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EFFECTS OF HARVESTING AGE, DRYING, TEA FORM, STORAGE AND INFUSION TIME ON PHYSICOCHEMICAL CHARACTERISTICS OF Clinacanthus nutans (Burm. F) LINDAU

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By MUNIRAH MOHAMAD

Thesis submitted to the School of Graduate Studies, Universiti Putra Malaysia, in Fulfilment of the Requirement for the Degree of Doctor of Philosophy

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DEDICATION

Dedicated to my beloved parents, husband, children, siblings, in laws, supervisors and fellow friends for their endless love, support, understandings, sacrifices, motivation, advice and encouragement.



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July 2017

Chairman : Associate Professor Siti Hajar Ahmad, PhD

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One of the main herbs well known for its medicinal value is the Sabah snake grass or 'Belalai gajah' (Clinacanthus nutans). The primary chemical constituents of the leaves are schaftoside, vitexin, isovitexin, orientin and isoorientin, and antiviral activity is shown by two glycoglycerolipids. Despite the importance of C. nutans, complete information with respect to commercial production and postharvest handling, such as plant vegetative stage (young and mature), drying method (oven, sun, solar and air), storage form (powdered and shredded) and storage duration (0, 4, 8 and 12 weeks) of the herb in the local herbal industry is still lacking. Thus, the objective of this study was to determine the optimum postharvest handling processes that could retain the physicochemical quality of *C. nutans*. Results of Experiment 1 showed that moisture content of all drying method were not more than 15%. In addition, it indicated that colour (L*, C* and ho), chlorophyll content, phytochemical properties and flavonoid compounds (orientin, isoorientin, vitexin, isovitexin and schaftoside) were higher in the young vegetative stage than mature vegetative stage. All the physicochemical qualities were highest when the samples were oven dried compared to the sun, air and solar dried. Furthermore, microbial contamination was not more than the permissible limit by World Health Organisation (WHO). A further study in Experiment 2 showed that the powdered sample resulted in highest phytochemical properties and flavonoid compounds compared to the shredded form. In general, 3 months of storage did not affect the C. nutans quality. Experiment 3 focused on comparing the effect of infusion time of C. nutans tea at young vegetative stage, oven dried and in powdered form (from Experiment 1 and 2) with C. nutans teas available in the local market. The colour, phytochemicals properties and flavonoid compounds infused at 2 and 5 min were significantly different. Increased infusion time at 5 min yield more phytochemical properties and flavonoid compounds than those infused at 2 min. Tea from different producers showed great distinction in their physicochemical and safety level. It was observed that the quality properties of the teas examined were considerably at second place in comparison with those of the *C. nutans* teas available in the local market. Fortunately, microbial and heavy metal contaminations for all producers were not more than the permissible limit by WHO. As conclusion young-oven dried and powdered form contained highest value in physicochemical characteristics during postharvest handling of *Clinacanthus nutans*.



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KESAN PERINGKAT PENUAIAN, PENGERINGAN, BENTUK PENYIMPANAN TEH, MASA PENYIMPANAN DAN MASA RENDAMAN TERHADAP FIZIKOKIMIA

Clinacanthus nutans (Burm. F) LINDAU

Oleh

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Salah satu herba utama yang semakin terkenal dengan nilai perubatannya adalah Sabah snake grass atau Belalai gajah (Clinacanthus Nutans). Kandungan kimia utama herba ini adalah schaftoside, vitexin, isovitexin, orientin dan isoorientin serta aktiviti antivirus ditunjukkan oleh dua glycoglycerolipids. Meskipun pelbagai kebaikan C. nutans dilaporkan, maklumat lengkap berkenaan dengan pengeluaran komersial dan pengendalian lepas tuai, seperti masa tuaian (muda dan tua), kaedah pengeringan (ketuhar, matahari, udara dan solar), bentuk simpanan (serbuk dan dicincang) dan tempoh penyimpanan (0, 4, 8 dan 12 minggu) herba ini dalam industri masih kurang. Oleh itu, objektif kajian ini adalah untuk menentukan proses pengendalian lepas tuai optimum yang boleh mengekalkan kualiti fizikokimia C. nutans. Keputusan Eksperimen 1 menunjukkan bahawa kandungan air di dalam sampel tidak melebihi daripada 15%. Di samping itu, warna (L *, C * dan h°), kandungan klorofil, kandungan fitokimia dan sebatian flavonoid (vitexin, orientin, isovitexin, isoorientin dan schaftoside) adalah lebih tinggi pada peringkat vegetatif muda daripada peringkat vegetatif matang. Semua kualiti fizikokimia adalah tertinggi kandungannya apabila sampel dikeringkan di dalam ketuhar berbanding pengeringan dengan menggunakan matahari, udara dan solar. Pencemaran mikrob tidak melebihi daripada had yang dibenarkan oleh World Health Organisation (WHO). Satu kajian lanjut dalam Eksperimen 2 menunjukkan bahawa sifat fitokimia yang tertinggi didapati pada sampel serbuk dan sebatian flavonoid berbanding sampel yang dicincang. Secara umum, 3 bulan penyimpanan tidak menjejaskan kualiti C. nutans. Eksperimen 3 tertumpu kepada membandingkan kesan masa rendaman teh C. nutans yang dituai pada peringkat vegetatif muda, dikeringkan dengan ketuhar dan dalam bentuk serbuk (dari Experiment 1 dan 2) dengan teh C. nutans yang terdapat di pasaran tempatan. Warna, kandungan fitokimia dan sebatian flavonoid direndam pada 2 dan 5 min berbeza secara ketara. Peningkatan masa infusi pada 5 min menunjukkan kandungan fitokimia dan sebatian flavonoid lebih tinggi daripada yang diinfusi pada 2 min. Teh dari pengeluar yang berbeza menunjukkan perbezaan yang besar dalam kandungan fizikokimia dan tahap keselamatan. Ia menunjukkan bahawa kandungan kualiti teh yang diuji berada di tempat kedua berbanding dengan teh *C. nutans* yang terdapat di pasaran tempatan. Pencemaran mikrob dan logam berat untuk semua pengeluar tidak melebihi daripada had yang dibenarkan oleh WHO. Kesimpulannya, muda-pengeringan ketuhar serta dalam bentuk serbuk menunjukkan kandungan fisikokimia tertinggi semasa pengendalian lepas tuai *Clinacanthus nutans*.



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I certify that a Thesis Examination Committee has met on 7 July 2017 to conduct the final examination of Munirah binti Mohamad on her thesis entitled "Effects of Harvesting Age, Drying, Tea Form, Storage and Infusion Time on Physicochemical Characteristics of *Clinacanthus nutans* (Burm. F) Lindau" in accordance with the Universities and University Colleges Act 1971 and the Constitution of the Universiti Putra Malaysia [P.U.(A) 106] 15 March 1998. The Committee recommends that the student be awarded the Doctor of Philosophy.

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LIST OF ABBREVIATIONS

AlCl₃ Aluminum chloride ANOVA Analysis of variance

AOAC Association of Official Analytical Chemists

As Arsenic
C* Chroma
Cd Cadmium

CFU g⁻¹ Colony forming units per gram of sample

CH₂O₂ Formic acid
CH₃CN Acetonitrile
CH₃OH-d4 Methanol-d4
Cm Centimeter
Co Cobalt
Cr Chromium

CRD Completely randomized design

Cu Copper Drying

DPPH 2,2-diphenyl-1-picrylhydrazyl

DW Dry weight

FDA Food and Drug Administration FeCl₃.6H₂O Iron (III) Chloride Hexahydrate FRAP ferric reducing antioxidant power

G Gram Hour hue angle

HCl Hydrochloric acid

Hg Mercury

HPLC High Performance Liquid Chromatography

ICP-OES Inductively coupled plasma optical emission spectrometry

K₂S₂O₈ Potassium persulfate

Kg Kilogram

KH₂PO₄ Potassium dihydrogen phosphate

 $\begin{array}{ccc} kPa & & Kilopascal \\ L & & Liter \\ L^* & & Lightness \end{array}$

LSD Least significant difference

M Meter M Molar

m² Meter square mbar Millibars MeOH Methanol Mg Milligram

mg g⁻¹ Milligram per gram

mg kg⁻¹ Milligram per kilogram mg L⁻¹ Milligram per liter mg mL⁻¹ Milligram per mililiter

mg N/ha Milligram nitrogen per hectar

Min Minutes mL Mililiter

 $\begin{array}{ll} \text{mL $L^{\text{-}1}$} & \text{Mililiter per liter} \\ \text{mL/min} & \text{Mililiter per minutes} \\ \text{ml-micro} & \text{Milliliter micro} \\ \text{Mm} & \text{Millimeter} \end{array}$

mM Millimolar
MSA Mannitol Salt Agar
NA Nutrient agar

NaOD Sodium deuterium oxide NaOH Sodium hydroxide

ND Not detected

Ni Nickel

Ns No significant °C Degree celcius

Pb Lead

Ppm Parts per million
QE Quercetin equivalent

R² R square S Storage SE Standard Error

TE/g Milligram Trolox equivalent
TFC Total flavonoid content
TNTC: Too numerous to count

ton/ha Ton / hectare

TPC
Total phenolic content
6-tripyridyl-striazine
TPU
Taman Pertanian Universiti

TSP 3-(trimethylsilyl) propionic-2,2,3,3-d4 acid sodium salt

UPM Universiti Putra Malaysia

UV/VIS Ultraviolet-visible

WHO World Health Organization

Zn Zinc

Mm Micrometer

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CHAPTER 1

GENERAL INTRODUCTION

In recent years, it is estimated that 70-80% of people worldwide rely mainly on medicinal herbs to meet their primary healthcare needs. The global demand for herbal medicine is not only large but also a growing one (Khan et al., 2006). According to a report by the United Nation (2000), the world market for medicinal herbs is estimated around US\$60 billion annually. One local herb, the Sabah snake grass (Clinacanthus nutans) is increasingly in demand and is listed among 18 important herbs under the National Key Economic Area (NKEA) due to health awareness, and potential as an alternative medical treatment (MOA, 2012). C. nutans belongs to the family of Acanthaceae that is native to tropical Asia and found growing in Malaysia, Vietnam, Indonesia and China (Ayudhya et al., 2001). This herbal plant species is usually consumed as an herbal tea and widely used by cancer patients due to its high bioactive compounds and phytochemicals content. It has been traditionally used in Thailand as an anti-snake venom treatment. Clinical trials of C. nutans extracts for ailments such as insect bite and virus lesions (herpes simplex and varicella-zoster) also have been reported (Yoosook et al., 1999). Also, C. nutans is commonly consumed in the form of herbal tea, which is effective for treating diabetes mellitus, fever, dysuria and diarrhea (Uawonggul et al., 2011). The primary chemical constituents of the leaves are vitexin, isovitexin, orientin and isooreintin, schaftoside and antiviral activity is shown by two glycoglycerolipids (Sakdarat et al., 2009).

In Malaysia, there is a high demand for fresh leaves of *C. nutans*. It is sold at RM 40/kg and extracts are sold at RM 89/bottle (1L) (Fong, 2015). Despite the importance of *C. nutans*, scientific research shows that this medicinal herb is often of variable quality. Many studies on other herbs have shown that different plant age and maturity or stage of development would lead to differences in quality. For example, the yield, oil and bioactive compound contents of fresh thyme (*Thymus vulgaris* L.) are affected by harvesting stage (Badi et al., 2004). In another example, Esmelindro et al. (2004) reported that young *Ilex paraguariensis* produced higher methylxanthines. In dried herbs, contents of total lactones were maximum at the preflowering stage and lowest at the flowering stage (Singh et al., 2011). Maximum oil production of Indian basil (*Ocimum basilicum* L.) occurred around 40 days after transplanting; thus it was suggested that the herb be harvested at around that time (Singh et al., 2010). Thus, a correct harvesting stage is an important agronomic factor that affects quality characteristics of herbs.

Unfortunately, good drying methods for sustainable *C. nutans* cultivation have not been well studied and documented. Drying treatments are important to reduce the amounts of moisture in the herbs which would enable extension of shelf life of the herbs. Drying also alters other physical, biological and chemical properties of foods (Demirhan and Özbek, 2010). Drying of herbs inhibits microbial growth and forestalls certain biochemical changes, but at the same time, it can give rise to other

alterations that affect herb quality. As reported by Orphanides et al. (2013) sun drying of spearmint produced high total phenolic content (TPC) and potent antioxidant activity compared to oven and solar drying. Thus, different drying methods would give different effects on the quality and bioactive compounds in the plant itself. For sustainable commercial cultivation of this valuable herb, suitable plant age and drying methods that maintain quality and reduce postharvest losses need to be examined.

Knowledge on storage conditions such as the particle size of *C. nutans* for better quality storage is still lacking. Whether powdered or shredded form of C. nutans will affect the quality of the product have not been well studied and documented. However, previous studies such as by Stahl-Biskup and Venskutonis (2012) found that dried thyme (T. vulgaris L.), in the form of medium or coarsely ground material, is stable and does not loose volatile compounds while finely milled thyme tends to loose volatiles more rapidly. In addition, Renee et al., (2014) showed that significant increase in anthocyanins, carotenoids and ORAC values are recorded as the particle size distribution decreased. Also, the effects of storage duration of *C. nutans* towards the quality of the product need to be studied. There are possible adverse consequences of long-term storage towards the quality of herbs in terms of the bioactive compound. Storage duration could cause destructive reactions such as auto-oxidations or enzymatic splitting and rearrangement of active constituents (Kumar, 2013). Therefore, for sustainable production, and to maintain quality and reduce postharvest losses of this valuable herb, suitable storage form and optimum storage duration practices need to be examined.

In Malaysia, the demand for *C. nutans* tea has increased, however, the tea products have inconsistent quality while guidance for preharvest and postharvest handling is still lacking. As stated by Jin et al. (2016), herbal tea consumption shows regional characteristics associated with local culture, traditions and diversity of local flora. Different products will give different results according to their cultural practices until the postharvest handling and transfer to the consumer. Infusion time also affects the tea quality during preparation at different infusion times. As stated by Komes et al. (2010), the green tea reaches optimum total flavonoid compounds (TFC) at 5 min of infusion and started to decrease after 50 min of infusion. Other results also showed that prolonged infusion time at high temperature could lead to catechin degradation (Cheong et al., 2005).

Due to the increased demand for this herbal tea as natural antioxidants with a broad spectrum action, a variety of *C. nutans* tea is produced and marketed locally. Until recently, analysis of physicochemicals and microbial contamination at different plant harvesting ages (young and mature), are still lacking. To solve the problem of inconsistency in the production of this *C. nutans* tea, a study with the following objectives was conducted:

- 1. To determine the effects of plant vegetative stages and postharvest drying methods on the physicochemical characteristics and microbial contamination in *C. nutans* dried leaves.
- 2. To determine the effects of different leaf form (shredded and powdered) and storage duration (0, 4, 8 and 12 weeks) on quality characteristics and microbial contamination of *C. nutans*.
- 3. To determine the effect of infusion time on the physicochemical characteristics, microbial contamination and heavy metal contents of *C. nutans* tea products found in the Malaysian market.



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