



**UNIVERSITI PUTRA MALAYSIA**

***OPTIMIZATION OF HYDROPOWER RESERVOIR SYSTEM USING  
GENETIC ALGORITHM FOR VARIOUS CLIMATIC SCENARIOS***

**AIDA TAYEBIYAN**

**FK 2015 131**

Abstract of thesis presented to the Senate of Universiti Putra Malaysia in  
Fulfilment of the Requirements for the Degree of Doctor of Philosophy

## **OPTIMIZATION OF HYDROPOWER RESERVOIR SYSTEM USING GENETIC ALGORITHM FOR VARIOUS CLIMATIC SCENARIOS**

By

**AIDA TAYEBIYAN**

**October 2015**

**Chairman: Prof. Thamer Ahmad Mohammad Ali, PhD**

**Faculty : Engineering**

Energy is an essential input for social and economic development. Due to the generalization of industrial and domestic activities, the energy demand has considerably increased. This causes a rapid growing in the level of greenhouse gas emissions and consequently increment in fuel prices. This principle was the driving force behind attempts to use clean and renewable energy sources such as hydropower. There are many reservoir systems around the world that have been constructed for hydropower generation. Also, hydropower provides a cheap source of electricity with less carbon emission. Although the renewable energy such as hydropower has obvious advantages, many of hydropower reservoir system are not operated efficiently and still being operated based on experience, rules of thumb or static rules appointed at the time of construction. It is noticeable that even small improvement in the operation rules can increase efficiency of a hydropower system. Accordingly, different operation policies were constructed and evaluated in this research. Generally, this research is divided into two main stages. The main scope of stage I is to maximize the power generation output by using the historical data (2003-2012). Accordingly, different forms of release policies, namely One Point Hedging Policy (1PHP), Two Point Hedging Policy (2PHP), Three Point Hedging Policy (3PHP), Discrete Hedging Policy (DHP), Standard Hedging Policy for Hydropower Generation (SHPHP), Binary Standard Operating Policy for Hydropower Generation (BSOPHP), and Standard Operating Policy for Hydropower Generation (SOPHP) were formulated and constructed using Matlab simulation. The developed models have been applied to the Cameron Highland and Batang Padang Hydro Scheme (CHBPHS) in Cameron Highland, Malaysia. CHBPHS is a cascade hydropower reservoir systems, which comprise of two reservoirs (Ringlelet and Jor) and two power stations. In order to increase the system efficiency and maximize the power generation, constructed operation models were optimized. To determine the optimum solution in each policy, real coded genetic algorithm is used as an optimization technique. Thus, to enhance the functional efficiency in hydropower production, maximization of the total power generation over the operational periods is chosen as an objective function, while physical and operational limitations were

satisfied. The results declared that by using the optimized hedging policies, the output of power generation could increase around 13% in the studied reservoir system compared to present operating policy (TNB operation). This considerable increase in power production will contribute in economic development. Moreover, the discrepancies of monthly mean power generation output between highest and lowest months by using hedging policies are around 10% in Ringlet reservoir and 26% in Jor reservoir, while this variation in power productions by TNB operation rules are about 30% and 49% respectively. Since hedging policies are usually applied to distribute the water supply, the power-supply also scatter in the simulation period. This is attributed to the effect of water distribution on power output. It can be concluded that these policies increase the stability of the system. The main scope of stage II is the prediction of future power generation by using generated weather data. Accordingly, the first aspect to point out is the generation of future climate parameters. Long Ashton Research Station-Weather Generator (LARS-WG) model is used firstly which was calibrated and validated using daily observed sunshine hours, rainfall, minimum and maximum temperature data. Afterwards, the minimum and maximum values of temperature and rainfall historical record were synthesize by the scenario file in order to predict the future climate parameters (Rainfall, minimum and maximum temperature) under possible scenarios. All scenarios reveal that climate change increases temperature around 0.3-0.7°C at the location of the reservoir system. The increase in temperature could influence time and magnitude of rainfall by shifting dry and wet seasons. Moreover, the output results indicate a decrease in monthly rainfall. The output of LARS-WG model is used as an input of Artificial Neural Network (ANN). An ANN was subsequently applied as a rainfall-runoff modelling to predict the future stream flow feeding the reservoir systems. To explain more, ANN modelling comprised of two steps. The first step, ANN was calibrated and validated by using daily observed evapotranspiration, rainfall, and stream flow (2003-2012). In order to estimate daily evapotranspiration, daily observed Min and Max temperature was used in the estimation based on Hargreaves-Samani equation. By using the daily observed data, ANN can map the relationship between rainfall-runoff. The results indicate that the ANN model has good ability to capture the non-linearity of input/output in both training and test sets. In the second step, the future rainfall (output of LARS-WG) and future evapotranspiration (convert future minimum and maximum temperature generated by LARS-WG into future evapotranspiration by Hargreaves-Samani formula) are exported to ANN to predict the future stream flow under possible scenarios. After generating the future climate parameters, the predicted stream flow by ANN and estimated future evaporation (convert future minimum and maximum temperature generated by LARS-WG into future evaporation by penman formula) are exported to the constructed models to predict the future power generation output. The results declare that the future output of power generation will decrease under all possible climate scenario in both reservoir. According to the given results, the application of 3PHP for Ringlet reservoir and SHPHP policy for Jor reservoir, will give the highest amount of power that could be produced in the future and can be used to mitigate the negative effects of climate change.

**Keywords:** Optimization, Hydropower reservoir operation, Hedging policies, Genetic algorithm, Climate change, LARS-WG, Rainfall-Runoff modelling, Artificial neural networks.



Abstract tesis yang dikemukakan kepada Senate Universiti Putra Malaysia  
sebagai memenuhi keperluan untuk ijazah Doktor Falsafah

## **PENGOPTIMUMAN SISTEM TAKUNGAN HIDROKUASA MENGGUNAKAN GENETIK ALGORITMA UNTUK PELBAGAI SENARIO CUACA**

Oleh

**AIDA TAYEBIYAN**

**Oktober 2015**

**Pengerusi : Prof. Thamer Ahmad Mohammad, PhD**

**Fakulti : Kejuruteraan**

Tenaga merupakan sumber terpenting bagi pembangunan sosial dan ekonomi. Disebabkan generalisasi aktiviti industri dan domestik, permintaan sumber tenaga telah naik secara mendadak. Ini menyebabkan pertumbuhan pantas pada tahap penghasilan gas rumah hijau dan natijahnya harga minyak terus meningkat. Isu ini merupakan daya yang memacu disebalik banyak percubaan penggunaan sumber tenaga bersih dan boleh diperbaharui. seperti hidrokuasa. Terdapat banyak sistem takungan di dunia ini telah dibina untuk penghasilan hidrokuasa. Hidrokuasa menyediakan sumber elektrik yang murah dengan penghasilan karbon yang kecil. Walaupun penggunaan tenaga diperbaharui seperti hidrokuasa mempunyai kelebihan yang jelas dan nyata, kebanyakan operasi sistem takungan hidrokuasa tidak dijalankan secara berkesan dan masih dijalankan berasaskan pengalaman, undang-undang lazim atau undang-undang statik yang ditentukan semasa pembinaan. Boleh diperhatikan, penambahbaikan dalam undang-undang operasi walaupun kecil boleh meningkatkan keberkesanan sistem hidrokuasa. Oleh itu pelbagai polisi operasi telah dibangunkan dan dinilai dalam kajian ini. Secara umumnya, kajian ini dibahagi kepada dua peringkat. Peringkat pertama ialah untuk memaksimumkan hasil penjanaan kuasa dengan menggunakan data sejarah (2003-2012). Oleh itu, pelbagai bentuk polisi seperti Polisi Satu Titik Catuan (1PHP), Polisi Dua Titik Catuan (2PHP), Polisi Tiga Titik Catuan (3PHP), Polisi Catuan Terputus (DHP), Polisi Catuan Piawai untuk Penjanaan Hidrokuasa (SHPHP), Polisi Operasi Binari Piawai untuk Penjanaan Hidrokuasa (BSOPHP), dan Polisi Operasi Piawai untuk Penjanaan Hidrokuasa (SOPHP) telah diformulasi dan dibangunkan menggunakan simulasi Matlab. Model yang dibangunkan telah digunakan ke atas Skim hidrokuasa Cameron Highland dan Batang Padang (CHBPHS) di Cameron Highland, Malaysia. CHBPHS merupakan sistem takungan hidrokuasa lata, di mana terdapat dua takungan (Ringlet dan Jor) dan dua stesyen janakuasa. Untuk meningkatkan keberkesanan sistem dan memaksimumkan penjanaan kuasa, model operasi yang dibina dioptimumkan. Untuk menentukan penyelesaian optimum setiap polisi, algoritma genetik terkod sebenar digunakan sebagai teknik

pengoptimum. Oleh itu, untuk meningkatkan keberkesanan fungsi dalam penjanaan hidrokuasa, memaksimumkan jumlah penjanaan kuasa dalam tempoh operasi telah dipilih sebagai fungsi objektif, manakala had fizikal dan operasi dipenuhi. Keputusan menunjukkan bahawa dengan penggunaan polisi catuan teroptimum, hasil penjanaan kuasa dalam sistem takungan yang dikaji boleh ditingkatkan sehingga 13% berbanding dengan polisi operasi sedia ada (operasi TNB). Peningkatan ketara dalam penjanaan kuasa ini akan menyumbang dalam pembangunan ekonomi. Tambahan lagi, perbezaan hasil penjanaan kuasa purata bulanan di antara bulan tertinggi dan bulan terendah dengan menggunakan polisi catuan adalah lebih kurang 10% di takungan Ringleet dan 26% di takungan Jor, manakala perbezaan penjanaan kuasa dengan menggunakan undang-undang operasi TNB adalah masing-masing lebih kurang 30% dan 49%. Memandangkan polisi catuan selalunya digunakan untuk pengagihan bekalan air, bekalan kuasa juga berselerak dalam tempoh simulasi. Ini adalah disebabkan pengagihan air memberi kesan kepada penghasilan kuasa. Sebagai kesimpulan, polisi-polisi ini meningkatkan kestabilan sistem. Skop utama tahap kedua ialah ramalan penghasilan kuasa di masa hadapan dengan menggunakan data cuaca terjana. Dengan itu, aspek pertama yang perlu ditunjukkan ialah penghasilan parameter cuaca di masa hadapan. Pertamanya, model Long Ashton Research Station-Weather Generator (LARS-WG) telah digunakan, yang mana ia telah dikalibrasi dan disahkan menggunakan data jam pancaran matahari, hujan, dan data suhu minimum dan maksimum yang diperhatikan. Kemudian, nilai minimum dan maksimum suhu dan rekod hujan, disintesis oleh fail senario untuk meramalkan parameter cuaca di masa hadapan (taburan hujan, suhu minimum dan maksimum) di bawah senario yang munasabah. Kesemua senario menunjukkan bahawa perubahan cuaca meningkatkan suhu di lokasi sistem takungan lebih kurang 0.3-0.7°C. Peningkatan suhu boleh mempengaruhi masa dan magnitud hujan dengan menganjakkan musim panas dan musim hujan. Tambahan lagi, hasil keputusan menunjukkan pengurangan hujan bulanan. Keputusan dari LARS-WG digunakan sebagai input kepada Rangkaian Neural Buatan (ANN). ANN seterusnya digunakan sebagai model taburan hujan-air larian untuk meramal aliran sungai yang masuk ke dalam sistem takungan di masa hadapan. Untuk lebih penerangan, pemodelan ANN mempunyai dua tahap. Tahap pertama ANN di kalibrasi dan disahkan dengan menggunakan evapotranspirasi harian, hujan dan aliran sungai yang diperhatikan (2003–2012). Untuk menganggarkan evapotranspirasi harian, suhu minimum dan maksimum harian yang diperhatikan digunakan dalam penganggaran berdasarkan persamaan Hargreaves-Samani. Dengan menggunakan data harian yang diperhatikan, ANN boleh memetakan hubungan di antara hujan-air larian. Keputusan menunjukkan model ANN memiliki kebolehan yang baik untuk mencerp data masukan/keluaran tak-linear dalam kedua-dua set latihan dan set ujian. Dalam tahap kedua, hujan masa hadapan (hasil dari LARS-WG) dan evapotranspirasi masa hadapan (tukar suhu minimum dan maksimum masa hadapan yang dihasilkan LARS-WG kepada evapotranspirasi masa hadapan menggunakan formula Hargreaves-Samani) diekspot ke ANN untuk meramal aliran sungai di masa hadapan di bawah senario yang munasabah. Selepas penghasilan parameter cuaca di masa hadapan, aliran sungai yang diramalkan oleh ANN dan anggaran penyejatan masa hadapan (tukar suhu minimum dan maksimum

masa hadapan yang dihasilkan oleh LARS-WG kepada penyejatan masa hadapan menggunakan formula Penman) diekspot ke model yang dibina untuk meramal penghasilan kuasa di masa hadapan. Keputusan menunjukkan penghasilan kuasa di kedua-dua takungan di masa hadapan akan berkurangan di bawah semua kemungkinan senario cuaca. Merujuk kepada keputusan yang diberi, penggunaan 3PHP untuk takungan Ringlet dan polisi SHPHP untuk takungan Jor, boleh memberikan jumlah kuasa yang tertinggi yang boleh dihasilkan di masa hadapan dan boleh digunakan untuk mengurangkan kesan negatif dalam perubahan cuaca.

**Kata Kunci:** Pengoptimuman, Operasi takungan hidrokuasa, Polisi catuan, Algoritma genetik, Perubahan cuaca, LARS-WG, Pemodelan hujan-air larian, Rangkaian neural buatan.



## ACKNOWLEDGEMENTS

First and foremost, praises and thanks to the Almighty, for His showers of blessings throughout my research work to complete the research successfully.

I express my warm thanks to Prof. Thamer Ahmad Mohammad for his excellent guidance, caring, patience, and providing me with an excellent atmosphere for doing research.

I would like to express my deep and sincere gratitude to my committee memberships

Assoc Prof, Abdul Halim Ghazali, Assoc Prof, M.A.Malek, and Dr, Syamsiah bt. Mashhor for giving me the opportunity to do research and providing invaluable guidance throughout this research.

I have to thank my beloved parents for their endless love, support and encouragement throughout my life with their best wishes. Thank you both for giving me strength to reach for the stars and chase my dreams. I myself realize that they have much love to give to me and I cannot pay for it. Then, my little sister, Hilda deserves my wholehearted thanks as well because of her love, patience and uplifted my morale whenever I needed.

My special regards to my father in law, mother in law, for their love and moral support. I am thankful to encourage me and prayed for me throughout the time of my research. I owe my deepest gratitude and love for their dedication and the many years of support during my studies.

As always it is impossible to mention everybody who had an impact to this work however there are those whose spiritual support is even more important. I feel a deep sense of gratitude for my grandparents, mother, father, who formed part of my vision and taught me good things that really matter in life. Their infallible love and support has always been my strength. Their patience and sacrifice will remain my inspiration throughout my life. I am also very much grateful to all my family members for their constant inspiration and encouragement.

To all my friends, thank you for your understanding and encouragement in my moments of crisis. Your friendship makes my life a wonderful experience. I cannot list all the names here, but you are always on my mind.

I would like to thank my husband, Amin who has made this arduous journey much more pleasant. His love and helpful spirit have motivated me to achieve beyond my own expectation. His infallible love and support has always been my strength. His patience and sacrifice will remain my inspiration throughout my life. Without his help, I would not have been able to complete much of what I have done and become who I am.



I certify that a Thesis Examination Committee has met on 9/10/2015 to conduct the final examination of Aida Tayebiyon on her thesis entitled "Optimization of Hydropower Reservoir System Using Genetic Algorithm for Various Climatic Scenarios" in accordance with the Universiti Putra Malaysia. The committee recommends that the student be awarded the Doctor of Philosophy. Members of the Thesis Examination Committee were as follows:

**Hussain bin Hamid, PhD**

Associate Professor  
Faculty of Engineering  
Universiti Putra Malaysia  
(Chairman)

**Badronnisa binti Yusuf, PhD**

Senior Lecturer  
Faculty of Engineering  
Universiti Putra Malaysia  
(Internal Examiner)

**Desa bin Ahmad, PhD**

Professor, Ir  
Faculty of Engineering  
Universiti Putra Malaysia  
(Internal Examiner)

**Mohammad F. Dahab, PhD**

Professor  
University of Nebraska  
United States  
(External Examiner)



**ZULKARNAIN ZAINAL, PhD**

Professor and Deputy Dean  
School of Graduate Studies  
Universiti Putra Malaysia

Date:

The thesis was submitted to the Senate of Universiti Putra Malaysia and has been accepted as fulfilment of the requirement for the degree of Doctor of Philosophy. The members of the Supervisory Committee were as follows:

**Thamer Ahmad Mohammad, PhD**

Professor  
Faculty of Engineering  
Universiti Putra Malaysia  
(Chairman)

**Abdul Halim Ghazali, PhD**

Associate Professor  
Faculty of Engineering  
Universiti Putra Malaysia  
(Member)

**Syamsiah bt. Mashohor, PhD**

Senior Lecturer  
Faculty of Engineering  
Universiti Putra Malaysia  
(Member)

**M.A.Malek, PhD**

Associate Professor  
Institute of Energy, Policy and Research (IERRe)  
Universiti Tenaga Nasional  
(Member)

**BUJANG BIN KIM HUAT, PhD**

Professor and Dean  
School of Graduate Studies  
Universiti Putra Malaysia

Date:

## Declaration by graduate student

I hereby confirm that:

- this thesis is my original work;
- quotations, illustrations and citations have been duly referenced;
- this thesis has not been submitted previously or concurrently for any other degree at any other institutions;
- intellectual property from the thesis and copyright of thesis are fully-owned by Universiti Putra Malaysia, as according to the Universiti Putra Malaysia (Research) Rules 2012;
- written permission must be obtained from supervisor and the office of Deputy Vice-Chancellor (Research and Innovation) before thesis is published (in the form of written, printed or in electronic form) including books, journals, modules, proceedings, popular writings, seminar papers, manuscripts, posters, reports, lecture notes, learning modules or any other materials as stated in the Universiti Putra Malaysia (Research) Rules 2012;
- There is no plagiarism or data falsification/fabrication in the thesis, and scholarly integrity is upheld as according to the Universiti Putra Malaysia (Graduate Studies) Rules 2003 (Revision 2012-2013) and the Universiti Putra Malaysia (Research) Rules 2012. The thesis has undergone plagiarism detection software.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Name and Matric No.: Aida Tayebian (GS33325)

## Declaration by Members of Supervisory Committee

This is to confirm that:

- the research conducted and the writing of this thesis was under our supervision;
- Supervision responsibilities as stated in the Universiti Putra Malaysia (Graduate Studies) Rules 2003 (Revision 2012-2013) are adhered to.

Signature: \_\_\_\_\_

Name of Chairman  
of Supervisory  
Committee: Thamer Ahmad Mohammad,  
PhD  
\_\_\_\_\_

Signature: \_\_\_\_\_

Name of Member of  
Supervisory  
Committee: Abdul Halim Ghazali, PhD  
\_\_\_\_\_

Signature: \_\_\_\_\_

Name of Member of  
Supervisory  
Committee: Syamsiah bt. Mashohor, PhD  
\_\_\_\_\_

Signature: \_\_\_\_\_

Name of Member of  
Supervisory  
Committee: M.A.Malek, PhD  
\_\_\_\_\_

## TABLE OF CONTENTS

		Page
	<b>ABSTRACT</b>	i
	<b>ABSTRAK</b>	iv
	<b>ACKNOWLEDGEMENTS</b>	vii
	<b>APPROVAL</b>	x
	<b>DECLARATION</b>	xi
	<b>LIST OF FIGURES</b>	xv
	<b>LIST OF TABLES</b>	xviii
	<b>LIST OF ABBREVIATIONS</b>	xx
	<b>LIST OF NOTATIONS</b>	xxiii
	<b>CHAPTER</b>	
<b>1</b>	<b>INTRODUCTION</b>	<b>1</b>
	1.1 Background	1
	1.2 Problem statement	1
	1.3 Objectives of study	3
	1.4 Scope and limitation of the study	4
	1.5 Significance of study	5
	1.6 Thesis organization	6
 <b>2</b>	<b>LITERATURE REVIEW</b>	 <b>8</b>
	2.1 General	8
	2.2 Operational strategies for reservoir systems operation	9
	2.3 Application of optimization techniques in reservoir system operation	12
	2.4 Downscaling techniques of global climate data	16
	2.5 Rainfall-Runoff modelling	19
	2.6 Summary of literature review	20
	2.6.1 Summary of operational policies	20
	2.6.2 Summary of optimization techniques	21
	2.6.3 Summary of weather generator	22
	2.6.4 Summary of rainfall-runoff modelling	24
 <b>3</b>	<b>METHODOLOGY</b>	 <b>26</b>
	3.1 Overall stages of present research	26
	3.2 Data Collection	27
	3.2.1 Case study; Cameron Highland and Batang Padang Hydro Scheme	31
	3.2.2 Description of Cameron Highland Hydro Scheme (CHHS)	33
	3.2.3 Description of Batang Padang Hydro Scheme (BPHS)	36
	3.3 Construction and optimization of reservoir system operation by using historical data	37

3.3.1	Water release based on the one-point hedging policy (1PHP)	42
3.3.2	Water release based on the two-point hedging policy (2PHP)	43
3.3.3	Water release based on the three-point hedging policy (3PHP)	44
3.3.4	Water release based on discrete hedging policies (DHP)	45
3.3.5	Modified standard operating policy for hydropower generation (SOPHP)	46
3.3.6	Binary standard operating policy for hydropower generation (BSOPHP)	48
3.3.7	Modified standard hedging policy for hydropower generation (SHPHP)	49
3.3.8	Objective function and constraints	50
3.3.9	Characteristics of hydropower generation	51
3.4	Optimization technique; Real Coded Genetic Algorithm (RCGA)	52
3.5	Procedure of Downscaling by LARS-WG Model	54
3.6	Procedure of Downscaling by LARS-WG Model	57
3.6.1	Model calibration	58
3.6.2	Model validation	58
3.6.3	Generation of synthetic weather data	59
3.6.4	Generation of Climate Scenarios	59
3.7	Using an Artificial Neural Network approaches as Rainfall-Runoff model	60
3.7.1	ANN Learning Process	62
3.7.2	ANN Training Procedure	62
3.7.3	Model Development	63
3.7.4	Model Evaluation	64
3.8	Evapotranspiration Estimation Method	65
3.8.1	Extra-terrestrial radiation (Ra)	65
3.9	Prediction of future power generation under possible scenarios	66
3.9.1	Estimate Evaporation (Penman Method)	67

#### **4 RESULTS AND DISCUSSIONS**

4.1	Model implementation and determination of optimized hedging for operation of Ringlet reservoir	70
4.2	Analysing the impacts of possible future scenarios at Ringlet reservoir by LARS-WG	82
4.2.1	Evaluation of LARS-WG performance for prediction of climate variables at Ringlet reservoir	82
4.2.2	Change in temperature	86
4.2.3	Change in future rainfall	89
4.3	Analysis of rainfall-runoff modelling by Artificial Neural Networks	90
4.3.1	Training ANN algorithm for projection of future Bertam stream flow	91

4.3.2	Prediction of Bertam stream flow for possible future scenarios	93
4.4	Assess the effect of climate change on future hydropower generation in Cameron Highland Hydro scheme	93
4.5	Analysis result of power generation under possible climate scenarios at Ringlet	95
4.6	Results of optimal hedging policy Models for operation of Jor reservoir	99
4.7	Analysing the impacts of possible future scenarios at Jor reservoir by LARS-WG	105
4.7.1	Evaluation of LARS-WG performance for prediction of climate variables at Jor reservoir	106
4.7.2	Change in temperature	109
4.7.3	Change in rainfall	112
4.8	Training ANN algorithm for projections of future Batang Padang stream flow	112
4.8.1	Prediction of Batang Padang stream flow for future possible scenarios	114
4.9	Assess the effect of climate change on future hydropower generation in Batang Padang Hydro scheme	115
4.10	Analysis result of power generation under possible climate scenarios at Jor	116
4.11	Model implementation and determination of optimized hedging policies for operation of multi-reservoir hydropower system	120
<b>5</b>	<b>CONCLUSIONS AND RECOMMENDATIONS</b>	<b>126</b>
5.1	Conclusion	126
5.2	Recommendations for future work	129
5.3	Contribution of study	130
	<b>REFERENCES</b>	<b>131</b>
	<b>APPENDICES</b>	<b>140</b>
	<b>BIODATA OF STUDENT</b>	<b>207</b>
	<b>LIST OF PUBLICATIONS</b>	<b>208</b>

## LIST OF FIGURES

Figure	Page
3.1 Overall flowchart of present research	27
3.2 Study area and location of Ringlet and Jor reservoirs	28
3.3 Diagrammatic sketch of Cameron Highland and Batang Padang hydropower reservoir system (Reference: TNB)	31
3.4 Schematic diagram of cascade hydropower reservoir system	32
3.5 Monthly mean inflow coming into Ringlet reservoir (Reference: TNB)	33
3.6 Total catchment area of Cameron Highland, Malaysia	34
3.7 Monthly mean stream flow of Jor River and side stream Rivers	37
3.8 Overall flowchart of reservoir system operation models by using available historical data	39
3.9 Overall scheme of standard operating policy	41
3.10 Overall scheme of the one-point hedging policy (1PHP)	43
3.11 Overall scheme of the two-point hedging policy (2PHP)	44
3.12 Overall scheme of the three-point hedging policy (3PHP)	45
3.13 Overall scheme of Discrete Hedging Policy (DHP)	46
3.14 Scheme of Standard Operating Policy for Hydropower Generation	47
3.15 Overall scheme of Binary Standard Operating Policy for Hydropower Generation	48
3.16 Scheme of Standard Hedging Policy for Hydropower Generation	50
3.17 Flowchart of real coded genetic algorithm	54
3.18 (a), (b), (c) illustrate the integrated model of LARS-WG and ANN in order to predict the future climate parameters	56
3.19 Process of Calibration and Validation of LARS-WG	59
3.20 Process of generating future climate parameters by LARS-WG	60
3.21 Architecture of Back Propagation ANN Model	61
3.22 Process of prediction of future power generation based on various operating policies under possible emission scenarios	67



4.1 Flow diagram of reservoir system operation based on physical and operational constraints	71
4.2 Box plot of Ringlet reservoir elevation for different form of operational policies	76
4.3 Impacts on mean monthly live storage (as a fraction of maximum) at Ringlet reservoir by using optimized operational policies	78
4.4 Impacts on mean monthly power generation at Ringlet reservoir by using optimized operational policies.	79
4.5 Compare monthly mean of observed and simulated rainfall,	84
4.6 Compare monthly standard deviation of observed and simulated rainfall, 1984-2012 at Ringlet	85
4.7 Compare monthly mean of observed and simulated maximum temperature, 1984-2012 at Ringlet	85
4.8 Compare monthly mean of observed and simulated minimum temperature, 1984-2012 at Ringlet	86
4.9 Compare monthly mean of observed and simulated Max temperature in the future under possible scenario	87
4.10 Change in monthly mean of Max temperature in 2011-2030	87
4.11 Compare monthly mean of observed data and simulated Min temperature in the future under possible scenario	88
4.12 Change in monthly mean of Min temperature in 2011-2030	88
4.13 Compare monthly mean of observed and simulated rainfall in the future under possible scenario	89
4.14 Percentage change in monthly mean of rainfall in 2011-2030	90
4.15 Compare daily observed and simulated stream flow in one year by ANN for Bertam River	92
4.16 Compare monthly mean of observed and predicted Bertam River stream flow by possible climate scenarios in future	93
4.17 Process of applying regression analysis	94
4.18 Regression analysis between recorded and Penman evaporation at Ringlet reservoir	95

4.19 Compare monthly mean of present and future power generation (as a fraction of maximum) at Ringlet reservoir using optimized operation policies	97
4.20 Flowchart of mathematical model for operation of Jor reservoir	100
4.21 Compare monthly mean of power generation by optimized operational policies with TNB operation at Jor reservoir	104
4.22 Compare monthly mean of observed and simulated rainfall, 1984-2012 at Jor	107
4.23 Compare monthly standard deviation of observed and simulated rainfall, 1984-2012 at Jor	108
4.24 Compare monthly mean of observed and simulated maximum temperature, 1984-2012 at Jor	108
4.25 Compare monthly mean of observed and simulated minimum temperature, 984-2012 at Jor	109
4.26 Compare monthly Min temperature between present data and simulated data by A1B, A2, and B1 at Jor	110
4.27 Change in monthly mean of Min temperature at Jor	110
4.28 Compare monthly Max temperature between present data and simulated data by A1B, A2, and B1 at Jor	111
4.29 Change in monthly mean of Max temperature at Jor	111
4.30 Percentage change in monthly mean of rainfall at Jor	112
4.31 Compare daily observed and simulated stream flow by ANN for Batang Padang River	113
4.32 Compare monthly mean inflow of Batang Padang River in present and future	115
4.33 Regression analysis between recorded and Penman evaporation for Jor reservoir	116
4.34 Compare monthly mean of present and future power generation (as a fraction of maximum) at Jor reservoir using optimized operation policies	118
4.35 Flowchart of mathematical model for operation of multi-reservoir hydropower system	121

## LIST OF TABLES

<b>Table</b>	<b>Page</b>
3.1 Details of rainfall and temperature stations	29
3.2 Characteristics of Sultan Abu Bakar dam and Ringlet	35
3.3 Characteristics of Sultan Yusuf Power Station (Reference: TNB)	36
3.4 Characteristics of Jor dam and Jor reservoir (Ref: TNB)	36
4.1 Finding the optimal decision variables for each type of release policy	74
4.2 System's firm, mean, and total power generation of Ringlet reservoir	75
4.3 Monthly mean power generation (KWh) based on different operational policies for Ringlet reservoir	82
4.4 Weather data used at Ringlet site	82
4.5 Statistical results of comparing the equality of observed and simulated data generated	83
4.6 Statistical evaluation measurements of Ringlet reservoir	92
4.7 Compare the output of power generation in the present & future period at Ringlet Reservoir	98
4.8 Optimization of decision variables in operational release policies of Jor reservoir	101
4.9 System's Firm, mean, and total power generation of Jor reservoir	103
4.10 Monthly mean of power generation (KWh) based on different operational policies at Jor reservoir	105
4.11 Weather data used at Jor site	106
4.12 Statistical results of comparing the equality of observed and simulated data generated	106
4.13 Statistical evaluation measurements of Jor reservoir	114
4.14 Compare the output of power generation in the present & future period at Jor Reservoir	119
4.15 Optimized decision variables of operational policies in cascade hydropower reservoir system	123

- 4.16 Compare the number of non-power release in single and multi-reservoir systems 124
- 4.17 Compare system's total power generation (GWh) in single and multi-reservoir systems 125



## LIST OF ABBREVIATIONS

CHBPHS	Cameron Highland and Batang Padang Hydro Scheme
RCM	Regional Climate Model
AOGCM	Atmosphere-Ocean Global Circulation Model
SDSM	Statistical Down Scaling Model
SWGs	Stochastic Weather Generators
WGs	Weather Generators
SWM	Stanford Watershed Model
ISO	Implicit Stochastic Optimization
ESO	Explicit Stochastic Optimization
LP	Linear Programming
NLP	Nonlinear Programming
SQP	Successive Quadratic Programming
DP	Dynamic Programming
DDP	Deterministic DP
IDP	Incremental DP
DDDP	Discrete Differential DP
DPSA	DP with Successive Approximation
FDP	Folded DP
SDP	Stochastic DP
CI	Computational Intelligence
EC	Evolutionary Computation
EA	Evolutionary Algorithms
GAs	Genetic Algorithms
ACO	Ant Colony Optimization
PSO	Particle Swarm Optimization
SA	Simulated Annealing
TS	Tabu Search
GA	Genetic Algorithm
CHHS	Cameron Highlands Hydroelectric Scheme
SYPS	Sultan Yusuf Power Station
BPHS	Batang Padang Hydro Scheme

SIPS	Sultan Idris II Power Station
TNBR	Tengas Nasional Berhad Research
SOP	Standard Operating Policy
1PHP	One Point Hedging Policy
2PHP	Two Point Hedging Policy
3PHP	Three Point Hedging Policy
DHP	Discrete Hedging Policy
SOPHP	Standard Operation Policy for Hydropower Generation
BSOPHP	Binary Standard Operation Policy for Hydropower Generation
SHPHP	Standard Hedging Policy for Hydropower Generation
RCGA	Real Coded Genetic Algorithm
BCGA	Binary Coded Genetic Algorithm
LARS-WG	Long Ashton Research Station Weather Generator
IPCC	Intergovernmental Panel on Climate Change
GCMs	General Circulation Models
CPDs	Cumulative Probability Distributions
SED	Semi Empirical Distribution
CPF	Cumulative Probability Distribution's Function
HadCM3	Hadley GCM3
SRES	Special Report On Emissions Scenarios
GHG	Greenhouse Gas
KS	Kolmogorov–Smirnov test
t	Student's t distribution
F	F-distribution
Tmin	Minimum Temperature
Tmax	Maximum Temperature
W/D	Seasonal Distributions of Wet and Dry Series
D/Tmax	Distributions of Maximum Temperature
D/Tmin	Distributions of Minimum Temperature
D/Rain	Distributions of Daily Rainfall
M/Tmax	Monthly Mean of Maximum Temperature
M/Tmin	Monthly Mean of Minimum Temperature
M/Rain	Monthly Mean Rainfall

MV/Rain	Monthly Variances of Rainfall
R-R	Rainfall-Runoff
ANNs	Artificial Neural Networks
HS	Hargreaves-Samani
ET <sub>0</sub>	Evapotranspiration
E	Nash-Sutcliffe Coefficient
r	Pearson Correlation of Coefficient
RMSE	Root Mean Square Error
MBE	Mean Bias Error



## LIST OF NOTATIONS

$WA_t$	Water Availability at time $t$ ( $m^3$ )
$S_t$	Water stored in the reservoir at the beginning of time $t$ ( $m^3$ )
$I_t$	Reservoir inflow at time $t$ ( $m^3$ )
$E_t$	Evaporation loss at time $t$ ( $m^3$ )
$R_t$	Release at time $t$ ( $m^3$ )
$D_t$	Target demand in time $t$ ( $m^3$ )
$SP_t$	Spill at time $t$ ( $m^3$ )
$K$	Active storage ( $m^3$ )
$S_{a1.k}$	Reservoir storage at the point of one-point hedging policy (1PHP)
$S_{b1.k}, S_{b21.k}$	Reservoir storage at first and second point of two-point hedging policy (2PHP) respectively.
$S_{c1.k}, S_{c2.k},$ and $S_{c3.k}$	Reservoir storage at first, second and third point of three-point hedging policy (3PHP) respectively
HF1, HF2, and HF3	Fraction of target demand at stage-I, stage-II, and stage-III respectively.
V1, V2, and V3	Hedging trigger-volumes at stage-I, stage-II, and stage-III respectively
TP	Target power (MW)
$\alpha$	Fraction of available water
F(h)	Function of release and head
$R_f$	Release at time $t$ based on maximum net head
CT	Full capacity of turbines
h	Net head
$h_{max}$	Maximum net head
F	Release is function of full capacity and net head
P	Probability of occurrence
v	Climate variable
$V_{obs}$	Observed variable
$X_{model,l}$	Simulated values
$X_{obs,i}$	Observed values
$\bar{X}_{obs,i}$	Mean value of observed data
n	Number of samples
$X_i$	Input value of ANN
$Y_i$	Output value of ANN
$\bar{X}_i$	Mean values of input data
$\bar{Y}_i$	Mean values of output data
$K_{Rs}$	The adjustment coefficient of radiation
$T_{min}$	Daily minimum temperature
$T_{max}$	Daily maximum temperature
$T_a$	Daily average temperature



$R_a$	The extra-terrestrial radiation
$G_{sc}$	Solar constant ( $0.0820 \text{ MJ m}^{-2} \text{ min}^{-1}$ )
$d_r$	Inverse relative distance Earth-Sun
$\omega_s$	Sunset hour angle (rad)
$\varphi$	Latitude (rad)
$\delta$	Solar declination (rad).
$J$	The number of day in year (from 1 <sup>st</sup> of January to 31 <sup>st</sup> December)
$E_0$	Evaporation rate (mm/day)
$T$	Mean temperature
$h$	Elevation (m)
$A$	Latitude (degrees)
$T_d$	Mean dew-point
$R$	Mean daily range of temperature
$R_{ann}$	The difference between mean temperatures of the hottest and coldest months
$G_t$	Hydropower generation in time $t$
$\eta_0$	Efficiency of the hydropower plants
$\gamma$	The specific weight of water ( $9.81 \text{ kg/m}^3$ )
$H_t$	Defined as the difference between the level of the reservoir and the tail water in time interval $t$
$r_t$	Release at time $t$ ( $\text{m}^3/\text{sec}$ )
$t$	The duration of release (hrs)
$S_{max}$	Storage volume at normal water level
$S_{min}$	Storage volume at minimum water level
$S_{t-1}$	Storage at time $t-1$
$G_{max}$	Maximum capacity of hydro plants
$G_{min}$	Minimum capacity of hydro plants
$R_{max}$	Maximum permissible release
$R_{min}$	Minimum permissible release
$Rel$	Time-based reliability index
$N_s$	The number of time intervals that reservoir can fully meet the target demands
$N$	The total number of intervals in simulation time horizon
$\beta$	Resilience index
$f_s$	The number of separate continuous sequences of failure periods
$f_d$	Total failure duration
$\eta'$	Vulnerability index
$S_j$	Maximum shortfall in each of failure trails
$\eta$	Dimensionless vulnerability
$D_f$	Target demand during the failure

## CHAPTER 1

### INTRODUCTION

#### 1.1 Background

Dams and reservoirs have been constructed since 5000 years ago to serve humankind by retaining water in times of surplus and releasing it in times of deficiency. Nowadays, there are more than 45,000 dams in all over the world, which must be operated efficiently to manage the water shortage, suppress floods, and mitigate the large catastrophic droughts. The main duty of reservoir systems is to regulate the natural runoff to meet all demands. While, the operation of reservoirs are so complex because of the seasonal variations and disarray of climate. Reservoir systems are mostly operated as a multipurpose function such as meeting water for agriculture, power production, urban and industrial water supply, tourist attraction, recreation, fisheries and aquaculture, and can improve environmental conditions.

Meanwhile, many reservoir systems around the world have been constructed for hydropower generation. Hydro power provides a cheap source of electricity with few carbon emissions. So, it is such a clean and renewable source of energy where power is derived from the energy of water moving from higher to lower elevations. It is a proven, mature, predictable and price-competitive technology. Hydropower has among the best conversion efficiencies of all known energy sources (about 90% efficiency, water to wire). It requires relatively high initial investment, but has a long lifespan with very low operation and maintenance costs. Reservoirs that generate hydropower are typically operated with the goal of maximizing energy revenue. Yet, reservoirs are not operated sustainably and still operate based on experience, rules of thumb or static rules appointed at the time of construction. It is noticeable that even small ameliorations in the way of operation can increase efficiency of system for many consumers.

#### 1.2 Problem statement

Hydropower is a major resource of electrical energy. The advantages of using hydropower are restriction in use of fuels, the pollutions caused by fossil fuels, and the benefits of using clean and renewable source of energy. The operation of a reservoir system is complicated because of the uncertainties of inflow and rising in demand due to development and population growth. One of the most striking problem is how hydroelectric systems including reservoirs and power plant should be operated over a representative hydrologic period to give the maximum beneficiation in industry (Afzali et al. 2008). One of the significant problem that effects on the hydropower reservoir system output in Malaysia is operating rules. Present managers (TNB) still used the standard operating policy to open gates at a time of flooding, which is not efficient. Another factors

that contribute to rapidly rise water level than usual are deforestation, increasingly intensive agricultural activities and in some cases poorly managed agricultural practices in the dam's catchment area. This is coupled with poor land use practices and the encroachment of urban development into the flood plain below the dam. More parameters that decrease the efficiency of hydropower systems are loss of live storage due to the high volume of rubbish, sand, geology, drainage density, ground slopes, and silt sediment on the lake floor. Losing the water storage volume increase the risk of flooding downstream, which directly influence on the safety of human population and properties. In addition, loss of live storage cause an economic losses not only in revenue for power generation but also large capital and maintenance cost for reservoir dredging and restoration works. Changes in sediment load in reservoirs due to extreme events such as higher rainfall intensity cause erosion. Sediment has a significant effect on the performance of hydropower reservoirs in some ways such as; it could increase turbine abrasions and decrease its efficiency, it could reduce the lifespan of reservoir capacity by filling up reservoirs faster, it also leads to decrease the water quality. The foregoing factors are occurring due to lack of suitable and efficient management in whole catchment area. Although numbers of factors effects on the output of hydropower systems, only the reservoir operating policies will be discussed and investigated in this research.

1. Hydropower reservoir system are not operated efficiently and still operate based on experience, rules of thumb or static rules appointed at the time of construction. While, it is necessary to modify and improve the way of operation by considering the current system situation and using more efficient release policies for operating and managing the reservoir system. It is remarkable to say that even small improvement in the way of operation could enhance efficiency of system and increase the output of power generation. According to fill up the gap of inefficient operating policy in Malaysia, this research is done to test and evaluate different forms of operational policies in order to adapt the hydropower reservoir system operation in the face of changing hydrological balance and climate change.

Another aspect in a survey of hydropower reservoir system is although the renewable energy such as hydropower has obvious advantages, it still faces in significant drawbacks. One of the significant problem is that hydropower resources are so vulnerable to seasonal variation and climate change. According to World Commission on Dams (WCD, 2000), climate change has the potential to make an effect on global hydropower installations in different ways.

2. Changes in seasonal and annual local climate parameters, especially in temperature and rainfall could influence on river stream flow feeding the studied reservoirs. To explain more, changes in time, magnitude, length of the wet season flows especially delayed on rainy season, affecting dam operations as well as release patterns. In addition, increase in temperature effects on water surface evaporation at reservoirs and decrease the available storage. Changes in temperature and rainfall could influence on the runoff volume and consequently output of power generation.

Meanwhile, another issue raise from the statement that is absence of available toolbox to predict the future power output.

3. There is no integrated model or package available for prediction and analysis of power generation in the future. To overcome the mentioned problem, an integrated model were constructed to predict the future power output and analyse the output of different operating policies in order to mitigate the negative effects of climate change on hydropower reservoir systems.

### **1.3 Objectives of study**

The principal objective of this study is to derive the best policy in order to increase the output of hydropower generation and mitigate the effects of climate change on power output. Accordingly, the specific objectives are summarized as following

1. To construct and optimize different forms of release policies in order to increase the system efficiency and maximize the power generation.
2. To apply an efficient model that could predict the effects of climate change on weather parameters in regional-scale.
3. To construct an integrated model in order to predict the future power generation using predicted climate parameters.

## 1.4 Scope and limitation of the study

The scope and limitation of this research is summarized based on each of specific objective.

Objective 1: Construct efficient operating policies

Scope1: Various type of release policies namely, One Point Hedging Policy (1PHP), Two Point Hedging Policy (2PHP), Three Point Hedging Policy (3PHP), Discrete Hedging Policy (DHP), Standard Hedging Policy for Hydropower Generation (SHPHP), Binary Standard Operating Policy for Hydropower Generation (BSOPHP), and Standard Operating Policy for Hydropower Generation (SOPHP) are formulated and constructed in Mat lab simulation. In order to determine the optimum solution in each policy, real coded genetic algorithm is used as an optimization technique while maximizing the total power generation over the operational periods is chosen as an objective function.

Limitation1:

- It assumes that the efficiency of turbines remains the same during present and future periods.
- It assumes that the minimum water level remains constant in present and future period due to continues dredging
- TNB just installs one evaporation gage for CHBPHS which records monthly. So the evaporation of reservoir surface area (mm) was taken the same for a whole month and the same for both reservoirs.
- Objective 2: Prediction of future climate parameters

Scope 2: An integrated model of climate change (LARS-WG) and rainfall-runoff (ANN) is constructed in order to predict the future stream flow coming to the selected reservoirs.

Limitation 2:

- Only the output of 1 form 15 sub model (GCMs) was used for prediction of climate parameter.
- The numbers of rainfall stations are available in the study area, but the data of nearest rainfall stations relative to reservoirs were taken as an input of downscaling weather generator and rainfall-runoff modelling.
- Since the deforestation and agriculture activities data is not available in this area, less number of parameters are used for construction of rainfall-runoff model.

Objective 3: Prediction of future power generation

Scope 3: The predicted stream flow are exported to the constructed operational policy models to predict the future hydropower generation output.

### **1.5 Significance of study**

Electricity demand is increasing twice as fast as overall energy use and is likely to rise by more than two-thirds 2011 to 2035. In 2012, 42% of primary energy was converted into electricity. So, the world will need greatly increased energy supply in the next 20 years, especially cleanly-generated electricity such as hydropower, which use water supply for producing electricity. Accordingly, the number of hydropower reservoir systems have increased rapidly in developing countries such as Malaysia due to urbanization, industrialization, change in life styles and also economic growth. So, it is requisite to improve and modify the way of reservoir systems operation. Since, many of them still operate based on an experience and the rules appointed at the time of construction. However, the situation of reservoir system does not remain the same and will be vary in a face of hydrological and seasonal change. Even small amelioration could enhance the efficiency of system and increase the output of power generation. Accordingly, the main purpose of this research is to fill up the lack of inefficient operating system. So, different forms of operating policies are constructed and evaluated in this research in order to determine their capabilities in operating of system and increase electricity output. Therefore, this research focus on this question; 'How to improve the reservoir systems operation to increase the output of power generation?'

Another problem raise up because of global warming. Recently, climate change has brought further stress on the already stressed systems and threatens the livelihood conditions of water resources. So, it is significant to analyse and predict how such changes to the earth's climate system could effect on the temperature and amount of precipitation. Since, these variables will directly effect on water resources. Moreover, better understanding of climate parameter variation, give valuable results that could help the water resources managers to consider the climate effects for adaption of suitable operational policies and mitigation of negative effects of climate change. So, the significant of this study is to answer these questions; 'How to adapt the operation of the water resource system in the face of changing seasonal effects and climate change?'

## **1.6 Thesis organization**

This Chapter lays out the background, problem statement, objectives, scope and limitation, significant of study and also introduces the framework of present dissertation.

Chapter 2 review the previous studies, which have been done before. At first, the different forms of release policy for operation of water resources are reviewed and the optimization technique to find out the optimum strategy in specific water resource systems are subsequently investigated and benefits and drawbacks of methods are explained. Afterwards, the review has been done in order to achieve the second objective (generating the future climate parameters). The groups of downscaling techniques for prediction of climate variables are studied and their performances are compared. At last, the importance of understanding the transformation of precipitation into runoff in reservoir management is explained and the benefits of using artificial neural networks as a rainfall-runoff method are presented.

This research can be divided into two stages of implementation which extensively described and presented in Chapter 3. First of all, the Cameron Highland and Batang Padang Hydro Scheme (CHBPHS) with its components such as dams, reservoirs, and hydro plants and the source of gathering information for this research are explained. Afterwards, the different part of integrated modelling with their descriptions and mathematical formulations are extensively described. These Sub-models are including the mathematical models of reservoir operational policies, Long Ashton Research Station Weather Generator (LARS-WG) model, and Artificial Neural Networks algorithm.

In Chapter 4, the optimized results of various forms of release policies in both single and cascade hydropower reservoir systems are analysed and compared

to determine the best operational policy to maximize the power generation output in present time period at study area. In addition, the calibration and validation results of downscaling weather generator and rainfall-runoff modelling under different climate scenarios were investigated and future output of hydropower generation was predicted by using different release policies under possible emission scenario.

Chapter 5 sums up the research findings and recommend an outline future research directions for extension of the study presented in this dissertation.





## REFERENCES

- Afzali, R., Mousavi, S. J., & Ghaheri, A. (2008). Reliability-based simulation-optimization model for multireservoir hydropower systems operations: Khersan experience. *Journal of Water Resources Planning and Management*, 134(1), 24-33.
- Ahmed, J. A., & Sarma, A. K. (2005). Genetic algorithm for optimal operating policy of a multipurpose reservoir. *Water resources management*, 19(2), 145-161.
- Apipattanavis, S., Podestá, G., Rajagopalan, B., & Katz, R. W. (2007). A semiparametric multivariate and multisite weather generator. *Water resources research*, 43(11), 1-19.
- Arumugam, M. S., Rao, M., & Palaniappan, R. (2005). New hybrid genetic operators for real coded genetic algorithm to compute optimal control of a class of hybrid systems. *Applied Soft Computing*, 6(1), 38-52.
- Bahremand, A., & De Smedt, F. (2008). Distributed hydrological modeling and sensitivity analysis in Torysa Watershed, Slovakia. *Water resources management*, 22(3), 393-408.
- Bahremand, A., & De Smedt, F. (2010). Predictive analysis and simulation uncertainty of a distributed hydrological model. *Water resources management*, 24(12), 2869-2880.
- Barros, M. T., Tsai, F. T., Yang, S.-I., Lopes, J. E., & Yeh, W. W. (2003). Optimization of large-scale hydropower system operations. *Journal of Water Resources Planning and Management*, 129(3), 178-188.
- Bayazit, M., & Ünal, N. (1990). Effects of hedging on reservoir performance. *Water resources research*, 26(4), 713-719.
- Bellman, R. (1956). Dynamic programming and Lagrange multipliers. *Proceedings of the National Academy of Sciences of the United States of America*, 42(10), 767.
- Bellman, R. E., & Dreyfus, S. E. (1962). Applied dynamic programming. NJ, Princeton University Press.
- Bhaskar, N. R., & Whitlatch, E. E. (1980). Derivation of monthly reservoir release policies. *Water resources research*, 16(6), 987-993.
- Blackshear, B., Crocker, T., Drucker, E., Filoon, J., Knelman, J., & Skiles, M. (2011). Hydropower Vulnerability and Climate Change. *A Framework for Modeling the Future of Global Hydroelectric Resources, Middlebury College Environmental Studies Senior Seminar, Fall 2011.*

- Bower, B. T., Hufschmidt, M. M., & Reedy, W. W. (1962). Operating procedures: their role in the design of water-resource systems by simulation analyses. *Design of water resources systems*, 443-458.
- Braga Jr, B. P., Yen, W. W.-G., Becker, L., & Barros, M. T. (1991). Stochastic optimization of multiple-reservoir-system operation. *Journal of Water Resources Planning and Management*, 117(4), 471-481.
- Brissette, F., Leconte, R., Minville, M., & Roy, R. (2006). Can we adequately quantify the increase/decrease of flooding due to climate change?. *EIC Climate Change Technology, 2006 IEEE*, 1-6.
- Cai, X., McKinney, D. C., & Lasdon, L. S. (2001). Solving nonlinear water management models using a combined genetic algorithm and linear programming approach. *Advances in Water Resources*, 24(6), 667-676.
- Chang, L.-C., Chang, F.-J., Wang, K.-W., & Dai, S.-Y. (2010). Constrained genetic algorithms for optimizing multi-use reservoir operation. *Journal of Hydrology*, 390(1), 66-74.
- Chang, W.-D. (2007). Nonlinear system identification and control using a real-coded genetic algorithm. *Applied Mathematical Modelling*, 31(3), 541-550.
- Chen, H., Guo, J., Zhang, Z., & Xu, C.-Y. (2013). Prediction of temperature and precipitation in Sudan and South Sudan by using LARS-WG in future. *Theoretical and Applied Climatology*, 113(3-4), 363-375.
- Chen, Q., Chen, D., Li, R., Ma, J., & Blanckaert, K. (2013). Adapting the operation of two cascaded reservoirs for ecological flow requirement of a de-watered river channel due to diversion-type hydropower stations. *Ecological modelling*, 252, 266-272.
- Chiamsathit, C., Adeloye, A. J., & Soundharajan, B. S. (2014). Assessing competing policies at Ubonratana reservoir, Thailand. *Proceedings of the Institution of Civil Engineers - Water Management*, 167(10), 551-560.
- Chiang, J.-L., & Liu, T.-M. (2013). Impact of climate change on paddy field irrigation in southern Taiwan. *Paddy and Water Environment*, 11(1-4), 311-320.
- Chiang, J.-L., Yang, H.-C., Chen, Y.-R., & Lee, M.-H. (2013). Potential Impact of Climate Change on Hydropower Generation in Southern Taiwan. *Energy Procedia*, 40, 34-37.
- Collins, M., Tett, S., & Cooper, C. (2001). The internal climate variability of HadCM3, a version of the Hadley Centre coupled model without flux adjustments. *Climate Dynamics*, 17(1), 61-81.

- Côrtes, R. S., & Zambon, R. C. (2014). Reservoir Operation with Robust Optimization for Hydropower Production. *World Environmental and Water Resources Congress 2012*, 2395-2405.
- Crawley, P. D., & Dandy, G. C. (1993). Optimal operation of multiple-reservoir system. *Journal of Water Resources Planning and Management*, 119(1), 1-17.
- Datta, B. (1993). Operation models for single and multipurpose reservoirs—a review. *Jalvigyan Sameeksha—A Publication of Indian National Committee on Hydrology*, 8(1), 1-12.
- Davis, L. (1991). Handbook of genetic algorithms, *Van Nostrand Reinhold, New York*.
- de Sousa Lima, J. R., Antonino, A. C. D., de Souza, E. S., Hammecker, C., Montenegro, S. M. G. L., & de Oliveira Lira, C. A. B. (2013). Calibration of Hargreaves-Samani Equation for Estimating Reference Evapotranspiration in Sub-Humid Region of Brazil. *Journal of Water Resource and Protection*, 2013, 5(12A), 1-5.
- Deb, K. (2000). An efficient constraint handling method for genetic algorithms. *Computer methods in applied mechanics and engineering*, 186(2), 311-338.
- Diaz-Nieto, J., & Wilby, R. L. (2005). A comparison of statistical downscaling and climate change factor methods: impacts on low flows in the River Thames, United Kingdom. *Climatic Change*, 69(2-3), 245-268.
- Dibike, Y. B., & Coulibaly, P. (2005). Hydrologic impact of climate change in the Saguenay watershed: comparison of downscaling methods and hydrologic models. *Journal of Hydrology*, 307(1), 145-163.
- Esat, V., & Hall, M. (1994). Water resources system optimization using genetic algorithms. *In Proceedings of the 1st International Conference on Hydroinformatics. Balkema: Rotterdam*, 225 – 231
- Felfelani, F., Movahed, A. J., & Zarghami, M. (2013). Simulating hedging rules for effective reservoir operation by using system dynamics: a case study of Dez Reservoir, Iran. *Lake and Reservoir Management*, 29(2), 126-140.
- Ferreira, A. R., & Teegavarapu, R. S. (2012). Optimal and adaptive operation of a hydropower system with unit commitment and water quality constraints. *Water resources management*, 26(3), 707-732.
- Ghimire, B. N., & Reddy, M. J. (2013). Optimal reservoir operation for hydropower production using particle swarm optimization and sustainability analysis of hydropower. *ISH Journal of Hydraulic Engineering*, 19(3), 196-210.

- Goldberg, D. (1989). Genetic Algorithms in Search, Optimization, and Machine Learning. Addison-Wesley, Reading, Mass.
- Govindaraju, R. S. (2000). Artificial neural networks in hydrology. II: hydrologic applications. *Journal of Hydrologic Engineering*, 5(2), 124-137.
- Guo, X., Hu, T., Zeng, X., & Li, X. (2012). Extension of parametric rule with the hedging rule for managing multireservoir system during droughts. *Journal of Water Resources Planning and Management*, 139(2), 139-148.
- Ha, J.-L., Fung, R.-F., & Han, C.-F. (2005). Optimization of an impact drive mechanism based on real-coded genetic algorithm. *Sensors and Actuators A: Physical*, 121(2), 488-493.
- Hargreaves, G. H., & Allen, R. G. (2004). Closure to "History and Evaluation of Hargreaves Evapotranspiration Equation" by George H. Hargreaves and Richard G. Allen. *Journal of Irrigation and Drainage Engineering*, 130(5), 448-449.
- Hashimoto, T., Stedinger, J. R., & Loucks, D. P. (1982). Reliability, resiliency, and vulnerability criteria for water resource system performance evaluation. *Water resources research*, 18(1), 14-20.
- Hassan, Z., Shamsudin, S., & Harun, S. (2014). Application of SDSM and LARS-WG for simulating and downscaling of rainfall and temperature. *Theoretical and Applied Climatology*, 116(1-2), 243-257.
- Houghton, J. T., Ding, Y., Griggs, D. J., Noguera, M., van der Linden, P. J., Dai, X., . . . Johnson, C. (2001). *Climate change 2001: the scientific basis*, University Press Cambridge.
- Huang, Y.-P., & Huang, C.-H. (1997). Real-valued genetic algorithms for fuzzy grey prediction system. *Fuzzy Sets and Systems*, 87(3), 265-276.
- Jain, A., & Srinivasulu, S. (2004). Development of effective and efficient rainfall-runoff models using integration of deterministic, real-coded genetic algorithms and artificial neural network techniques. *Water resources research*, 40(4), 1-12.
- Jain, A., Sudheer, K., & Srinivasulu, S. (2004). Identification of physical processes inherent in artificial neural network rainfall runoff models. *Hydrological Processes*, 18(3), 571-581.
- Jain, S. K. (2014). Investigating parameters of two-point hedging policy for operating a storage reservoir. *ISH Journal of Hydraulic Engineering*, 20(2), 133-141.
- Jamal, J. F., & Jain, A. (2011). Comparison of Conceptual and Neural Network Models for Daily Rainfall-Runoff Modelling.

- Jayasinghe, S. (2013). Evaluation of evapotranspiration methods to replace penman-monteith method in the absence of required climatic data in order to have a better Irrigation scheduling. *Digital Library of University of Moratuwa, Sri Lanka*, <http://dl.lib.mrt.ac.lk/handle/123/9001>.
- Jothiprakash, V., & Shanthi, G. (2006). Single reservoir operating policies using genetic algorithm. *Water resources management*, 20(6), 917-929.
- Jr, C. R. P., & Kitanidis, P. K. (1999). Limitations of deterministic optimization applied to reservoir operations. *Journal of Water Resources Planning and Management*, 125(3), 135-142.
- Karamouz, M., & Houck, M. H. (1987). COMPARISON OF STOCHASTIC AND DETERMINISTIC DYNAMIC PROGRAMMING FOR RESERVOIR OPERATING RULE GENERATION<sup>1</sup>. *JAWRA Journal of the American Water Resources Association*, 23(1), 1-9
- King, L., Solaiman, T., & Simonovic, S. P. (2009). Assessment of climatic vulnerability in the Upper Thames River Basin. *Water Resources Research Report No. 064, Facility for Intelligent Decision Support, Dept. of Civil and Environmental Engineering, London, Ontario, Canada*.
- Kuchar, L. (2004). Using WGENK to generate synthetic daily weather data for modelling of agricultural processes. *Mathematics and Computers in Simulation*, 65(1), 69-75.
- Kumar, D. N., & Baliarsingh, F. (2003). Folded dynamic programming for optimal operation of multireservoir system. *Water resources management*, 17(5), 337-353.
- Loucks, D. P. (1997). Quantifying trends in system sustainability. *Hydrological Sciences Journal*, 42(4), 513-530.
- Loucks, D. P., Stedinger, J. R., & Haith, D. A. (1981). *Water Resource Systems Planning and Analysis*, Prentice-Hall, Englewood Cliffs, New Jersey, USA.
- Loucks, D. P., Van Beek, E., Stedinger, J. R., Dijkman, J. P., & Villars, M. T. (2005). *Water resources systems planning and management: an introduction to methods, models and applications*, UNESCO and WL Delf Hydraulics, Netherlands.
- Maass, A., Hufschmidt, M. M., Dorfman, R., Thomas Jr, H. A., Marglin, S. A., & Fair, G. M. (1962). *Design of water-resource systems*, Harvard University Press, Cambridge, Mass.

- Mason, S. J. (2004). Simulating climate over western North America using stochastic weather generators. *Climatic Change*, 62(1-3), 155-187.
- McMahon, T. A., Adedoye, A. J., & Zhou, S.-L. (2006). Understanding performance measures of reservoirs. *Journal of Hydrology*, 324(1), 359-382.
- Mishra, B., & Patnaik, R. K. (2009). Genetic Algorithm and its Variants: Theory and Applications, *Department of Electronics and Communication Engineering. NIT ROURKELA.*
- Momtahn, S., & Dariane, A. (2007). Direct search approaches using genetic algorithms for optimization of water reservoir operating policies. *Journal of Water Resources Planning and Management*, 133(3), 202-209.
- Nakicenovic, N., & Swart, R. (2000). Special report on emissions scenarios. *Special Report on Emissions Scenarios, Edited by Nebojsa Nakicenovic and Robert Swart, pp. 612. ISBN 0521804930. Cambridge, UK: Cambridge University Press, July 2000., 1.*
- Neelakantan, T., & Pundarikanthan, N. (1999). Hedging rule optimisation for water supply reservoirs system. *Water resources management*, 13(6), 409-426.
- Park, G.-A., Shin, H.-J., Lee, M.-S., Hong, W.-Y., & Kim, S.-J. (2009). Future potential impacts of climate change on agricultural watershed hydrology and the adaptation strategy of paddy rice irrigation reservoir by release control. *Paddy and Water Environment*, 7(4), 271-282.
- Qian, B., Hayhoe, H., & Gameda, S. (2004). Evaluation of the stochastic weather generators LARS-WG and AAFC-WG for climate change impact studies. *Climate Research*, 29(1), 3.
- Racsko, P., Szeidl, L., & Semenov, M. (1991). A serial approach to local stochastic weather models. *Ecological modelling*, 57(1), 27-41.
- Rani, D., & Moreira, M. M. (2010). Simulation–optimization modeling: a survey and potential application in reservoir systems operation. *Water resources management*, 24(6), 1107-1138.
- Razzaghi, F., & Sepaskhah, A. R. (2012). Calibration and validation of four common ET<sub>0</sub> estimation equations by lysimeter data in a semi-arid environment. *Archives of Agronomy and Soil Science*, 58(3), 303-319.
- Richardson, C. W. (1981). Stochastic simulation of daily precipitation, temperature, and solar radiation. *Water resources research*, 17(1), 182-190.

- Rittima, A. (2009). Hedging policy for reservoir system operation: a case study of Mun Bon and Lam Chae reservoirs. *Kasetsart J (Natural Science)*, 43(4), 833-842.
- Rittima, A. (2012). Optimal hedging policies for hydropower generation at ubolratana reservoir. *Kasetsart Journal-Natural Science*, 46(5), 812-825.
- Semenov, M. A., & Barrow, E. M. (1997). Use of a stochastic weather generator in the development of climate change scenarios. *Climatic Change*, 35(4), 397-414.
- Semenov, M. A., & Brooks, R. J. (1999). Spatial interpolation of the LARS-WG stochastic weather generator in Great Britain. *Climate Research*, 11(2), 137-148.
- Semenov, M. A., & Stratonovitch, P. (2010). Use of multi-model ensembles from global climate models for assessment of climate change impacts. *Climate research (Open Access for articles 4 years old and older)*, 41(1), 1-14.
- Sharif, M., & Burn, D. H. (2007). Improved k-nearest neighbor weather generating model. *Journal of Hydrologic Engineering*, 12(1), 42-51.
- Shiau, J.-T. (2009). Optimization of reservoir hedging rules using multiobjective genetic algorithm. *Journal of Water Resources Planning and Management*, 135(5), 355-363.
- Shiau, J. T. (2011). Analytical optimal hedging with explicit incorporation of reservoir release and carryover storage targets. *Water resources research*, 47(1), 1-17.
- Shih, J.-S., & ReVelle, C. (1994). Water-supply operations during drought: Continuous hedging rule. *Journal of Water Resources Planning and Management*, 120(5), 613-629.
- Solomon, S. (2007). Climate change 2007-the physical science basis: Working group I contribution to the fourth assessment report of the IPCC. *New York, Cambridge University Press*.
- Soltani, A., & Hoogenboom, G. (2003). A statistical comparison of the stochastic weather generators WGEN and SIMMETEO. *Climate Research*, 24(3), 215-230.
- Srinivasan, K., & Philipose, M. (1996). Evaluation and selection of hedging policies using stochastic reservoir simulation. *Water resources management*, 10(3), 163-188.
- Srinivasulu, S., & Jain, A. (2006). A comparative analysis of training methods for artificial neural network rainfall-runoff models. *Applied Soft Computing*, 6(3), 295-306.

- Srivastava, D., & Awchi, T. A. (2009). Storage-yield evaluation and operation of Mula Reservoir, India. *Journal of Water Resources Planning and Management*, 135(6), 414-425.
- Sudheer, K., & Jain, A. (2004). Explaining the internal behaviour of artificial neural network river flow models. *Hydrological Processes*, 18(4), 833-844.
- Taghian, M., Rosbjerg, D., Haghghi, A., & Madsen, H. (2013). Optimization of conventional rule curves coupled with hedging rules for reservoir operation. *Journal of Water Resources Planning and Management*, 140(5), 693-698.
- Tingsanchali, T., & Gautam, M. R. (2000). Application of tank, NAM, ARMA and neural network models to flood forecasting. *Hydrological Processes*, 14(14), 2473-2487.
- Toews, M. W., & Allen, D. M. (2009). Evaluating different GCMs for predicting spatial recharge in an irrigated arid region. *Journal of Hydrology*, 374(3), 265-281.
- Tokar, A. S., & Johnson, P. A. (1999). Rainfall-runoff modeling using artificial neural networks. *Journal of Hydrologic Engineering*, 4(3), 232-239.
- Tospornsampan, J., Kita, I., Ishii, M., & Kitamura, Y. (2005). Optimization of a multiple reservoir system operation using a combination of genetic algorithm and discrete differential dynamic programming: a case study in Mae Klong system, Thailand. *Paddy and Water Environment*, 3(1), 29-38.
- Tu, M.-Y., Hsu, N.-S., Tsai, F. T.-C., & Yeh, W. W.-G. (2008). Optimization of hedging rules for reservoir operations. *Journal of Water Resources Planning and Management*, 134(1), 3-13.
- Vanderbei, R. J. (2000). LOQO user's manual—Version 4.05. *Technical Report, Operation Research and Financial Engineering, Princeton University*.
- Vedula, S., Mujumdar, P., & Chandra Sekhar, G. (2005). Conjunctive use modeling for multicrop irrigation. *Agricultural water management*, 73(3), 193-221.
- Vicuña, S., Leonardson, R., Hanemann, M., Dale, L., & Dracup, J. A. (2008). Climate change impacts on high elevation hydropower generation in California's Sierra Nevada: a case study in the Upper American River. *Climatic Change*, 87(1), 123-137.
- Wardlaw, R., & Sharif, M. (1999). Evaluation of genetic algorithms for optimal reservoir system operation. *Journal of Water Resources Planning and Management*, 125(1), 25-33.



- Wilby, R., Charles, S., Zorita, E., Timbal, B., Whetton, P., & Mearns, L. (2004). Guidelines for use of climate scenarios developed from statistical downscaling methods. *IPCC Task Group on Data and Scenario Support for Impact and Climate Analysis (TGICA)*.
- Wu, C., Chau, K., & Fan, C. (2010). Prediction of rainfall time series using modular artificial neural networks coupled with data-preprocessing techniques. *Journal of Hydrology*, 389(1), 146-167.
- You, J.-Y., & Cai, X. (2008). Improve hedging rules for the operation of lake Okeechobee in Southern Florida. *World Environmental and Water Resources Congress 2008*, 1-10.
- You, J. Y., & Cai, X. (2008). Hedging rule for reservoir operations: 2. A numerical model. *Water resources research*, 44(1), 1-11.
- Young, C.-C., & Liu, W.-C. (2014). Prediction and modelling of rainfall–runoff during typhoon events using a physically-based and artificial neural network hybrid model. *Hydrological Sciences Journal*, 1-15.
- Young, G. K. (1967). Finding reservoir operating rules. *Journal of the Hydraulics Divison*, 93(6), 297-322.
- Yu, P.-S., Yang, T.-C., & Wu, C.-K. (2002). Impact of climate change on water resources in southern Taiwan. *Journal of Hydrology*, 260(1), 161-175.
- Yuan, X., Zhang, Y., Wang, L., & Yuan, Y. (2008). An enhanced differential evolution algorithm for daily optimal hydro generation scheduling. *Computers & Mathematics with Applications*, 55(11), 2458-2468.
- Yurtal, R., Seckin, G., & Ardiclioglu, G. (2005). Hydropower optimization for the lower Seyhan system in Turkey using dynamic programming. *Water international*, 30(4), 522-529.
- Zeng, Y., Wu, X., Cheng, C., & Wang, Y. (2013). Chance-Constrained Optimal Hedging Rules for Cascaded Hydropower Reservoirs. *Journal of Water Resources Planning and Management*, 40(7).
- Zhang, B., & Govindaraju, R. S. (2000). Prediction of watershed runoff using Bayesian concepts and modular neural networks. *Water resources research*, 36(3), 753-762.
- Zhao, T., Cai, X., & Yang, D. (2011). Effect of streamflow forecast uncertainty on real-time reservoir operation. *Advances in Water Resources*, 34(4), 495-504.
- Zhou, J. L., Tits, A. L., & Lawrence, C. (1997). A FORTRAN code for solving constrained nonlinear (minimax) optimization problems, generating iterates satisfying all inequality and linear constraints. *Institute for Systems Research, University of Maryland*.