



UNIVERSITI PUTRA MALAYSIA

***APOPTOTIC-RELATED SIGNALLING PATHWAYS IN MCF-7 CELLS
TREATED WITH ETHYL ACETATE EXTRACT OF *Dillenia suffruticosa*,
AND ISOLATION OF ITS MAJOR COMPOUNDS***

TOR YIN SIM

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By

TOR YIN SIM

**Thesis Submitted to the School of Graduate Studies,
Universiti Putra Malaysia, in Fulfillment of the Requirements for the
Degree of Doctor of Philosophy**

July 2015

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Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfillment of the requirements for the degree of Doctor of Philosophy

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TOR YIN SIM

July 2015

Chairman : Latifah Saiful Yazan, PhD

Faculty : Institute of Bioscience

Breast cancer is the most prevalent cancer among women worldwide. The trend for breast cancer treatment has shifted towards the use of natural product such as herbal medicine as an alternative and complementary medicine. *Dillenia suffruticosa* (Griff) Martelli that belongs to the family Dilleniaceae has been traditionally used to treat cancerous growth. In this study, the anti-cancer activity of ethyl acetate extract of *D. suffruticosa* (EADs) root was examined on breast cancer cells, MCF-7. EADs was prepared from the root of *D. suffruticosa* by using sequential solvent extraction. MTT assay was used to determine the cytotoxicity of EADs, which was demonstrated to be dose- and time-dependent, with IC_{50} of $39 \pm 3.6 \mu\text{g/mL}$ at 72 hours. Flow cytometry cell cycle analysis displayed that EADs induced non-phase specific cell cycle arrest. EADs induced mainly apoptosis in MCF-7 cells in Annexin-FITC/PI analysis. The use of general caspase-inhibitor Z-VAD-FMK indicated that EADs-induced apoptosis was caspase-independent. EADs was found to promote oxidative stress that will lead to cell death because the pre-treatment with antioxidants α -tocopherol and ascorbic acid significantly reduced the cytotoxicity of the extract ($P < 0.05$). DCFH-DA assay revealed that treatment with EADs attenuated the generation of intracellular ROS. The use of JC-1 dye reflected that EADs caused disruption in the mitochondrial membrane potential. Up-regulation of p53 and p21, is believed has led to EADs-induced non-phase specific cell cycle arrest ($P < 0.05$). Elevation of Bax/Bcl-2 ratio and the depolarization of mitochondrial membrane potential indicated that EADs-induced apoptosis was mitochondrial-dependent. The expression of oxidative stress-related proteins AKT, p-AKT, ERK, and p-ERK was downregulated with upregulation of JNK and p-JNK suggesting that induction of apoptosis by EADs is mediated by inhibition of AKT and ERK, and activation of JNK. The major compounds of EADs were then isolated using column chromatography and elucidated using nuclear magnetic resonance analysis producing a total of 6 compounds. The cytotoxicity of the isolated compound was determined using MTT assay. Gallic acid was found to be most cytotoxic against MCF-7 cell line compared to others, with IC_{50} of $36 \pm 1.7 \mu\text{g/mL}$ ($P < 0.05$). In summary, EADs induced cell cycle arrest, oxidative stress and apoptosis in MCF-7 cells. Thus, EADs has the potential to be developed as an anti-cancer agent against breast cancer.

Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan ijazah Doktor Falsafah

**LALUAN ISYARAT BERKAITAN APOPTOSIS DALAM SEL KANSER
PAYUDARA MCF-7 DIRAWAT DENGAN EKSTRAK ETIL ASETAT *Dillenia
suffruticosa*, DAN PENGASINGAN SEBATIAN UTAMANYA**

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Kanser payudara adalah kanser paling lazim di kalangan wanita seluruh dunia. Kaedah rawatan kanser payudara telah beralih kepada penggunaan hasil semulajadi seperti perubatan herba sebagai perubatan alternatif dan pelengkap. *Dillenia suffruticosa* (Griff Martelli) yang berasal dari keluarga Dilleniaceae telah digunakan untuk merawat pertumbuhan kanser secara tradisional. Dalam kajian ini, ciri-ciri anti-kanser ekstrak etil asetat akar *D. suffruticosa* (EADs) terhadap sel payudara, MCF-7, dinilai. EADs disediakan daripada akar *D. suffruticosa* menggunakan pengekstrakan berurutan pelarut. Asai MTT digunakan untuk menentukan kesitotoksikan EADs, yang ditunjukkan bersandar kepada dos dan masa, dengan $IC_{50} = 39 \pm 3.6 \mu\text{g/mL}$ pada 72 jam. Analisis sitometri kitaran sel menunjukkan bahawa EADs mengaruh penahanan fasa tak spesifik kitaran sel. Mengikut analisa sitometri annexin-FITC/PI, EADs mengaruh apoptosis terhadap sel MCF-7. Penggunaan perencat umum caspase Z-VAD-FMK menunjukkan pengaruh apoptosis oleh EADs adalah tidak bersandar kepada caspase. EADs didapati menggalakkan tekanan oksidatif yang menyebabkan kematian sel. Asai DCFH-DA mendedahkan bahawa rawatan dengan EADs melemahkan penjaan spesies oksigen reaktif intrasel. Penggunaan JC-1 menunjukkan EADs mengakibatkan gangguan kepada potensi membran mitokondria. Peningkatan dalam pengekspresan p53 dan p21 dipercayai telah mendorong kepada penahanan fasa tidak spesifik kitaran sel aruhan EADs ($P < 0.05$). Peningkatan nisbah Bax/Bcl-2 dan penyahkutuban potensi membran mitokondria menunjukkan apoptosis aruhan EADs adalah bersandar kepada mitokondria. Pengekspresan protein yang berkaitan dengan tekanan oksidatif AKT, p-AKT, ERK, dan p-ERK yang diturunkan berikutan dengan peningkatan JNK dan p-JNK mencadangkan bahawa aruhan oleh EADs disebabkan oleh perencatan AKT dan ERK, dan pengaktifan JNK. Pengasingan sebatian utama EADs dijalankan dengan menggunakan kromatografi turus dan dikenalpasti dengan analisa resonans magnetik nuclear menghasilkan sejumlah enam sebatian. Kesitotoksikan sebatian yang diasingkan ditentukan dengan asai MTT. Asid galik adalah paling sitotoksik kepada sel MCF-7 berbanding dengan sebatian lain, dengan $IC_{50} = 36 \pm 1.7 \mu\text{g/mL}$ ($P < 0.05$). Secara ringkas, EADs mengaruh penahanan kitaran sel, tekanan oksidatif and apoptosis melalui pengawalan pelbagai gen dan protein yang terlibat dalam laluan isyarat apoptosis. Justeru itu, EADs mempunyai potensi dijadikan agen anti-kanser terhadap kanser payudara.

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This thesis was submitted to the Senate of Universiti Putra Malaysia and has been accepted as fulfillment of the requirements for the degree of **Doctor of Philosophy**. The members of the Supervisory Committee were as follows:

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TABLE OF CONTENTS

	Page
ABSTRACT	i
ABSTRAK	ii
ACKNOWLEDGEMENTS	iii
APPROVAL	iv
DECLARATION	vi
LIST OF TABLES	xii
LIST OF FIGURES	xiv
LIST OF APPENDICES	xv
LIST OF ABBREVIATIONS	xvi
CHAPTER	
1. INTRODUCTION	1
2. LITERATURE REVIEW	5
2.1 Cancer	5
2.2 Normal breast	6
2.3 Breast cancer	7
2.3.1 Definition and type	7
2.3.2 Epidemiology	8
2.3.3 Classification of breast cancer	9
2.3.3.1 TNM classification	9
2.3.3.2 Molecular classification	13
2.3.4 Treatment of breast cancer	17
2.4 Natural products	19
2.4.1 Plant as a source of anticancer agent	19
2.4.2 Plant phytochemicals	22
2.4.2.1 Flavonoid	22
2.4.2.2 Phenolic acid	23
2.4.2.3 Triterpenoid	24
2.4.2.4 Phytosterol	24
2.4.3 Extraction, isolation and characterization of compound from plant	25
2.4.4 Challenges of plants as therapeutics agents	26
2.5 Cell death	28
2.5.1 Necrosis	28
2.5.2 Apoptosis	28
2.5.2.1 Apoptotic pathway	30
2.5.2.2 Apoptosis and cancer	32
2.6 Cell cycle and cancer	33
2.7 Oxidative stress and cancer	35
2.8 Cancer signaling pathway	37
2.8.1 MAPK signaling pathway	37
2.8.1.1 JNK signaling pathway	37
2.8.1.2 ERK signaling pathway	38
2.8.1.3 p38 MAPK signaling pathway	39
2.8.2 AKT signaling pathway	40
2.8.3 NF- κ B signaling pathway	41

2.9	Genus <i>Dillenia</i>	41
2.9.1	<i>Dillenia suffruticosa</i>	45
3.	DETERMINATION OF CYTOTOXICITY AND MODE OF CELL DEATH INDUCED BY ETHYL ACETATE EXTRACT OF <i>Dillenia suffruticosa</i> IN MCF-7 CELLS	47
3.1	Introduction	47
3.2	Materials and Methods	48
3.2.1	Plant material	48
3.2.2	Chemical	48
3.2.3	Cell	49
3.2.4	Plant extraction	49
3.2.5	Sample preparation	50
3.2.6	Determination of cytotoxicity	51
3.2.7	Morphological analysis	51
3.2.8	Cell cycle analysis	51
3.2.9	Determination of mode of cell death	52
3.2.10	Statistical analysis	52
3.3	Results and discussion	53
3.3.1	Cytotoxicity of EADs	53
3.3.2	Cell cycle arrest in MCF-7 cells by EADs	56
3.3.3	Induction of apoptosis in MCF-7 cells by EADs	60
3.4	Conclusion	65
4.	OXIDATIVE STRESS-INDUCED APOPTOSIS BY ETHYL ACETATE EXTRACT OF <i>Dillenia suffruticosa</i> IN MCF-7 CELLS	67
4.1	Introduction	67
4.2	Materials and methods	68
4.2.1	Plant material	68
4.2.2	Chemical	68
4.2.3	Cell	68
4.2.4	Sample preparation	68
4.2.5	Evaluation of the effects of antioxidants on EADs-treated MCF-7 cells	69
4.2.6	Detection of ROS in EADs-treated MCF-7 cells	69
4.2.7	Determination of the effects of EADs on the expression of intracellular antioxidant enzymes genes of MCF-7 cells	69
4.2.7.1	RNA isolation	69
4.2.7.2	Reverse transcription and polymerase chain reaction	70
4.2.7.3	GeXP multiplex analysis	72
4.2.8	Statistical analysis	72
4.3	Results and discussion	72
4.3.1	Effects of antioxidants on EADs-treated MCF-7 cells	72
4.3.2	Level of reactive species in EADs-treated MCF-7 cells	75

4.3.3	Determination of the expression of intracellular enzymatic antioxidant genes in EADs-treated MCF-7 cells	77
4.4	Conclusion	79
5.	ELUCIDATION OF SIGNALLING PATHWAYS INVOLVED IN MCF-7 CELLS TREATED WITH ETHYL ACETATE EXTRACT OF <i>Dillenia suffruticosa</i>	81
5.1	Introduction	81
5.2	Materials and methods	82
5.2.1	Plant material	82
5.2.2	Chemical	82
5.2.3	Cell	83
5.2.4	Determination of the involvement of caspases in EADs-treated MCF-7 cells	83
5.2.5	Determination of the effect of EADs on mitochondrial membrane potential	84
5.2.6	Determination of the effects of EADs on apoptotic-related genes in EADs-treated MCF-7 cells	84
5.2.6.1	RNA isolation	84
5.2.6.2	Reverse transcription and polymerase chain reaction	84
5.2.6.3	GeXP multiplex analysis	84
5.2.7	Determination of the effects of EADs on apoptotic related proteins in EADs-treated MCF-7 cells	86
5.2.7.1	Preparation of protein lysates	86
5.2.7.2	Polyacrylamide gel preparation	86
5.2.7.3	Protein loading and gel electrophoresis	86
5.2.7.4	Transfer of protein from gel to membrane	87
5.2.7.5	Blocking and incubation with antibodies	87
5.2.7.6	Detection	87
5.2.8	Statistical analysis	88
5.3	Results and discussion	88
5.3.1	Involvement of caspases in EADs-treated MCF-7 cells	88
5.3.2	Depolarization of mitochondrial membrane potential in MCF-7 cells treated with EADs	92
5.3.3	Effects of EADs on the apoptotic related genes and proteins in EADs-treated MCF-7 cells	94
5.4	Conclusion	102
6.	ISOLATION AND CYTOTOXICITY OF THE ISOLATED COMPOUNDS FROM ETHYL ACETATE EXTRACT OF <i>Dillenia suffruticosa</i>	103
6.1	Introduction	103
6.2	Material and methods	104
6.2.1	Plant material	104
6.2.2	Material	104
6.2.3	Extraction of plant	104

6.2.4	Extraction, isolation and purification of the isolated compounds from EADs	104
6.2.4.1	Compound 1	105
6.2.4.2	Compound 2	105
6.2.4.3	Compound 3	105
6.2.4.4	Compound 4	105
6.2.4.5	Compound 5	106
6.2.4.6	Compound 6	106
6.2.5	Elucidation of structure	108
6.2.6	Determination of cytotoxicity of the isolated compounds	108
6.2.6.1	Cell	108
6.2.6.2	Sample preparation	108
6.2.6.3	Determination of the cytotoxicity of isolated compounds	108
6.2.7	Statistical analysis	109
6.3	Results and discussion	109
6.3.1	Yield of fractions and compounds	109
6.3.2	Elucidation of the structure of isolated compounds	110
6.3.3	Cytotoxicity of the isolated compounds from EADs	113
6.4	Conclusion	115
7.	GENERAL DISCUSSION, CONCLUSION AND RECOMMENDATION FOR FUTURE RESEARCH	117
7.1	General discussion	117
7.2	Conclusion	121
7.3	Recommendation for future research	122
	REFERENCES	123
	APPENDICES	167
	BIODATA OF STUDENT	187
	LIST OF PUBLICATIONS	188

LIST OF TABLES

Table		Page
2.1	TNM classification for breast cancer	10
2.2	AJCC 7 th edition staging for breast cancer	12
2.3	Characteristics of the molecular subtype of breast cancer	16
2.4	Adverse effects caused by certain cancer chemotherapeutic drugs	18
2.5	Plant-derived anticancer agents in clinical use and clinical trials	20
2.6	Chromatography techniques used for the separation of compounds from plant extracts	25
2.7	Comparison between the apoptosis and necrosis	29
2.8	Traditional uses of <i>Dillenia</i> species	42
2.9	Pharmacological activities of <i>Dillenia</i> species	43
2.10	Compounds isolated from <i>Dillenia</i> species.	44
2.11	Traditional uses and pharmacological activities of <i>Dillenia suffruticosa</i>	46
3.1	Cytotoxicity of EADs towards breast cancer and non-breast cancer cell lines as determined using MTT assay at different time point reflected as IC ₅₀ value	55
3.2	Cytotoxicity of EADs and tamoxifen towards MCF-7 cells as determined using MTT assay at different time point reflected as IC ₅₀ value	56
4.1	List of genes with their respective primers and the accession number for GeXP multiplex analysis	71
5.1	List of genes with the respective primers and the accession number for GeXP multiplex analysis	85
6.1	Eight major fractions from the fractionation of EADs and the yield	109
6.2	Six isolated compounds from EADs and the yield	109
6.3	Cytotoxicity of the six compounds isolated from EADs on MCF-7 and MDA-MB-231 cells as determined by MTT assay reflected by the IC ₅₀ value	113

LIST OF FIGURES

Figure		Page
2.1	Incidence of the ten most common diagnosed cancer cases worldwide in 2012	5
2.2	Structure of the normal breast	6
2.3	Chemical structure of flavonoids	23
2.4	Chemical structure of phenolic acids	23
2.5	Chemical structure of phytosterols	24
2.6	Cell cycle	34
2.7	Flower, fruit and leaf of <i>D. suffruticosa</i>	45
3.1	Schematic diagram of the preparation of EADs by using solvents with increasing polarity in sequential solvent extraction	50
3.2	Viability of MCF-7 cells after treatment with EADs at different time point as determined using MTT assay	55
3.3	Cell cycle profile of MCF-7 cells treated with EADs at different time point	58
3.4	Population of MCF-7 cells at different phase of cell cycle after treatment with EADS for 24 hours (A) and 48 hours (B) as determined using flow cytometry analysis	59
3.5	Population of MCF-7 cells treated with EADs observed under an inverted light microscope at different time point	61
3.6	Morphological changes of MCF-7 cells treated with EADS observed under an inverted light microscope at different time point	62
3.7	Percentage of viable, apoptotic and late apoptotic cells in MCF-7 cells treated with EADs at different time point as determined using Annexin V-PI flow cytometric analysis	64
4.1	Effects of pre-treatment with antioxidants on the viability of MCF-7 cells treated with EADs at 24 hours (A) and 48 hours (B)	74
4.2	Level of ROS in MCF-7 cells after treatment with EADs and H ₂ O ₂ as determined using DCFH-DA assay	76

4.3	Expression level of the endogenous enzymatic antioxidants <i>SOD1</i> (A) <i>SOD2</i> (B) and <i>catalase</i> (C) in MCF-7 cells treated with EADs as determined using GeXP analysis system	78
5.1	Involvement of caspase in MCF-7 cells treated with EADs as determined by Annexin V/PI flow cytometric analysis	90
5.2	Percentage of apoptotic cells between caspase inhibitor negative and caspase inhibitor positive group in MCF-7 cells treated with EADs	91
5.3	Mitochondria membrane potential ($\Delta\Psi_m$) of MCF-7 cells treated with EADs as determined using JC-1 fluorescent dye	93
5.4	Expression level of the apoptotic-related genes in MCF-7 cells treated with EADs as determined using GeXP analysis system	95
5.5	Expression level of the apoptotic-related proteins in MCF-7 cells treated with EADs at different time point as determined using Western blot	96
5.6	Bax/Bcl-2 ratio in MCF-7 cells treated with EADs at different time point	97
5.7	Expression level of the apoptotic-related proteins in MCF-7 cells treated with EADs at different time point as determined using Western blot	98
6.1	Extraction and isolation of compounds from EADs (Compound 1-6)	107
6.2	Chemical structure of the compounds isolated from ethyl acetate extract of <i>Dillenia suffruticosa</i> , which are known as kaempferide (1), kaempferol (2), protocatechuic acid (3), gallic acid (4), 3-epimaslinic acid (5) and β -sitosterol-3-O- β -D-glucopyranoside (6)	110
7.1	Proposed signaling pathway of EADs-induced apoptosis in MCF-7 cells	121

LIST OF APPENDICES

A	TLC profile of EADs with different solvent systems under UV	167
B	TLC profile of EADs with different solvent systems detected using cerium (IV) sulphate	167
C	TLC profile of the fractions of EADs	167
D1	¹ H-NMR profile of compound 1	168
D2	¹³ C-NMR profile of compound 1	169
E1	¹ H-NMR profile of compound 2	170
E2	¹³ C-NMR profile of compound 2	171
F1	¹ H-NMR profile of compound 3	172
F2	¹³ C-NMR profile of compound 3	173
G1	¹ H-NMR profile of compound 4	174
G2	¹³ C-NMR profile of compound 4	175
H1	¹ H-NMR profile of compound 5	176
H2	¹³ C-NMR profile of compound 5	177
I1	¹ H-NMR profile of compound 6	178
I2	¹³ C-NMR profile of compound 6	179
J	Comparison of ¹ H-NMR and ¹³ C-NMR data for compound 1 with the literature	180
K	Comparison of ¹ H-NMR and ¹³ C-NMR data for compound 2 with the literature	181
L	Comparison of ¹ H-NMR and ¹³ C-NMR data for compound 3 with the literature	181
M	Comparison of ¹ H-NMR and ¹³ C-NMR data for compound 4 with the literature	182
N	Comparison of ¹ H-NMR and ¹³ C-NMR data for compound 5 with the literature	183
O	Comparison of ¹ H-NMR and ¹³ C-NMR data for compound 6 with the literature	184

LIST OF ABBREVIATIONS

^{13}C -NMR	Carbon nuclear magnetic resonance
1D-NMR	One dimensional NMR
^1H -NMR	Proton nuclear magnetic resonance
2D-NMR	Two-dimensional NMR
AIF	Apoptosis inducing factor
AKT	Protein kinase B
APT	Attached proton test
CAT	Catalase
CDK	Cyclin-dependent kinase
DCFH-DA	Dichlorodihydrofluorescein diacetate
DCIS	Ductal carcinoma <i>in situ</i>
EGFR	Epidermal growth factor receptor
EMT	Epithelial to mesenchymal transition
ER	Oestrogen receptor
ERK	Extracellular signal-regulated kinase
FITC	Fluorescein isothiocyanate
GSH-Px	Glutathione peroxidase
HER-2	Human epidermal growth factor receptor-2
IARC	International Agency for Research on Cancer
IDC	Invasive ductal carcinoma
IKK	I κ B kinase
I κ B	Inhibitor of κ B
JNK	c-Jun N-terminal kinase
MAPK	Mitogen-activated protein kinase
MPT	Membrane permeability transition
MPTP	Mitochondrial permeability transition pores
NF- κ B	Nuclear factor-kappa B
NMR	Nuclear magnetic resonance
p38MAPK	p38 mitogen-activated protein kinase
PARP	Poly (ADP-ribose) polymerase
PgR	Progesterone receptor
PKC	Protein kinase C
RCS	Reactive chlorine species
RNS	Reactive nitrogen species
ROS	Reactive oxygen species
SAPK	Stress-activated protein kinase
SOD	Superoxide dismutase
TCM	Traditional Chinese medicine
TNF	Tumour necrosis factor
Z-VAD-FMK	Benzylloxycarbonyl-ValAla-Asp(O-methyl) fluoromethylketone
$\Delta\Psi_m$	Mitochondrial membrane potential

CHAPTER 1

INTRODUCTION

The burden of cancer is expanding at an alarming rate. As in 2012, approximately 14.1 million cancer cases and 8.8 million cancer deaths were reported worldwide. It is expected that in coming two decades, the cancer incidence will grow more than 70% (Ferlay *et al.*, 2015). Breast cancer is the most commonly diagnosed life-threatening cancer in women. Breast cancer is heterogeneous and associated with a combination of risk factors that includes hereditary, reproductive factors, hormonal and environmental factors (Althuis *et al.*, 2004; DeBruin and Josephy, 2002). There were approximately 522,000 deaths due to breast cancer in 2012 that ranked as the fifth cause of overall cancer cases (Ferlay *et al.*, 2015).

Apart from surgery, radiotherapy and hormonal therapy, chemotherapy is one of the most common treatments for breast cancer (ACS, 2014). Chemotherapy is a systemic treatment, which refers to the use of one or more anti-cancer drugs circulating throughout the body to eliminate cancer cells. Unfortunately, the treatment will also inevitably affect the surrounding normal cells, thus causing adverse effects to the breast cancer patients (Breakthrough-Breast-Cancer, 2013) that include cardiac toxicity, secondary cancers, hand-foot syndrome, premature menopause, altered cognitive function and neurotoxicity (ACS, 2014; Azim *et al.*, 2011). Thus, alternative medication with alleviated adverse effects is indeed crucial.

Cancer is a complex disease characterized by the alteration in dynamic signaling pathways that modulate cell growth, survival, differentiation and invasion. Occurrence of cancer often involves deregulation of multiple genes that confers growth advantages and unlimited proliferative ability to cancer cells. Altered genes are commonly involved in signaling pathways such as mitogen-activated protein kinase (MAPK), protein kinase B (AKT) (Paraiso *et al.*, 2010) and NF- κ B pathway (Mauro *et al.*, 2009). Therefore, the emergence of anticancer agents that are aiming multiple pathways or targets has promising future to cure cancer (Sebolt-Leopold and English, 2006).

Currently, combination therapy or multi-target anticancer agent is used to target multiple cancer-related molecules simultaneously. The purpose of this approach is to activate or to suppress the diverse signaling processes responsible for the survival of tumor (Giordano and Petrelli, 2008). The same concept applies to phytotherapy, where plant extract contains a variety of bioactive compounds that could exert synergistic therapeutic effect by acting or targeting on different receptors of different signaling pathways (Bhatt *et al.*, 2010). Phytotherapy practitioners assert that a whole extract performs better than equivalent dosage of isolated compounds (Nelson and Kursar, 1999). The examples of popular phytotherapy are Indian Medicine (Ayurveda) and Traditional Chinese Medicine. The interaction of compounds within the extract is associated with the improvement in efficiency, declination of undesirable effect, increment in the bioavailability and stability, and sufficient therapeutic effect with less doses (Biavatti, 2009).

The paradigm of cancer therapy has been drifted towards the use of natural products particularly herbal medicine. It is evidenced through the sale of plant-derived chemotherapeutic drugs such as taxanes derivatives (paclitaxel and doxorubicin) and camptothecin derivatives (topotecan and irinotecan), which accounted for one third of the total chemotherapeutic drug sales globally, reaching 4 billion dollar in year 2007 (Demain and Vaishnav, 2011).

Deregulation of molecules involved in apoptotic pathway renders unlimited replicative ability to the cancer cells. Many anticancer agents exploit the abnormalities to halt the tumor progression (Ghobrial *et al.*, 2005; Kasibhatla and Tseng, 2003). Plant extracts have been reported to modulate the apoptotic-related pathways in cancer cells. Therefore, they can be served as promising apoptosis-inducing cancer therapeutic agents (Rós, 2015). The apoptotic pathways can be classified into mitochondrial-dependent and mitochondria-independent pathway. The regulation of mitochondrial pathway is controlled by the family of Bcl-2 (Brunelle and Letai, 2009). Another important regulator of apoptosis is the tumor suppressor, p53, which can also incite DNA repair and cellular senescence (Fridman and Lowe, 2003). p53 can also control the transcription of the members of Bcl-2 family especially Bcl-2 and Bax. Literature has shown that many plant-derived extracts are capable to induce apoptosis in cancerous cells by governing p53, Bax and Bcl-2 in the apoptotic signaling pathway (Ryu *et al.*, 2012; Gao *et al.*, 2011; Cheng *et al.*, 2008).

Dillenia suffruticosa (Griffith ex Hook. F. and Thomson) Martelli (Family: Dilleniaceae), locally known as “Simpoh air”, is found abundantly in the secondary forest and swampy ground in Peninsular Malaysia to Papua New Guinea and Solomon Islands. The fruit of the plant is traditionally used for the treatment of cancerous growth (Ahmad and Holdsworth, 1995). Aside from that, the plant has been reported to possess antibacterial (Wiat *et al.*, 2004) and antiviral (Muliawan, 2008) activities. The hot water extract of the root of *D. suffruticosa* has anti-cervical (Said, 2010) and anti-colon (Husain, 2010) properties. This study was carried out as the ethyl acetate extract of *D. suffruticosa* was reported to be cytotoxic toward the breast cancer cell line, MCF-7 by Armania *et al.* (2013). In addition, the chemistry profile of ethyl acetate extract of *D. suffruticosa* has never been reported before.

The general objective of this study was to determine the mode of cell death of ethyl acetate extract of *D. suffruticosa* (EADs) and the signaling pathways involved in MCF-7 breast cancer cells.

The specific objectives of this study were:

1. To determine the mode of cell death and cell cycle profile in MCF-7 breast cancer cells treated with EADs.
2. To ascertain the involvement of oxidative stress in MCF-7 breast cancer cells treated with EADs.
3. To elucidate the signaling pathways related to apoptosis, survival and growth in MCF-7 breast cancer cells treated with EADs.
4. To isolate and identify the compounds in EADs.

It was hypothesized that:

1. EADs will show cytotoxic effect and induce cell cycle arrest in MCF-7 breast cancer cells.
2. EADs will induce oxidative stress in MCF-7 breast cancer cells.
3. EADs will induce apoptosis in MCF-7 breast cancer cells through the activation and inhibition of several apoptotic-related signaling pathways.
4. The compounds in EADs will be isolated and identified.

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