



UNIVERSITI PUTRA MALAYSIA

**CHARACTERIZATION AND DEVELOPMENT OF PHENAZINE-BASED
COMPOUNDS FROM PSEUDOMONAS AERUGINOSA FOR
SUPPRESSION OF GANODERMA BONINENSE**

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Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfillment of the requirement for the degree of Master of Science

CHARACTERIZATION AND DEVELOPMENT OF PHENAZINE-BASED COMPOUNDS FROM *PSEUDOMONAS AERUGINOSA* FOR SUPPRESSION OF *GANODERMA BONINENSE*

By

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September 2016

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Basal stem rot is caused by *Ganoderma boninense* and the disease has significantly reduced the productivity of oil palm. *Pseudomonas aeruginosa* UPMP3 is capable of producing phenazine and phenazine-1-carboxylic acid. It is important to study the potency of these compounds to suppress the fungi, and also to be used as active ingredient in biofungicide formulation. Nanoemulsion is widely used in plant protection, the formulation of phenazine-based compounds in emulsion can be a good substitute to chemicals. The objectives of this project were firstly, to extract and characterize the phenazine-based compounds from *P. aeruginosa*. Secondly, to formulate nanoemulsion formulation of phenazine-based compounds. Thirdly, to evaluate the suppression efficacy of the formulation on *G. boninense in vitro* and *in vivo*. The phenazine compounds were extracted by benzene and characterized by HPLC, FTIR and NMR. The spectra of the characterization were useful to determine the authentic of phenazine-based compounds. Bioassay analysis was run to study the efficacy of the phenazines treatment to suppress *G. boninense in vitro* and *in vivo*. The HPLC results showed that phenazine-1-carboxylic acid and phenazine were successfully extracted using benzene and detected at wavelengths 252 and 237 nm at retention time of 51.32 and 52.13 min, respectively. The quantification analysis indicated 11.81 mg of phenazine-1-carboxylic acid and 679.5 mg of phenazine were obtained per one litre of crude extract sample. The FTIR analysis revealed that spectrum had aromatic nitro compound and aromatic rings were indicative the presence of phenazine compound whereas, spectra with carboxylic acid and aromatic rings were indicative of phenazine-1-carboxylic acid. The compounds structure were confirmed by ^1H NMR and ^{13}C NMR spectroscopy with the molecular formula $\text{C}_{12}\text{H}_8\text{N}_2$ (Phenazine) and $\text{C}_{13}\text{H}_8\text{N}_2\text{O}_2$ (Phenazine-1-carboxylic acid). The bioassay result showed that 5000 ppm crude extract inhibited mycelial growth of *G. boninense* at 89 %, with LC_{50} of 2232 ppm whereas purified phenazine treatment gave the best efficacy at 1000 ppm for 100% inhibition, with LC_{50} of 234 ppm. For nanoemulsion formulation, a phase diagram was constructed with 30% phenazines crude extract as active ingredient through low-energy method. Nanoemulsion F1 with 174.43 nm size was obtained at a ratio 5:5:90 (Tween80: oil carrier: water), and it was found to be stable in terms of polydispersity index (0.6), zeta potential (-16.0 mV) and surface tension (30.88 mN/m), and effective in controlling *G. boninense* at 70.74% *in vitro*. In glasshouse trial,

Fomulation F1 indicated suppression efficacy identical to positive control (Hexaconazole).The results obtained definitely corroborate to the application of nanoemulsion of phenazine crude extracts as potential candidate for controlling *G. boninense*. The phenazine nanoemulsion is an innovation alternative to chemical fungicide to control basal stem rot. It shows good potential in the antifungal activity of crude phenazine compounds in nanoemulsion formulation against *G. boninense in vitro* and suppresses basal stem rot under glasshouse.



Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk Ijazah Master Sains

**PENCIRIAN DAN PEMBENTUKAN KOMPAUN BERDASARKAN
PHENAZIN DARI PSEUDOMONAS AERUGINOSA UNTUK PERENCATAN
GANODERMA BONINENSE**

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Reput Pangkal Batang (BSR) adalah disebabkan oleh *Ganoderma boninense* dan penyakit ini telah mengurangkan produktiviti kelapa sawit. *Pseudomonas aeruginosa* UPMP3 menghasilkan antibiotic phenazin dan phenazin-1-karbosilik asid. Pengetahuan berkaitan dengan kompaun tersebut adalah penting dalam merencatkan fungi and juga digunakan sebagai bahan aktif dalam penggubalan racun kulat. Nanoemulsi banyak digunakan dalam bidang perlindungan tumbuhan, penggubalan kompaun phenazin dalam emulsi boleh dijadikan sebagai pengganti kepada racun kimia. Objektif pertama projek ini adalah mengekstrak dan menyifatkan kompaun phenazin dari *P. aeruginosa*. Kedua, untuk merumuskan nanoemulsi phenazin yang sesuai. Ketiga, untuk menilai keberkesanan perencatan nanoemulsi phenazin pada *G. boninense*. Kompaun phenazin diekstrak dengan benzena dan disifatkan dengan HPLC, FTIR dan NMR. Spektra penyifatan penting dalam menentu ketulenan kompaun phenazin. Keputusan HPLC menunjukkan bahawa phenazin-1-karbosilik asid dan phenazin telah berjaya diekstrak dengan menggunakan benzena dan dikesan pada jarak gelombang 252 dan 237 nm pada masa pengekalan 51.32 dan 52.13 min, masing-masing. Analisis kuantifikasi menunjukkan 11.81 mg phenazin-karbosilik asid dan 679.5 mg phenazin diperolehi setiap satu liter sampel ekstrak mentah. Analisis FTIR menunjukkan spektrum yang mempunyai nitro aromatik dan cincin aromatik, ini menunjukkan kehadiran phenazin sedangkan, spektrum asid karboksilik dan cincin aromatik adalah menunjukkan kehadiran phenazin-1-karbosilik asid. Struktur kompaun telah disahkan dengan ^1H NMR dan ^{13}C NMR spektroskopi formula $\text{C}_{12}\text{H}_8\text{N}_2$ molekul (Phenazin) dan $\text{C}_{13}\text{H}_8\text{N}_2\text{O}_2$ (Phenazine-1-karboksilik asid). Hasil bioesei menunjukkan bahawa 5000 ppm ekstrak mentah mengawal pertumbuhan mycelial *G. boninense* pada 89%, dengan LC_{50} bernilai 2232 ppm manakala rawatan phenazin ekstrak memberikan keberkesanan yang terbaik pada 1000 ppm untuk 100% perencatan, dengan LC_{50} bernilai 234 ppm. Untuk penggubalan nanoemulsi, gambar rajah fasa (PD) telah dibina berdasarkan 30% ekstrak phenazine mentah sebagai bahan aktif melalui kaedah-tenaga yang rendah. Nanoemulsi F1 bersaiz 174.43 nm telah diperolehi pada nisbah 5: 5: 90 (w/w/w; surfaktan: pembawa minyak: air), dan ia didapati stabil dari segi indeks polidispersiti (0.6), potensi zeta (-16.0 mV) dan ketegangan permukaan (30.88 mN / m), dan berkesan dalam mengawal *G. boninense* pada 70.74% *in vitro*. Dalam percubaan rumah kaca, Formulasi F1 menunjukkan perencatan berkesan sama dengan kawalan positif

(Hexaconazole). keputusan yang diperolehi menyokong dengan penggunaan ekstrak phenazin nanoemulsi sebagai calon yang berpotensi untuk mengawal *G. boninense*. Phenazin nanoemulsi adalah inovasi yang alternatif untuk menggantikan racun kulat kimia dalam mengawal BSR. Ia menunjukkan potensi yang baik dalam aktiviti antikulat phenazin mentah dalam formula nanoemulsi terhadap *G. boninense in vitro* dan merencat BSR dalam rumah kaca.



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I certify that a Thesis Examination Committee has met on 9 September 2016 to conduct the final examination of Lee Kai Wei on his thesis entitle “Characterization and development of phenazine-based compounds from *Pseudomonas aeruginosa* for suppression of *Ganoderma boninense*” in accordance with Universities and University Colleges Act 1971 and the Constitution of the Universiti Putra Malaysia [P.U.(A) 106] 15 March 1998. The Committee recommends that the student be awarded the Master of Science.

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LIST OF ABBREVIATIONS

2-OH-PCA	2-hydroxyphenazine-1-carboxylic acid
2-OH-PHZ	2-hydroxyphenazine
A.I.	Active ingredient
AUDPC	Area under Disease Progress Curve
BSR	Basal Stem Rot
CV	Coefficient of Variation
DAD	Diode Array Detector
DI	Disease Index
DR	Disease Reduction Rate
DS	Disease Severity Index
E2126	Emereen 2126
ER	Epidemic rate
FTIR	Fourier Transform Infra-red
HPLC	High Performance Liquid Chromatography
IR	Infrared
LC	Lethal Concentration
LCMS	Liquid Chromatography Mass Spectrometry
LOD	Limit of Detection
LOQ	Limit of Quantification
MPOB	Malaysian Palm Oil Board
NMR	Nuclear Magnetic Resonance
O/W	Oil in Water emulsion
PCA	Phenazine-1-carboxylic acid
PCN	phenazine-1-carboxamide
PD	Phase Diagram
PDA	Potato Dextrose Agar
Pdi	Polydispersion index
PHZ	Phenazine
Plt	Pyoluteorin
PYO	Pyocyanin
RP-HPLC	Reverse Phase High Performance Liquid Chromatography
RSD	Relative Standard Deviation
RWB	Rubber Wood Block
SEM	Scanning Electron Microscopy
TLC	Thin Liquid Chromatography

CHAPTER 1

INTRODUCTION

Oil palm tree (*Elaeis guineensis* Jacq) is a monocotyledon in the family Arecaceae (formerly Palmae) within the subfamily Coccoideae (Corley and Tinker, 2003). It originates from West Africa and introduced to South East Asia by the British in Early 1870s. Oil palm trees start bearing fruits after 30 months of planting and remain productive for 20-30 years. With the planting density of 136 stem per hectare, needing just 0.17 ha of land to produce one tone of oil per year, by far it is the highest yielding monocotyledon plants in producing low cholesterol oil, biofuels, and has multiple uses of all its plant parts (Hushiarian *et al.*, 2013).

The Malaysian palm oil industry is significant contributor to Malaysia's overall economy, providing both employment and income from exports. In of 2011, the total planted area was 4.917 million hectares, the sector was the fourth largest contributor to Malaysia's economy, accounting for RM53 billions of Malaysia's Gross National Income (MPOB, 2014). However, oil palm plantations in Malaysia are facing problems associated with *Ganoderma* disease infections-Basal Stem Rot (BSR) that reduce quantity and quality of oil-palm products (Paterson *et al.*, 2013). The situation deteriorates with the climate changes that ingress fungal disease and more importantly it have resulted in economic losses. The disease can cause losses up to 50% of palm trees and 45% yield from fresh fruit bunch in oil palm plantations (Naher *et al.*, 2013). The seriousness of this disease in Malaysia has attracted attention where 3.5% of plantation areas infected render lost in revenue of RM 1 billion/year (Ishaq *et al.*, 2014).

Basal stem rot (BSR) caused by a white rot fungus, *Ganoderma boninense* is the most destructive disease of oil palm, notably in Malaysia and Indonesia. *G. boninense* is extraordinarily capable of degrading lignin and converting it to carbon dioxide and water where cellulose as a by-product of lignin degradation is used by the fungus as a nutrient source. Prevention steps have been taken by identification of diseased plants with rapid diagnostic tests at the nursery stage, but the increasing BSR incidence can be associated with post-planting processes under poor growth conditions of palms. Curative methods such as isolation pits, curative surgery and chemical control, have been applied but adequate control of this disease has never been achieved (Hushiarian *et al.*, 2013).

In term of biological control, incorporation of beneficial microbes into planting materials were implemented but were only effective in delaying the disease incidence in the early stages and were reported to be ineffective at later stages due to inability of these beneficial microbes to survive in variable soil conditions of planting sites (Paterson, 2007). *Pseudomonas aeruginosa* produces phenazine-based compounds through secondary metabolism (Kanner *et al.*, 1978). The phenazine-based compounds are antibiotics that fluorescent under ultra-violet emission. These antibiotics have been discovered as key determinants for *P. aeruginosa* to perform as a biocontrol agent (Audenaert *et al.*, 2002; Rane *et al.*, 2007; Shanmugaiah *et al.*, 2010; Raio *et al.*, 2011).

Phenazine is a nitrogen-containing heterocyclic antibiotic agent. More than 6,000 phenazine derivatives have been identified and described during the last two centuries.

Phenazine antibiotics are well-known in demonstrating toxicity towards various pathogens by having potent anti-fungal activity for control of economically important plant pathogens. A previous finding indicated that the isolated phenazine-based compounds exhibited antifungal activity on *G. boninense*.

Phenazines can be produced in two ways, namely biosynthesis and chemosynthesis (Cheluvappa, 2014). Biosynthesis, the natural way of this compound production is achieved via phenazine-producing bacteria such as *P. aeruginosa* when the bacteria is incubated in a suitable media and regulated by nutrient depletion. The high cell density converts the bacterium to biofilm form. Chemosynthesis of this compound is through serial oxidation of phenazine core (Nansathit *et al.*, 2011). In this present study, phenazine compounds were produced via biosynthesis by antagonistic bacterial *P. aeruginosa* UPMP3.

Phenazine-based compounds have diverse effects on eukaryotic hosts and host tissues. The mechanism of phenazines to manifest antibiotics properties are attributed to their ability to generate reactive oxygen species in other organism. In basal stem rot case, phenazines give good effect to oil palm, by inhibition of *G. boninense*. Phenazine can disturb the electron flow in pathogen cells by accept or donate electron, this redox reaction control the oxidative phosphorylation and shunting the endogenous pathway of *G. boninense* (Pierson and Pierson, 2010). Phenazines are not just inhibiting *G. boninense*, but to control wide range of plant pathogenic fungi with this mechanism (Mavrodi *et al.*, 2006).

Phenazines have been shown to induce plant defence system by activate induced systemic resistance in plants. Plant's induced system resistance is activated by the presence of bacterial secondary metabolites, outer membrane components, lipopolysaccharide, siderophores and volatile compounds. Phenazines are bacterial compounds and these foreign entities modulate the plant jasmonic acid pathway, leading to the rapid systemic expression of broad spectrum resistance against numerous pathogen (Pierson and Pierson, 2010).

Formulation of crop protection products in agrochemical industries are developed, general types of formulation are emulsified concentrates, wettable powder, solution, granules, water dispersible granules, microcapsule, emulsion and microemulsion (Lim *et al.*, 2012). Nanoemulsion are submicron emulsion with a nanometric-scaled droplet, enhance solubility and dissolution properties of poorly water soluble substrates, they are referred as miniemulsion of ultrafine emulsion and have small droplet size ranged from 20 to 200 nm (Fernandes *et al.*, 2014; Forgiarini *et al.*, 2001). It was identified as promising delivery systems, good alternatives for toxic and non-renewable chemicals such as petroleum and oil adjuvant.

In past decades, nanoemulsions have gained immense interest in water-soluble pesticide of various kinds of active ingredient (Wang *et al.*, 2007), research has highlighted tremendous improvement on physical characteristics and biological performance. Yet, biofungicide nanoemulsion formulation with phenazine crude extracts, characteristics and its functionality in suppression on fungal pathogen have not been reported.

Currently, there is a need to formulate nanoemulsion that effectively controls BSR. Thus, objectives of the present study were as followed:

1. To extract and to characterize the phenazine-based compounds from *Pseudomonas aeruginosa*.
2. To formulate the nanoemulsion formulation of the phenazine-based compounds from *Pseudomonas aeruginosa*.
3. To evaluate the suppression efficacy of the formulation on *Ganoderma boninense*



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