



**UNIVERSITI PUTRA MALAYSIA**

***ARTIFICIAL NEURAL NETWORK FOR WATER QUALITY ASSESSMENT  
AND LAND USE PATTERN RECOGNITION IN KINTA RIVER  
CATCHMENT***

**NABEEL MOHAMMAD GAZZAZ**

**FPAS 2012 23**

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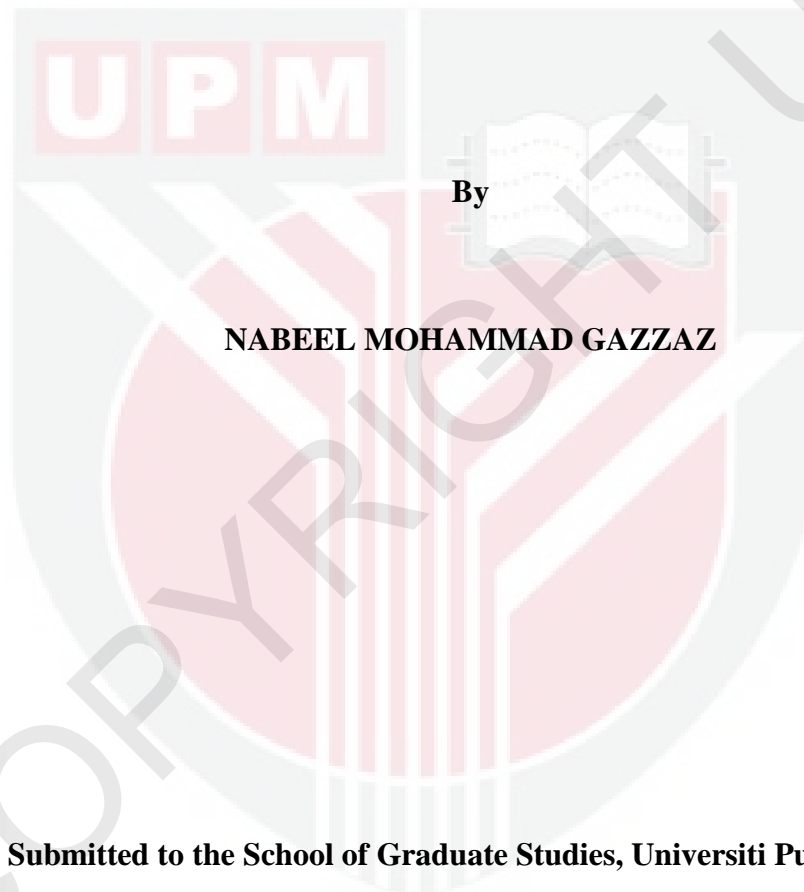
**NABEEL MOHAMMAD GAZZAZ**

**DOCTOR OF PHILOSOPHY**

**UNIVERSITI PUTRA MALAYSIA**

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AND LAND USE PATTERN RECOGNITION IN KINTA RIVER CATCHMENT**



**By**

**NABEEL MOHAMMAD GAZZAZ**

**Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia, in  
Fulfillment of the Requirements for the Degree of Doctor of Philosophy**

**February 2012**

## Dedication

I dedicate this work to Houreyah Y. Doudine (my mother); Mohammad S. Gazzaz (my father); Naheel, Fadeyah, Hoda, Ebtesam, Salwa, and Yasmeen (my sisters); and to his Excellency Dr. Waleed, Rami, and Sami (my brothers).

I also dedicate this work to Associate Professor Dr. Mohd Kamil Yusoff, Associate Professor Dr. Wan Nor Azmin Sulaiman, Professor Dr. Mad Nasir Shamsudin, and Associate Professor Dr. Ramdzani Abdullah.

As well, I would like to dedicate this work to my co-supervisors Dr. Hafizan Juahir, Associate Professor Dr. Muhammad Firuz Ramli, and Dr. Ahmad Zaharin Aris.

Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfillment of the requirement for the degree of Doctor of Philosophy

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**February 2012**

**Chairman: Associate Professor Mohd Kamil Yusoff, PhD**

**Faculty: Environmental Studies**

Kinta River (the State of Perak, Malaysia) was classified during the period 1997-2006 with an average class III water quality (WQ) and a water quality index (WQI) in the range of 51.9–76.5. Frequency analysis of the water quality class (WQC) revealed that over this time period Kinta River water assumed the WQCs I, II, III, IV, and V for 5.9%, 31.0%, 54.9%, 7.8%, and 0.4% of the time, respectively. From 2004 until recently, the yearly WQ reports of the Department of Environment (Malaysia) classify Kinta River as a slightly polluted river. Therefore, there is growing interest in identifying the main factors, processes, WQVs, and LU classes responsible for this deteriorated WQ and determining how the desired WQ can be secured on a sustainable basis.

Therefore, the objectives of this study were to (i) assess the water quality of Kinta River

during the period 1997-2006; (ii) recognize patterns and analyze trends in LUs in Kinta River catchment as well as in the river's WQ; (iii) model these patterns and trends; and (iv) model the relative impacts of eight LU categories (agriculture, animal husbandry, forest, logging, mining, oil palm, rubber, and urban areas) on the WQ of Kinta River using data mining techniques including one major chemometric method (principal factor analysis (PFA)) and non-linear, data-driven (artificial neural network (ANN)) methods. The research adopted a comprehensive approach whereby the study scale encompassed eight sub-catchments in Kinta River basin coinciding with one WQ monitoring station, each, and investigations were carried out at six spatial scales: the whole river basin (WRB) and the 0-500 m, 0-1000 m, 0-1500 m, 0-2000 m, and 0-2500 m buffer zones (BZs)). On the other hand, the temporal scale of this study was ten years; 1997-2006.

The PFA highlighted that the latent structure of the WQ data is interpreted in terms of 23 WQVs that sorted in seven factors explaining 74.2% of the total variability in this data. These factors helped in identifying the pollutant origins and the major pollution sources in the basin. A radial basis function (RBF) classification model was created to allow for prediction of the WQC from these 23 WQVs. The optimum classifier obtained had correct classification ratios (CCRs) for the WQCs I-IV ranging from 60.0%-86.7%. The self-organizing feature map (SOFM) illustrated that the spatial patterns in the WQ of Kinta River can be described by two clusters. In terms of the WQI, the first cluster generally had better WQ than the second cluster. The WQVs and LU classes characteristic of each cluster indicate that the first cluster is more influenced by surface runoff, erosion, and organic and microbial sources of pollution than the second cluster which is mainly impacted by agricultural runoff and mineral dissolution. The optimal

RBF model developed for classifying the monitoring stations within these clusters had CCRs of 92.5% and 97.7%, respectively, for the first and second clusters. In addition, the SOFM showed that the temporal trends in the WQ of Kinta River are represented by two groups of similar WQ properties. The first cluster had higher mean values of the majority of the studied WQVs than the second cluster. The RBF classifier backed up this result and generated a classification model with a CCR of 78.0%. Furthermore, the SOFM showed that the spatial patterns in LUs within Kinta River catchment can be described by three clusters. This finding was reinforced by a RBF classifier which additionally provided a classification model with a CCR of 99.3%.

Six ANN models were developed to compute and forecast values of the WQI using LU areas as predictors; one model for each of the six spatial scales of interest. The WQI-LU modeling efforts elucidated that the ANN was capable of rendering non-linear models of appreciably high prediction capacities, ranging from 91.2% (0-1000 m BZ) to 97.8% (0-2500 m BZ). These models were utilized in generating forecasts of the WQ status of Kinta River in the year 2020 based on the land use change designed for the area by the MBI 2020 Development Plan. The WQI and WQC forecasts spotlight that the WRB approach is more representative to the WQ of Kinta River and its degree of pollution than any of the studied buffer strips.

The study results contribute to the LU planning and urban development programs and to river management plans and allow for optimization of the WQ monitoring network. For example, the approaches and results described in this study will help the WQ monitoring authorities in modifying the current monitoring scheme for Kinta River by selecting the

most representative monitoring sites; months; and pollution indicators as the study identified the priority WQVs to monitor and the optimum monitoring stations and months for sample collection such that highly reliable and cost-effective WQ data is still secured. As a result, sharp reduction in monitoring time and costs will be achieved. The various modeling approaches established and presented in this study can be applied to river basins in other urban settings provided the necessary data and expertise are available.





Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk ijazah Ijazah Doktor Falsafah.

**RANGKAIAN NEURAL BUATAN UNTUK PENILAIAN KUALITI AIR DAN  
PENGESAHAN CORAK GUNA TANAH DI LEMBANGAN SUNGAI KINTA**

Oleh

**NABEEL MOHAMMAD GAZZAZ**

**Februari 2012**

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Sungai Kinta (dalam Negeri Perak, Malaysia) telah diklasifikasikan sebagai Kelas III berdasarkan Indeks Kualiti Air (IKA) sepanjang tahun 1997-2006 dengan julat di antara 51.9 hingga 76.5. Berdasarkan analisis kekerapan, kelas kualiti Sungai Kinta di sepanjang tempoh tersebut ialah Kelas I, II, III, IV, dan V masing-masing 5.9%, 31.0%, 54.9%, 7.8%, dan 0.4%. Bermula tahun 2004 hingga terkini, Jabatan Alam Sekitar telah mengklasifikasikan Sungai Kinta dalam kategori sedikit tercemar. Sehubungan dengan itu keperluan untuk mengenal pasti faktor utama iaitu proses, nilai kualiti air (WQV), dan kelas guna tanah (LU) mempengaruhi perubahan kualiti air (WQ) dan penentuan kaedah untuk memastikan kelestarian Sungai Kinta telah meningkat.

Sehubungan dengan itu, objektif kajian ialah untuk (i) menilai kualiti air Sungai Kinta sepanjang tempoh 1997-2006, (ii) menentukan corak dan analisis guna tanah (LU) di

lembangan Sungai Kinta di samping penilaian kualiti air (WQ) sungai, (iii) pemodelan pola tersebut, dan (iv) pemodelan impak bandingan terhadap lapan kategori LU (pertanian, peternakan haiwan, perhutanan, pembalakan, perlombongan, kelapa sawit, getah dan perbandaran) ke atas WQ Sungai Kinta dengan berpandukan kepada teknik penerokaan data termasuk kaedah kimometrik (analisis faktor utama (PFA)), kaedah tidak linear dan kaedah keberhasilan data (jaringan saraf buatan (ANN)). Kajian ini menggunakan kaedah menyeluruh di mana kajian merangkumi lapan sub-lembangan dalam lembangan Sungai Kinta yang setiap satunya mempunyai stesen pemantauan kualiti air dengan mengambil kira enam skala ruwang; seluruh lembangan (WRB), dan zon penampakan (BZ) seperti berikut; 0-500m, 0-1000m, 0-1500m, 0-2000m, dan 0-2500m. Selain itu juga kajian dijalankan berdasarkan skala masa iaitu untuk tempoh kedapatan data selama 10 tahun (1997-2006).

Hasil PFA menggambarkan struktur data WQ yang diwakili oleh 23 WQV dan diasingkan kepada tujuh faktor yang memberi penerangan sebanyak 74.2% daripada jumlah variasi data. Faktor ini sangat berguna dalam penentuan sumber pencemaran utama dalam lembangan sungai. Fungsi asas jejari (RBF) membentuk model klasifikasi yang mencorakkan ramalan WQC daripada 23 WQV. Pengkelasan optimum yang membantu dalam proses pembetulan nisbah (CCR) untuk Kelas 1-IV adalah di antara 60.0%-86.7%. Ciri penstrukturan peta sendiri (SOFM) melakarkan bahawa pola ruwang WQ Sungai Kinta boleh diklasifikasikan kepada dua kumpulan. Daripada indeks kualiti air (WQI), kumpulan pertama mempunyai kualiti air yang bagus berbanding dengan kumpulan kedua. Nilai WQV dan LU memperkuatkan lagi ciri-ciri kumpulan pertama dengan menunjukkan kumpulan tersebut dipengaruhi oleh larian

permukaan, hakisan, sumber pencemaran organik dan mikroba yang mana merupakan pengaruh daripada air larian pertanian dan pemerlarutan mineral. Model RBF yang optimum menghasilkan pengkelasan untuk stesen pemantauan bagi kedua-dua kumpulan masing-masing mempunyai nilai CCR 92.5% dan 97.7%. Di samping itu SOFM memberi nilai ramalan yang rendah ke atas corak masa kelakuan WQ di Sungai Kinta berdasarkan ciri-ciri WQ yang sama untuk kedua-dua kumpulan tersebut. Sebagai bandingan, kumpulan kedua mempunyai ciri masa kelakuan yang mempunyai nilai purata yang tinggi ke atas WQV. Keputusan ini diperkuatkan lagi dengan nilai pengkelasan RBF yang menghasilkan pengkelasan model yang mempunyai nilai CCR 78.0%. Nilai SOFM menunjukkan corak reruang untuk LU dalam lembangan Sungai Kinta boleh diwakili oleh tiga kumpulan dan keputusan ini diperkuatkan oleh pengkelasan RBF dengan memberi pengkelasan tambahan model dengan nilai CCR sebanyak 99.3%.

Enam model ANN telah dibentuk untuk mengira dan meramal nilai Indeks Kualiti Air dengan menggunakan peramal keluasan LU di mana satu model digunakan untuk setiap skala reruang. Daripada hasil kajian menunjukkan ANN memperkuatkan pemodelan WQI-LU dengan keupayaan di antara 91.2% (0-1000 m BZ) hingga 97.8% (0-2500 m BZ). Model tersebut digunakan untuk meramalkan status kualiti air Sungai Kinta pada tahun 2020 berdasarkan kepada perubahan guna tanah yang telah digubal dalam Pelan Pembangunan Majlis Bandaraya Ipoh 2020. Ramalan WQI dan WQC menggambarkan bahawa pendekatan WRB adalah lebih bermiripkan kepada WQ Sungai Kinta dan tahap pencemaran berbanding dengan semua zon pemampan.

Hasil kajian menunjukkan perancangan LU dan program pembangunan bandar untuk pelan pengurusan sungai membenarkan pengoptimum jaringan stesen pemantauan. Sebagai contoh, kaedah dan keputusan yang dibincangkan dalam kajian ini boleh membantu agensi pemantau mengubahsuai program pemantauan semasa untuk Sungai Kinta dengan memilih stesen pemantauan yang boleh mewakili lokasi, masa dan indikator pencemaran. Kajian ini menitikberatkan kepada keutamaan WQV untuk dipantau dengan mengambilkira stesyen pemantau dan masa yang boleh memberi maklumat yang tepat dan penjimatan kos. Pendekatan pelbagai model yang dibangunkan dan dibentangkan dalam kajian ini boleh digunakan untuk lembangan dikawasan perbandaran lain dengan syarat adanya data dan kepakaran yang diperlukan.

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I certify that a Thesis Examination Committee has met on **(23 February 2012)** to conduct the final examination of Nabeel Mohammad Gazzaz on his Doctor of Philosophy thesis entitled “Artificial Neural Network for Water Quality Assessment and Land Use Pattern Recognition in Kinta River Catchment” in accordance with the Universities and University Colleges Act 1971 and the Constitution of the Universiti Putra Malaysia [P.U.(A) 106] 15 March 1998. The Committee recommends that the student be awarded the degree of Doctor of Philosophy.

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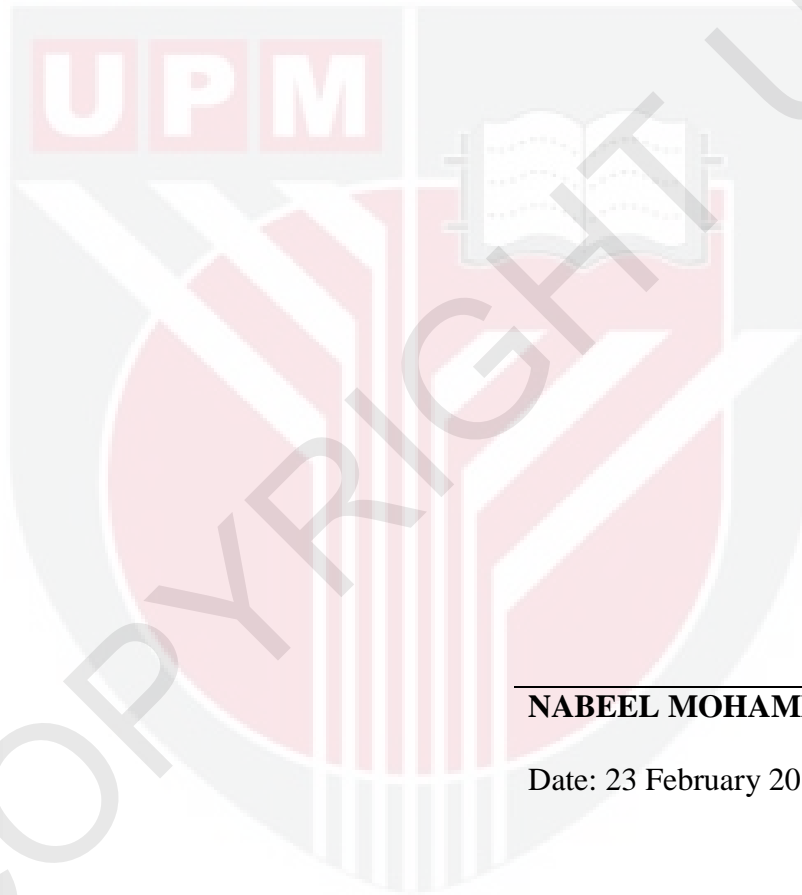
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## DECLARATION

I declare that the thesis is my original work except for quotations and citations which have been duly acknowledged. I also declare that it has not been previously, and is not concurrently, submitted for any other degree at Universiti Putra Malaysia or at any other institution.



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**NABEEL MOHAMMAD GAZZAZ**

Date: 23 February 2012



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**LIST OF ABBREVIATIONS**

AA	Appendix A
AAE	Average absolute error
AB	Appendix B
AC	Appendix C
AD	Appendix D
Ag	Silver
AME	Absolute mean error; Absolute average error (AAE)
ANN	Artificial neural network
As	Arsenic
asl	above sea level
B	Boron
Ba	Barium
Be	Beryllium
Bi	Bismuth
BOD	Biochemical oxygen demand

BP	Back propagation
BZ	Buffer zone
Ca	Calcium
CA	Cluster analysis
CCR	Correct classification ratio
Cd	Cadmium
Cl	Chloride
Cl <sub>2</sub>	Chlorine
CN	Cyanide
CO	Carbon monoxide
Co	Cobalt
CO <sub>3</sub> <sup>2-</sup>	Carbonate
COD	Chemical oxygen demand
Cr	Chromium
Cs	Cesium
Cu	Copper



DA	Discriminant analysis
DCA	Distance coverage approach
DEM	Digital elevation model
DF	Discriminant function
DFA	Discriminant function analysis
DM	Data mining
DO	Dissolved oxygen
DoA	The Department of Agriculture, Malaysia
DoE	The Department of Environment, Malaysia
DP	Dissolved phosphorous
EC	Electric conductivity
<i>E. coli</i>	Escherichia coli
EDA	Exploratory data analysis
EHR	Expected hit ratio
F	Fluoride
FA	Factor analysis

Fe	Iron
FF	Feed-forward
GIS	Geographic Information System
HACA	Hierarchical agglomerative cluster analysis
HCO <sub>3</sub> <sup>-</sup>	Bicarbonate
Hg	Mercury
HP	Highly polluted
INWQSM	Interim National Water Quality Standards for Malaysia
K	Potassium
KMO	Kaiser–Meyer–Olkin
<i>k</i> -NN	K-nearest neighbor algorithm
LC	Land cover
Li	Lithium
LP	Low polluted
LU	Land use
LUC	Land use change

MAE	Mean absolute error
MBI	Majlis Bandaraya Ipoh
Mg	Magnesium
MLP	Multi-layer perceptrons
MLR	Multiple linear regression
Mn	Manganese
Mo	Molybdenum
MP	Medium polluted
MSE	Mean-squared error
RMSE	Root mean-squared error
Na	Sodium
NH <sub>3</sub> -N	Ammonia nitrogen
Ni	Nickel
NN	Neural network
NO <sub>2</sub> -N	Nitrite nitrogen
NO <sub>3</sub> -N	Nitrate nitrogen

NPS	Non-point source
NTU	Nephelometric turbidity unit
OLS	Ordinary least squares
P	Phosphorous
PC	Principal component
PCA	Principal component analysis
PFA	Principal factor analysis
Pb	Lead
PO <sub>4</sub> -P	Phosphate phosphorous
PP	Particulate phosphorus
$\rho_s$	Spearman's correlation coefficient (Spearman's rho)
PS	Point source
QP	Quick propagation
R <sup>2</sup>	The coefficient of determination
Rb	Rubidium
RBF	Radial basis function

RBFNN	Radial-basis function neural network
RWQ	River water quality
Se	Selenium
SEE	Standard error of estimate
SEM	Standard error of the mean
Sn	Tin
SO <sub>4</sub> <sup>2-</sup>	Sulfate
SOFM	Self-organizing feature map
Sr	Strontium
SS	Suspended solids
SSE	Sum-squared error
T	Temperature
TDN	Total dissolved nitrogen
TDP	Total dissolved phosphorous
TDS	Total dissolved solids
TH	Total hardness

TKN	Total Kjeldhal nitrogen
Tl	Thallium
TN	Total nitrogen
TOC	Total organic carbon
TON	Total organic nitrogen
TP	Total phosphorous
TS	Total solids
TSS	Total suspended solids
U	Uranium
V	Vanadium
VF	Varifactor
WRB	Whole river basin
WQ	Water quality
WQI	Water quality Index
WQV	Water quality variable
Zn	Zinc

# CHAPTER ONE

## INTRODUCTION

### 1.1 Background of Study

Anthropogenic perturbations of the landscape, alterations to river systems, and escalating water consumption are adversely impacting the sustainability of freshwater resources throughout the globe. Environmental impacts on the river systems are to a large extent cumulative in nature, brought about by individually minute, yet collectively considerable, actions which accumulate over time and space (Ren et al., 2003; Schindler, 2001; Spaling and Smit, 1995). Within the river system context, cumulative effects originate from changes to catchment processes produced by additive and synergetic interactions of multifold anthropogenic perturbations to the landscape (Seitz et al., 2011; Therivel and Ross, 2007). Nearly all land use (LU) activities directly and/or indirectly affect environmental parameters, e.g., topography, vegetation, soil, surface runoff, and river flow, which in consequence modify the transport of water, organic matter, sediments, and numerous pollutants that ultimately end up in the river systems (Johnson et al., 1997; Schindler, 2001). As such, health of a river system is essentially a function of the types of processes and interactions which occur on the landscape within the watershed boundaries (Seitz et al., 2011).

The quality of surface water in a region depends to a large degree on the anthropogenic activities and on the nature, areas, and distributions of LUs in catchments. Furthermore, the percentages of the individual dominant LUs (e.g., urban, agricultural, forest, industrial, and mining) are quite important since these uses differ in their individual contributions to NPS pollution. Besides these factors, Basnyat et al. (2000a) highlighted that watershed characteristics such as land cover, slope, and soil type affected water quality (WQ) and nutrient concentrations in the Gulf of Mexico by regulating sediment loading in the draining water. Thus, anthropogenic influences and natural characteristics of a watershed should be considered when managing watersheds or examining their WQ (Baker, 2003; Basnyat et al., 2000a; Buck et al., 2004; Griffith, 2002; Moerke and Lamberti, 2006; Sliva and Williams, 2001; Woli et al., 2004).

Urban development in the study area (Kinta River basin, the State of Perak (Malaysia)) has been rapid. For example, the urbanization rate was 32.2% in 1980, grew slightly in ten years to 33.6% in 1990, then marked a sharp jump to 56.2% in 1995, and kept growing afterwards where the urbanization growth rates were 59.5% and 65.3% in 2000 and 2005, respectively (Economic Planning Unit, 2001). On the other hand, the population of Perak was 2,051,236 in the year 2000 and 2,130,397 in 2005. A slight increase was observed in the population between the years 2010 (2,249,029) and 2011 (2,258,428). However, this population is projected to be 2,429,554 in 2015 and 2,676,321 in 2020 (Table A.1, Appendix A). On the other hand, the Ninth Malaysia Plan (2006-2010) highlighted that the average annual growth rate of urban population in Perak was projected to be 2.2% in 2010 (Economic Planning Unit, 2006). Analogous trends are expected for the agricultural and industrial sectors.



These increases had several implications including a growing demand on water. As an example, in the years 1980, 1985, 1990, and 2000, the demand on water for the different uses in the state of Perak amounted to 145, 216, 327, and 596 million cubic meters per year, respectively. Besides the increased demand on water, the population growth and urbanization development are impacting the local water resources through increased loads of sediments, nutrients, organics, and microbes, primarily from human activities. Within this framework, environmental resource management and restoration efforts in this river basin thus necessitate recognition of the patterns, analysis of the trends, and modeling of the discovered patterns and trends in RWQ and LUs besides prediction of river water quality (RWQ) in terms of water quality variables (WQVs) and LU areas, current and projected.

Determining the impacts of urban development and concomitant land use change (LUC) on RWQ has been attempted with some success (e.g., Bolstad and Swank (1997), Coulter et al. (2004), Fisher et al. (2000), Palani et al. (2008), and Tong and Chen (2002)). One approach to measurement of the effects of urban development on the WQ of a river is to compare it with gradients in LU areas at different spatial and temporal scales (Coulter et al., 2004). Within this context, the temporal and spatial scales of cumulative effect assessment of LUC should ideally (i) elucidate how past events, actions, processes, and incremental changes on the landscape impacted the present; and (ii) delineate their ensuing short-, and long-term effects (Therivel and Ross, 2007). In this regard, there are two common methodologies for prediction of RWQ as a function of LU pattern: (i) precise study of the different processes which can affect the WQ and development of statistical (e.g., multivariate, also called chemometrics) or deterministic

models accordingly, and (ii) development of data-driven (e.g., artificial neural network (ANN)) models using archived data.

The RWQ is dependent on many factors, including LUs. However, the relative effects of varying LU types on RWQ are yet to be confirmed and quantified. This research attempts to use a comprehensive, integrated approach following two distance coverage approaches (DCAs); the whole river basin (WRB) and buffer zone (BZ) approaches, corresponding to six spatial scales, to examine the relationships between LUs and RWQ at a local scale. The goal of this study was to utilize non-linear, data-driven techniques (the ANN) to analyze and interpret the patterns and trends in LUs and in the RWQ in Kinta River basin, model these patterns and trends, examine the potential relationships of LUs with the WQ of Kinta River, and model the impacts of a number of WQVs and eight LU categories on the WQ of Kinta River. As such, this study was multi-scale, exploratory, inferential, and interpretative in nature.

## **1.2 Problem Statement**

Non-point source pollution and the quality of river water are tightly-related and are vital issues in many parts of the world, including Kinta River basin where LU development is changing formerly forested basins into more of urban and mixed-use basins. Growing population and industries in this river basin resulted in dramatic changes in LUs within the basin exemplified mainly by extended housing and associated residential and commercial development. This growth ultimately lead to deleterious environmental

impacts on the local water resources through increased demand on water for the various uses and growing inputs of sediments, nutrients, organics, and microbes from human activities to surface water systems.

In the broad context, although there have been studies on the impacts of LUs on water flows and quality (e.g., Antonopoulos et al. (2001), Astel et al. (2006), Biggs et al. (2002), Fitzpatrick et al. (2007), Sheldon and Fellows (2010), and Su et al. (2011)), the complex inherent relationships between LUs and WQ are yet to be clarified, quantified, and modeled. Establishing links between the ever-changing LUs, LU patterns, and other anthropogenic activities within a river basin and surface WQ over long periods of time is a vital requirement for monitoring and planning the LUC, and, as a result, the WQ of the river(s) of concern, to ultimately secure and sustain the desired quantity and quality of the urban water resources (Ren et al., 2003). However, most of the relevant published research focused on statistical (e.g., Kowalkowski et al. (2006), Perona et al. (1999), and Singh et al. (2004)); or spatial (e.g., Basnyat et al. (2000b), Griffith (2002), Smart et al. (2001), and Wang (1997)); or modeling analyses (e.g., Dawson and Wilby (2001), Smart et al. (2001), and Tong and Chen (2002)). Few studies employed more than one technique like GIS and statistical analysis (e.g., Jarvie et al. (2002)); GIS and modeling (e.g., Xiang (1996)), and GIS and neuro-fuzzy techniques (e.g., Dixon (2004)).

In other respects, the majority of related studies examined the impacts of LUs on either the quantity of surface runoff (e.g., Changnon and Demissie (1996), Ferguson and Deak (1994), and Karunanithi et al. (1994)) or on its quality (e.g., Bolstad and Swank (1997), May and Sivakumar (2009), and Tang et al. (2005)). Few studies examined the effects of

LUs on both water quantity and quality (e.g., Johnston et al. (1990)). Yet, fewer studies have employed an integrated approach involving the use of statistical and spatial analyses, as well as hydrologic modeling, to examine the hydrologic effects of LUs at both local and regional scales (e.g., Tong and Chen (2002)).

Similarly, most early studies focused on the relationships between LUs and WQ in catchments alone (e.g., Jarvie et al. (2002), Liu et al. (2000) and Li et al. (2008)); buffer zones alone (e.g., Jung et al. (2008) and Maillard and Santos (2008)); catchment plus one BZ (e.g., Li et al. (2009), Marryanna et al. (2007), and Moerke and Lamberti (2006)); or catchment plus two or three BZs (e.g., Osborne and Kovacic (1993) and Roth et al. (1996)). Studies where more than three buffer widths were considered besides the catchment are rare (e.g., Bolstad and Swank (1997)). Consequently, the variability and complexity in the spatial patterns and temporal trends of LU and LUC impacts on RWQ have not been fully explored (Guo et al., 2010). Equally important, a bulky volume of the published literature offers only a snapshot of urban WQ for one, mostly short, period of time or a limited number of periods, e.g., one year (e.g., Kotti et al. (2005)); two years (e.g., Perona et al. (1999) and Schoonover et al. (2005)); five years (e.g., Atasoy et al. (2006)); seven years (e.g., Marryanna et al. (2007)), and in very few cases longer time periods, e.g., ten years (e.g., Brett et al. (2005)).

At the local scale, as far as Kinta River and its basin are concerned and to the best knowledge of the researcher, no earlier investigation of the RWQ and LU patterns, trends, and associations over the study period (1997-2006) has been published in the English scientific literature or so far carried out. Thereupon, the main research problem

was to (i) determine the reasons why the WQ of Kinta River is generally low; (ii) decide on how this quality is affected by WQVs and eight priority LU classes (agriculture, animal husbandry, forest, logging, mining, oil palm, rubber, and urban areas) within the basin; and (iii) identify the main culprit WQVs and LU classes for the degraded WQ of Kinta River.

Moreover, the spatial and temporal characteristics of LUC and associated effects on the WQ of Kinta River have not been quantified and linked to distinct pollutant sources in Kinta River basin before. Accordingly, there is a need to determine how past LU and WQ records can be utilized to secure a good WQ that can be used on a sustainable basis through recognizing the patterns and analyzing the trends in the priority LU classes and in the WQ of Kinta River as a function of these LUs. So, there is growing interest in finding how ANN approach and models can be best utilized to (a) unveil the spatial patterns and temporal trends in LU patterns and WQ and (b) unravel LU-WQ associations of extremely complex, highly diverse, and naturally-evolving river systems like Kinta River and subsequently predict these associations. All and above, there is pressing need for creating tools that will employ the historic LU and WQ data in inferring the WQ status of Kinta River (expressed in terms of the WQI) through utilizing WQ monitoring data and LU areas as predictors, separately, on the one hand, and in orienting future LU plans in the direction of achieving, and sustaining, an appreciably good WQ, on the other.

### 1.3 Study Objectives

The main objectives of this study were:

- (1) To assess the water quality status of Kinta River in the period 1997-2006;
- (2) To analyze patterns and trends in the water quality of Kinta River and in land uses in the river's basin during the study period;
- (3) To investigate and model association of the water quality status of Kinta River with water quality variables; and
- (4) To quantify and model association of the water quality of Kinta River with priority land use classes by means of the artificial neural network techniques.

### 1.4 Research Hypotheses

The theoretical framework of this study was developed early 2009. The idea of this project stemmed essentially from speculations that (i) patterns and trends in WQ and LUs can be elucidated and quantified and that (ii) impacts of LUs on RWQ can be disclosed, modeled, and generalized. The theoretical framework of this study consequently leaned heavily on six educated guesses comprising the research hypotheses:

**Hypothesis 1:** The WQ of Kinta River changes with time, presumably RWQ deteriorates with years.

**Hypothesis 2:** The WQ of Kinta River varies with space; distance from the headwater and distance from the main stream.

**Hypothesis 3:** The different studied WQVs vary in their effects on the WQ of the river.

**Hypothesis 4:** The WQ of the river is affected by the predominant LU types and areas.

**Hypothesis 5:** The different LU classes differ in their effects on the WQ of the river.

**Hypothesis 6:** The LU effects on RWQ vary with spatial scale.

### **1.5 Significance of the Study**

An understanding of the temporal and spatial characteristics of key pollutants and pollutant sources is highly critical for mitigation of environmental contamination. Understanding the effects of contaminants on rivers and determining their sources and relations with LU types helps in formulating the most appropriate strategies for managing urban streams. The research community, environmental managers and planners, and the decision makers seek a deep insight into the cumulative impacts of LUs on RWQ as these uses change along the river course, ultimately for achieving and maintaining a reasonably good WQ and for developing updated WQ regulations for the river basin(s) of concern.

To the researcher's best knowledge, no LU-WQ modeling or even ANN analysis of the patterns and trends in LU and/or WQ have been conducted for Kinta River basin. Lack of such studies hinders assessment of RWQ response to projected and/or planned changes in LU patterns. In line with this and in view of the study objectives, this research assessed the WQ of Kinta River and analyzed the spatial patterns and temporal trends in WQVs and LU classes in connection with Kinta River sub-basins and interpreted them in terms of Kinta River WQ.

Through WQ assessment; the first study objective, this research identified the major WQVs responsible for the deteriorated WQ of the river and revealed links between WQ parameters and between LUs and WQ parameters. Pattern recognition and trend analysis, which are the second objective of this study, identified possible sources and processes of river pollution besides developing numerous clustering and classification models for determining and forecasting the patterns and trends in the WQ and LU data. Non-linear regression modeling using the ANN technique; which is the fourth objective of this study, provided ANN models of the relationships between WQVs and the WQI and between LU classes and the WQI. These models generated forecasts of the WQ of Kinta River in each of its eight sub-basins in the year 2020.

The significance and novelty of this research is embodied in its strength as multidisciplinary, multi-scale, integrated approach using a number of different techniques to solve an environmental problem. By incorporating concepts from chemometrics, LUC, and hydrology within GIS and ANN applications, watershed analysis revealed critical, subtle effects that are not manifested by the raw WQ or LU data. The study outcomes demonstrate that this approach can be used as a screening tool for the basin as a whole and for each sub-basin to further understand problems of deterioration in WQ due to ongoing development. The approach of this study may therefore be used for inter-jurisdictional or currently relevant government LU zoning purposes to focus resources to areas requiring remediation or observation and for economic analysis of needed water control and treatment facilities to ensure compliance with local WQ regulations, and to ensure the ability of Kinta River to meet the supply, demand, and quality requirements of the population while simultaneously considering



the interconnectedness of ecosystems and the intrinsic value of human health and environmental safety.

Another manifestation of the significance of this study is that the outcomes of assessment of the WQ of Kinta River and analysis of its trends identified the need for a review of the DoE-WQI formula for Kinta River in terms of the variables to include, the variable weights, and method of calculation. Corollary to this, results of WQ assessment, PFA, pattern recognition, and trend analysis have the additional significance of highlighting the potential for success of a selective chemical monitoring program. Specifically, future monitoring campaigns may lay emphasis particularly on the 23 WQVs identified by PFA to be explaining the latent construct of the WQ data.

In addition to selective chemical monitoring, rapid assessment of WQ using representative sites and months can optimize monitoring cost and time without losing any significance of the monitoring outcomes. This research prioritized stream sections for careful monitoring and WQ restoration efforts. It identified two zones of priority consideration: (i) the WQ monitoring stations 2PK24, 2PK25, 2PK59, and 2PK34, which are characterized by high levels of microbial pollution; and (ii) the sub-basins 2PK33, 2PK60, and 2PK19, which define the area wherein Kinta River water is expected to experience the deleterious environmental effects of the eight LU classes the most. In consequence, pattern recognition outcomes suggest that future monitoring campaigns may steadily collect water samples from the stations 2PK22, 2PK24, 2PK33, and 2PK19, and occasionally one or two of the rest, especially 2PK25 and 2PK59.

On the other hand, trend analysis results suggest that forthcoming monitoring programs of the WQ of Kinta River may collect samples from four months only in the year; preferably January, April, July, and October. This sampling plan will allow for defining the temporal clusters in future trend analysis studies of Kinta River in view of the two monsoonal and two inter-monsoonal periods and will clarify the role of weather in shaping the current and potential future trends.

The ability to predict changes in WQ as a function of LUC in powerful, reliable manner helps in settling compromise between improving or maintaining or restoring RWQ and sustainable, safe development. The WQI-LU models provided forecasts of the WQ status of Kinta River in the year 2020 using Majlis Bandaraya Ipoh (MBI) 2020 Development Plan. These forecasts have the significance of revealing the sub-basins which will have WQ conditions that are comparable with, or poorer than, the WQ conditions they had in the year 2006. This helps the local urban managers and LU planners in introducing suitable modifications to the MBI 2020 Development Plan such that an appreciably good quality of Kinta River water will be secured in the year 2020 and afterwards. Ultimately, the study findings enable policy and decision makers to establish balance between water use and extended, sound development. And lastly, the various modeling approaches presented in this study can be applied to river basins in other urban settings provided that the necessary data and expertise are available.

## 1.6 Study Scope and Limitations

This research was longitudinal, historic in nature and local in scale at the level of Kinta River basin. It carried out assessment of the WQ of Kinta River for the period 1997-2006 and conducted spatial and temporal analyses of the RWQ through utilizing 23 WQVs and eight LU classes by means of ANN pattern recognition, trend analysis, and modeling techniques. In addition, this research implemented non-linear regression modeling of the WQI-WQV and WQI-LU relationships using the ANN technique.

The overall spatial scale of the study was Kinta River basin in the State of Perak, Malaysia, which covers an area of about 2,471 km<sup>2</sup>. Particular emphasis was paid to the eight sub-basins of this river which were defined such that each sub-basin delineates the area which contributes flow exclusively to one of the eight WQ monitoring stations along the river. Water quality assessment, which is the first study objective, was carried out at the WRB spatial scale over the time period 1997-2006. Analysis of the spatial patterns and temporal trends in the WQ of Kinta River and the LU classes in its basin were too carried out at the WRB spatial scale. However, in the case of ANN non-linear regression modeling of the WQI-WQV and WQI-LU interrelations, which is the fourth objective of this study, the various investigations were carried at both the WRB and BZ approaches, corresponding to six spatial scales (the WRB, and the 0-500 m, 0-1000 m, 0-1500 m, 0-2000 m, and 0-2500 m BZs).

In other respects, the different linear data mining (DM) tools employed in this research included mono-, bi-, and multi-variate analyses covering both exploratory and

confirmatory data analysis techniques. The exploratory component of the study consisted of applying multivariate pattern recognition and trend analysis techniques in an attempt to group the variables (using principal factor analysis (PFA)) and samples (using the self-organizing feature map (SOFM)) into initial clusters of similar characteristics. On the other hand, the confirmatory phase involved use of the radial basis function (RBF) neural network (NN) to determine how much distinguishable the clusters are and to determine the combination of variables which best differentiates the identified clusters.

Geographic Information System (GIS) data layers for the basin and sub-basin boundaries, LU maps for five years (1997, 2000, 2002, 2004, and 2006), land use development plan for the year 2020, and locations of the WQ monitoring stations were employed in calculating the areas of eight LU classes, evaluating the impacts of LUs on the WQ of Kinta River, and exploring WQ disturbance indicators at the six spatial extents in the eight sub-basins. The historical WQ data from the eight monitoring stations besides the WQI and WQC were utilized to explore significant relationships between WQ parameters as well as between LU classes and WQ parameters.

Definition of the eight LU classes was essentially based on the Classification Information and Land Use Code Amendment (Version 8, 2008) issued by the Department of Town and Country Planning (Malaysia) with very slight modifications to address the peculiarity of Kinta River basin in connection with LU categories. Furthermore, water quality, LU, and combined LU-WQ working files were prepared. Then, the ANN approach to pattern recognition, trend analysis, and modeling, as well as the MLP NN were utilized for

determining the relative impacts of influential WQVs and LU classes on the WQ of Kinta River and to foresee the WQ status of the river in response to future LUC using the LU statistics of the MBI 2020 Development Plan.

However, the researcher would like to highlight the following limitations to this study: (i) lack of WQ data for the monitoring stations 2PK59 and 2PK60 before 2005; (ii) lack of WQ data for the months January, April, July, and October; and (iii) lack of LU statistics for the years 1998, 1999, 2001, 2003, and 2005. Another limitation was related to differences in the numbers of water samples collected from the eight monitoring stations between the various months and between the different years. The number of samples collected from the sampling stations 2PK19, 2PK22, 2PK24, 2PK25, 2PK33, and 2PK34 was 40 each, while the numbers were only 7 and 8 respectively for the stations 2PK59 and 2PK60. Furthermore, the number of samples collected in each year from 1997 through 2004 was 24. As to the years 2005 and 2006, the numbers were 31 and 32, respectively. Besides, the sampling program addresses 8 months only; February, March, May, June, August, September, November, and December. In addition, the sampled months had unequal sample sizes; November (58), August (34), May (33), February and September (32 samples each), March and June (30 samples each), and December (6).

With respect to the LU data, an additional limitation was that the LU maps did not show the industries as separate entities on the maps. So, it was not possible to separate the effects of the industrial from the urban LU areas. The same may apply to the animal husbandry LU as its very small areas in the study period raise the suspicion that this LU was mostly incorporated in the LU maps within the agricultural areas.

## 1.7 Organization of Thesis

The overall structure of the study takes the form of five chapters, including this introductory chapter, and the rest of this dissertation is organized as follows.

Chapter Two introduces and discusses, in an object-oriented manner, a review of the literature related to RWQ, land use effects on RWQ, and to the theory and practice of ANN pattern recognition, trend analysis, and modeling.

Chapter Three describes the methods and procedures followed in satisfying the goal and objectives of this research and in testing its hypotheses.

Chapter Four presents the research results and discusses them in view of the related, reviewed literature and in view of the study objectives and hypotheses.

Chapter Five draws upon the entire dissertation, tying up the numerous theoretical and empirical strands, so as to give a brief summary and critique of the findings and discuss their implications to future research into this area, and therefore, suggested areas for further research are identified and provided.

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