



UNIVERSITI PUTRA MALAYSIA

***TEMPORAL VIDEO SEGMENTATION USING SQUARED FORM OF
KRAWTCHOUK-TCHEBICHEF MOMENTS***

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By

SADIQ H. ABDULHUSSAIN

**Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia, in
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DEDICATION

*It broke my heart to lose you
But you did not go alone
A part of me went with you
The day god called you to heaven
A million times I've thought of you
A million times I've cried
May the winds of heaven blow softly and whisper in your ears
How much I love you and miss you.*

*To my beloved son **Mohammed**, The bird in heaven.*



Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfilment of the requirement for the degree of Doctor of Philosophy

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June 2018

Chairman: Associate Professor Abd Rahman Ramli, PhD
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Rapid growth of multimedia data in cyberspace caused a swift rise in data transmission volume. This growth necessitates to look for superior techniques in processing data content. Video contains a lot of useful information; however, it consumes a vast storage space. Content based video indexing and retrieval (CBVIR) aims to automate the management, indexing and retrieval of video. Temporal Video Segmentation (TVS) process is the essential stage in CBVIR which aims to detect transitions between consecutive shots of videos. TVS algorithm design is still challenging because most of the recent methods are unable to achieve robust detection for different types of transitions: hard transition (HT) and soft transition (ST). In this regard, the aim of this study is to propose an efficient TVS algorithm with high precision and recall values, and low computation cost for detecting different types of transitions. In the first part of the proposed algorithm, unique moments coefficients (features) are extracted using a new hybrid set of orthogonal polynomials which is derived based on the modified forms of Krawtchouk and a Tchebichef polynomials. The extracted moments showed superior energy compaction and localization capabilities. For extracting moments, a mathematical model of block processing that requires low computational cost is proposed. Moreover, three different types of moments, namely smoothed moments, and moments of gradients in x and y directions, form the unique feature vectors using embedded image kernel model. In the proposed TVS, a modified candidate segment selection technique is initially employed to determine the candidate segments from the entire video. The Support Vector Machine (SVM) classifier is trained to detect transitions. Specifically, the HTs are detected by the trained SVM model and then refined to eliminate the false-alarm events. The fade transitions are detected based on the smoothed moments energy and the moments of gradients correlation for the candidate segments. In addition, the wipe and dissolve transitions are detected using the change-point detection technique, SVM model, and scale invariant feature transform (SIFT). For all TVS algorithm stages, the moments are computed only for region of interest. The proposed algorithm has been evaluated on four datasets: TRECVID 2001, TRECVID 2005, TRECVID 2006, and TRECVID 2007. The

performance of the proposed algorithm is compared to that of several state-of-the-art TVS algorithms. The improvement results of the proposed algorithm in terms of precision, recall, and F1-score are within the ranges (0.12 – 10.06), (1.65 – 8.25), and (0.88 – 13.85), respectively. Moreover, the proposed method shows low computation cost which is ~5.5% of real-time. The proposed method is found to be useful to tackle the limitations of the existing methods and serve TVS process efficiently.



Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk ijazah Doktor Falsafah

**SEGMENTASI VIDEO TEMPORAL MENGGUNAKAN MOMEN
KRAWTCHOUK-TCHEBICHEF BERBENTUK PERSEGI**

Oleh

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Pertumbuhan pesat data multimedia di ruang siber menyebabkan penambahan pantas dalam jumlah penghantaran data. Pertumbuhan ini memerlukan untuk mencari teknik yang lebih baik dalam memproses kandungan data. Video mengandungi banyak maklumat berguna; walau bagaimanapun, ia menggunakan ruang storan yang besar. Pengindeksan dan dapatan semula video berasaskan kandungan (CBVIR) bertujuan untuk mengautomatiskan pengurusan, pengindeksan dan dapatan semula video. Proses Segmentasi Video Temporal (TVS) adalah tahap penting dalam CBVIR bertujuan untuk mengesan peralihan antara rakaman singkat (klip) video berturut-turut. Reka bentuk algoritma TVS masih mencabar kerana kebanyakan kaedah terkini tidak dapat mencapai pengesanan yang mantap untuk pelbagai jenis peralihan: peralihan keras (HT) dan peralihan lembut (ST). Dalam kaitan ini, matlamat kajian ini adalah untuk mencadangkan algoritma TVS yang cekap dengan nilai ketepatan dan panggilan balik yang tinggi, dan kos pengkomputan yang rendah untuk mengesan pelbagai jenis peralihan. Di bahagian pertama algoritma yang dicadangkan, pekali momen unik (ciri) disari menggunakan set hibrid ortogonal polinomial baru yang diterbitkan berdasarkan bentuk polinomial Krawtchouk dan Tchebichef yang diubahsuai. Momen yang disari menunjukkan pepadatan tenaga dan keupayaan penyetempatan yang lebih baik. Untuk menyari momen, model pemprosesan blok matematik yang memerlukan kos pengkomputan yang rendah dicadangkan. Selain itu, tiga jenis momen yang berbeza, iaitu momen terlicin, dan momen kecerunan dalam arah x dan y , membentuk vektor ciri unik menggunakan model kernel imej terbenam. Dalam TVS yang dicadangkan, teknik pemilihan calon segmen yang diubah suai pada mulanya digunakan untuk menentukan calon segmen daripada keseluruhan video. Pengelas Mesin Vektor Sokongan (SVM) dilatih untuk mengesan peralihan. Khususnya, HT dikesan oleh model SVM terlatih dan kemudian diperhalusi untuk menghapuskan peristiwa penggera palsu. Peralihan pudar dikesan berdasarkan tenaga momen terlicin dan momen korelasi kecerunan bagi calon segmen. Di samping itu, penghapusan dan pembubaran peralihan dikesan menggunakan teknik pengesanan titik perubahan, model SVM, dan penjelmaan ciri invarian skala (SIFT). Untuk semua peringkat algoritma TVS, momen dihitung hanya

untuk kawasan berminat. Algoritma yang dicadangkan telah dinilai pada empat set data: TRECVID 2001, TRECVID 2005, TRECVID 2006, dan TRECVID 2007. Prestasi algoritma yang dicadangkan dibandingkan dengan beberapa algoritma TVS terkini. Peningkatan hasil algoritma yang dicadangkan dari segi ketepatan, panggilan balik, dan skor F1 adalah dalam julat (0.16 - 10.06), (1.65 - 8.25), dan (0.88 - 13.85), masing-masing. Selain itu, kaedah yang dicadangkan menunjukkan kos pengkomputan yang rendah iaitu ~ 5.5% daripada masa nyata. Kaedah yang dicadangkan didapati berguna untuk menangani batasan kaedah yang sedia ada dan dapat memberikan perkhidmatan TVS dengan cekap.



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Sadiq H. Abdulhussain

I certify that a Thesis Examination Committee has met on 1 June 2018 to conduct the final examination of Sadiq H. Abdulhussain on his thesis entitled "Temporal Video Segmentation Using Squared Form of Krawtchouk-Tchebichef Moments" in accordance with the Universities and University Colleges Act 1971 and the Constitution of the Universiti Putra Malaysia [P.U.(A) 106] 15 March 1998. The Committee recommends that the student be awarded the Doctor of Philosophy.

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LIST OF ABBREVIATIONS

1D	One Dimension
2D	Two Dimension
3D	Three Dimension
CBVIR	Content Based Video Indexing and Retrieval
CDSS	Construction of Dissimilarity/Similarity Signal
CHP	Change Point
CLDS	Classification of CDSS
COD	Compressed Domain
COM	Continuous Orthogonal Moment
DCT	Discrete Cosine Transform
DFT	Discrete Fourier Transform
DKT	Discrete Krawtchouk Transform
DKTT	Discrete Krawtchouk-Tchebichef Transform
DS	Dissimilarity Signal
DTKT	Discrete Tchebichef Krawtchouk Transform
DTT	Discrete Tchebichef Transform
DWT	Discrete Wavelet Transform
EBA	Edge-Based Approach
EC	Energy Compaction
ECR	Edge Change Ratio
FAM	False Alarm Signals
FAR	False Alarm Rate
FOI	Fade Out-In
GED	Generalized Eigenvalue Decomposition
GM	Geometric Moments
HBA	Histogram Based Approach
HDM	Histogram Difference Metric
HT	Hard Transition
IDKTT	Inverse Discrete Krawtchouk-Tchebichef Transform
KP	Krawtchouk Polynomial
KPC	Krawtchouk Polynomial Coefficients
LKP	Local Key-Point
MOG	Moment of Gradient
MSD	Miss Detect
NN	Neural Network
NT	Non-Transition
OCM	Object/Camera Motion
OM	Orthogonal Moment
OP	Orthogonal Polynomial
PBA	Pixel Based Approach

PC	Polynomial Coefficients
PELT	Pruned Exact Linear Time
RMSE	Root Mean Square Error
ROI	Region of Interest
ROVI	Representation of Visual Information
SBRA	Bi-Recursive Algorithm
SKTP	Squared Krawtchouk Tchebichef Polynomial
SKTT	Squared Krawtchouk Tchebichef Transform
SS	Similarity Signal
ST	Soft Transition
STA	Statistical Based Approach
SVM	Support Vector Machine
TBA	Transform Based Approach
TC	Transform Coefficient
TP	Tchebichef Polynomial
TPC	Tchebichef Polynomial Coefficients
TR	Transition
TV	Television
TVS	Temporal Video Segmentation
UCD	Uncompressed Domain
VEP	Video Editing Process
VFL	Video Frame Level
VPP	Video Production Process
VSW	Video Sharing Websites
WDT	Wipe and Dissolve Transition
OPBP	Orthogonal Polynomial Based Block Processing
OPEIK	Orthogonal Polynomial embedded image kernel

CHAPTER 1

INTRODUCTION

This chapter presents an introduction of the work carried out. A background on multimedia data over the cyberspace is first presented with a focus on their importance in real applications. Then, the need for automated video content analysis is explained. Afterward, temporal video segmentation (TVS) with its limitations are presented, which explain how the problem statements have been formed as a result of the drawbacks found in the existing methods. Subsequently, the research problem statements are listed in detail before presenting the research aim and objectives. In addition, the brief methodology and research scope are described. The organization of this thesis is provided at the end of this chapter.

1.1 Background

The immense development of computer performance, availableness of storage media, and multimedia technologies during the past decades resulted in the dominance of multimedia data in the cyberspace. The rapid increase of multimedia data over the cyberspace through the past two decades have led to swift rise in the data transmission volume and repository size [1]. However, video, among multimedia data, is considered the most consumed in terms of storage space and information [2]. Companies and individuals have been sharing their media through video sharing websites (VSW) such as YouTube, VIMEO, and Dailymotion, to broaden their audience. The size of these VSWs is dramatically increasing annually. For example YouTube, one of the popular VSW globally [3], 72 hours of videos every minute were uploaded in 2014 and 4 billion hours watched every day [1]. Videos are uploaded and viewed in inconceivable rate at VSWs and social networks [4].

Video repetition is quite occurred in many forms, for example, downloading and uploading a video as it is, after inserting a logo, and covering (hiding) copyrights by replacing video features. Moreover, various video editing software packages are readily available on computers and portable devices which provide the ability to the users to combine two or more videos into one video, omit certain video parts, and alter video by other video contents. Portable devices such as smart-phones, motivate individuals to upload their videos easily to a VSW or social network. Therefore, video consumption is growing up very rapidly. This rapid increment in video data has invoked the need for an efficient management of video that can process and store that sheer volume of data [5], [6]. Indexing and retrieval of multimedia information are utilized to store, depict, and arrange multimedia data appropriately and swiftly [7]. Decades ago, multimedia databases of videos have been comparatively small in which the annotation was done manually on keywords. Conversely, nowadays, these databases become enormous in size and video content information, which activate the need for automated video structure analysis without human involvement [7]–[10]. Video structure analysis involves content based video indexing, and retrieval (CBVIR),

that aims to automate the management, indexing, and retrieval of video [8] with respect to their spatiotemporal, visual and semantic contents [11]. CBVIR have various and wide applications. For example, browsing video folders, news event analysis, digital museums, intelligent management in VSW, and video surveillance [7].

A Shot is considered the basic entity of the video sequence [1], [12]. It is defined as a contiguous sequence of frames that have temporal and locale connection. Shot frames are acquired and logged by a single camera [8], [13], [14]. In video production process, shots are aggregated together to form a scene and scenes concatenated together to form the entire video. The aggregation between video shots is known as a shot transition which can be divided into two types: hard transition (HT) and soft transition (ST). The HT is the editing process of concatenating two shots side by side. While, the ST is the editing process of involving multiple frames in the transition and have many forms such as fade, wipe, and dissolve. Generally, frames involved in a transition are not preferred for video indexing or summarization processes because it have low information content [10].

TVS, named also shot boundary detection, partitions the video into its basic units (shots) to be forwarded to the CBVIR for further analysis [15], [16]. In other words, TVS is utilized as an initial and substantial stage in CBVIR; where, its performance affects the results of the next CBVIR stages [10], [17], [18].

Detection of transitions in TVS algorithms is performed by the statistical machine learning-based and/or rules-based techniques. The machine learning-based technique includes supervised and unsupervised learning [19]. Feature extraction is considered the first step in TVS algorithms which aims to obtain significant representation of visual information. Feature extraction can be categorized based on the processed domain into: compressed domain (COD) and uncompressed domain (UCD) based algorithms [20]. TVS algorithms are primarily centered on the UCD, for instance, pixel-based approach [21]. Then they are developed to encompasses other approaches such as: histogram-based [22], edge-based [23], transform-based [24], and local keypoint-based [1] approaches. In transform-based TVS algorithms, various studies used discrete transforms such as Fourier transform, Wavelet transform, and Walsh-Hadamard transform as a feature extraction tool. These methods exhibits a good performance in detecting video shot transitions [25]; however, their computational cost is considered high [19]. In addition, improvement in terms of the detection accuracy for HTs and STs is still demanded [19].

In general, the efficient performance of TVS is based on its ability to detect the shot transition (shot boundary) in the video scene. That is, TVS performance can be measured by its accuracy in detecting correct transition. Where, TVS accuracy mostly depends on how effectively the visual content of video frames are extracted and represented [25].

The existing TVS algorithms either give good recall at the expense of much higher false detection rate, i.e. low precision, or very low false detection rate at the expenses of very low recall [25]. The other significant factor influences TVS performance is the computational cost of the algorithm that always needs to be reduced, where algorithm speed is increased. Note that, in the shot, frames are very similar in terms of their visual content. Therefore, when transition occurs, a change in similarity values will appear. In HT, the rate of change is very high, but for ST it is not so apparent [26]. In addition to that, there are some special effects that appear in the video scene such as; flashlights or light variations, object/camera motion (camera/object motion highly disturbs the accuracy of detecting shot transitions correctly), and camera operation (such as zooming, panning, and tilting). These effects impact greatly on TVS performance. To fulfill the maximum efficiency, TVS should be able to detect shot transitions between two consecutive shots by minimizing both false alarm signals (FAM), i.e. false positives, within a shot (intra-shot frames), and miss detects (MSD), i.e. false negatives, between two consecutive shots (inter-shot frames) during transition detection process. Accordingly, design of a TVS algorithm, which can combine the solutions to these problems, becomes a necessity.

1.2 Problem Statement

The management and search of video data for specific events from large video database are considered difficult [19]. Therefore, an effective TVS algorithm is required as an essential step in CBVIR [25]. Although there is much attention on TVS in the last two decades, there are still no favorable algorithm for detecting all transition types between shots due to the randomness and size of video raw data [27]. Therefore, it is necessary to develop a robust TVS algorithm that has accurate feature extraction with suitable dissimilarity measure and classification. On the other hand, recent TVS based applications increase the demand for increasing detection accuracy and decreasing computational cost concurrently. These issues are rarely implemented in the same work [28]. Moreover, optimization TVS algorithm performance via a forceful discrete transform must also be considered. In particular, the following problems have been addressed throughout this study.

- 1- An efficient algorithm with constant quality for detecting HTs and STs have not been found yet [29]. The representation of visual content and extraction of compacted features are the significant steps in TVS algorithms [20] to handle multiple types of transitions. Therefore, the accurate feature extraction from video frames or subset of it, called a region of interest (ROI), is the most significant step [30]. Essentially, accurate features should have a compact representation (high energy compaction) of frame's visual content [31]. Besides, the minor changes in the content would have marginal impact on the descriptor [26]. Moreover, the values of visual compacted features must be invariant throughout a shot. This poses a challenge when searching for accurate features that satisfy the previous properties [32].
- 2- One of the essential properties of high TVS performance is the fast computation [10]. Increasing the speed of TVS computation becomes a bottleneck for application in real-time systems [1]. Detection accuracy can be improved by

extracting more features, however, this needs additional processing on every video frames feature which increase the computational time [19]. Besides, the efficiency of TVS algorithm is increased by local features extraction which is more tolerant of illumination changes and small movements than global features [19]. However, this lead to increase in the computational cost of TVS algorithm. Essentially, small block size increases computational cost and large block size lead to poor representation [25]. Therefore, accelerating the computation of shot boundaries detection must be studied and improved extensively.

- 3- The detection accuracy of different shot transition types is fundamental in determining the robustness of TVS algorithm. The TVS algorithm robustness depends essentially on the ability of the algorithm to distinguish between disturbance factors within shot and transition between shots which is still an open issue [32]. In other words, the robustness of transition detection stills a challenging task in TVS algorithms due to some disturbances caused by rapid movements and advances in video editing technologies [19]. Specifically, accurate identification of the duration and points of ST in a video scene are significant challenges for the researchers [33]. Moreover, robust TVS algorithm needs to treat all frames to determine the occurrence of shot boundary exactly between consecutive video shots; that is considered time-consuming. Many studies make an attempt to increase the robustness of HT and ST detection, however, this issue remains a controversial topic.

1.3 Aim and Objectives

To fulfill the maximum efficiency of TVS trends, the performance of the proposed algorithm should be specified, such that transitions will be detected perfectly. However, in any transition detection process, TVS should be able to detect shot transitions between two consecutive shots by minimizing false alarm signals (FAMs) and miss detects (MSDs) with low computational cost. Therefore, this research aims to obtain an optimum detection process by increasing detection accuracy and reducing computational load without sacrificing the quality of the detection performance for any types of shot transition. Moreover, managing the disturbance factors of video shot in various scenarios is vital. Consequently, the objectives of this thesis consist of the following specific points:

- 1- To investigate the use of a new discrete transform that displays a robust energy compaction (EC) and localization properties to extract accurate features that represent the visual content of the frames.
- 2- To develop methods for feature extraction of the desired video frames that show fast computation, i.e. reducing the computational cost, without degrading other TVS algorithm performances.
- 3- To design a robust TVS algorithm that improves the detection performance for HTs and STs with a constant quality of detection accuracy and minimizes the computational cost.
- 4- To evaluate the performance of the proposed TVS algorithm in terms of accuracy and computational cost for different types of transitions.

1.4 Main Contributions of the Thesis

The main contributions of this thesis are presented as follows.

- A. Developing a new recurrence algorithm for TP
A new recurrence algorithm to compute the Tchebichef polynomial coefficients (TPCs) values based on the two traditional recurrence algorithms is derived to deal with signals of sizes up to 6144.
- B. Developing a new recurrence algorithm for KP
A new recurrence algorithm to compute the Krawtchouk polynomial coefficients (KPCs) values based on a new mathematical model is derived to deal with signals with the largest value of 3440.
- C. Developing a new hybrid form of OP
A new hybrid form of OP, called SKTP, is derived from the new orthogonal polynomials (KP and TP). SKTP is used to transform signal into moment domain for information representation. The signal representation exhibits: high EC, localization properties, and dominated signal distribution.
- D. Building a new orthogonal polynomial-embedded image kernel method
One of the main contributions of this work is building a new OPEIK method for TVS algorithms that efficiently reduce computation time.
- E. Building a new block processing method
This work proposes a new OPBP method that efficiently reduces the computation time for TVS algorithms.
- F. Introducing a developed candidate segment technique.
This work proposes a developed candidate segment technique as preprocessing step to reduce the computational cost by discarding static segments.
- G. Introducing a new TVS algorithm
This work contributes an accurate TVS algorithm which has low computation cost and can detect efficiently different types of shot boundaries. Moreover, this algorithm used a new technique for representing visual content of video frame termed as frame active area to reduce the effect of persistent and variable visual materials.

1.5 Thesis Scope

The scope of this research focuses on the detection of HT and STs (fade, dissolve, and wipe) based on discrete OP. This thesis is directed toward accurately detecting different transition between shots with low computational cost. Four well-known video datasets, including HTs and STs, are selected to be implemented in this work. These dataset are TRECVID 2001, 2005, 2006, and 2007 [34]. TRECVID was established to evaluate and benchmark TVS tasks [34], and it has contributed to the improvement of TVS algorithms [20]. These different datasets are carefully selected because they include the aforementioned types of transitions with different genres and transition intervals. In addition, these datasets include multiple types of object/camera motion that make the evaluation of TVS algorithm robust. The experiments carried out on a laptop (HP dv6) with 2.20 GHz CPU and 8 GB RAM.

The flow of the proposed study has been based on transform-based approach and local key-point (LKP) in the uncompressed domain for feature extraction. The global and local feature are extracted. The extracted features are performed for a selected video frames to reduce the number of processed frames.

1.6 Thesis Organization

This thesis is divided into five chapters including this chapter. Chapter Two presents a comprehensive review of existing TVS, different approaches of TVS algorithms, various techniques that solve different problems in TVS, theoretical background is also included and discussed in this chapter. Chapter Two ends by highlighting the main gaps in recent research that should be considered when proposing a TVS algorithm with high specifications.

Chapter Three provides a complete description of the research methodology steps. The work flow of this study is divided into multiple sections. The first section presents the derivation of the new discrete transform that facilitates and positively improves the detection process. Then, OPEIK and OPBP are presented. Afterward, in the second section, the proposed TVS with all its stages are demonstrated.

Chapter Four presents the results and discussion of the proposed algorithm. A comparison is performed based on two aspects. In the first aspect, each stages of the proposed algorithm are evaluated individually. In the second aspect, the entire proposed algorithm is evaluated and compared with other state-of-the-art algorithms. Different measurements are used in the comparison assessment. Moreover, experimental tests have been presented to provide comprehensive explanations of the remarkable results and the robustness of the proposed TVS. This thesis ends with a summary and conclusion in Chapter Five. Potential ideas for future work are also suggested.

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