

**DEVELOPMENT AND APPLICATIONS OF ARTIFICIAL NEURAL
NETWORK FOR PREDICTION OF ULTIMATE BEARING CAPACITY OF
SOIL AND COMPRESSIVE STRENGTH OF CONCRETE**

By

SEYED JAMALALDIN SEYED HAKIM

**Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia, in
Fulfilment of the Requirement for the Degree of Master of Science**

December 2006

DEDICATION

I wish to dedicate this work especially, to my dear late father who passed away during the duration of my study.

I dedicate this work to my mother for her continuous prayer, encouragement and patience.

I dedicate this work to my wife, Sarah for her sacrifices of her health and time to provide me with a suitable environment. Her patience and encouragement was the drive for me.

Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfilment of the requirement for the degree of Master of Science

DEVELOPMENT AND APPLICATIONS OF ARTIFICIAL NEURAL NETWORK FOR PREDICTION OF ULTIMATE BEARING CAPACITY OF SOIL AND COMPRESSIVE STRENGTH OF CONCRETE

By

SEYED JAMALALDIN SEYED HAKIM

December 2006

Chairman : Associate Professor Jamaloddin Noorzai, PhD

Faculty : Engineering

Artificial Neural Networks (ANNs) have recently been widely used to model some of the human activities in many areas of science and engineering. One of the distinct characteristics of the ANNs is its ability to learn from experience and examples and then to adapt with changing situations. ANNs does not need a specific equation form that differs from traditional prediction models. Instead of that, it needs enough input-output data. Also, it can continuously re-train the new data, so that it can conveniently adapt to new data. This research work focuses on development and application of artificial neural networks in some specific civil engineering problems such as prediction of ultimate bearing capacity of soil and compressive strength of concrete after 28 days.

One of the main objectives of this study was the development and application of an ANN for predicting of the ultimate bearing capacity of soil. Hence, a large training set of actual ultimate bearing capacity of soil cases was used to train the network.

A neural network model was developed using 1660 data set of nine inputs including the width of foundation, friction angle in three layer, cohesion of three layers and depth of first and second layer are selected as inputs for predicting of ultimate bearing capacity in soil. The model contained a training data set of 1180 cases, a verification data set of 240 cases and a testing data set of 240 cases. The training was terminated when the average training error reached 0.002.

Many combinations of layers, number of neurons, activation functions, different values for learning rate and momentum were considered and the results were validated using an independent validation data set. Finally 9-15-1 is chosen as the architecture of neural network in this study. That means 9 inputs with a set of 15 neurons in hidden layer has the most reasonable agreement architecture. This architecture gave high accuracy and reasonable Mean Square Error (MSE).

The network computes the mean squared error between the actual and predicted values for output over all patterns. Calculation of mean percentage relative error for training set data, show that artificial neural network predicted ultimate bearing capacity with error of 14.83%. The results prove that the artificial neural network can work sufficiently for predicting of ultimate bearing capacity as an expert system. It was observed that overall construction-related parameters played a role in affecting ultimate bearing capacity, but especially the parameter “friction angle” play a most important role. An important observation is that influencing of the parameter “cohesion” is too less than another parameters for calculating of ultimate bearing capacity of soil.

Also in this thesis is aimed at demonstrating the possibilities of adapting artificial neural networks (ANN) to predict the compressive strength of concrete. To predict the compressive strength of concrete the six input parameters, such as, cement, water, silica fume, superplasticizer, fine aggregate and coarse aggregate identified. Total of 639 different data sets of concrete were collected from the technical literature. Training data sets comprises 400 data entries, and the remaining data entries (239) are divided between the validation and testing sets. The training was stopped when the average training error reached 0.007. A detailed study was carried out, considering two hidden layers for the architecture of neural network. The performance of the 6-12-6-1 architecture was the best possible architecture.

The MSE for the training set was 5.33% for the 400 training data points, 6.13% for the 100 verification data points and 6.02 % for the 139 testing data points. It can recognize the concrete in term of 'strength' with a confidence level of about 95%, which is considered as satisfactory from an engineering point of view. It was found from sensitivity analyses performed on a neural network model that the cement has the maximum impact on the compressive strength of concrete.

Finally, the results of the present investigation were very encouraging and indicate that ANNs have strong potential as a feasible tool for predicting the ultimate bearing capacity of soil and compressive strength of concrete.

Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia
sebagai memenuhi keperluan untuk ijazah Master Sains

**PEMBANGUNAN DAN PENGGUNAAN JARINGAN NEURAL ARTIFISIAL
(JNA) UNTUK MERAMAL KEUPAYAAN GALAS TANAH DAN KEKUATAN
MAMPATAN KONKRIT**

Oleh

SEYED JAMALALDIN SEYED HAKIM

Disember 2006

Pengerusi : Profesor Madya Jamaloddin Noorzaei, PhD

Fakulti : Kejuruteraan

Sejak kebelakangan ini, Jaringan Neural Artifisial (JNA) digunakan secara meluas untuk memodelkan sesetengah aktiviti manusia dalam kebanyakan bidang sains dan kejuruteraan awam. Salah satu ciri-ciri yang nyata kelihatan adalah keupayaan JNA mempelajari daripada pengalaman, contoh-contoh dan seterusnya menyesuaikan dengan perubahan keadaan. JNA tidak memerlukan persamaan formula yang spesifik, tetapi tidak bagi petilikan model tradisional. Selain daripada itu, ia juga memerlukan data input-output yang secukupnya. Ia juga boleh merujuk data yang baru secara berterusan adaptasi kepada data baru lebih menyenangkan. Kajian ini memfokuskan pembangunan dan aplikasi Jaringan Neural Artifisial (JNA) dalam sesetengah masalah kejuruteraan awam yang spesifik seperti penganggaran kapasiti muatan mutlak tanah dan kekuatan mampatan konkrit selepas 28 hari. Salah satu objektif kajian ini adalah membangunkan dan mengaplikasikan JNA untuk penganggaran kapasiti muatan mutlak tanah. Oleh itu, satu set rujukan yang besar daripada kes kapasiti muatan mutlak tanah yang sebenar telah digunakan sebagai rujukan kepada JNA.

Satu model JNA telah dibangunkan dengan menggunakan 1660 set data daripada 9 input termasuk keluasan tapak, sudut cengkaman/geseran 3 lapisan, kohesi 3 lapisan dan kedalaman lapisan pertama dan kedua telah dipilih sebagai input untuk menggangarkan kapasiti muatan mutlak tanah. Model tersebut mengandungi satu set data rujukan sebanyak 1180 kes, satu pengesahan set data yang terdiri daripada 240 kes dan juga satu set data ujian yang terdiri daripada 240 kes. Rujukan terhadap data diberhentikan apabila purata kesilapan mencapai 0.002.

Banyak kombinasi lapisan-lapisan, bilangan neuron, fungsi pengaktifan, nilai yang berbeza untuk kadar sandaran dan momentum telah diambil kira dan keputusan telah disahkan dengan menggunakan set data pengesahan yang tiada hubungan. Akhirnya 9-15-1 telah diputuskan sebagai kerangka JNA. Ini menunjukkan satu lapisan tersembunyi dengan satu set sejumlah 15 neuron mempunyai keserasian yang paling wajar. Kerangka ini memberikan kejituan yang tinggi dan MSE yang sewajarnya.

JNA mengira “mean squared error” antara nilai output sebenar dengan nilai output anggaran dalam pelbagai bentuk. Pengiraan relatif purata peratusan dalam rujukan set data menunjukkan JNA dianggarkan akan memperolehi kejituan kapasiti muatan mutlak sebanyak 85 %. Keputusan telah menunjukkan bahawa JNA mampu bekerja secara cekap untuk penganggaran kapasiti muatan mutlak. Melalui pemerhatian, keseluruhan parameter yang berkaitan dengan pembinaan memainkan peranan yang akan menjejaskan kapasiti muatan mutlak terutamanya parameter sudut cengkaman/geseran.

Satu pemerhatian telah menunjukkan bahawa parameter kohesi adalah sangat rendah berbanding parameter lain yang digunakan untuk mengira kapasiti muatan mutlak tanah. Tesis ini juga bertujuan untuk menunjukkan kemungkinan menggunakan JNA untuk menganggarkan kekuatan mampatan konkrit. Enam input parameter seperti simen, air, debu silika, superplasticiser, pasir kasar dan pasir halus telah ditentukan untuk menganggarkan kekuatan mampatan konkrit. Sejumlah 639 set data berlainan daripada konkrit telah diambil daripada sorotan literatur. Set data rujukan terdiri daripada 400 masukan data dan masukan data yang selainnya (239) dibahagikan kepada set pengesahan dan set ujian. Rujukan diberhentikan apabila purata kesilapan rujukan mencapai 0.007. Satu kajian yang mendalam telah dijalankan dengan mengambil kira dua lapisan tersembunyi dalam kerangka JNA. Prestasi kerangka JNA 6-12-6-1 adalah kerangka JNA yang paling baik.

MSE untuk set data rujukan adalah 5.33% untuk 400 mata data rujukan, 6.13% untuk 100 mata data pengesahan dan 6.02% untuk 139 mata data ujian. Ia dapat mengenalpasti konkrit dalam terma “kekuatan” dengan tahap keyakinan sebanyak 95% di mana ia telah dianggap sebagai memuaskan dari pandangan kejuruteraan. Daripada analisis sensitiviti yang telah dijalankan menggunakan model JNA, didapati simen mempunyai impak yang paling maksimum dalam mampatan konkrit. Sebagai kesimpulan, keputusan daripada kajian yang dijalankan adalah sangat menggalakkan dan menunjukkan JNA mempunyai potensi yang tinggi untuk dijadikan peralatan yang baik untuk menganggarkan kapasiti muatan mutlak tanah dan kekuatan kuasa mampatan konkrit.

ACKNOWLEDGEMENTS

All Praise is to Allah, Cherisher and Sustainer of World, who bestowed me with life and health to complete this work.

First, I would like to express my very special thank to my supervisor Assoc. Prof. Dr. Jamaloddin Noorzaei, for opening his doors to me both day and night towards the successful completion of my thesis. I would also like to thank for his brilliant supervision, encouragement and guidance right from the conceptual stage to the completion of this thesis. His enthusiasm and scientific insights have been the leading forces behind my research. I have learned a lot about research from him through many interesting discussions. I really appreciate his efforts that have been mirrored in every page of this thesis.

Secondly, I would like to thank Assoc. Prof. Dr. Mohd. Saleh Jaafar for his immense assistance which enables me successfully completes my thesis. I am also grateful to Prof. Dr. Waleed Thanoon, for his advice, suggestions and guidance.

I couldn't finish this dissertation without the help of my wife, Sara. She was my supporter, and advisor. I thank her for completely trusting, supporting, and loving me.

I would also like to express my gratitude to my friends and colleagues too numerous to mention here, some of which are Ms. Racheal Bukkola ,Mr. Paknahad, Mr. Naghshineh, Mr. Jazayeri ,Mr. Hejazei ,Mr.Ghatei and Mr. Seifi for their help in many ways .

I certify that an Examination Committee has met on 20th December 2006 to conduct the final examination of Seyed Jamalaldin Seyed Hakim on his Master of Science thesis entitled “Development and Applications of Artificial Neural Network for Prediction of Ultimate Bearing Capacity of Soil and Compressive Strength of Concrete” in accordance with Universiti Pertanian Malaysia (Higher Degree) Act 1980 and Universiti Pertanian Malaysia (Higher Degree) Regulations 1981. The Committee recommends that the candidate be awarded the relevant degree. Members of the Examination Committee are follows:

RATNASAMY MUNIANDY, PhD

Associate Professor
Faculty of Engineering
Universiti Putra Malaysia
(Chairman)

IR.ABANG ABDULLAH ABANG ALI

Professor
Faculty of Engineering
Universiti Putra Malaysia
(Internal Examiner)

BUJANG KIM HUAT, PhD

Professor
Faculty of Engineering
Universiti Putra Malaysia
(Internal Examiner)

MOHD WARID HUSSIN, PhD

Professor
Faculty of Civil Engineering
Universiti Teknologi Malaysia
(External Examiner)

HASANAH MOHD.GHAZALI, PhD

Professor/Deputy Dean
School of Graduate Studies
Universiti Putra Malaysia

Date:

This thesis submitted to the Senate of Universiti Putra Malaysia and has been accepted as fulfilment for the requirement of the degree of Master of Science. The members of the Supervisory Committee are as follows:

Jamaloddin Noorzaei, PhD

Associate Professor
Faculty of Engineering
Universiti Putra Malaysia
(Chairman)

Mohd Saleh Bin Jaafar, PhD

Associate Professor
Faculty of Engineering
Universiti Putra Malaysia
(Member)

Waleed A.M. Thanoon, PhD

Professor
Faculty of Engineering
Universiti Putra Malaysia
(Member)

AINI IDERIS, PhD

Professor / Dean
School of Graduate Studies
Universiti Putra Malaysia

Date: 12 APRIL 2007

DECLARATION

I hereby declare that the thesis is based on my original work except for quotations and citations which have been duly acknowledged. I also declare that it has not been previously or concurrently submitted for any other degree at UPM or other institutions.

SEYED JAMALALDIN SEYD HAKIM

Date:

TABLE OF CONTENTS

	Page
DEDICATION	ii
ABSTRACT	iii
ABSTRAK	vi
ACKNOWLEDGEMENTS	ix
APPROVAL	x
DECLARATION	xii
LIST OF TABLES	xiv
LIST OF FIGURES	xviii

CHAPTER

1	INTRODUCTION			
	1.1	General	1.1	
		1.1.1	Why Artificial Neural Networks?	1.3
		1.1.2	Application of Artificial Neural Networks in Civil Engineering	1.4
		1.1.3	Application of Artificial Neural Networks in another course	1.6
	1.2	Statement of the Problem	1.12	
	1.3	Objectives of Present Study	1.14	
	1.4	Scope of the Work	1.14	
	1.5	Some Limitations of the Work	1.15	
		1.5.1	Limitations of Artificial Neural Networks	1.15
		1.5.2	Limitations for Predicting of Ultimate Bearing Capacity of Soil in this work	1.15
		1.5.3	Limitations for Predicting of Compressive Strength of Concrete in this work	1.16
	1.6	Organization of the Thesis	1.16	
2	LITERATURE REVIEW			
	2.1	General	2.1	
	2.2	History and Background of Artificial Neural Networks Research	2.2	
	2.3	General View of Artificial Neural Networks Applications to Civil Engineering problems	2.5	
	2.4	Review of Literature on Application Geotechnical Engineering	2.8	
	2.5	Review of Literature on Application Concrete Technology	2.26	
	2.6	Concluding Remarks and Justification of Selecting the Present Study	2.51	
3	METHODOLOGY			

3.1	Introduction	3.1
3.2	Backpropagation Formulation	3.4
3.3	Biological Model	3.15
3.4	Artificial Neuron	3.16
3.4.1	Input-Output Neurons	3.17
3.4.2	Hidden Neurons	3.20
3.4.3	Connectivity Weights and bias	3.20
3.4.4	Activation Function	3.21
3.5	Concept of Training in Neural Networks	3.23
3.5.1	Learning Style	3.25
3.5.2	Learning Methods	3.25
3.5.3	Learning Rules	3.27
3.6	Connections in Artificial Neural Network	3.29
3.7	Architecture of Artificial Neural Networks	3.31
3.7.1	Single Layer of Neurons	3.32
3.7.2	Multiple Layers of Neurons	3.32
3.8	Concluding Remarks	3.34
4	PREDICTION OF SOIL BEARING CAPACITY USING ARTIFICIAL NEURAL NETWORKS	
4.1	Introduction	4.1
4.2	Construction of Artificial Neural Network	4.3
4.3	Model Inputs and Output	4.5
4.4	Preparation of Information for Training, Testing and Validation of Artificial Neural Network	4.8
4.5	Normalization of Inputs and Output	4.9
4.6	Neural Network Training	4.10
4.6.1	The Initial Connectivity Weights of Neural Network Neurons and Biases	4.17
4.6.2	The Numbers of Hidden Layers	4.18
4.6.3	Numbers of Hidden Neurons in Hidden Layer	4.20
4.6.4	Selection of Activation Functions	4.25
4.6.5	Determination of Learning Rate	4.30
4.6.6	Determination of Momentum	4.36
4.7	Architecture of Final Network	4.41
4.8	Neural Network Testing	4.53
4.9	Neural Network Validation	4.56
4.10	Comparison of Training, Testing and Validation sets	4.58
4.11	Sensitivity Analysis and Discussion	4.59
4.12	Analysis and Discussion	4.60
4.13	Concluding Remarks	4.63
5	PREDICTION OF CONCRETE COMPRESSIVE STRENGTH USING ARTIFICIAL NEURAL NETWORKS	
5.1	Introduction	5.1
5.2	Selection of Inputs and Output	5.5
5.3	Construction of Neural Network Architecture	5.10

5.4	Training Phase	5.15
5.5	Testing Phase	5.30
5.6	Validation Phase	5.31
5.7	Comparison of Training, Testing and Validation	5.32
5.8	Sensitivity Analysis	5.33
5.9	Analysis and Discussion	5.35
5.10	Concluding Remarks	5.38
6	CONCLUSIONS AND RECOMMENDATION FOR FUTURE SCOPES	
6.1	General	6.1
6.2	Artificial Neural Network, Construction and Application in Predicting the Ultimate Bearing Capacity of Soil	6.2
6.2.1	Conclusions	6.2
6.2.2	Recommendations for Future Works	6.6
6.3	Predicting of Compressive Strength of Concrete using ANNs	6.7
6.3.1	Conclusions	6.7
6.3.2	Recommendation for Future Works	6.9
	REFERENCES	R.1
	APPENDICES	A.1
	BIODATA OF THE AUTHOR	D.1