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GLYCOSIDATION OF BETULINIC ACID USING NOVOZYME 435

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GLYCOSIDATION OF BETULINIC ACID USING NOVOZYME 435

> Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia, In Fulfilment of the Requirementsfor the Degree of Masters of Science

> > March 2016

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Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfilment of the requirement for the Degree of Master of Science

GLYCOSIDATION OF BETULINIC ACID USING NOVOZYME 435

By

HAMISU ABDU

March 2016

Chairman : Associate Professor Intan Safinar Ismail, PhD Faculty : Science

In this study, $3-O-\beta$ -D-glucopyranoside-betulinic acid was successfully synthesized *via* the reaction between betulinic acid and glucose catalyzed by immobilized lipase from *Candida antarctica* (Novozyme 435) in *t*-butanol. The structure of the product obtained were elucidated using spectroscopic data. Effects of individual reaction parameters such as reaction time, reaction temperature, amount of enzyme and substrate molar ratio, were investigated and optimized. The optimum conditions for the reaction between betulinic acid and glucose were obtained at the reaction time of 48.50 h, temperature of 26°C, 175 mg of enzyme, and substrate molar ratio of 1:1.2; giving 85.83 % of yield.

The Response Surface Methodology (RSM) based on five-level, three variables Central Composite Rotatable Design (CCRD) was employed using Design Expert software to evaluate the effect of synthesis parameters and its mutual interactions. It was observed that the maximum conversion yield of $3-O-\beta$ -D-glucopyranoside-betulinic acid 88.69% was obtained using 30.67 h, 54.30°C and 180 mg of enzyme using betulinic acid (0.05 mmol) and glucose (0.1 mmol) respectively. The experimental value obtained was 88.69%, closer to the results obtained using single parameter.

Finally, the anticancer activity of the synthesized compound was evaluated against cultured mouse embryonic fibroblast normal cell line (3T3), human cervical carcinoma cancer (HeLa), human breast cancer (MCF-7), human T-promyelocytic leukaemia (HL-60), and cell lines. From the results, BA showed high activity against cultured human T-promyeloctic leukaemia (HL-60), human breast cancer (MCF-7), and human cervical carcinoma cancer (HeLa) cell lines with IC₅₀ values of MCF-7 0.8 μ g/ml, HL-60 4.4 μ g/ml and HeLa 4.8 μ g/ml, respectively. On the other hand, 3-*O*- β -D-glucopyranoside-betulinic acid also showed strong activity against cultured, HL-60, MCF-7 and 3T3 with IC₅₀ values of 8.4 μ g/ml, 8.5 μ g/ml and 2.75 μ g/ml respectively. However, it was found to have moderate activity against HeLa cell line with IC₅₀ value of 12.0 μ g/ml.

In conclusion, an enzymatic synthesis of $3-O-\beta$ -D-glucopyranoside-betulinic acid was successfully carried out by the reaction between betulinic acid and D-glucose in an organic solvent using Novozyme 435. The activity of $3-O-\beta$ -D-glucopyranoside-betulinic acid against cancer cell lines was found to be better than betulinic acid.



Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk Ijazah Master Sains

PENGLIKOSIDAAN ASID BETULINIK MENGGUNAKAN NOVOZYME 435

Oleh

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Di dalam kajian ini, 3-O- β -D-glucopyranoside- asid betulinik telah berjaya disintesis melalui tindak balas antara asid betulinik dan glukosa dimangkinkan oleh lipase pegun dari *Candida antarctica* (Novozyme 435) dalam *t*-butanol. Struktur produk yang diperolehi telah dijelaskan menggunakan data spektroskopi. Kesan parameter tindak balas individu seperti masa reaksi, suhu tindak balas, jumlah enzim dan substrat nisbah molar, telah dikaji dan dioptimumkan. Keadaan optimum bagi tindak balas antara asid betulinik dan glukosa yang diperoleh adalah pada masa reaksi 48.50 jam, suhu pada 26°C, 175 mg enzim dan substrat nisbah molar daripada 1:1.2; memberikan hasil 85.83%.

Kaedah Permukaan Sambutan (RSM) berdasarkan kepada lima tahap, dengan tiga pembolehubah Reka Bentuk Komposit Berputar (CCRD) digunakan dalam perisian Pakar Rekabentuk untuk menilai kesan parameter sintesis dan interaksi bersama. Pemerhatian menunjukkan bahawa hasil penukaran maksimum asid $3-O-\beta$ -D-glucopyranoside-betulinik (88.69%) telah diperolehi pada 30.67 jam, 54.30 °C dan 180 mg enzim yang menggunakan asid betulinik (0.05 mmol) dan glukosa (0. 1 mmol) masing-masing. Hasil ujikaji yang diperolehi ialah 88.69%, lebih dekat kepada keputusan yang diperolehi dengan menggunakan parameter tunggal.

Akhirnya, aktiviti antikanser sintesis kompaun telah diuji terhadap barisan sel kanser tikus embrio fibroblast sel garisan normal (3T3), kanser karsinoma serviks manusia (HeLa), kanser payudara manusia (MCF-7), dan leukemia T-promyelocytic manusia (HL-60). Keputusan menunjukkan Asid betulinik (BA) mencatatkan aktiviti yang tinggi terhadap kultur sel kanser payudara manusia (MCF-7), leukemia T-promyeloctic manusia (HL-60), dan kanser karsinoma serviks manusia (HeLa) dengan nilai IC₅₀, 0.8 μ g/ml, HL-60 4.4 μ g/ml dan HeLa 4.8 μ g/ml, masing-masing . Pada masa yang sama, asid 3-O- β -D-glucopyranoside-betulinik menunjukkan aktiviti yang kuat terhadap kultur HL-60, MCF-7 dan 3T3 dengan nilai IC₅₀ 8.4 μ g/ml, 8.5

 μ g/ml, dan 2.75 μ g/ml masing-masing. Walau bagaimanapun, ia didapati mempunyai aktiviti sederhana terhadap barisan sel HeLa dengan IC₅₀ nilai sebanyak 12.0 μ g/ml. Sebagai kesimpulan, sintesis enzim 3-O- β -D-glucopyranoside-betulinic asid telah berjaya dijalankan oleh tindak balas antara asid betulinic dan D-glukosa dalam pelarut organik menggunakan Novozyme 435. Aktiviti asid 3-O- β -D-glucopyranoside-betulinik terhadap barisan sel kanser didapati lebih baik berbanding asid betulinik.



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I certify that a Thesis Examination Committee has met on 24 March 2016 to conduct the final examination of Hamisu Abdu on his thesis entitled "Glycosidation of Betulinic Acid using Novozyme 435" in accordance with the Universities and University Colleges Act 1971 and the Constitution of the Universiti Putra Malaysia [P.U.(A) 106] 15 March 1998. The Committee recommends that the student be awarded the Master of Science.

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LIST OF ABBREVIATIONS

%	Percentage
α	Alpha
β	Beta
V max	Wave number
δ	Delta
°C	Degree centigrade
µg/ml	Microgram per millilitre
μM	Micromolar
¹ H	Proton NMR
¹³ C	Carbon NMR
3T3	Mouse embryonic fibroblast cell line
A253	Head tumor cell line
A549	Lung tumor cell line
AIDS	Acquired immunodeficiency syndrome
ANOVA	Analysis of Variance
ATTC	American Type Culture Collection
BA	Betulinic acid
B16-F1	Mouse melanoma
br	Broad
CCRD	Central composite rotatable design
CEM	T-lymphoblastic leukaemia
COSY	Correlation spectroscopy
d	Doublet
dd	Doublet of doublet
DLD-1	Human colorectal adenocarcinoma
DMSO	Dimethylsulphoxide
D10	Chloroquine-susceptible strain
EC ₅₀	Effective concentration that kill 50 %
F-test	Fisher distribution test
F32	Plasmodium falciparum
h	Hour

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HeLa	Human cervical carcinoma cell line
HIV-1	Human immunodeficiency virus-1
HL-60	Human T-promyelotic leukemia
HMBC	Heteronuclear multiple bond correlation
HSQC	Heteronuclear single quantum correlation
IC ₅₀	Inhibitory concentration that kill 50%
IFN-y	Interferon gamma
IL-2	Interleukn-2
IR	Infrared
KB	Human epidemoid carcinoma of mouth
KS	Kasposis sarcoma cell
K562	Leukemia cell line
LA	Leishmania amazonensis
M^+	Molecular ion
m	Multiplet
MCF-7	Human breast cancer
MEL-2	Cultured human melanoma
MHz	Megahertz
ml	Milliliter
MTT	Microculture tetrazolium salt
M2903	Leishmania brazilliensis
MIA PaCa	Pancrease tumor cell
NMR	Nuclear magnetic resonance
ND	Not detected
NO	Nitric oxide
PA-1	Ovary tumor cell line
PC-3	Human prostate adenocarcinoma
PMT	Prodrug monotherapy
R^2	Coefficient of determination
RSM	Response surface methodology
S	Singlet
SD	Standard deviation
SAR	Structure activity relationship

SW620	Colon tumor cell line
t	Triplet
TLC	Thin layer chromatography
TULA	Trypanasoma cruzi tulahuen
U937	Human macrophage cell line
UV	Ultraviolet
WSI	Healthy cell line



CHAPTER 1

INTRODUCTION

Betulinic acid (1), $[3\beta$ -hydroxy-lup-20(29)-en-28-oic acid] (BA) is a pentacyclic triterpene, with a molecular formula of C₃₀H₄₈O₃, a molecular weight of 456.70 g/mol and is a white to off-white powder found to be soluble in dimethylsulphoxide (DMSO). Betulinic acid isolated from the bark of many plants. It is also found abundantly in the outer bark birch trees, *Betula alba* (Pisha *et al.*, 1995). White birch bark, *Betula alba* has been used by Americans as a folk remedy. Betulinic acid once enters cancerous cells it provokes apoptosis or "a programmed cell death" within the tumour growth. It was reported that Scientists at the University of North Carolina reported that the chemicals found in the white birch bark slowed down the growth of human immunodeficiency virus (Fujioka *et al.*, 1994).

Betulinic acid is a natural agent isolated from birch trees which was first described to induce apoptosis in neuroectodermal tumour cells (Pisha *et al.*, 1995). Its botanical sources were reviewed recently, where BA exhibits cytotoxicity in many cancer cell-lines and is capable of inducing apoptosis (Pawel *et al.*, 2009). The reduced congener of betulinic acid, betulin (3 β -lup-20(29)-en-3, 28-diol) (2), is one of the first natural product identified and isolated from plants in 1788 (Alakurti *et al.*, 2006)



2

Glycosides are important biological molecules that are involved in a vast number of biological processes. Many glycosides have therapeutic uses such as anti-cancer therapies, anti-inflammatories, enzyme inhibitors and antibiotics (Henessian *et al.*, 2000). Glycosidation reactions are generally challenging and laborious, it requires several protection and deprotection steps, these steps often result in timeconsuming reaction sequences with low overall yields. To avoid these challenges, glycosidation of betulinic acid using Novozyme 435 was carried out in this work.

Fischer-type glycosidation remain the simplest and most effective method in performing simple glycosidation, it involves the addition of an alcohol to an unprotected sugar in the presence of an acid catalyst. A chemical glycosidation reaction involves the coupling of a glycosylic donor to a glycosylic acceptor forming a glycoside. The reaction requires activation with a suitable activating reagent. The reactions often result in a mixture of products due to the creation of a new stereogenic centre at the anomeric position of the glycosylic donor. The linkage formed may either be axial or equatorial (α or β with respect to glucose).

Generally, glycosides are more water soluble than their corresponding aglycones (Smith *et al.*, 1999). Therefore it is hope that glycosidation of triterpenes (e.g. betulinic acid) will increase its hydrosolubility as well as its biological activities.

Enzymes

Many chemical transformation processes used in various industries have inherent drawbacks from a commercial and environmental point of view. Nonspecific reactions may result in poor product yields. High temperatures and/or high pressures needed to drive reactions lead to high energy costs and may require large volumes of cooling water downstream. Harsh and hazardous processes involving high temperatures, pressures, acidity, or alkalinity need high capital investment, and specially designed equipment and control systems. In a number of cases, some or all of these drawbacks can be virtually eliminated by using enzymes. As we explain in the next section, enzyme reactions may often be carried out under mild conditions, they are highly specific, and involve high reaction rates. Industrial enzymes originate from biological systems; they contribute to sustainable development through being isolated from microorganisms that are fermented using primarily renewable resources.

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In addition, as only small amounts of enzymes are needed in order to carry out chemical reactions even on an industrial scale, both solid and liquid enzyme preparations take up very little storage space. Mild operating conditions enable uncomplicated and widely available equipment to be used, and enzyme reactions are generally easily controlled. Enzymes also reduce the impact of manufacturing on the environment by reducing the consumption of chemicals, water and energy, and the subsequent generation of waste.

Among the biocatalysts in organic synthesis, lipases are the most frequently used (Gotor, 1999). In particular, this class of enzyme is able to perform enantioselective hydrolytic reactions. Lipases have been extensively utilized in the synthesis of many biologically active compounds and natural products (Itoh *et al.*, 1993).

Developments in genetic and protein engineering have led to improvements in the stability, economy, specificity, and overall application potential of industrial enzymes. When all the benefits of using enzymes are taken into consideration, it's not surprising that the number of commercial applications of enzymes is increasing every year.

Enzymes are classified according to the guidelines of the Nomenclature Committee of the International Union of Biochemistry and Molecular Biology (IUBMB) into six major groups, based on the type of chemical reaction catalyzed (Krishna, 2002), as listed below.

- 1. *Oxidoreductases*: enzymes catalyzing oxidation/reduction reactions that involve the transfer of electrons, hydrogen or oxygen atoms.
- 2. *Transferases*: enzymes catalyzing the transfer of a functional group from a donor to a suitable acceptor.
- 3. *Hydrolases:* enzymes catalyzing reactions of hydrolysis, this is, the cleavage of a chemical bond by the action of water.
- 4. *Lyases*: enzymes catalyzing reactions of non-hydrolytic and non-oxidative cleavage of chemical bonds
- 5. *Isomerases*: enzymes catalyzing reactions of conversion of a substrate into an isomer.
- 6. *Ligases*: enzymes catalyzing reactions of covalent linkage of two molecules.

Nature of Enzymes

Enzymes are biological catalysts in the form of proteins that catalyze chemical reactions in the cells of living organisms. In general, these metabolic requirements of enzymes can be defined as:

- 1. Chemical reactions must take place under the conditions of the habitat of the organisms
- 2. Specific action by each enzyme
- 3. Very high reaction rates

How Enzymes Work

At least 21 different hypotheses for how enzymes catalyzed reactions have been proposed (Silverman, 2004). A common link between all these proposals, however, is that an enzyme-catalyzed reaction is always initiated by the formation of an

enzyme-substrate (or E.S) complex, from which the catalysis takes place. The concept of an enzyme-substrate complex was originally proposed independently by Brown in 1902.

Lock-and-key hypothesis

This is the simplest model to represent how an enzyme works. An enzyme is the lock into which the substrate (the key) fits (Figure 1). In this model, the active site of the unbound enzyme is complementary in shape to the substrate (Berg *et al.*, 2002). This interaction of the enzyme and substrate will account for a high degree of specificity of enzymes, but the lock-and-key hypothesis does not rationalize certain observed phenomena. For example, compounds whose structures are related to that of the substrate, but with less bulky substituents, often fail to be substrates, even though they could fit into the enzyme. Some compounds with bulkier substituents are observed to bind more tightly to the enzyme than does the substrate. If the lock-and-key hypothesis was correct, one would think that a bulkier compound would not fit into the lock. Some enzymes that catalyze reactions between two substrates do not bind one substrate until the other one is already bound to the enzyme (Silverman, 2004).



Figure 1: Lock-and-key model of enzyme-substrate binding

Induced-fit hypothesis

In this model, the enzyme molecule changes shape as the substrate molecules gets closer. When a substrate begins to bind to an enzyme, the interactions of various groups on the substrate, with particular enzyme functional groups are initiated, and these mutual interactions induce a conformational change in the enzyme (Figure 2). The active site forms a shape complementary to the substrate only after the substrate has been bound (Berg *et al.*, 2002).





Immobilized Enzymes

The excellent functional properties (activity, selectivity, and specificity) of enzymes have given them a great potential as industrial catalysts in chemical industry such as fine chemistry, food chemistry, analysis and so on (Koeller and Wong, 2001). For technical and economic reasons, most chemical processes which are catalyzed by enzymes require re-use or continuous use of the biocatalyst for a very long time (Katchalski-Katzir and Kraemer, 2000; Bickerstaff, 1997; Chibata *et al.*, 1986). Meanwhile, immobilization of enzymes seems to be the most relevant approach for stabilization and recovery of enzymes. In particular, enzyme immobilization has profound influence on the nature of the catalytic process, which is now heterogeneous in nature, since catalysis occurs in the surface or within a solid structure where the enzyme is located, while substrates and products of reaction are in the liquid reaction medium where its course is being monitored (Illanse *et al.*, 2008).

The only major problem of betulinic acid for its future clinical development is its low water solubility (Gauthier *et al.*, 2006). However, its vast pharmacological activity aroused the interest of my research especially on cytotoxic activity. Thus the objectives of this research are:

- 1. To synthesise of betulinic acid glycoside using lipase
- 2. To characterize the product obtained using spectroscopic techniques
- 3. To optimize the reaction parameters used in the synthesis using response surface methodology
- 4. To evaluate the cytotoxic activity of the synthesized compound against cancer cells

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