pp. 2271-2293, 2012.
- [34] S. Maleki, A. Pandharipande, and G. Leus, "Two-stage spectrum sensing for cognitive radios," in *IEEE International Conference on Acoustics Speech and Signal Processing (ICASSP), 2010* 2010, pp. 2946-2949.
- [35] V. N. Kumar, K. V. Reddy, S. Geethu, G. Lakshminarayanan, and M. Sellathurai, "Reconfigurable hybrid spectrum sensing technique for cognitive radio," in 8th IEEE International Conference on Industrial and Information Systems (ICIIS), 2013 2013, pp. 59-62.
- [36] N. Ahmed, T. Natarajan, and K. R. Rao, "Discrete Cosine Transform," *IEEE Transactions on Computers*, vol. C-23, pp. 90-93, 1974.
- [37] A. V. Oppenheim, R. W. Schafer, and J. R. Buck, *Discrete-time signal processing* vol. 2: Prentice hall Englewood Cliffs, NJ, 1989.

- [38] A. G. Fragkiadakis, E. Z. Tragos, and I. G. Askoxylakis, "A Survey on Security Threats and Detection Techniques in Cognitive Radio Networks," *IEEE Communications Surveys & Tutorials*, vol. 15, pp. 428-445, 2013.
- [39] E. H. Gismalla and E. Alsusa, "Performance Analysis of the Periodogram-Based Energy Detector in Fading Channels," *IEEE Transactions on Signal Processing*, vol. 59, pp. 3712-3721, 2011.
- [40] C. Stevenson, G. Chouinard, L. Zhongding, H. Wendong, S. J. Shellhammer, and W. Caldwell, "IEEE 802.22: The first cognitive radio wireless regional area network standard," *IEEE Communications Magazine*, vol. 47, pp. 130-138, 2009.
- [41] S. Ciftci and M. Torlak, "A comparison of energy detectability models for spectrum sensing," in *IEEE Global Telecommunications Conference*, 2008. *IEEE GLOBECOM* 2008, 2008, pp. 1-5.
- [42] D. Cabric, A. Tkachenko, and R. W. Brodersen, "Experimental study of spectrum sensing based on energy detection and network cooperation," in *Proceedings of the first international workshop on Technology and policy for accessing spectrum*, 2006, p. 12.
- [43] I. F. Akyildiz, B. F. Lo, and R. Balakrishnan, "Cooperative spectrum sensing in cognitive radio networks: A survey," *Physical Communication*, vol. 4, pp. 40-62, 3// 2011.
- [44] A. Ali and W. Hamouda, "Advances on Spectrum Sensing for Cognitive Radio Networks: Theory and Applications," *IEEE Communications Surveys & Tutorials*, vol. PP, pp. 1-1, 2016.
- [45] R. Viswanathan and P. K. Varshney, "Distributed detection with multiple sensors I. Fundamentals," *Proceedings of the IEEE*, vol. 85, pp. 54-63, 1997.
- [46] J. Unnikrishnan and V. V. Veeravalli, "Cooperative Sensing for Primary Detection in Cognitive Radio," *IEEE Journal of Selected Topics in Signal Processing*, vol. 2, pp. 18-27, 2008.
- [47] Y. Selen, H. Tullberg, and J. Kronander, "Sensor Selection for Cooperative Spectrum Sensing," in *3rd IEEE Symposium on New Frontiers in Dynamic Spectrum Access Networks 2008* 2008, pp. 1-11.
- [48] G. Ganesan and L. Ye, "Cooperative Spectrum Sensing in Cognitive Radio, Part I: Two User Networks," *IEEE Transactions on Wireless Communications*, vol. 6, pp. 2204-2213, 2007.
- [49] G. Ganesan and L. Ye, "Cooperative Spectrum Sensing in Cognitive Radio, Part II: Multiuser Networks," *IEEE Transactions on Wireless Communications*, vol. 6, pp. 2214-2222, 2007.
- [50] X. Zhou, G. Y. Li, D. Li, D. Wang, and A. C. K. Soong, "Bandwidth efficient combination for cooperative spectrum sensing in cognitive radio networks," in

IEEE International Conference on Acoustics, Speech and Signal Processing 2010 2010, pp. 3126-3129.

- [51] E. Visotsky, S. Kuffner, and R. Peterson, "On collaborative detection of TV transmissions in support of dynamic spectrum sharing," in *First IEEE International Symposium on New Frontiers in Dynamic Spectrum Access Networks*, 2005. DySPAN 2005., 2005, pp. 338-345.
- [52] S. M. Mishra, A. Sahai, and R. W. Brodersen, "Cooperative Sensing among Cognitive Radios," in *IEEE International Conference on Communications* 2006 2006, pp. 1658-1663.
- [53] I. M. El-Badawy, A. M. Aziz, S. Gasser, and M. E. Khedr, "A new multiple classifiers soft decisions fusion approach for exons prediction in DNA sequences," in *IEEE International Conference on Signal and Image Processing Applications 2013* 2013, pp. 281-286.
- [54] E. C. Y. Peh, Y. C. Liang, and Y. L. Guan, "Optimization of cooperative sensing in cognitive radio networks: a sensing-throughput tradeoff view," *IEEE Trans. Vehicular Technol*, vol. 58, 2009.
- [55] L. Ying-Chang, Z. Yonghong, E. C. Y. Peh, and H. Anh Tuan, "Sensing-Throughput Tradeoff for Cognitive Radio Networks," *IEEE Transactions on Wireless Communications*, vol. 7, pp. 1326-1337, 2008.
- [56] B. Sunghwan and K. Hongseok, "Unlimited cooperative sensing with energy detection for cognitive radio," *Journal of Communications and Networks*, vol. 16, pp. 172-182, 2014.
- [57] E. Candes and J. Romberg, "Sparsity and incoherence in compressive sampling," *Inverse problems*, vol. 23, p. 969, 2007.
- [58] E. J. Candès and M. B. Wakin, "An introduction to compressive sampling," *IEEE signal processing magazine*, vol. 25, pp. 21-30, 2008.
- [59] D. L. Donoho, "Compressed sensing," *IEEE Transactions on Information Theory*, vol. 52, pp. 1289-1306, 2006.
- [60] T. Zhi and G. B. Giannakis, "Compressed Sensing for Wideband Cognitive Radios," in *IEEE International Conference on Acoustics, Speech and Signal Processing, 2007. ICASSP 2007.*, 2007, pp. IV-1357-IV-1360.
- [61] Z. Tian, "Compressed Wideband Sensing in Cooperative Cognitive Radio Networks," in *IEEE GLOBECOM 2008 - 2008 IEEE Global Telecommunications Conference*, 2008, pp. 1-5.
- [62] E. H. Salman, N. K. Noordin, S. J. Hashim, F. Hashim, and C. K. Ng, "An overview of spectrum sensing techniques for cognitive LTE and LTE-A radio systems," *Telecommunication Systems*, pp. 1-14, 2016.

- [63] O. Altrad and S. Muhaidat, "A new mathematical analysis of the probability of detection in cognitive radio over fading channels," *EURASIP Journal on Wireless Communications and Networking*, vol. 2013, pp. 1-11, 2013/06/11 2013.
- [64] S. Arunthavanathan, S. Kandeepan, and R. J. Evans, "Spectrum sensing and detection of incumbent-UEs in secondary-LTE based aerial-terrestrial networks for disaster recovery," in *IEEE 18th International Workshop on Computer Aided Modeling and Design of Communication Links and Networks* (CAMAD), 2013, pp. 201-206.
- [65] R. Takai, S. Uchida, A. Sato, M. Inamori, and Y. Sanada, "Experimental investigation of signal sensing with overlapped FFT based energy detection," in *International Symposium on Intelligent Signal Processing and Communications Systems (ISPACS), 2013* 2013, pp. 225-229.
- [66] S. Geethu, M. Sellathurai, and G. Lakshminarayanan, "Wide Band Spectrum Sensing Using Window Based Energy Detector For AWGN And Rayleigh Channels," in *International Journal of Engineering Research and Technology*, 2013.
- [67] E. Alsusa and E. H. Gismalla, "New model for optimized periodogram-based spectrum sensing for cognitive radios," in *IEEE International Conference on Acoustics, Speech and Signal Processing* 2013 2013, pp. 5328-5332.
- [68] N. Wang and Y. Gao, "Optimal Threshold of Welch's Periodogram for Sensing OFDM Signals at Low SNR Levels," in 19th European Wireless Conference European Wireless 2013; , 2013, pp. 1-5.
- [69] D. M. Martinez and A. G. Andrade, "Performance evaluation of welch's periodogram-based energy detection for spectrum sensing," *IET Communications*, vol. 7, pp. 1117-1125, 2013.
- [70] H. Zhang, H. C. Wu, and L. Lu, "Analysis and Algorithm for Robust Adaptive Cooperative Spectrum-Sensing," *IEEE Transactions on Wireless Communications*, vol. 13, pp. 618-629, 2014.
- [71] N. Wang, Y. Gao, and L. Cuthbert, "Spectrum sensing using adaptive threshold based energy detection for OFDM signals," in *IEEE International Conference on Communication Systems (ICCS), 2014* 2014, pp. 359-363.
- [72] T. Lu, C. Zhao, Y. Zhang, and X. Peng, "Optimal Threshold of Welch's Periodogram for Spectrum Sensing Under Noise Uncertainty," in *The Proceedings of the Third International Conference on Communications, Signal Processing, and Systems*, J. Mu, Q. Liang, W. Wang, B. Zhang, and Y. Pi, Eds., ed Cham: Springer International Publishing, 2015, pp. 409-418.
- [73] N. Zhao, F. Pu, X. Xu, and N. Chen, "Cognitive wideband spectrum sensing using cosine-modulated filter banks," *International Journal of Electronics*, vol. 102, pp. 1890-1901, 2015/11/02 2015.

- [74] D. M. Martínez and Á. G. Andrade, "Adaptive energy detector for spectrum sensing in cognitive radio networks," *Computers & Electrical Engineering*, vol. 52, pp. 226-239, 5// 2016.
- [75] Y. Miar, C. D'Amours, and T. Aboulnasr, "A novel reduced power compressive sensing technique for wideband cognitive radio," *EURASIP Journal on Wireless Communications and Networking*, vol. 2012, p. 281, 2012.
- [76] H. Xiao, X. Wenbo, N. Kai, and H. Zhiqiang, "A Novel Wavelet-Based Energy Detection for Compressive Spectrum Sensing," in *IEEE 77th Vehicular Technology Conference (VTC Spring), 2013, 2013, pp. 1-5.*
- [77] Y. Wang and G. Zhang, "Compressed Wideband Spectrum Sensing Based on Discrete Cosine Transform," *The Scientific World Journal*, vol. 2014, p. 5, 2014.
- [78] C. B. A. Wael, N. Armi, and R. Sariningrum, "Wideband spectrum sensing using Welch periodogram in cognitive radio," in *International Conference on Radar, Antenna, Microwave, Electronics and Telecommunications* (ICRAMET) 2015, 2015, pp. 104-108.
- [79] N. Kumar and N. Sood, "Fast and efficient compressive sensing for wideband Cognitive Radio systems," in *IEEE 2nd International Conference on Recent Trends in Information Systems (ReTIS) 2015* 2015, pp. 87-91.
- [80] E. Astaiza, P. Jojoa, and H. Bermúdez, "Compressive local wideband spectrum sensing algorithm for multiantenna cognitive radios," in *8th IEEE Latin-American Conference on Communications (LATINCOM)* 2016 2016, pp. 1-6.
- [81] W. Wang, G. Zeng, Y. Liu, and Z. Tu, "Fast compressed spectrum sensing on positioning technology," in *IEEE International Conference on Ubiquitous Wireless Broadband (ICUWB) 2016*, 2016, pp. 1-4.
- [82] H. Urkowitz, "Energy detection of unknown deterministic signals," *Proceedings of the IEEE*, vol. 55, pp. 523-531, 1967.
- [83] J. G. Proakis and D. G. Manolakis, *Digital signal processing: principles, algorithms, and applications:* Pearson Prentice Hall, 2007.
- [84] P. Stoica and R. L. Moses, *Introduction to spectral analysis* vol. 1: Prentice hall Upper Saddle River, NJ, 1997.
- [85] K. Povala, R. Mar, lek, G. Baudoin, P, and mek, "Real-time implementation of Periodogram based spectrum sensing detector in TV bands," in 20th International Conference Radioelektronika (RADIOELEKTRONIKA), 2010 2010, pp. 1-4.
- [86] P. K. Varshney, *Distributed detection and data fusion*: Springer Science & Business Media, 2012.

- [87] B. Wang, K. J. R. Liu, and T. C. Clancy, "Evolutionary cooperative spectrum sensing game: how to collaborate?," *IEEE Transactions on Communications*, vol. 58, pp. 890-900, 2010.
- [88] X. Ma, F. Zeng, and J. Xu, "A novel energy efficient cooperative spectrum sensing scheme for cognitive radio sensor network based on evolutionary game," in *The 21st IEEE International Workshop on Local and Metropolitan Area Networks*, 2015, pp. 1-6.
- [89] M. T. Asif, K. Srinivasan, N. Mitrovic, J. Dauwels, and P. Jaillet, "Near-Lossless Compression for Large Traffic Networks," *IEEE Transactions on Intelligent Transportation Systems*, vol. 16, pp. 1817-1826, 2015.
- [90] V. Holub and J. Fridrich, "Low-Complexity Features for JPEG Steganalysis Using Undecimated DCT," *IEEE Transactions on Information Forensics and Security*, vol. 10, pp. 219-228, 2015.
- [91] S. A. Golestaneh and D. M. Chandler, "No-Reference Quality Assessment of JPEG Images via a Quality Relevance Map," *IEEE Signal Processing Letters*, vol. 21, pp. 155-158, 2014.
- [92] G. Fracastoro, S. M. Fosson, and E. Magli, "Steerable Discrete Cosine Transform," *IEEE Transactions on Image Processing*, vol. 26, pp. 303-314, 2017.
- [93] N. R. Banavathu and M. Z. A. Khan, "Optimal n-out-of- K Voting Rule for Cooperative Spectrum Sensing with Energy Detector over Erroneous Control Channel," in *IEEE 81st Vehicular Technology Conference (VTC Spring) 2015* 2015, pp. 1-5.
- [94] IEEE, "IEEE Standard for Information technology-- Local and metropolitan area networks-- Specific requirements-- Part 22: Cognitive Wireless RAN Medium Access Control (MAC) and Physical Layer (PHY) specifications: Policies and procedures for operation in the TV Bands," *IEEE Std 802.22-*2011, pp. 1-680, 2011.
- [95] Q. Zhao, Z. Wu, and X. Li, "Energy efficiency of compressed spectrum sensing in wideband cognitive radio networks," *EURASIP Journal on Wireless Communications and Networking*, vol. 2016, p. 83, 2016.
- [96] L. Comerford, H. A. Jensen, F. Mayorga, M. Beer, and I. A. Kougioumtzoglou, "Compressive sensing with an adaptive wavelet basis for structural system response and reliability analysis under missing data," *Computers & Structures*, vol. 182, pp. 26-40, 4/1/ 2017.
- [97] T. A. Khalaf, M. Y. Abdelsadek, and M. Farrag, "Compressed measurements based spectrum sensing for wideband cognitive radio systems," *International Journal of Antennas and Propagation*, vol. 2015, 2015.

- [98] C. Wang, Y. Wang, P. Chen, C. Meng, X. Song, and W. Li, "Sub-Nyquist sampling for short pulses with Gabor frames," *EURASIP Journal on Wireless Communications and Networking*, vol. 2017, p. 72, 2017.
- [99] Y. L. Polo, W. Ying, A. Pandharipande, and G. Leus, "Compressive wide-band spectrum sensing," in *IEEE International Conference on Acoustics, Speech and Signal Processing 2009* 2009, pp. 2337-2340.
- [100] F. Zeng, C. Li, and Z. Tian, "Distributed Compressive Spectrum Sensing in Cooperative Multihop Cognitive Networks," *IEEE Journal of Selected Topics in Signal Processing*, vol. 5, pp. 37-48, 2011.
- [101] N. Umezu, K. Yokota, and M. Inui, "2D wavelet transform data compression with error level guarantee for Z-map models," *Journal of Computational Design and Engineering*, vol. 4, pp. 238-247, 2017/07/01/ 2017.
- [102] P. Saravanan, M. Sreekara, and K. Manikantan, "Digital Image Watermarking using Daubechies wavelets," in *3rd International Conference on Signal Processing and Integrated Networks (SPIN) 2016* 2016, pp. 57-62.
- [103] G. Strang, "Wavelets," *American Scientist*, vol. 82, pp. 250-255, 1994.
- [104] M. Vishwanath, "The recursive pyramid algorithm for the discrete wavelet transform," *IEEE Transactions on Signal Processing*, vol. 42, pp. 673-676, 1994.
- [105] H. Li, B. Manjunath, and S. K. Mitra, "Multisensor image fusion using the wavelet transform," *Graphical models and image processing*, vol. 57, pp. 235-245, 1995.
- [106] Y. Zheng, E. A. Essock, and B. C. Hansen, "An advanced image fusion algorithm based on wavelet transform: incorporation with PCA and morphological processing," in *Proceedings of SPIE*, 2004, pp. 177-187.
- [107] I. Daubechies, Ten lectures on wavelets: SIAM, 1992.
- [108] G. Fracastoro and E. Magli, "Subspace-sparsifying steerable discrete cosine transform from graph fourier transform," in *IEEE International Conference on Image Processing (ICIP) 2016* 2016, pp. 1534-1538.
- [109] S. Asadi Amiri, H. Hassanpour, and O. R. Marouzi, "No-reference image quality assessment based on localized discrete cosine transform for JPEG compressed images," *Multimedia Tools and Applications*, January 03 2017.

BIODATA OF STUDENT



Emad H. Salman received the B.Sc. degree in Electrical Engineering from University of Baghdad, Iraq, 2003. Then, he received the M.Sc. in Electrical engineering/Electronics and Communications Engineering from same university, in 2007. Since 2007, he is working as an assistant lecturer in University of Diyala, teaching many subjects in the field of Electrical, Communications, Electronics and Computer Engineering. He is currently a Ph.D. student in Wireless Communications Engineering at Universiti Putra Malaysia. His main research interests are cognitive radio, 3GPP LTE networks, and OFDM networks.

LIST OF PUBLICATIONS

International Journals and Conferences

- Salman, Emad Hmood, Nor Kamariah Noordin, Shaiful Jahari Hashim, Fazirulhisyam Hashim, and Chee Kyun Ng. "An overview of spectrum sensing techniques for cognitive LTE and LTE-A radio systems." *Telecommunication Systems* 65, no. 2 (2017): 215-228. Impact Factor JCR-2017: 1.542.
- Salman, Emad Hmood, Nor Kamariah Noordin, Shaiful Jahari Hashim, and Fazirulhisyam Hashim. "A new cooperative spectrum sensing scheme based on discrete cosine transform." In *Telecommunication Technologies (ISTT)*, 2016 IEEE 3rd International Symposium on, pp. 108-111. IEEE, 2016.
- Salman, Emad Hmood, Nor Kamariah Noordin, Shaiful Jahari Hashim, Fazirulhisyam Hashim, and Chee Kyun Ng. "A performance analysis of a new periodogram for spectrum sensing." In Advances in Electrical, Electronic and Systems Engineering (ICAEES), International Conference on, pp. 592-596. IEEE, 2016.
- Salman, Emad H., Nor K. Noordin, Shaiful J. Hashim, Fazirulhisyam Hashim, and Chee K. Ng. "An Analysis of Periodogram Based on a Discrete Cosine Transform for Spectrum Sensing." Wireless Personal Communications: 1-19. <u>Impact Factor JCR-2018: 0.951.</u>

Awards

During the PhD study, the researcher had got on IEEE membership (MIEEE) in 2014 and become a Senior Member IEEE (SMIEEE) in 2018.



UNIVERSITI PUTRA MALAYSIA

STATUS CONFIRMATION FOR THESIS / PROJECT REPORT AND COPYRIGHT

ACADEMIC SESSION :

TITLE OF THESIS / PROJECT REPORT :

ENERGY DETECTION FOR SPECTRUM SENSING IN OFDM-BASED COOPERATIVE AND NON-COOPERATIVE RADIO NETWORKS

NAME OF STUDENT: EMAD HMOOD SALMAN

I acknowledge that the copyright and other intellectual property in the thesis/project report belonged to Universiti Putra Malaysia and I agree to allow this thesis/project report to be placed at the library under the following terms:

- 1. This thesis/project report is the property of Universiti Putra Malaysia.
- 2. The library of Universiti Putra Malaysia has the right to make copies for educational purposes only.
- 3. The library of Universiti Putra Malaysia is allowed to make copies of this thesis for academic exchange.

I declare that this thesis is classified as :

*Please tick (V)



CONFIDENTIAL

RESTRICTED

OPEN ACCESS



Act 1972).

(Contains restricted information as specified by the organization/institution where research was done).

(Contain confidential information under Official Secret

I agree that my thesis/project report to be published as hard copy or online open access.

This thesis is submitted for :

PATENT

Embargo from	until		
	(date)		(date)

Approved by:

(Signature of Student) New IC No/ Passport No.: (Signature of Chairman of Supervisory Committee) Name:

Date :

Date :

[Note : If the thesis is CONFIDENTIAL or RESTRICTED, please attach with the letter from the organization/institution with period and reasons for confidentially or restricted.]