

# **UNIVERSITI PUTRA MALAYSIA**

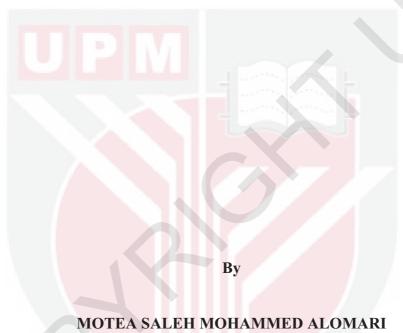
# RESOURCE ALLOCATION TECHNIQUES FOR INTERFERENCE MITIGATION IN MACRO AND FEMTOCELL HETEROGENEOUS NETWORK-BASED LTE SYSTEM

MOTEA SALEH MOHAMMED ALOMARI

FK 2018 7



## RESOURCE ALLOCATION TECHNIQUES FOR INTERFERENCE MITIGATION IN MACRO AND FEMTOCELL HETEROGENEOUS NETWORK-BASED LTE SYSTEM



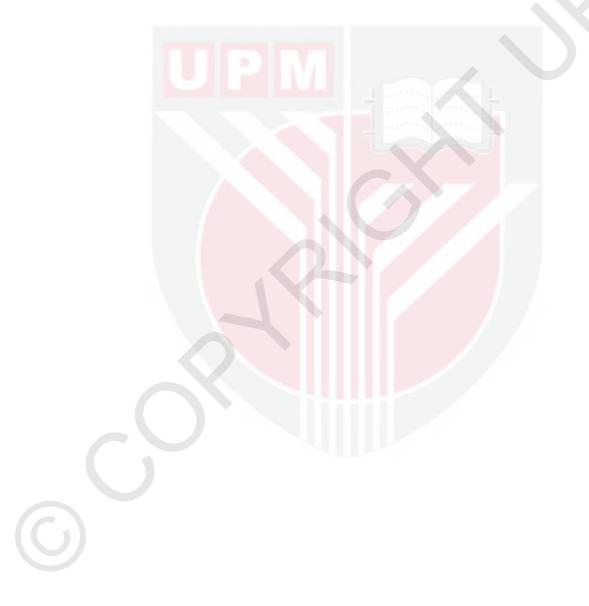
Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia, in Fulfilment of the Requirements for the Degree of Doctor of Philosophy

December 2017

## COPYRIGHT

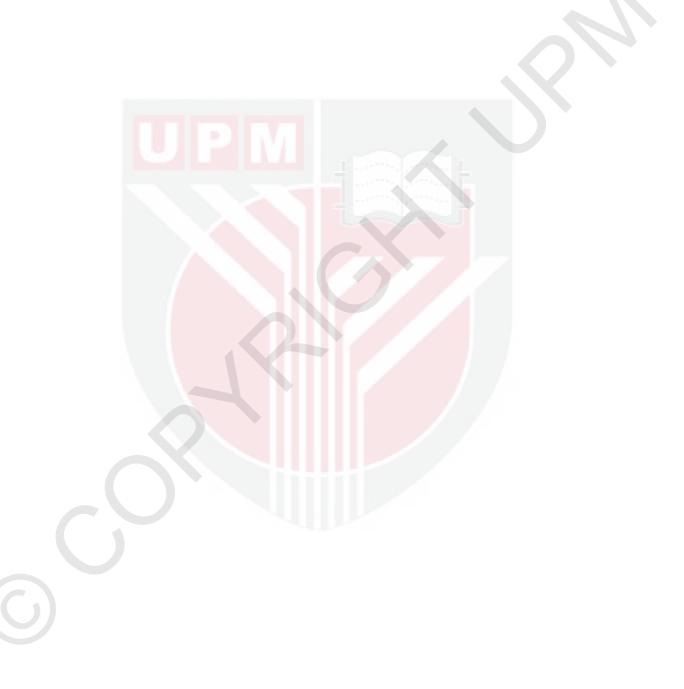
All material contained within the thesis, including without limitation text, logos, icons, photographs, and all other artwork, is copyright material of Universiti Putra Malaysia unless otherwise stated. Use may be made of any material contained within the thesis for non-commercial purposes from the copyright holder. Commercial use of material may only be made with the express, prior, written permission of Universiti Putra Malaysia.

Copyright© Universiti Putra Malaysia



## **DEDICATION**

I dedicate this thesis to my father, wife, Kids, brothers, sister, and To my supervisor and entire committee.



Abstract of thesis presented to the Senate of Universiti Putra Malaysia in Fulfilment of the requirement for the degree of Doctor of Philosophy

### RESOURCE ALLOCATION TECHNIQUES FOR INTERFERENCE MITIGATION IN MACRO AND FEMTOCELL HETEROGENEOUS NETWORK-BASED LTE SYSTEM

By

### **MOTEA SALEH MOHAMMED ALOMARI**

December 2017

Chairman: Abdul Rahman b. Ramli, PhD Faculty: Engineering

In wireless broadband access networks, most indoor environments encounter serious coverage problems due to non-line of sight transmission. Femtocells have been introduced as a cost-effective solution to improve cell coverage, enhance area spectral-efficiency, and provide better Quality-of-Service (QoS) to mobile users. However, interference issue is considered the major technical challenge associated with femtocell deployment. This interference occurs mainly because the radio spectrum is limited; meanwhile, femtocells have to (fully/partly) share/reuse the same available licensed spectrum with existing macrocells in the network, resulting cross-tier interference. Furthermore, FBS not only provides coverage within the owner's home, but also radiates outside, extending coverage to nearby houses, leading to co-tier interference among neighboring femtocells.

This thesis investigates impact of density deployment for femtocell grids with either size (3x3) or (5x5) in the downlink performance in two-tier Heterogeneous Networks (HetNets) based Vienna LTE system level simulator. The thesis further presents two different approaches for mitigating cross-tier interference as well as minimizing cotier interference in two-tier HetNets. The first proposed hybrid approach consists of two combined schemes, termed as Resource Allocation based Fractional Frequency Reuse and Graph Connectivity algorithm (RAFFRGC). The second proposed interference mitigation technique is a full frequency reuse termed as Resource Allocation based Cuckoo Search Algorithm (RACSA). The RACSA technique targets to maximize system throughput for a specified interference threshold for the ultimate mitigation of cross-tier interference in two-tier HetNets.



The simulation results showed that femtocell deployment improves overall average user throughput in the case of a low-density scenario when deploying femtocells one by one. However, for a high-density scenario, FBS grids deployment had no enhancement in terms of throughput and fairness. Additionally, densely deploying femtocell grids without interference management degraded throughput for macrocell users. The simulation results show that the proposed RAFFRGC is effective in terms of mitigating both cross-tier and co-tier interference at the same time. In addition, RAFFRGC improves average throughput for both macrocell and femtocell users, taking into account a worst-case scenario model for femtocell deployment as grids sized (3x3) or (5x5). Moreover, RAFFRGC offload computational complexity and cost of additional design from FBSs by utilizing the Femtocell Management System (FMS) to achieve interference coordination and resource allocation among FBSs. Furthermore, RAFFRGC maximizes resource blocks efficiency, guarantees QoS for all femtocell users, and can support large-scale femtocell grids deployment. The simulation results revealed that RACSA mitigates cross-tier interference and improves system performance. The performance evaluation showed that RACSA gives 38% and 21% higher in system throughput and a 14% and 35% increase in spectral efficiency, as well as a 55% and 33% reduction for the outage probability when assessment is contrasted with the results produced by genetic algorithm and auction algorithm respectively.

Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk ijazah Doktor Falsafah

### TEKNIK PERUNTUKAN SUMBER BAGI PENGURANGAN INTERFERENS DALAM RANGKAIAN HETEROGEN MAKROSEL DAN FEMTOSEL BERASASKAN-SISTEM LTE

Oleh

#### **MOTEA SALEH MOHAMMED ALOMARI**

Disember 2017

#### Pengerusi: Abdul Rahman b. Ramli, PhD Fakulti: Kejuruteraan

Dalam rangkaian capaian jalur lebar wayarles, kebanyakan persekitaran yang tertutup menghadapi masalah liputan yang serius disebabkan oleh penghantaran isyarat yang bukan dalam garis penglihatan. Femtosel telah diperkenalkan sebagai satu penyelesaian cekap kos bagi memperluas liputan sel, meningkatkan kawasan kecekapan spektrum dan menyediakan kualiti perkhidmatan (QoS) yang lebih baik kepada pengguna peranti mudah alih. Walau bagaimanapun, isu interferens menjadi cabaran teknikal utama dalam penempatan femtosel. Interferens ini terjadi disebabkan oleh spektrum radio yang terhad; manakala femtosel perlu berkongsi / mengguna semula (penuh/separa) spektrum sedia ada yang sama dengan makrosel yang telah wujud pada rangkaian tersebut, yang menyebabkan terjadi interferens rentas-peringkat. Malahan, FBS bukan sahaja menyediakan liputan di dalam rumah pengguna, malahan turut meliputi kawasan luar termasuk rumah-rumah berhampiran, yang menyebabkan terjadinya interferens sama-peringkat antara femtosel yang berdekatan. Interferens

Tesis ini mengkaji kesan kepadatan penempatan grid femtosel dengan saiz 3x3 atau 5x5 pada prestasi laluan menurun dalam Rangkaian Heterogen (HetNets) duaperingkat berasaskan simulator sistem Vienna LTE berperingkat. Tesis ini selanjutnya membentangkan dua pendekatan berbeza bagi mengurangkan interferens rentasperingkat serta meminimumkan interferens sama-peringkat dalam HetNets duaperingkat. Pendekatan hibrid pertama yang dicadangkan terdiri daripada gabungan dua skim yang dikenali sebagai algoritma Peruntukan Sumber berasaskan Penggunaan Semula Pecahan Frekuensi dan Kesambungan Graf (RAFFRGC). Pendekatan kedua bagi teknik pengurangan interferens yang dicadangkan ialah penggunaan semula keseluruhan frekuensi yang dinamakan sebagai Algoritma Peruntukan Sumber berasasrkan untuk memaksimumkan sistem penghantaran bagi ambang interferens yang ditentukan dalam pengurangan muktamad interferens rentas-peringkat dalam sistem HetNets duaperingkat.

Hasil simulasi menunjukkan bahawa penempatan femtosel dapat meningkatkan purata keseluruhan penghantaran pengguna bagi senario berkepadatan rendah apabila femtosel ditempatkan satu demi satu. Walau bagaimanapun, bagi senario berkepadatan tinggi, penempatan grid FBS tidak menunjukkan peningkatan dari segi penghantaran dan kesaksamaan. Di samping itu, penempatan grid femtosel secara padat tanpa pengurusan interferens akan menyebabkan penghantaran kepada pengguna femtosel merosot. Hasil simulasi juga menunjukkan bahawa RAFFRGC yang dicadangkan berkesan dalam mengurangkan interferens rentas-peringkat dan interferens samaperingkat pada masa yang sama. Ia juga dapat meningkatkan purata penghantaran kepada pengguna makrosel dan femtosel, dengan mengambil kira model senario terburuk dalam penempatan femtosel bagi grid bersaiz (3x3) atau (5x5). Tambahan lagi, RAFFRGC dapat menghindarkan daripada komputasi yang kompleks dan kos bagi reka bentuk tambahan pada FBS dengan mengguna pakai Sistem Pengurusan Femtosel (SPF) untuk mencapai peyelarasan interferens dan peruntukan sumber di kalangan FBS. RAFFRGC juga memaksimumkan kecekapan blok sumber, menjamin QoS bagi semua pengguna femtosel dan dapat menyokong penempatan grid femtosel berskala besar. Hasil simulasi menunjukkan bahawa RACSA mengurangkan interferens rentas-peringkat dan meningkatkan prestasi sistem ini. Penilaian prestasi menunjukkan bahawa RACSA mencapai 38% dan 21% lebih tinggi dari segi penghantaran. 14% dan 35% peningkatan dari segi kecekapan spektrum, serta 55% dan 33% pengurangan kebarangkalian berlakunya interferens apabila dibandingkan dengan hasil yang iperolehi dengan algoritma genetik dan algoritma lelongan.

### ACKNOWLEDGEMENTS

First and foremost, I would like to thank almighty Allah (S.W.T), the most beneficent and the Most Merciful for giving me the strength, courage, and his blessed guidance during my post postgraduate period.

I am very grateful to my supervisor, **Assoc. Prof. Dr. Abdul Rahman bin Ramli** for his consistent, motivation, support, guidance and for his constructive suggestions, recommendations and for dedicating his time for reviewing this thesis. His valuable suggestions and comments have been very helpful to improve the thesis.

I am grateful to my supervisory committee members, **Prof. Dr. Raja Syamsul Azmir bin Raja Abdullah** and **Assoc. Prof. Ir. Dr. Aduwati bt. Sali** for their constructive suggestions, recommendations and for dedicating their time for reviewing this thesis. Their valuable suggestions and comments have been very helpful to improve the thesis.

Special thanks go to my wife Enas, and my kids Muhannad, Lugain and my lovely little daughter Mariam, you are my joy and guiding lights

I am very grateful to my family: father, brothers and sister for their unflagging love, good patient, and support throughout my life.

I certify that a Thesis Examination Committee has met on 4 December 2017 to conduct the final examination of Motea Saleh Mohammed Al-Omari on his thesis entitled "Resource Allocation Techniques for Interference Mitigation in Macro and Femtocell Heterogeneous Network-Based LTE System" in accordance with the Universities and University Colleges Act 1971 and the Constitution of the Universiti Putra Malaysia [P.U.(A) 106] 15 March 1998. The Committee recommends that the student be awarded the Doctor of Philosophy.

Members of the Thesis Examination Committee were as follows:

Syamsiah binti Mashohor, PhD Senior Lecturer Faculty of Engineering Universiti Putra Malaysia (Chairman)

Nor Kamariah binti Noordin, PhD Professor Faculty of Engineering Universiti Putra Malaysia (Internal Examiner)

Mohd Fadlee bin A Rasid, PhD

Associate Professor Faculty of Engineering Universiti Putra Malaysia (Internal Examiner)

### Lawrence Wong Wai Choong, PhD

Professor National University of Singapore Singapore (External Examiner)

NOR AINI AB. SHUKOR, PhD Professor and Deputy Dean School of Graduate Studies Universiti Putra Malaysia

Date: 27 February 2018

This thesis was submitted to the Senate of Universiti Putra Malaysia and has been accepted as fulfilment of the requirement for the degree of Doctor of Philosophy. The members of the Supervisory Committee were as follows:

## Abdul Rahman b. Ramli, PhD

Associate Professor Faculty of Engineering Universiti Putra Malaysia (Chairman)

## Raja Syamsul Azmir b. Raja Abdullah, PhD

Professor Faculty of Engineering Universiti Putra Malaysia (Member)

### Aduwati bt. Sali, PhD

Associate Professor Ir Faculty of Engineering Universiti Putra Malaysia (Member)

# ROBIAH BINTI YUNUS, PhD

Professor and Dean School of Graduate Studies Universiti Putra Malaysia

Date:

### **Declaration by graduate student**

I hereby confirm that:

- this thesis is my original work;
- quotations, illustrations and citations have been duly referenced;
- this thesis has not been submitted previously or concurrently for any other degree at any other institutions;
- intellectual property from the thesis and copyright of thesis are fully-owned by Universiti Putra Malaysia, as according to the Universiti Putra Malaysia (Research) Rules 2012;
- written permission must be obtained from supervisor and the office of Deputy Vice-Chancellor (Research and Innovation) before thesis is published (in the form of written, printed or in electronic form) including books, journals, modules, proceedings, popular writings, seminar papers, manuscripts, posters, reports, lecture notes, learning modules or any other materials as stated in the Universiti Putra Malaysia (Research) Rules 2012;
- there is no plagiarism or data falsification/fabrication in the thesis, and scholarly integrity is upheld as according to the Universiti Putra Malaysia (Graduate Studies) Rules 2003 (Revision 2012-2013) and the Universiti Putra Malaysia (Research) Rules 2012. The thesis has undergone plagiarism detection software.

Signature:

Date:

Name and Matric No.: Motea Saleh Mohammed Alomari - GS21431

## **Declaration by Members of Supervisory Committee**

This is to confirm that:

- the research conducted and the writing of this thesis was under our supervision;
- supervision responsibilities as stated in the Universiti Putra Malaysia (Graduate Studies) Rules 2003 (Revision 2012-2013) are adhered to.

| Signature:<br>Name of Chairman |   |
|--------------------------------|---|
| of Supervisory                 |   |
| Committee:                     | Assoc. Prof. Dr. Abdul Rahman bin Ramli   |
|                                |   |
| Signature:                     |   |
| Name of Member                 | to the second |
| of Supervisory                 |   |
| Committee:                     | Prof. Dr. Raja Syamsul Azmir bin Raja Abdullah  |
|                                |   |
| Signature:                     |   |
| Name of Member                 |   |
| of Supervisory                 |   |
| Committee:                     | Assoc. Prof. Ir. Dr. Aduwati bt. Sali   |
|                                |   |
|                                |   |
|                                |   |
|                                |   |

# **TABLE OF CONTENTS**

|                  |             | H   | Page |
|------------------|-------------|---|------|
| ABSTRAC          | Т           |   | i    |
| ABSTRAK          |             |   | iii  |
| ACKNOWLEDGEMENTS |             |   | V    |
| APPROVA          | APPROVAL    |   |      |
| DECLARA          | TION        |   | viii |
| LIST OF T        | ABLES       | 5   | xiii |
| LIST OF F        | IGURE       | ES  | xiv  |
| LIST OF A        | BBRE        | VIATIONS  | xvii |
| CHAPTER          |             |   |      |
| 1                | INTR        | ODUCTION  | 1    |
|                  | 1.1         | Overview on Femtocell Technology  | 1    |
|                  | 1.2         | Research Problem Statement  | 2    |
|                  | 1.3         | Research Objectives   | 5    |
|                  | 1.4         | Research Questions  | 5    |
|                  | 1.5         | Scope and Limitation of Work  | 6    |
|                  | 1.6         | Thesis Organization   | 6    |
| 2                |             | RATURE REVIEW   | 7    |
| 2                | <b>2</b> .1 | LTE Femtocells  | 7    |
|                  | 2.1         |   | 8    |
|                  |             | <ul><li>2.1.1 HetNets Indoor Coverage Technologies</li><li>2.1.2 The Major Motivation behind HeNBs Deployment</li></ul> |      |
|                  |             | 2.1.2 Fine Major Worlvation beinid freitigs Deproyment<br>2.1.3 Femtocell General Technical Challenges                  | 12   |
|                  |             | 2.1.4 LTE Frame and Resource Grid Structure   | 15   |
|                  | 2.2         | OFDMA Based Femtocell Interference Management   | 13   |
|                  | 2.3         | Interference Management Techniques  | 20   |
|                  | 2.5         | 2.3.1 Radio Resource Allocation Based Approaches  | 23   |
|                  |             | 2.3.2 Cognitive Based Approaches  | 30   |
|                  |             | 2.3.3 Hardware Based Approaches   | 37   |
|                  | 2.4         | Comparison Among Various Interference Mitigation  | 57   |
|                  | <b>.</b>    | Approaches  | 39   |
|                  | 2.5         | Chapter Conclusion and Research Directions.   | 43   |
| -                |             |   |      |
| 3                | RESE        | ARCH METHODOLOGY  | 44   |

| 3.1 | Introduction   | 44 |
|-----|--|----|
| 3.2 | Effects of Deployment FBSs Grids Density in HetNets (DL) | 45 |

|   |     | 3.2.1 Deplo     | yment of Femtocell as (3x3) Gird           | 46  |
|---|-----|-----------------|--|-----|
|   |     | 3.2.2 Deplo     | yment of Femtocells as (5x5) Grid          | 47  |
|   | 3.3 | Performance E   | valuation and Metrics                      | 49  |
|   |     | 3.3.1 Signa     | l to Interference Noise Ratio.             | 49  |
|   |     | 3.3.2 Outag     | e Probability (SINR Distribution)          | 52  |
|   |     | 3.3.3 Throu     | ghput Performance                          | 52  |
|   |     | 3.3.4 Fairne    | ess Performance                            | 53  |
|   |     | 3.3.5 Spect     | ral Efficiency Performance                 | 53  |
|   | 3.4 | DL Cross and    | Co-tier Interference Mitigation Approach.  | 54  |
|   |     | 3.4.1 System 54 | m Model Description and Problem Formulati  | on  |
|   |     | 3.4.2 RAFE      | RGC Interference Mitigation Technique      | 56  |
|   |     | 3.4.3 Interf    | erence Mitigation Between Macrocell and    |     |
|   |     | Femto           | ocell (Stage 1)                            | 56  |
|   |     | 3.4.4 Interf    | erence Mitigation Among Neighboring        |     |
|   |     | Femto           | ocells (Stage 2)                           | 60  |
|   |     | 3.4.5 Perfor    | mance Evaluation Metrics                   | 76  |
|   | 3.5 |                 | ACSA Interference Mitigation Approach      | 78  |
|   |     |                 | view of Cuckoo Search Algorithm            | 78  |
|   |     |                 | n Model and Problem Formulation            | 79  |
|   |     |                 | em Mathematical Formulation                | 81  |
|   |     |                 | rce Allocation                             | 82  |
|   |     |                 | mance Evaluation Metrics                   | 85  |
|   | 3.6 | The Vienna LT   |  | 85  |
|   | 3.7 | Simulator Vali  | lation                                     | 89  |
|   |     |                 |  |     |
| 4 |     | LTS AND DIS     | CUSSION                                    | 90  |
|   |     | Introduction    |  | 90  |
|   | 4.2 |                 | Density on DL Performance in HetNets       | 90  |
|   |     |                 | ation Environment and Parameters Setup     | 90  |
|   |     |                 | ts and Performance Evaluation              | 93  |
|   | 4.3 |                 | Co-tier Interference Mitigation in HetNets | 101 |
|   |     |                 | ation Environment and Parameters Setup     | 101 |
|   |     |                 | ts and Performance Evaluation              | 104 |
|   | 4.4 |                 | tier Interference Mitigation Approach      | 109 |
|   |     |                 | ation Parameters for Proposed RACSA        | 109 |
|   |     |                 | mance Evaluation for Proposed RACSA        | 109 |
|   |     | •               | n Throughput versus Number of Femtocells   | 110 |
|   |     | -               | ral Efficiency versus Number of Femtocells | 111 |
|   |     | 4.4.5 Outag     | e Probability versus SINR Threshold        | 112 |

| RES | SEARCH                             | 114 |
|-----|------------------------------------|-----|
| 5.1 | Conclusion                         | 114 |
| 5.2 | Thesis Contribution                | 116 |
| 5.3 | Recommendation for Future Research | 118 |

# REFERENCES BIODATA OF STUDENT LIST OF PUBLICATIONS

119 131 132



# LIST OF TABLES

| Tab | Table   |     |  |
|-----|---|-----|--|
| 1.1 | Interference scenarios for two-tier macrocell and femtocell HetNets | 5   |  |
| 2.1 | Comparison among various indoor wireless access technologies        | 11  |  |
| 2.2 | Femtocell versus Wi-Fi  | 12  |  |
| 2.3 | Benefits of femtocells for operator and users                       | 13  |  |
| 2.4 | Transmission Bandwidth Configuration                                | 17  |  |
| 2.5 | All interference scenarios for two tier HetNets                     | 20  |  |
| 2.6 | Comparison among various interference mitigation approaches         | 42  |  |
| 3.1 | Sub-band and RBS allocation for MUEs based FFR                      | 60  |  |
| 3.2 | Sub-band and RBs Allocation for FUEs                                | 63  |  |
| 3.3 | QCI for different connection services based LTE standard            | 67  |  |
| 3.4 | Modulation scheme and target SINR for macrocell zones               | 80  |  |
| 4.1 | Macrocell simulation parameters                                     | 91  |  |
| 4.2 | Femtocell specific simulation parameters                            | 92  |  |
| 4.3 | Macrocell simulation parameters                                     | 103 |  |
| 4.4 | Femtocell specific simulation parameters                            | 103 |  |
| 4.5 | Comparison for overall average user throughput                      | 108 |  |
| 4.6 | Simulation parameters   | 109 |  |
|     |   |     |  |
|     |   |     |  |

## LIST OF FIGURES

| Figu | re   | Page |
|------|--|------|
| 1.1  | Interference scenarios in two-tier macrocell and femtocell HetNets   | 4    |
| 2.1  | A typical indoor OFDMA femtocell architecture in LTE   | 8    |
| 2.2  | Layout of a heterogeneous cellular wireless network concept  | 9    |
| 2.3  | LTE Frame Structure type 1   | 15   |
| 2.4  | LTE Frame Structure type 2   | 16   |
| 2.5  | Relationship between a slot, symbols and Resource Blocks   | 16   |
| 2.6  | Relationships between Channel Bandwidth, Transmission Bandwidth<br>Configuration, and Transmission Bandwidth | 17   |
| 2.7  | Types of interference in OFDMA-based two-tier HetNets  | 18   |
| 2.8  | OFDMA-based femtocell networks interference scenarios  | 19   |
| 2.9  | Interference management techniques in two-tier HetNets   | 23   |
| 2.10 | Interference management scheme using FFR   | 27   |
| 2.11 | Sensing -based opportunistic power control   | 29   |
| 2.12 | Interference management using cognitive approach   | 31   |
| 2.13 | Femto-aware spectrum arrangement scheme  | 33   |
| 2.14 | Different access control mechanism in femtocell network  | 37   |
| 3.1  | A block diagram of overall design of the proposed work   | 44   |
| 3.2  | Outdoor and indoor user distribution per macrocell area  | 45   |
| 3.3  | (a) FBSs grid size (3x3) (b) Co-tier interference in FBS grid (3x3)  | 47   |
| 3.4  | Tow-tier HetNets for macrocell overlaid with femtocell grids (3x3)   | 47   |
| 3.5  | (a) FBS grid size (5x5) (b) Co-tier interference in FBS grid (5x5)   | 48   |
| 3.6  | Tow -tier HetNets for macrocell overlaid with femtocell grids (5x5)  | 48   |
| 3.7  | Tri-sector macrocell system model with center and edge region  | 55   |
| 3.8  | System model for FBS grids deployment  | 56   |
| 3.9  | Sub band assignment for tri- sector macrocell using FFR  | 57   |

| 3.10 | Sub-band Allocation for Femtocells with FBS grid size (3x3)                         | 58 |
|------|---|----|
| 3.11 | Sub-band Allocation for Femtocells with FBS grid size (5x5)                         | 58 |
| 3.12 | LTE Spectrum division for resource allocation purpose                               | 59 |
| 3.13 | Frequency sub-band allocation for FBSs  | 61 |
| 3.14 | Resource blocks allocation for FBSs based RAFFRGC                                   | 62 |
| 3.15 | Resource Allocation for FUEs through FMS  | 64 |
| 3.16 | FBS self-organization stages  | 64 |
| 3.17 | Interfering FBSs network converted to undirected graph                              | 65 |
| 3.18 | FMS indicators for resource blocks assignment to FBS                                | 66 |
| 3.19 | FBS grid (3x3) located in macrocell sector 1 center zone C1                         | 70 |
| 3.20 | FMS convert the network of neighboring FBSs to graph                                | 71 |
| 3.21 | Number of RBs demand for each FBSs required connection                              | 71 |
| 3.22 | Available RBs for FBS when its located in center zone C1                            | 72 |
| 3.23 | Illustrated example of RAFFRGC procedures.  | 75 |
| 3.24 | FBS gr <mark>ids deployment as 2<sup>nd</sup> tier per macrocell sector</mark> area | 76 |
| 3.25 | System Model of two-tier macro and femtocell HetNets                                | 80 |
| 3.26 | Vienna LTE link level simulator overall structure                                   | 86 |
| 3.27 | Schematic block diagram of the LTE system level simulator                           | 87 |
| 3.28 | Schematic class diagram showing implementation of link-to-system model in the LTE   | 88 |
| 4.1  | 10 FBS grids (3x3) deployment per macrocell sector area                             | 92 |
| 4.2  | 10 FBS grids (5x5) deployment per macrocell sector area                             | 93 |
| 4.3  | Outage probability for macrocell with various femtocell densities                   | 94 |
| 4.4  | Average user throughput for various density of FBS grids (3x3)                      | 95 |
| 4.5  | Average user throughput for various density of FBS grids (5x5)                      | 96 |
| 4.6  | User throughput for one by one femtocell deployment                                 | 96 |
| 4.7  | Throughput of MUE vs FUE when deploy 1 FBS grid (3x3)                               | 97 |
| 4.8  | Throughput of only MUEs when deploy 1 FBS grid (3x3)                                | 97 |
|      | V.17  |    |

| 4.9  | Throughput of MUE vs FUE when deploy 1 FBS grid (5x5)          | 98  |
|------|--|-----|
| 4.10 | Single macrocell user throughput degradation                   | 98  |
| 4.11 | Jain's fairness index vs. the number of FBS grids              | 100 |
| 4.12 | UE average spectrum efficiency vs. the number of FBS grids     | 101 |
| 4.13 | 6 FBS grids (3x3) deployed per macrocell centre-sector area    | 102 |
| 4.14 | 5 FBS grids (5x5) deployed per macrocell centre -sector area   | 102 |
| 4.15 | Average throughput for only Macrocell UEs                      | 105 |
| 4.16 | Average throughput for only femtocell UEs                      | 106 |
| 4.17 | Average throughput for all UEs (MUEs and FUEs)                 | 107 |
| 4.18 | Number of femtocells vs. throughput for different techniques   | 111 |
| 4.19 | Femtocells vs. spectrum efficiency for different techniques    | 112 |
| 4.20 | Outage probability vs SINR threshold, for different techniques | 113 |

 $\bigcirc$ 

# LIST OF ABBREVIATIONS

|  | ACM   | Autonomous Control Module      |
|--|-------|--------------------------------|
|  | ACO   | Ant Colony Optimization        |
|  | AEs   | Antenna Elements               |
|  | AHRS  | Adaptive Hard Reuse Scheme     |
|  | AI    | Available intervals            |
|  | AMC   | Adaptive Modulation and Coding |
|  | BP    | Bandwidth Parts                |
|  | BS    | Base Station                   |
|  | BW    | Bandwidth                      |
|  | CA    | Close Access                   |
|  | CAPEX | Capital Expenditure            |
|  | CC    | Component Carriers             |
|  | CDMA  | Code Division Multiple Access  |
|  | CLPS  | Closed-Loop Power Setting      |
|  | СР    | Cyclic Prefix                  |
|  | CQI   | Channel Quality Indicator      |
|  | CSA   | Cuckoo Search Algorithm        |
|  | CSG   | Closed Subscriber Group        |
|  | DAs   | Distributed Antennas           |
|  | DE    | Differential Evaluation        |
|  | DL    | Down Link                      |
|  | DSL   | Digital Subscriber Line        |
|  | DwPTS | Downlink Pilot Time Slot       |
|  | FAP   | Femtocell Access Point         |
|  | FBS   | Femtocell Base Station         |
|  | FBSID | FBS Identification             |
|  | FDD   | Frequency Division Duplexing   |
|  | FFR   | Fractional Frequency Reuse     |
|  | FGW   | Femtocell Gate Way             |
|  | FMC   | Fixed Mobile Convergence       |
|  | FMS   | Femtocell Management System    |
|  | FNC   | Femtocell Network Controller   |
|  |       |                                |

| FSC      | Femtocell System Controller                                |
|----------|--|
| FTH      | Fiber optics to Home link                                  |
| FUEs     | Femtocell User Equipment's                                 |
| GA       | Genetic Algorithm  |
| GBR      | Guaranteed Bit Rate  |
| GC-DSA   | Graph Coloring for Dynamic Sub-band Allocation             |
| GSM      | Global System Mobile communication                         |
| НА       | Hybrid Access  |
| HBS      | Home Base Station  |
| HeNBs    | Home Evolved Node BS                                       |
| HetNets  | Heterogeneous Networks                                     |
| HNBs     | Home Node Base stations                                    |
| HSG      | Hybrid Subscriber Group                                    |
| IC       | Interference Cancellation                                  |
| ICI      | Inter-Carrier Interference                                 |
| IMS      | IP multimedia subsystem signaling                          |
| ISI      | Inter Symbol Interference                                  |
| JFI      | Jain's Fairness Index                                      |
| LDO      | Low Duty Operation   |
| LTE      | Long-Term Evolution  |
| LTE-A    | LTE-Advanced   |
| MA       | Margin Adaptive  |
| MAMSRL-S | S Multiple-Agent Multiple-state RL with Soft-max Selection |
| MBS      | Macrocell Base Station                                     |
| MeNB     | Macrocell e-Node BS  |
| MIMO     | Multiple Input Multiple Output                             |
| MIS      | Maximal Independent Set                                    |
| MUEs     | Macrocell User Equipments                                  |
| NEIGHBOR | ING_MSG Neighboring Message                                |
| NLOS     | Non-Line-Of-Sight  |
| non-GBR  | Non- Guaranteed Bit Rate                                   |
| OA       | Open Access  |
| OFDMA    | Orthogonal Frequency Division Multiple Access              |

|  | O-FFR            | Optimal FFR   |
|--|------------------|---|
|  | OLPS             | Open Loop Power Setting   |
|  | OOP              | Object-Oriented Programming   |
|  | OPEX             | Operating Expenditure   |
|  | OSG              | Open Subscriber Group   |
|  | OTA              | Over-The-Air  |
|  | PC               | Power Control   |
|  | PIC              | Parallel Interference Cancellation  |
|  | PSO              | Particle Swarm Optimization   |
|  | QCIs             | QoS Class identifiers   |
|  | QoS              | Quality -of-Service   |
|  | RA               | Rate Adaptive   |
|  | RA               | Resource Allocation (Resource Assignment)   |
|  | RACSA            | Resource Allocation based Cuckoo Search Algorithm   |
|  | RAFFRGA<br>RAN   | Resource Allocation based Fractional Frequency Reuse and<br>Graph Algorithm<br>Radio Access Network |
|  | RBGs             | Resource Blocks Groups  |
|  | RBs              | Resource Blocks   |
|  | RE               | Resource Element  |
|  | RF               | Radio Frequency   |
|  | RRBs             | Radio resource blocks   |
|  | RXs              | Receivers   |
|  | RxFBS-ID         | Received FBS-ID   |
|  | RSSI             | Received Signal Strength Indication   |
|  | SAMSRL-AAS<br>SB | Single-Agent Multiple-State RL with Adaptive Action<br>Selection<br>Sub Band                        |
|  | SE               | Spectrum Efficiency   |
|  | SIA              | Swarm Intelligent algorithms  |
|  | SIC              | Successive Interference Cancellation  |
|  | SINR             | Signal to Interference and Noise Ratio  |
|  | SMDP             | Semi Markov Decision Process  |
|  | SOHO             | Small Office Home Office  |
|  | ТСР              | Transmission Control Protocol   |
|  |                  |   |

| TDD      | Time Division Duplexing                         |  |  |
|----------|---|--|--|
| Ts       | Time slot                                       |  |  |
| TTI      | Transmission Time Interval                      |  |  |
| TxFBS_ID | Transmission FBS-ID                             |  |  |
| UAI      | Unavailable Interval                            |  |  |
| UEs      | User Equipment's                                |  |  |
| UL       | Up Link   |  |  |
| UMTS     | Universal Mobile Telecommunications System      |  |  |
| UpPTS    | Uplink Pilot Time Slot                          |  |  |
| VoIP     | Voice over IP                                   |  |  |
| WCDMA    | Wideband Code Division Multiple Access          |  |  |
| WiMAX    | Worldwide Interoperability for Microwave Access |  |  |
| WLAN     | Wireless Local Area Networks                    |  |  |

 $\bigcirc$ 

### **CHAPTER 1**

#### **INTRODUCTION**

#### 1.1 Overview on Femtocell Technology

Due to the advances in modern cellular technology, wireless devices have become an essential indispensable element in our daily life. The rapid growth and proliferation of wireless devices and gadgets have turned the cellular networks from being basically voice networks to become mostly data networks. To provide this higher rate of data, operators across the world have switched to higher frequency to increase the bandwidth. However, increasing number of wireless nodes in sub-urban and urban areas has created a bigger challenge for the outdoor base station to provide quality coverage in indoor environments. According to recent studies, roughly 66% of the phone calls and 90% of the data services take place in indoor environments (e.g. home, office, enterprises) [1]-[3]. However, more and more users complain of poor connectivity as the received signal strength from outdoor Base Station (BS) weakens and deceases in performance due to the high penetration loss in the walls [4]. As stated in article [5], 45% of the residential subscribers and 30% of the corporate subscribers complain of poor indoor coverage. The existing outdoor base station cannot increase the transmission power in an infinite level to ensure the coverage in outage areas. The growing demand for broadband wireless access inside residential (indoors) environment along with the shortage of capacity in the existing outdoor base stations have motivated wireless service providers to look for possible solutions to improve indoor coverage with high data rates and enhanced Quality of Service (QoS). To handle these challenges, different deployment strategies have been proposed earlier including installation of extra macrocell, Distributed Antennas (DAs), hot spots, In-Building Solution (IBS), picocells, and multi-hop relays. The infrastructure for doing so, nonetheless, is expensive and cannot guarantee high quality indoor coverage. The concept of femtocells has recently been introduced as the cheapest solution for indoor wireless broadband [6]-[9]. Femtocell Base Station (FBS) or Femtocell Access Point (FAPs), is a small base station typically designed for indoor use in a Small Office Home Office (SOHO) environment to provide voice and broadband services. FBS is a cost competitive, low power, short-range wireless device operating in the licensed spectrum. The term femtocell itself was first coined in 2006. FBS is not a new concept as it was first studied in 1999 by Bell Labs, while GSM based home base station were brought to the market by Alcatel in 2000. The first 3G-based home base station was introduced by Motorola in 2002 [10]. In the metric measurement system, "Femto" means one-quadrillionth (10<sup>-15</sup>). FBS is installed by the users to ensure seamless indoor coverage with better voice and data reception [11], [12]. FBS, on the air interface, provides radio coverage to a given cellular standard [13], such as Global System Mobile (GSM) communication, Wideband Code Division Multiple Access (WCDMA), Universal Mobile Telecommunications System (UMTS), Worldwide Interoperability for Microwave Access (WiMAX), and Long Term Evolution (LTE). The 3rd Generation Partnership Project (3GPP) refers to this FBS as Home Node Base station (HNBs). The LTE femtocell is referred to as Home evolved Node Base station (HeNB) [14]. FBSs are connected to the Internet via broadband backhaul connection such as, cable modem, digital subscriber line (DSL), on home fiber optic link, separate

Radio Frequency (RF) backhaul channel, satellite, or a similar IP backhaul technology. The wired connection is used to integrate the FBS with the mobile operator's core network. FBSs were introduced in the 3GPP release 8 in 2008 [15]. Given the continuous evolving of technologies of cellular networks, several femtocell specifications and standards are being included in the technical reports of 3GPP1 and 3GPP2 [16],[17]. Triggered by its highly spectral efficiency and low implementation cost, OFDMA has been utilized in many high-speed wireless transmission standards (e.g. LTE, WiMAX, and Wi-Fi). Long Term Evolution (LTE) is a broadband wireless access technology designed to support mobile Internet access via cell phones or handheld devices. As LTE exhibits significant performance improvements over previous cellular communication standards, it is commonly referred to as fourth generation (4G) technology [18]. This Thesis treats LTE as a standard to investigate the interference problem in OFDMA-based femtocell networks. The standard was developed by the 3GPP and was specified and enhanced in Release 8 and Release 9 of its document series respectively [19]. Besides LTE, LTE-Advanced has been developed as successor standard of LTE. There are many solutions which have been specified in release 10 and release 11 (LTE- Advanced) of LTE, and more solutions are studied and developed in the next release (Rel-12 and beyond). A number of worldwide mobile operators showed interest in LTE HeNB technologies as a promising solution to increase system capacity in the near future [20].

### 1.2 Research Problem Statement

In wireless broadband access networks, most indoor environments encounter serious coverage problem due to non-line of sight transmission. Femtocells have been introduced as cost efficient solution to improve cell coverage, enhance area spectral-efficiency and provide better quality-of-service (QoS) to mobile users. However, vast deployment of femtocell network in the absence of proper network planning strategy makes it difficult to maintain the desired quality of service. Additionally, the service of femtocell in densely deployed heterogeneous network is challenged by interference. In reality, interference mainly occurs due to the fact that the radio resource is scarce. Femtocells have to (fully/ party) share/ ruse the available spectrum resource (the same licensed frequency band) with the existing macrocells in the network. This process is referred to as co-channel deployment. In co-channel deployment, the femtocells and macrocells can fully or partly share/reuse the available frequency bandwidth, thereby resulting in severe interferences between macrocells and femtocells.

A femtocell not only provide coverage within the owner's home or in the office, but also radiates outside, as well as extends coverage to nearby premises and other outdoor spaces, leading to interference among the neighboring femtocells [11],[12]. Moreover, the deployment of new femtocells may result to disturbances in the operations of the existing femtocells in the network. Besides, the femtocell base stations will be randomly deployed and installed by users themselves, with no prior planning by either the user, mobile operator, or the internet service provider (ISP), thereby making interference management even more challenging [21]. Such interference will decrease the quality of communication and therefore lead to degradation for the system performance. Interferences in general can have negative impacts on the performance of femtocells, and also hinders the performance of other devices connected to the macro cellular network. Moreover, extreme cases of interference may result to "Dead zones" (i.e. regions experiencing degrading QoS thereby making it impossible to establish communication). In general, the quality of received signal at the receiving end is determined and quantified using the Signal to Interference plus Noise Ratio (SINR). Precisely, the SINR depends on the BS's transmitted power, transmitted power from each of the interfering transmitters, shadowing, fading as well as path losses [22]. For communication to take place, the prevailing SINR reading must surpass a particular threshold. If the SINR reading falls below this threshold as a result of interference, the communication link cannot be established thereby creating a dead zone. In general, the threshold of the SINR is defined based on the radio frequency being utilized, and may also be different in accordance with the various QoS requirements of the different technologies [23].

Generally, interference in two-tier macro and femtocells HetNets can classified into two types:

**Cross-tier interference or (inter-tier interference):** refers to interference occurs between network elements belonging to dissimilar tiers of the network (for example, between elements of the macrocell tier and those of the femtocell tier, vice versa. Here, the femtocell UEs (FUEs) and macrocell UEs (MUEs) are the sources of uplink cross-tier interferences towards the nearby Femtocell Base Stations (FBSs) as well as the serving Macrocell Base Station (MBS) respectively as illustrated with index 1 and index 3 in both Figure 1.1 and Table 1.1. Conversely, the serving MBS, together with the FBS result in forward link (downlink) cross-tier interferences on to the MFUEs and the neighboring FUEs as illustrated with indexes 2 and 4 respectively showed in both Figure 1.1 and Table 1.1. Figure 1.1 provides a summary of the various cross-tier scenarios. Nevertheless, for OFDMA networks, cross-tier forward (DL)/reverse link (UL) interferences happen exclusively in cases where both the aggressor and the victim attempted to use the same radio spectrum resources (sub-channels, resource blocks). Thus, efficient resource allocation is indeed vital for interference avoidance is such systems [21],[70].

**Co-tier interference (intra-tier interference):** As the name suggests, co-tier interference, in general, is the interference which takes place between network elements belonging to the same tier. In terms of femtocell networks, co-tier interference refers to the interference among neighboring femtocells. Co-tier interference occurs in two different forms uplink co-tier interference and downlink co-tier interference. The former occurred when femtocell user equipment FUE (aggressor) may cause uplink co-tier interferences with its neighboring femtocell base stations FBS (victim) as illustrated with index 5 in both Figure 1.2 and Table 1.1, while the latter occurred when a FBS (aggressor) may also cause downlink co-tier interferences to a nearby FUEs (victims) as illustrated with index 6 shown in both Figure 1.1 and Table 1.1. For femtocell networks based on OFDMA, the occurrence of co-tier Uplink (UL)/Downlink (DL) interferences takes place only when both the aggressor and the victim attempt to communicate using the same spectrum resources (sub-channels, or

Resource blocks). Thus, for interference mitigation in such networks, an intelligent and efficient radio resource allocation mechanism is required.

In orthogonal Frequency Division Multiple Access (OFDMA) based macro cellular Long-Term Evolution (LTE) femtocell networks, (uplink/downlink) interferences occur only when the aggressor and the victim attempted to share and/ or reuse the same radio frequency resource (sub-channels, Resource Blocks). Since the radio spectrum (frequency) resources are limited then the resource allocation considers an important factor in terms of interference avoidance in two-tier HetNets. Therefore, by adopting suitable resource allocation, the cross-tier interferences can be considerably minimized; while the co-tier interferences can be effectively avoided and this will lead to improve the overall system performance.

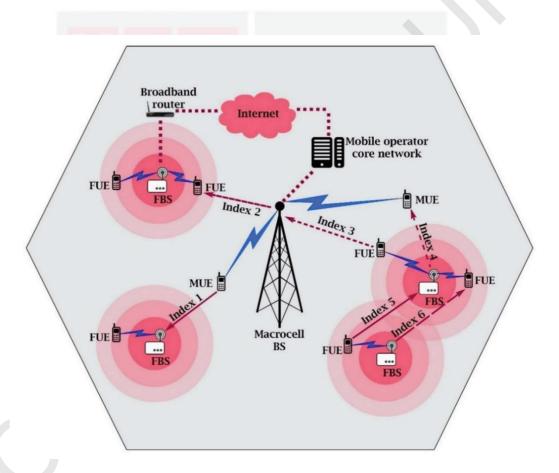


Figure 1.1: Interference scenarios in two-tier macrocell and femtocell HetNets.

Table 1.1, gives a brief description on interference types (cross-tier, co-tier), source (aggressive), victim of interference, and transmission mode (UL, DL) for various interference scenarios that depicted in Figure 1.1 and indexed from 1 to 6.

| Index | Interference type | Aggressor | Victim | Transmission mode |
|-------|-------------------|-----------|--------|-------------------|
| 1     | Cross-tier        | MUE       | FBS    | UL                |
| 2     | Cross-tier        | MBS       | FUE    | DL                |
| 3     | Cross-tier        | FUE       | MBS    | UL                |
| 4     | Cross-tier        | FBS       | MUE    | DL                |
| 5     | Co-tier           | FUE       | FBS    | UL                |
| 6     | Co-tier           | FBS       | FBS    | DL                |

Table 1.1: Interference scenarios for two-tier macrocell and femtocell HetNets

Generally, more details in interference types and scenarios together with various interference management techniques will be discussed in details in Chapter 2. An effective and optimal resource allocation (spectrum and power) for interference mitigation in two-tier HetNets is considered a key challenge of this thesis and the research will carryout to solve it.

### 1.3 Research Objectives

The main aim of this thesis is to mitigate downlink interference in two-tier macro and femtocell HetNets based radio resource allocation approaches that ensure a better QoS and improve the overall system performance. The objectives of this study are:

- 1. To investigate and analyze, the impacts of femtocell grids deployment density on the downlink performance for two-tier HetNets based LTE system.
- 2. To develop a hybrid resource allocation technique to mitigate both cross-tier and co-tier interference for two-tier HetNets using combined Fractional Frequency Ruse (FFR) and Graph Connectivity (GC) based LTE system.
- 3. To propose a cross-tier interference mitigation technique-based resource allocation, that assign the suitable resources (frequency and power) for all mobile users using the heuristic bio inspired cuckoo search algorithm.

### 1.4 Research Questions

What level of performance degradation can be expected if Femto-cells are overlaid on the same spectral infrastructure as with a macro-base station in multi cell?

Which system models can be applied for two-tier macro- and femtocell heterogeneous networks?

What is the effect of cross-tier interference rising between macrocell and femtocell HetNets?

What is the impact of co-tier interference originating among neighboring femtocells? How will achieve resource allocation (i.e. spectrum, Radio resource blocks (RRBs) in the absence of coordination between macrocells and femtocells? How to avoid and/or mitigate cross-tier interference in two-tier HetNets? How to avoid and/or reduce co-tier interference among neighboring femtocells in twotier HetNets?

## **1.5** Scope and Limitation of Work

The work in this thesis focuses on the area of radio resource allocation and interference management in heterogeneous networks with femtocells deployments. This thesis scope focus on downlink cross and co-tier interference management approaches for based resource (frequency) allocation techniques in two-tier Heterogeneous Networks (HetNets) to improve the overall system performance. Furthermore, a co-channel deployment and either close or hybrid access modes was used for femtocells in the context of this thesis. The frequency resource allocation applied in this thesis depend on FFR-3, graph connectivity concept, and cuckoo metaheuristic search. The other different types of interference management approaches for two-tier HetNets are considered out of scope in this thesis. In other words, this study focuses for interference mitigation for HetNets based the management of radio resource allocations. The proposed models, algorithms and techniques for interference mitigation are implemented and integrated with Vienna LTE system level simulator.

### 1.6 Thesis Organization

Chapter 2 presents a brief background on LTE femtocell, indoor coverage technologies used in heterogeneous networks, the motivation behind femtocell deployment, and femtocell general technical challenges. In addition, it presents types and possible scenarios of interference in OFDMA based femtocell networks followed by a comprehensive literature review on the different state of the interference management and resource allocation approaches. Furthermore, a qualitative comparison among various interference mitigation techniques is provided in this chapter. Chapter 3 presents the methodology, system models for different femtocell deployment scenarios, and the problem mathematical formulas are provided. Moreover, the operational algorithms for the proposed schemes for interference mitigation in twotier macro and femtocell heterogeneous networks are presented. Chapter 4 investigate the experimental circumstance, presents the simulation environment, simulation parameters, simulation results. In addition, a performance evaluation is discussed in this Chapter through comparing the results of proposed interference mitigation techniques with some other recent related techniques from the previous studies. In Chapter 5, the thesis conclusion, and contribution is presented. Directions and recommendations for future research topics are discussed.

#### REFERENCES

- [1] S. Carlaw, "Ipr and the potential effect on femtocell markets," *FemtoCells Eur.*, 2008.
- [2] G. S. M. Association, "Mobile Data Statistics."
- [3] G. Mansfield, "Femtocells in the US market—business drivers and consumer propositions," in *Conference Proceedings of the FemtoCells in Europe*, *London*, *UK*, *June 2008*.
- [4] S. P. Yeh, S. Talwar, S. C. Lee, and H. Kim, "WiMAX femtocells: A perspective on network architecture, capacity, and coverage," *IEEE Communications Magazine*, vol. 46, no. 10, pp. 58–65, Oct-2008.
- [5] J. Cullen, "Radioframe presentation," in *Femtocell Europe, London, UK*, 2008.
- [6] R. Baines, "The Need for WiMAX picocell & Femtocells," in *WiMax London*, 2007, pp. 1–36.
- [7] H. Claussen, "Performance of macro- and co-channel femtocells in a hierarchical cell structure," in *IEEE International Symposium on Personal, Indoor and Mobile Radio Communications, PIMRC*, 2007, pp. 1–5.
- [8] L. T. W. Ho and H. Claussen, "Effects of user-deployed, co-channel femtocells on the call drop probability in a residential scenario," in *IEEE International Symposium on Personal, Indoor and Mobile Radio Communications, PIMRC*, 2007, pp. 1–5.
- [9] D. López-Pérez, G. De La Roche, A. Valcarce, A. Jüttner, and J. Zhang, "Interference avoidance and dynamic frequency planning for WiMAX femtocells networks," in 2008 11th IEEE Singapore International Conference on Communication Systems, ICCS 2008, 2008, pp. 1579–1584.
- [10] D. Chambers, "Femtocell History." [Online]. Available: http://www.thinkfemtocell.com/FAQs/femtocell-history.html.;
- [11] V. Chandrasekhar, J. G. Andrews, and A. Gatherer, "Femtocell networks: A survey," *IEEE Communications Magazine*, vol. 46, no. 9, pp. 59–67, Sep-2008.
- [12] H. Claussen, L. T. W. Ho, and L. G. Samuel, "An overview of the femtocell concept," *Bell Labs Tech. J.*, vol. 13, no. 1, pp. 221–245, May 2008.
- [13] Doug Knisely, Vice President Technology, Standards," Femtocell Standardization", May 2010 http://www.airvana.com/default/assets/File/WHITEPAPERFemtocell\_Networ k\_Architecture.pdf
- [14] W. Forum, "Requirements for WiMAX Femtocell Systems ', Version 1.0.0, April 2009."

- [15] "3GPP release 8, available at: http://www.3gpp.org/Release-8.".
- [16] D. Knisely, T. Yoshizawa, and F. Favichia, "Standardization of femtocells in 3GPP," *IEEE Communications Magazine*, vol. 47, no. 9, pp. 68–75, Sep-2009.
- [17] D. N. Knisely, T. Yoshizawa, and F. Favichia, "Standardization of femtocells in 3GPP," *IEEE Communications Magazine*, vol. 47, no. 9, pp. 68–75, Sep-2009.
- [18] S. Sesia, I. Toufik, and M. Baker, *LTE The UMTS Long Term Evolution from Theory to Practice*. Wiley, 2009.
- [19] "3GPP Technical Specification TS 36.213 V10.3.0, Evolved Universal Terrestrial Radio Access (E-UTRA); Physical Layer Procedures, Sep. 2011.
  [Online]. Available: http://www.3gpp.org/ftp/Specs/html-info/36213.htm.".
- [20] T. Nakamura, S. Nagata, A. Benjebbour, Y. Kishiyama, T. Hai, S. Xiaodong, Y. Ning, and L. Nan, "Trends in small cell enhancements in LTE advanced," *IEEE Communications Magazine*, vol. 51, no. 2, pp. 98–105, Feb-2013.
- [21] D. López-Pérez, A. Valcarce, G. De La Roche, and J. Zhang, "OFDMA femtocells: A roadmap on interference avoidance," *IEEE Communications Magazine*, vol. 47, no. 9, pp. 41–48, Sep-2009.
- [22] R. Tang, "Indoor propagation in cellular/PCS system design," in 1999 IEEE Emerging Technologies Symposium. Wireless Communications and Systems (IEEE Cat. No.99EX297), p. 8.1-8.4.
- [23] H. Su, L. Kuang, and J. Lu, "Interference avoidance in OFDMA-based femtocell network," in *Proceedings - 2009 IEEE Youth Conference on Information, Computing and Telecommunication, YC-ICT2009*, 2009, pp. 126– 129.
- [24] N. Saquib, E. Hossain, L. B. Le, and D. I. Kim, "Interference management in OFDMA femtocell networks: issues and approaches," *Wirel. Commun. IEEE*, vol. 19, no. 3, pp. 86–95, 2012.
- [25] T. Lee, J. Yoon, S. Lee, and J. Shin, "Interference management in OFDMA femtocell systems using fractional frequency reuse," *Commun. Circuits*, 2010.
- [26] S. Saunders, S. Carlaw, A. Giustina, R. R. Bhat, V. S. Rao, and R. Siegberg, *Femtocells: opportunities and challenges for business and technology*. John Wiley & Sons, 2009.
- [27] A. Khandekar, N. Bhushan, J. Tingfang, and V. Vanghi, "LTE-Advanced: Heterogeneous networks," in *2010 European Wireless Conference (EW)*, 2010, pp. 978–982.
- [28] R. Bendlin, V. Chandrasekhar, R. Chen, A. Ekpenyong, and E. Onggosanusi, "From homogeneous to heterogeneous networks: A 3GPP Long Term

Evolution rel. 8/9 case study," in 2011 45th Annual Conference on Information Sciences and Systems, 2011, pp. 1–5.

- [29] L. Lindbom, R. Love, S. Krishnamurthy, C. Yao, N. Miki, and V. Chandrasekhar, "Enhanced Inter-cell Interference Coordination for Heterogeneous Networks in LTE-Advanced: A Survey," Dec. 2011.
- [30] S. F. Hasan, N. H. Siddique, and S. Chakraborty, "Femtocell versus WiFi A survey and comparison of architecture and performance," in *Wireless Communication, Vehicular Technology, Information Theory and Aerospace & Electronic Systems Technology, Wireless VITAE 2009. 1st International Conference on*, 2009, pp. 916–920.
- [31] M. E. Şahin, I. Guvenc, M.-R. Jeong, and H. Arslan, "Handling CCI and ICI in OFDMA femtocell networks through frequency scheduling," *Consum. Electron. IEEE Trans.*, vol. 55, no. 4, pp. 1936–1944, 2009.
- [32] D. Choi, P. Monajemi, S. Kang, and J. Villasenor, "Dealing with Loud Neighbors: The Benefits and Tradeoffs of Adaptive Femtocell Access," in *Global Telecommunications Conference, IEEE GLOBECOM.*, 2008, pp. 1–5.
- [33] D. Lopez-Perez, A. Valcarce, G. D. La Roche, E. Liu, and J. Zhang, "Access methods to WiMAX femtocells: A downlink system-level case study," in *Communication Systems, ICCS 2008. 11th IEEE Singapore International Conference on*, 2008, pp. 1657–1662.
- [34] A. Golaup, M. Mustapha, and L. B. Patanapongpibul, "Femtocell access control strategy in UMTS and LTE," *Communications Magazine, IEEE*, vol. 47; 47, no. 9, pp. 117–123, 2009.
- [35] D. Das and V. Ramaswamy, "Co-Channel Femtocell-Macrocell Deployments-Access Control," in *Vehicular Technology Conference Fall (VTC 2009-Fall)*, 2009 IEEE 70th, 2009, pp. 1–6.
- [36] A. Valcarce, D. Lopez-Perez, G. de la Roche, and J. Zhang, "Limited access to OFDMA femtocells," in *Personal, Indoor and Mobile Radio Communications, 2009 IEEE 20th International Symposium on*, 2009, pp. 1–5.
- [37] G. de la Roche, A. Valcarce, D. Lopez-Perez, and J. Zhang, "Access control mechanisms for femtocells," *Communications Magazine, IEEE*, vol. 48, no. 1, pp. 33–39, 2010.
- [38] L. Wang, Y. Zhang, and Z. Wei, "Mobility Management Schemes at Radio Network Layer for LTE Femtocells," in *Vehicular Technology Conference*, *VTC Spring 2009. IEEE 69th*, 2009, pp. 1–5.
- [39] W. Shaohong, Z. Xin, Z. Ruiming, Y. Zhiwei, F. Yinglong, and Y. Dacheng, "Handover Study Concerning Mobility in the Two-Hierarchy Network," in *Vehicular Technology Conference, VTC Spring 2009. IEEE 69th*, 2009, pp. 1– 5.

- [40] M. Z. Chowdhury, W. Ryu, E. Rhee, and Y. M. Jang, "Handover between macrocell and femtocell for UMTS based networks," in Advanced Communication Technology, ICACT 2009. 11th International Conference on, 2009, vol. 01; 01, pp. 237–241.
- [41] J.-S. Kim and T.-J. Lee, "Handover in UMTS networks with hybrid access femtocells," in Advanced Communication Technology (ICACT), The 12th International Conference on, 2010, vol. 1, pp. 904–908.
- [42] A. A. Habeeb and M. A. Qadeer, "Interference evaluation and MS controlled handoff technique for femtocell," in 2009 First Asian Himalayas International Conference on Internet, 2009, pp. 1–5.
- [43] H. Zhang, X. Wen, B. Wang, W. Zheng, and Y. Sun, "A Novel Handover Mechanism Between Femtocell and Macrocell for LTE Based Networks," in 2010 Second International Conference on Communication Software and Networks, 2010, pp. 228–231.
- [44] S. Lee, "An Enhanced IEEE 1588 Time Synchronization Algorithm for Asymmetric Communication Link using Block Burst Transmission," *Commun. Lett. IEEE*, vol. 12, no. 9, pp. 687–689, 2008.
- [45] J. Yoon, J. Lee, and H. S. Lee, "Multi-hop based network synchronization scheme for femtocell systems," in *Personal, Indoor and Mobile Radio Communications, 2009 IEEE 20th International Symposium on*, 2009, pp. 1–5.
- [46] I. Hwang, Y. Kang, H. Kim, and S. Kim, "Synchronization issue for mobile WiMAX femtocell," in *Information and Communication Technology Convergence (ICTC), 2010 International Conference on*, 2010, pp. 563–564.
- [47] M. K. Hasan, R. A. Saeed, A. Abdalla, S. Islam, O. Mahmoud, O. Khalifah, S. A. Hameed, and A. F. Ismail, "An investigation of femtocell network synchronization," in *Open Systems (ICOS), 2011 IEEE Conference on*, 2011, pp. 196–201.
- [48] C.-K. Han, H.-K. Choi, and I.-H. Kim, "Building Femtocell More Secure with Improved Proxy Signature," in *GLOBECOM 2009 IEEE Global Telecommunications Conference*, 2009, pp. 1–6.
- [49] R. Borgaonkar, K. Redon, and J. P. Seifert, "Security analysis of a femtocell device," in *Proceedings of the 4th International Conference on Security of Information and Networks*, 2011, pp. 95–102.
- [50] T. Vanek and M. Rohlik, "Perspective security procedures for femtocell backbone," in *Ultra Modern Telecommunications and Control Systems and Workshops (ICUMT), 2011 3rd International Congress on,* 2011, pp. 1–4.
- [51] Y. J. Sang, H. G. Hwang, and K. S. Kim, "A Self-Organized Femtocell for IEEE 802.16e System," in *Global Telecommunications Conference, GLOBECOM* 2009. IEEE, 2009, pp. 1–5.

- [52] H. S. Jo, J. G. Yook, C. Mun, and J. Moon, "A self-organized uplink power control for cross-tier interference management in femtocell networks," in *Military Communications Conference, MILCOM 2008. IEEE*, 2008, pp. 1–6.
- [53] H. Claussen, L. T. W. Ho, and L. G. Samuel, "Self-optimization of coverage for femtocell deployments," in *Wireless Telecommunications Symposium*, WTS 2008, 2008, pp. 278–285.
- [54] M. Bennis and D. Niyato, "A Q-learning based approach to interference avoidance in self-organized femtocell networks," in *GLOBECOM Workshops* (*GC Wkshps*), 2010 IEEE, 2010, pp. 706–710.
- [55] H. S. Jo, C. Mun, J. Moon, and J.-G. Yook, "Self-Optimized Coverage Coordination in Femtocell Networks," *Wirel. Commun. IEEE Trans.*, vol. 9, no. 10, pp. 2977–2982, 2010.
- [56] D. Lopez-Perez, A. Ladanyi, A. Juttner, and J. Zhang, "OFDMA femtocells: A self-organizing approach for frequency assignment," in *Personal, Indoor and Mobile Radio Communications, 2009 IEEE 20th International Symposium on*, 2009, pp. 2202–2207.
- [57] M. Yavuz, F. Meshkati, S. Nanda, A. Pokhariyal, N. Johnson, B. Raghothaman, and A. Richardson, "Interference management and performance analysis of UMTS/HSPA+ femtocells," *Communications Magazine, IEEE*, vol. 47, no. 9, pp. 102–109, 2009.
- [58] A.-H. Zyoud, M. H. Habaebi, J. Chebil, and M. R. Islam, "Femtocell interference mitigation," in *Control and System Graduate Research Colloquium (ICSGRC), 2012 IEEE*, 2012, pp. 94–99.
- [59] K. Sundaresan and S. Rangarajan, "Efficient resource management in OFDMA femto cells," in *Proceedings of the tenth ACM international symposium on Mobile ad hoc networking and computing*, 2009, pp. 33–42.
- [60] K. Cho, W. Lee, D. Yoon, K. Hyun, and Y. S. Choi, "Resource alloation for orthogonal and co-channel femtocells in a hierarchical cell structure," *Consumer Electronics, 2009. ISCE '09. IEEE 13th International Symposium* on. pp. 655–656, 2009.
- [61] X. Chu, Y. Wu, L. Benmesbah, and W. K. Ling, "Resource allocation in hybrid macro/femto networks," in *Wireless Communications and Networking Conference Workshops (WCNCW), 2010 IEEE*, 2010, pp. 1–5.
- [62] T. Lee, H. Kim, J. Park, and J. Shin, "An efficient resource allocation in OFDMA femtocells networks," in *Vehicular Technology Conference Fall*, 2010 IEEE 72nd, 2010, pp. 1–5.
- [63] D. C. Oh, H. C. Lee, and Y. H. Lee, "Cognitive radio based femtocell resource allocation," in *International Conference on Information and Communication Technology Convergence (ICTC)*, 2010, pp. 274–279.

- [64] G. E. M. Zhioua, P. Godlewski, S. Hamouda, and S. Tabbane, "A femtocells ressources allocation scheme in OFDMA based networks," in *Communications* and Networking (ComNet), 2010 Second International Conference on, 2010, pp. 1–5.
- [65] A. T. Gamage, N. Rajatheva, and M. Codreanu, "Resource allocation for OFDMA-based relay assisted two-tier femtocell networks," in *Wireless Communication Systems (ISWCS), 2011 8th International Symposium on*, 2011, pp. 834–838.
- [66] A. Ladanyi, D. Lopez-Perez, A. Juttner, X. Chu, and J. Zhang, "Distributed resource allocation for femtocell interference coordination via power minimisation," in *GLOBECOM Workshops (GC Wkshps), 2011 IEEE*, 2011, pp. 744–749.
- [67] L.-C. Tseng, C. Huang, and A. F. Hanif, "Dynamic resource management for OFDMA-based femtocells in the uplink," in *Wireless Communications and Mobile Computing Conference (IWCMC), 2011 7th International*, 2011, pp. 528–533.
- [68] "LTE Resource guid." [Online]. Available: http://web.cecs.pdx.edu/~fli LTE. Resource guid/class/LTE\_Reource\_Guide.pdf.
- [69] T. Zahir, K. Arshad, A. Nakata, and K. Moessner, "Interference Management in Femtocells," *IEEE Commun. Surv. Tutorials*, vol. 15, no. 1, pp. 293–311, 2013.
- [70] F. Mhiri, K. Sethom, and R. Bouallegue, "A survey on interference management techniques in femtocell self-organizing networks," *J. Netw. Comput. Appl.*, vol. 36, no. 1, pp. 58–65, Jan. 2013.
- [71] L. Huang, G. Zhu, and X. Du, "Cognitive femtocell networks: an opportunistic spectrum access for future indoor wireless coverage," *Wirel. Commun. IEEE*, vol. 20, no. 2, pp. 44–51, 2013.
- [72] Y. L. Lee, J. Loo, T. C. Chuah, and A. A. El-Saleh, "Fair Resource Allocation with Interference Mitigation and Resource Reuse for LTE/LTE-A Femtocell Networks," *IEEE Trans. Veh. Technol.*, vol. 65, no. 10, pp. 8203–8217, Oct. 2016.
- [73] T. Villa, R. Merz, and P. Vidales, "Performance evaluation of OFDMA femtocells link-layers in uncontrolled deployments," in *Wireless Conference (EW)*, 2010 European, 2010, pp. 825–832.
- [74] G. Ponente and E. De Marinis, "Femtocell system optimization by genetic algorithm in clustered scenarios," in *Summit, E De Marinis Future Network & Mobile, IEEE*, 2011, pp. 1–9.
- [75] C. Xu, M. Sheng, X. Wang, C. X. Wang, and J. Li, "Distributed subchannel allocation for interference mitigation in OFDMA femtocells: A utility-based

learning approach," *IEEE Trans. Veh. Technol.*, vol. 64, no. 6, pp. 2463–2475, Jun. 2015.

- [76] Juejia Zhou, Xiaoming She, Lan Chen, and H. Otsuka, "QoS guaranteed radio resource allocation scheme using Genetic Algorithm for OFDMA," in 2011 6th International ICST Conference on Communications and Networking in China (CHINACOM), 2011, pp. 594–599.
- [77] D. T. Ngo, L. B. Le, T. Le-Ngoc, E. Hossain, and D. I. Kim, "Distributed interference management in femtocell networks," in *Vehicular Technology Conference (VTC Fall), IEEE*, 2011, pp. 1–5.
- [78] S. Saleem and H. King, "Avoidance of co-tier interference between femtocells with different access modes," *Int. J. Inf.*, vol. 2, no. 8, 2012.
- [79] Y. Sun, R. P. Jover, and X. Wang, "Uplink Interference Mitigation for OFDMA Femtocell Networks," *IEEE Trans. Wirel. Commun.*, vol. 11, no. 2, pp. 614– 625, Feb. 2012.
- [80] H. Marshoud, H. Otrok, H. Barada, R. Estrada, and Z. Dziong, "Genetic algorithm based resource allocation and interference mitigation for OFDMA macrocell-femtocells networks," in 6th Joint IFIP Wireless and Mobile Networking Conference (WMNC), 2013, pp. 1–7.
- [81] S. Xiao, X. Zhou, Y. Yuan-Wu, G. Y. Li, and W. Guo, "Robust Resource Allocation in Full-Duplex-Enabled OFDMA Femtocell Networks," *IEEE Trans. Wirel. Commun.*, vol. 16, no. 10, pp. 6382–6394, Oct. 2017.
- [82] Z. Zheng, J. Hamalainen, and Y. Yang, "On Uplink Power Control Optimization and Distributed Resource Allocation in Femtocell Networks," in *Vehicular Technology Conference (VTC Spring)*, 2011 IEEE 73rd, 2011, pp. 1– 5.
- [83] M. Kaneko, T. Nakano, K. Hayashi, T. Kamenosono, and H. Sakai, "Distributed Resource Allocation With Local CSI Overhearing and Scheduling Prediction for OFDMA Heterogeneous Networks," *IEEE Trans. Veh. Technol.*, vol. 66, no. 2, pp. 1186–1199, Feb. 2017.
- [84] T.-H. Kim and T.-J. Lee, "Throughput enhancement of macro and femto networks by frequency reuse and pilot sensing," in *Performance, Computing and Communications Conference, IPCCC 2008. IEEE International*, 2008, pp. 390–394.
- [85] P. Lee, T. Lee, J. Jeong, and J. Shin, "Interference management in LTE femtocell systems using Fractional Frequency Reuse," in Advanced Communication Technology (ICACT), 2010 The 12th International Conference on, 2010, vol. 2, pp. 1047–1051.
- [86] G. Huang and J. Li, "Interference mitigation for femtocell networks via adaptive frequency reuse," *IEEE Trans. Veh. Technol.*, vol. 65, no. 4, pp. 2413–2423,

Apr. 2016.

- [87] L. Tan, Z. Feng, W. Li, Z. Jing, and T. A. Gulliver, "Graph coloring based spectrum allocation for femtocell downlink interference mitigation," in *Wireless Communications and Networking Conference (WCNC)*, 2011 IEEE, 2011, pp. 1248–1252.
- [88] M. Assaad, "Optimal Fractional Frequency Reuse (FFR) in Multicellular OFDMA System," in Vehicular Technology Conference, 2008. VTC 2008-Fall. IEEE 68th VTC 2008-Fall. IEEE 68th, 2008, pp. 1–5.
- [89] T. Lee, J. Yoon, S. Lee, and J. Shin, "Resource allocation analysis in OFDMA femtocells using fractional frequency reuse," in *IEEE International Symposium* on Personal, Indoor and Mobile Radio Communications, PIMRC, 2010, pp. 1224–1229.
- [90] M. S. Jin, S. A. Chae, and D. I. Kim, "Per Cluster Based Opportunistic Power Control for Heterogeneous Networks," 2011 IEEE 73rd Veh. Technol. Conf. (VTC Spring), pp. 1–5, 2011.
- [91] S. Guruacharya, D. Niyato, E. Hossain, and D. I. Kim, "Hierarchical competition in femtocell-based cellular networks," in *Global Telecommunications Conference (GLOBECOM 2010), IEEE*, 2010, pp. 1–5.
- [92] S. a Saad, M. Ismail, and R. Nordin, "A Survey on Power Control Techniques in Femtocell Networks," J. Commun. Vol. 8, No. 12, December 2013, vol. 8, no. 12, pp. 845–854, 2013.
- [93] V. Chandrasekhar, J. G. Andrews, T. Muharemovic, Z. Shen, and A. Gatherer, "Power control in two-tier femtocell networks," *Wirel. Commun. IEEE Trans.*, vol. 8; 8, no. 8, pp. 4316–4328, 2009.
- [94] J. Espino and J. Markendahl, "Analysis of macro—femtocell interference and implications for spectrum allocation," *Pers. Indoor Mob. Radio*, 2009.
- [95] T. Zahir, K. Arshad, Y. Ko, and K. Moessner, "A downlink power control scheme for interference avoidance in femtocells," in *IWCMC 2011 7th International Wireless Communications and Mobile Computing Conference*, 2011, pp. 1222–1226.
- [96] H. S. Jo, C. Mun, J. Moon, and J. G. Yook, "Interference mitigation using uplink power control for two-tier femtocell networks," *IEEE Trans. Wirel. Commun.*, vol. 8, no. 10, pp. 4906–4910, 2009.
- [97] B. G. Choi, E. S. Cho, M. Y. Chung, K. Y. Cheon, and A. S. Park, "A femtocell power control scheme to mitigate interference using listening TDD frame," in *International Conference on Information Networking*, *ICOIN 2011*, 2011, pp. 241–244.
- [98] Kwanghun Han, Youngkyu Choi, Dongmyoung Kim, Minsoo Na, Sunghyun

Choi, and Kiyoung Han, "Optimization of femtocell network configuration under interference constraints," in *2009 7th International Symposium on Modeling and Optimization in Mobile, Ad Hoc, and Wireless Networks*, 2009, pp. 1–7.

- [99] G. Cao, D. Yang, X. Ye, and X. Zhang, "A downlink joint power control and resource allocation scheme for co-channel macrocell-femtocell networks," in *Wireless Communications and Networking Conference (WCNC), 2011 IEEE*, 2011, pp. 281–286.
- [100] L. Zhang, L. Yang, and T. Yang, "Cognitive interference management for LTE-A femtocells with distributed carrier selection," in *IEEE Vehicular Technology Conference*, 2010.
- [101] Y. Wu, D. Zhang, H. Jiang, and Y. Wu, "A novel spectrum arrangement scheme for femto cell deployment in LTE macro cells," in *Personal, Indoor and Mobile Radio Communications, 2009 IEEE 20th International Symposium on*, 2009, pp. 6–11.
- [102] H. Li, X. Xu, D. Hu, X. Qu, X. Tao, and P. Zhang, "Graph Method Based Clustering Strategy for Femtocell Interference Management and Spectrum Efficiency Improvement," in *Wireless Communications Networking and Mobile Computing (WiCOM), 2010 6th International Conference on*, 2010, pp. 1–5.
- [103] H. Widiarti, S. Y. Pyun, and D. H. Cho, "Interference mitigation based on femtocells grouping in low duty operation," in *IEEE Vehicular Technology Conference*, 2010, pp. 1–5.
- [104] M. Nazir, M. Bennis, K. Ghaboosi, A. B. MacKenzie, and M. Latva-aho, "Learning based mechanisms for interference mitigation in self-organized femtocell networks," in Signals, Systems and Computers (ASILOMAR), 2010 Conference Record of the Forty Fourth Asilomar Conference on, 2010, pp. 1886–1890.
- [105] M. Simsek and A. Czylwik, "Decentralized Q-learning of LTE-femtocells for interference reduction in heterogeneous networks using cooperation," in *Smart Antennas (WSA), 2012 International ITG Workshop on*, 2012, pp. 86–91.
- [106] C. Dhahri and T. Ohtsuki, "Q-learning cell selection for femtocell networks: Single- and multi-user case," in *Global Communications Conference* (*GLOBECOM*), 2012 IEEE, 2012, pp. 4975–4980.
- [107] A. Galindo-Serrano and L. Giupponi, "Distributed Q-Learning for Interference Control in OFDMA-Based Femtocell Networks," in 2010 IEEE 71st Vehicular Technology Conference, 2010, pp. 1–5.
- [108] H. Saad, A. Mohamed, and T. ElBatt, "Distributed Cooperative Q-Learning for Power Allocation in Cognitive Femtocell Networks," in *Vehicular Technology Conference (VTC Fall), 2012 IEEE*, 2012, pp. 1–5.

- [109] A. A. U. Ahmed, M. M. T. Islam, and M. Ismail, "A review on femtocell and its diverse interference mitigation techniques in heterogeneous network," *Wirel. Pers. Commun.*, vol. 78, no. 1, pp. 85–106, 2014.
- [110] P. Tarasak, T. Q. S. Quek, and F. Chin, "Closed Access OFDMA Femtocells under Timing Misalignment," in *Global Telecommunications Conference* (GLOBECOM 2010), IEEE, 2010, pp. 1–5.
- [111] F. Mhiri and G. Pujolle, "Cognitive interference management for autonomic femtocell networks," *Int. J. Appl. Inf. Syst.*, vol. 2, no. 2, pp. 40–48, 2012.
- [112] S. Park, W. Seo, Y. Kim, S. Lim, and D. Hong, "Beam Subset Selection Strategy for Interference Reduction in Two-Tier Femtocell Networks," *Wirel. Commun. IEEE Trans.*, vol. PP, no. 99, pp. 1–10, 2010.
- [113] V. Chandrasekhar and J. G. Andrews, "Uplink capacity and interference avoidance for two-tier femtocell networks," *Wirel. Commun. IEEE Trans.*, vol. 8, no. 7, pp. 3498–3509, 2009.
- [114] S. Kishore, L. J. Greenstein, H. V. Poor, and S. C. Schwartz, "Downlink User Capacity in a CDMA Macrocell with a Hotspot Microcell," in *GLOBECOM* '03. IEEE Global Telecommunications Conference (IEEE Cat. No.03CH37489), 2003, pp. 1573–1577.
- [115] H. Claussen and F. Pivit, "Femtocell Coverage Optimization Using Switched Multi-Element Antennas," in *IEEE International Conference on Communications, ICC'09*, 2009, pp. 1–6.
- [116] A. H. Tsai, J. H. Huang, L. C. Wang, and R. B. Hwang, "High Capacity Femtocells with Directional Antennas," in *Wireless Communications and Networking Conference (WCNC), 2010 IEEE*, 2010, pp. 1–6.
- [117] A. H. Tsai, L. C. Wang, J. H. Huang, and R. B. Hwang, "High-Capacity OFDMA Femtocells by Directional Antennas and Location Awareness," *Syst. Journal, IEEE*, vol. 6, no. 2, pp. 329–340, 2012.
- [118] A. H. Tsai, J. H. Huang, L. C. Wang, and R. B. Hwang, "Capacity comparison for CSG and OSG OFDMA femtocells," in *GLOBECOM Workshops (GC Wkshps)*, 2010 IEEE, 2010, pp. 653–658.
- [119] J. G. Andrews, "Interference cancellation for cellular systems: a contemporary overview," *Wirel. Commun. IEEE*, vol. 12, no. 2, pp. 19–29, 2005.
- [120] P. Patel and J. Holtzman, "Analysis of a Simple Successive Interference Cancellation Scheme in a DS/CDMA System," *IEEE J. Sel. Areas Commun.*, vol. 12, no. 5, pp. 796–807, 1994.
- [121] P. K. Frenger, P. Orten, and T. Ottosson, "Code-spread CDMA with interference cancellation," *IEEE J. Sel. Areas Commun.*, vol. 17, no. 12, pp. 2090–2095, 1999.

- [122] M. Ghosh, "Co-channel interference cancellation for HDTV receivers," 1999 IEEE Int. Conf. Acoust. Speech, Signal Process. Proceedings. ICASSP99 (Cat. No.99CH36258), vol. 5, no. 908, pp. 2675–2678, 1999.
- [123] G. Boudreau, J. Panicker, N. Guo, R. Chang, N. Wang, and S. Vrzic, "Interference coordination and cancellation for 4G networks," *IEEE Communications Magazine*, vol. 47, no. 4, pp. 74–81, Apr-2009.
- [124] Z. Sun, C. Yin, and G. Yue, "Reduced-complexity proportional fair scheduling for OFDMA systems," in 2006 International Conference on Communications, Circuits and Systems, ICCCAS, Proceedings, 2006, vol. 2, pp. 1221–1225.
- [125] M. Haenggi and R. K. Ganti, *Interference in Large Wireless Networks*, vol. 3, no. 2, 2008.
- [126] 3rd Generation Partnership Project, "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical channels and modulation (Release 10)," 3GPP TS 136 211, vol. 10.1.0, 2011.
- [127] Alcatel-Lucent, "Simulation Assumptions and Parameters for FDD HeNB RF Requirements," 2009.
- [128] N. Saquib, E. Hossain, and D. Kim, "Fractional frequency reuse for interference management in LTE-advanced hetnets," *IEEE Wirel. Commun.*, vol. 20, no. 2, pp. 113–122, Apr. 2013.
- [129] M. M. Selim, M. El-Khamy, and M. El-Sharkawy, "Enhanced frequency reuse schemes for interference management in LTE femtocell networks," in 2012 International Symposium on Wireless Communication Systems (ISWCS), 2012, pp. 326–330.
- [130] R. Jain, D.-M. Chiu, and W. R. Hawe, *A quantitative measure of fairness and discrimination for resource allocation in shared computer system*, vol. cs.NI/9809, no. DEC-TR-301. 1984.
- [131] D. Lee, C. Xu, U. Mayekar, and M. Mohile, "Frequency reuse factor vs. pathloss exponent and sectorization," in 1997 IEEE MTT-S Symposium on Technologies for Wireless Applications Digest, pp. 109–112.
- [132] T. Novlan, J. G. Andrews, I. Sohn, R. K. Ganti, and A. Ghosh, "Comparison of Fractional Frequency Reuse Approaches in the OFDMA Cellular Downlink," in 2010 IEEE Global Telecommunications Conference GLOBECOM 2010, 2010, pp. 1–5.
- [133] H.-C. Lee, D.-C. Oh, and Y.-H. Lee, "Mitigation of Inter-Femtocell Interference with Adaptive Fractional Frequency Reuse," in 2010 IEEE International Conference on Communications, 2010, pp. 1–5.
- [134] D. Lopez-Perez, A. Juttner, and J. Zhang, "Dynamic Frequency Planning Versus Frequency Reuse Schemes in OFDMA Networks," in *VTC Spring 2009*

- IEEE 69th Vehicular Technology Conference, 2009, pp. 1–5.

- [135] I. Ahmad, Z. Kaleem, and K. Chang, "QoS Priority Based Femtocell User Power Control for Interference Mitigation in 3GPP LTE-A HetNet," J. Korean Inst. Commun. Inf. Sci., vol. 39B, no. 2, pp. 61–74, Feb. 2014.
- [136] "3GPP, 'Tr 36.814 v9.0.0,' Tech. Rep., March 2010."
- [137] "3GPP, 'ETSTTr 136.931 v9.0.0,' Tech. Rep., May 2011."
- [138] E. F. Shair, S. Y. Khor, A. R. Abdullah, H. I. Jaafar, N. M. Ali, and A. F. Z. Abidin, "A Brief Review of Cuckoo Search Algorithm (CSA) Research Progression from 2010 to 2013," *Int. Rev. Autom. Control*, vol. 7, no. 5, pp. 428–435, 2014.
- [139] X.-S. Yang and S. Deb, "Cuckoo search via Lévy flights," in *Nature & Biologically Inspired Computing, NaBIC 2009. World Congress on*, 2009, pp. 210–214.
- [140] H. Marshoud, H. Otrok, and H. Barada, "Macrocell-femtocells resource allocation with hybrid access motivational model," *Phys. Commun.*, vol. 11, pp. 3–14, Jun. 2014.
- [141] I. T. U. R. Sector, "Guidelines for evaluation of radio transmission technology for IMT-2000," in *Recommendation ITU-R M*, 1997, vol. 1225.
- [142] M. Rumney, "Introducing LTE Advanced," Agilent Technologies, May, 2011. .
- [143] M. Rupp, S. Schwarz, and M. Taranetz, *The Vienna LTE-Advanced Simulators*, 1st ed. Springer Singapore, 2016.
- [144] C. Mehlführer, J. Colom Ikuno, M. Šimko, S. Schwarz, M. Wrulich, and M. Rupp, "The Vienna LTE simulators Enabling reproducibility in wireless communications research," *EURASIP J. Adv. Signal Process.*, vol. 2011, no. 1, p. 29, Dec. 2011.