



**UNIVERSITI PUTRA MALAYSIA**

***MODIFICATION OF INTERVAL SYMMETRIC SINGLE -STEP  
PROCEDURE FOR SIMULTANEOUS BOUNDING  
POLYNOMIAL ZEROS***

**NORAINI BINTI JAMALUDIN**

**FS 2014 33**



**MODIFICATION OF INTERVAL SYMMETRIC  
SINGLE -STEP PROCEDURE FOR SIMULTANEOUS BOUNDING  
POLYNOMIAL ZEROS**

By

**NORAINI BINTI JAMALUDIN**

**Thesis Submitted to the School of Graduate Studies, Universiti Putra  
Malaysia, in Fulfilment of the Requirements for the Degree of Master of  
Science**

**January 2014**

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DEDICATIONS

to

*Azizah bt Yahya*

*Jamasudin bin Idris*

*Mohd K hairul bin Jamasudin*

*Norazrin bt Jamasudin*

*Zarina bt Sahri*

*Naim Hakimi bin Mohd K hairul*

*Fathul Rahman bin Idrus*

and

*all my family members*

Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfilment of the requirement for the degree of Master of Science

**MODIFICATION OF INTERVAL SYMMETRIC SINGLE- STEP  
PROCEDURE FOR SIMULTANEOUS BOUNDING POLYNOMIAL  
ZEROS**

By

**NORAINI BINTI JAMALUDIN**

**January 2014**

**Chairman: Mansor bin Monsi, PhD**

**Faculty: Science**

The focus of this research is on the bounding of simple and real polynomial zeros simultaneously, focusing on the interval analysis approaches. This procedure started with some disjoint intervals  $X_i^{(0)}$  for  $i = 1, \dots, n$  each of which contains a zero of the polynomial and finally produced successively smaller closed bounded intervals, which always converge to the zeros  $x_i^*$  for  $i = 1, \dots, n$  respectively. In relation to that, the previous work on Interval Symmetric Single-step (ISS2) procedure is investigated to ensure this procedure is useful for solving polynomials. Thus, this procedure is extended to some modifications in order to improve the efficiency of the procedure.

Starting from the authentic ISS2 procedure, four modified procedures are developed. The procedures are Interval Symmetric Single-Step (ISS2-5D) procedure, Interval Zoro-Symmetric Single-Step (IZSS2-5D) procedure, Interval Midpoint Symmetric Single-Step (IMSS2-5D) procedure and Interval Midpoint Zoro-Symmetric Single-Step (IMZSS2-5D) procedure. The programming language Intlab toolbox for Matlab is used to record the numerical results, whereby the stopping criterion used is  $w_i^{(k)} \leq 10^{-10}$ . The results are numerically compared to the original ISS2 procedure to supervise the improvements and efficiencies of the modified procedures.

In order to assure that the outcomes of the procedures are promising, convergence rate for each modified procedures is analyzed for comparing purposes. Other than that, the analysis of inclusion to certify the convergence of the modified procedures is included. All the modifications

are proven to have better rate of convergences and these are well-supported on the reduction of CPU times, number of iterations and the value of the interval width of the procedures. In a nutshell, this study reveals that the new modified procedures are capable and efficient for bounding the simple and real polynomial zeros simultaneously.



Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia  
sebagai memenuhi keperluan untuk Ijazah Master Sains

**PENGUBAHSUAIAN PADA PROSEDUR SELANG LANGKAH-  
TUNGGAL DALAM MEMERANGKAP SUATU PENSIFAR  
POLINOMIAL SECARA SERENTAK**

Oleh

**NORAINI BINTI JAMALUDIN**

**Januari 2014**

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**Fakluti: Sains**

Fokus penyelidikan kami adalah menghadkan punca nyata dan ringkas polinomial secara serentak yang memfokuskan kepada pendekatan analisis selang. Prosedur ini bermula dengan beberapa selang permulaan yang tidak bercantum  $X_i^{(0)}$  bagi  $i = 1, \dots, n$  yang mana setiap satunya mengandungi punca polinomial dan akhirnya menghasilkan selang rapat yang lebih kecil secara berturutan yang mana sentiasa menumpu kepada punca-punca polinomial  $x_i^*$  bagi  $i = 1, \dots, n$ . Selanjutnya, hasil kerja terdahulu terhadap prosedur selang langkah-tunggal bersimetri ISS2 dikaji bagi memastikan prosedur ini dapat digunakan untuk menyelesaikan polinomial. Prosedur ini kemudiannya diperluaskan dengan beberapa pengubahsuaian bagi tujuan meningkatkan kecekapan prosedur.

Bermula dengan prosedur asal ISS2, kami menghasilkan empat prosedur-prosedur baru terubahsuai yang mana dibentangkan sebagai sumbangan utama kami dalam tesis ini. Prosedur ini adalah prosedur ISS2-5D, prosedur IZSS2-5D, prosedur IMSS2-5D and prosedur IMZSS2-5D. Keputusan-keputusan berangka direkod dengan menggunakan perisian Matlab dan dibantu oleh perisian Intlab dimana syarat berhenti program yang dikenakan adalah  $w_i^{(k)} \leq 10^{-10}$ . Keputusan-keputusan secara berangka dibandingkan dengan prosedur asal ISS2 untuk melihat peningkatan dan kecekapan prosedur-prosedur terubahsuai.

Bagi menyakinkan bahawa prosedur-prosedur ini berjaya, kami juga menganalisa kadar penumpuan bagi setiap prosedur terubahsuai untuk dibandingkan. Kami juga menyertakan analisa rangkuman bagi menjamin penumpuan prosedur tersebut. Kesemua prosedur-prosedur terubahsuai telah terbukti mempunyai kadar penumpuan yang lebih baik dan disokong

dengan pengurangan masa pemrosesan, bilangan lelaran dan nilai lebar lelaran bagi prosedur-prosedur. Pada kesimpulannya, kajian ini menunjukkan bahawa prosedur-prosedur baru terubahsuai berkebolehan dan cekap untuk menghadap punca nyata dan ringkas polinomial secara serentak.





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I certify that a Thesis Examination Committee has met on 16 January 2014 to conduct the final examination of Noraini binti Jamaludin on her thesis entitled "Modification of Interval Symmetric Single-Step Procedure for Simultaneous Bounding Polynomial Zeros" in accordance with the Universities and University Colleges Act 1971 and the Constitution of the Universiti Putra Malaysia [P.U.(A) 106] 15 March 1998. The Committee recommends that the student be awarded the Master of Science.

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## LIST OF ABBREVIATIONS

$R$	Real numbers
$I(R)$	Set of real interval numbers
$x^*$	Zeros
$x_i^{(k)}$	Point $x$ of component $i$ at iteration $k$
$X_i^{(k)}$	Interval $X$ of component $i$ at iteration $k$
$X_i^{(0)}$	Initial interval $X$ of component $i$
$x_{il}$	Infimum of interval $X$ of component
$x_{is}$	Supremum of interval $X$ of component $i$
$X \cap Y$	Intersection of interval $X \cap Y$
$X \subseteq Y$	Inclusion of intervals.
$w_i^{(k)}$	Width of interval of component $i$ at iteration $k$
midpoint( $X$ )	Midpoint of interval $X$
$p(x)$	Polynomial of $x$
$p'(x_i^{(k)})$	Interval gradients of the polynomials of $x_i^{(0)}$
$O_R(I, x^*)$	$R$ -order of procedure $I$ which converge to $x^*$
$R_p(w^{(k)})$	$R$ -factor of a null sequence $w^{(k)}$
$max$	Maximum
$min$	Minimum

# CHAPTER 1

## INTRODUCTION

### 1.1 Background

Interval analysis is a branch of applied mathematics. Referring to Neumaier (1990), interval analysis is considered to be an elegant tool for practical work with inequalities, approximate numbers, error bounds and more generally with certain convex and bounded sets. By using interval numbers we can define another number system where an interval number consists of a pair of real numbers representing the lower and upper bound of the parameter range.

In depth discussion of topics related to interval analysis could be found in books by Moore (1966), Alefeld and Herzberger (1983), Neumaier (1990), Hansen (1992), and more recently with Jaulin et al. (2001) and Hansen and Walster (2004). Interval analysis has the advantage of providing rigorous bounds for the exact solutions.

Recently, interval analysis has been widely applied in various kinds of problem such as finding the bounds on the value of a function, finding zeros of polynomial, solving equation or a system of equation, optimization, differential equation as well as integral equation.

It is of evidence that significant improvements are possible in interval analysis. With regards to contributing to the development of this field, research is conducted, coming up with this thesis entitled "*Modifications on the Interval Symmetric Single -Step Procedure for Simultaneous Bounding of Real Polynomial Zeros*".

### 1.2 Fundamental Definitions and Properties of Interval Analysis

Description on some of the definitions and properties on interval analysis to be used throughout this research are included in this section of this thesis. The following definitions and properties can be found in Alefeld and Herzberger (1983).

An interval number is defined as an ordered pair of real numbers

$$I = [a, b] \text{ or } ]a, b[ \text{ or } [a, b[ \text{ or } ]a, b]$$

An interval parameter is written with brackets where  $a$  is the left endpoint or the infimum of  $A$  or  $\inf A$  and  $b$  is the right endpoint or the supremum of  $A$  or  $\sup A$ .

In interval analysis the real number is denoted by  $x$  and member of  $I$  are denoted by lowercase letters  $a, b, c, d$ . A subset of  $I$  of the form  $[a, b]$  is called a bounded closed real interval. The set of all bounded closed real interval is denoted by  $\mathcal{I}$  and the member of  $\mathcal{I}$  by uppercase letter  $I, J, K$ . Real number  $x \in I$  may be considered special members  $\{x\}$  from  $\mathcal{I}$ , and they will generally be called point interval.

**Definition 1.2.1:**

Two intervals  $I = [a, b]$  and  $J = [c, d]$  are said to be equal that is  $I = J$  if they are in the sense of the theoretical set. From Definition 1.2.1 it follows that

$$I = J \iff a = c \text{ and } b = d$$

The relation “=” between two elements in  $\mathcal{I}$  is reflexive, symmetric, and transitive.

**Definition 1.2.2:**

Let  $\oplus$  be a binary operation on the set of real numbers  $\mathbb{R}$ . If  $I, J \in \mathcal{I}$ , we define

$$I \oplus J = [a \oplus c, b \oplus d]$$

a binary operation on the real interval  $\mathcal{I}$ .

From Definition 1.2.2 the operations on intervals  $I = [a, b]$  and  $J = [c, d]$  can be calculated explicitly as

$$\begin{aligned} I \oplus J &= [a \oplus c, b \oplus d] \\ I \otimes J &= [a \otimes c, b \otimes d] \\ I \ominus J &= [a \ominus c, b \ominus d] \\ I \oslash J &= [a \oslash c, b \oslash d] \end{aligned}$$

and if  $r \in \mathbb{R}$ , then

$$\lfloor r \rfloor = \lfloor \frac{5r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor$$

$$\lfloor r \rfloor = \lfloor \frac{5r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor$$

otherwise,  $\lfloor r \rfloor$  undefined if  $r \in \mathbb{R}$ .

The following definitions and propositions can be found in Monsi (1988).

**Definition 1.2.3:**

The set  $\lfloor r \rfloor$  is a degenerate interval (or the set of point interval) if and only if  $\lfloor r \rfloor \in \mathbb{R}$ . The set  $\lfloor r \rfloor$  and the set  $\mathbb{R}$  of real numbers are isomorphic. This permits a meaning to be given to  $\lfloor r \rfloor$ .

**Definition 1.2.4:**

If  $r \in \mathbb{R}$  and  $\lfloor r \rfloor \in \mathbb{R}$  then

$$\lfloor r \rfloor = \lfloor \frac{5r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor$$

$$\lfloor r \rfloor = \lfloor \frac{5r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor$$

and if  $r \in \mathbb{R}$ , then

$$\lfloor r \rfloor = \lfloor \frac{5r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor$$

$$\lfloor r \rfloor = \lfloor \frac{5r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor$$

**Proposition 1.2.1:**

Interval arithmetic is *inclusion monotonic* that is to say, if  $\lfloor r \rfloor \subseteq \lfloor s \rfloor$  then for all  $\lfloor t \rfloor \in \mathbb{R}$ ,

$$\lfloor r \rfloor + \lfloor t \rfloor \subseteq \lfloor s \rfloor + \lfloor t \rfloor$$

**Proof:** By Definition 1.2.2

$$\lfloor r \rfloor + \lfloor t \rfloor = \lfloor \frac{5r}{6} \rfloor + \lfloor \frac{r}{6} \rfloor + \lfloor t \rfloor$$

$$\lfloor s \rfloor + \lfloor t \rfloor = \lfloor \frac{5s}{6} \rfloor + \lfloor \frac{s}{6} \rfloor + \lfloor t \rfloor$$

$$\lfloor r \rfloor + \lfloor t \rfloor \subseteq \lfloor s \rfloor + \lfloor t \rfloor$$



**Definition 1.2.5:**

Let  $I_1 = [a, b]$  and  $I_2 = [c, d]$  be given. Then the intersection  $I_1 \cap I_2$  of  $I_1$  and  $I_2$  is defined

$$I_1 \cap I_2 = [ \max\{a, c\}, \min\{b, d\} ]$$

The intersection between the two intervals will yield an interval with rigorously narrow width which guaranteed to contain at least a zero.

**Proposition 1.2.2:**

If  $f$  is continuous on  $[a, b]$  and

$f(a) > 0$  and  $f(b) < 0$  or

$f(a) < 0$  and  $f(b) > 0$ , then there exists  $c \in (a, b)$  such that  $f(c) = 0$ .

**Proof of (1):**

By Definition 1.2.5

$$I_1 = [a, b] \text{ and } I_2 = [c, d] \text{ so } I_1 \cap I_2 = [ \max\{a, c\}, \min\{b, d\} ]$$

$$I_1 \cap I_2 = [ \max\{a, c\}, \min\{b, d\} ]$$

$$I_1 \cap I_2 = [ \max\{a, c\}, \min\{b, d\} ]$$

**Proof of (2):**

By definition 1.2.5

$$I_1 = [a, b] \text{ and } I_2 = [c, d] \text{ so } I_1 \cap I_2 = [ \max\{a, c\}, \min\{b, d\} ]$$

So,

$$I_1 \cap I_2 = [ \max\{a, c\}, \min\{b, d\} ]$$

that is

$$I_1 \cap I_2 = [ \max\{a, c\}, \min\{b, d\} ]$$

and

$$I_1 \cap I_2 = [ \max\{a, c\}, \min\{b, d\} ]$$

thus

$$I_1 \cap I_2 = [ \max\{a, c\}, \min\{b, d\} ]$$

**Proof of (3):**

By (1)  $I_1 \cap I_2 = [ \max\{a, c\}, \min\{b, d\} ]$  so

$$I_1 \cap I_2 = [ \max\{a, c\}, \min\{b, d\} ]$$

Conversely, if  $\mathbb{C} \subseteq \mathbb{R}$  then by Definition 1.2.5

$$\begin{aligned} (a+b)+c &= a+(b+c) \\ a+(b+c) &= (a+b)+c \\ a+b &= a+b \end{aligned}$$

Therefore

$$(a+b)+c = a+(b+c)$$

Interchanging  $a$  and  $b$  and using  $(*)$ , it follows that

$$(b+a)+c = b+(a+c)$$

**Proposition 1.2.3:**

If  $a, b$  and  $c$  are members of the real interval  $(-\infty, \infty)$ . Then it follows that

- $(a+b)+c = a+(b+c)$  (associativity of addition);
- $(ab)c = a(bc)$  (associativity of multiplication);
- $a+b = b+a$  (commutativity of addition);
- $ab = ba$  (commutativity of multiplication).

The proof of  $(*)$  follows from Definition 1.2.2.

**Proposition 1.2.4:**

If  $\mathbb{R} \subseteq \mathbb{R}$  and  $\mathbb{S} \subseteq \mathbb{R}$ , then  $0$  and  $1$  are the unique elements with respect to addition and multiplication, that is

$$\begin{aligned} a+0 &= a \text{ for all } a \in \mathbb{R} \\ a \cdot 1 &= a \text{ for all } a \in \mathbb{R} \end{aligned}$$

**Proof of  $(*)$ :**

$$a+0 = a \quad a \cdot 1 = a \quad a+5 = a+6 \quad a \cdot 6 = a \cdot 5$$

Conversely, suppose that

$$a+k = a \quad a \cdot b = a$$

Then, setting  $a = 1$ ,

$$1+k = 1$$

that is  $1+k = 1$ . So

**Proof of (1.2.4):**

Suppose that  $a \in [L, r]$ . Then  $k \cdot a \in [kL, kr]$

$$\begin{aligned} & \Rightarrow [L, r] \subseteq [kL, kr] \\ & \Rightarrow [L, r] \subseteq [kL, kr] \\ & \Rightarrow [L, r] \subseteq [kL, kr] \end{aligned}$$

So,

$$k \cdot [L, r] \subseteq [kL, kr]$$

Conversely, suppose that

$$[L, r] \subseteq [kL, kr]$$

Then, in particular  $L \in [kL, kr]$  holds with  $L \in [kL, kr]$  whence  $L \in [kL, kr]$ . So

$$[L, r] \subseteq [kL, kr] \Rightarrow [L, r] \subseteq [kL, kr]$$

**Proposition 1.2.5:**

$$k \cdot [L, r] \subseteq [kL, kr] \Leftrightarrow [L, r] \subseteq [kL, kr] \text{ for } k \in \mathbb{N} \text{ and } L, r \in \mathbb{R}$$

**Proof:**

$$\begin{aligned} k \cdot [L, r] &= [kL, kr] \Leftrightarrow [L, r] \subseteq [kL, kr] \\ &\Leftrightarrow [L, r] \subseteq [kL, kr] \\ &\Leftrightarrow [L, r] \subseteq [kL, kr] \\ &\Leftrightarrow [L, r] \subseteq [kL, kr] \end{aligned}$$

**Proposition 1.2.6:**

Interval arithmetic is subdistributive; that is  $k \cdot [L, r] \subseteq [kL, kr]$

$$[L, r] \subseteq [kL, kr] \Rightarrow [L, r] \subseteq [kL, kr]$$

**Proof:**

$$\begin{aligned} [L, r] &\subseteq [kL, kr] \Leftrightarrow [L, r] \subseteq [kL, kr] \\ &\Leftrightarrow [L, r] \subseteq [kL, kr] \\ &\Leftrightarrow [L, r] \subseteq [kL, kr] \\ &\Leftrightarrow [L, r] \subseteq [kL, kr] \end{aligned}$$

**Proposition 1.2.7:**

Let  $\mathcal{G} = (G, \mathcal{C})$  where  $\mathcal{C} = \{C_1, \dots, C_n\}$  and  $\mathcal{D} = \{D_1, \dots, D_m\}$  are given. Then

$$\begin{aligned} & \mathcal{C} \cap \mathcal{D} = \{C_i \cap D_j \mid C_i \in \mathcal{C}, D_j \in \mathcal{D}\} \\ & \mathcal{C} \cup \mathcal{D} = \{C_i \cup D_j \mid C_i \in \mathcal{C}, D_j \in \mathcal{D}\} \\ & \mathcal{C} \setminus \mathcal{D} = \{C_i \in \mathcal{C} \mid C_i \cap D_j = \emptyset \text{ for all } D_j \in \mathcal{D}\} \end{aligned}$$

**Proof of (i)**

$$\begin{aligned} \mathcal{C} \cap \mathcal{D} &= \{C_i \cap D_j \mid C_i \in \mathcal{C}, D_j \in \mathcal{D}\} \\ &= \{C_i \cap D_j \mid C_i \in \mathcal{C}, D_j \in \mathcal{D}\} \\ &= \mathcal{C} \cap \mathcal{D} \end{aligned}$$

Also,

$$\begin{aligned} \mathcal{C} \cup \mathcal{D} &= \{C_i \cup D_j \mid C_i \in \mathcal{C}, D_j \in \mathcal{D}\} \\ &= \{C_i \cup D_j \mid C_i \in \mathcal{C}, D_j \in \mathcal{D}\} \\ &= \mathcal{C} \cup \mathcal{D} \end{aligned}$$

**Proof of (ii)**

If  $\mathcal{C}$  is defined then  $\mathcal{C} \setminus \mathcal{D} = \{C_i \in \mathcal{C} \mid C_i \cap D_j = \emptyset \text{ for all } D_j \in \mathcal{D}\}$ . So, either  $C_i \cap D_j = \emptyset$  or  $C_i \cap D_j \neq \emptyset$ . If  $C_i \cap D_j \neq \emptyset$  then

$$\begin{aligned} & C_i \cap D_j \neq \emptyset \implies C_i \cap D_j \in \mathcal{C} \cap \mathcal{D} \\ & C_i \cap D_j \neq \emptyset \implies C_i \cap D_j \in \mathcal{C} \cap \mathcal{D} \\ & C_i \cap D_j \neq \emptyset \implies C_i \cap D_j \in \mathcal{C} \cap \mathcal{D} \end{aligned}$$

So,

(i)  $\mathcal{C} \cap \mathcal{D} = \{C_i \cap D_j \mid C_i \in \mathcal{C}, D_j \in \mathcal{D}\}$

(ii)  $\mathcal{C} \cup \mathcal{D} = \{C_i \cup D_j \mid C_i \in \mathcal{C}, D_j \in \mathcal{D}\}$

(iii)  $\mathcal{C} \setminus \mathcal{D} = \{C_i \in \mathcal{C} \mid C_i \cap D_j = \emptyset \text{ for all } D_j \in \mathcal{D}\}$

The case  $\mathcal{D} \setminus \mathcal{C}$  is similar. So  $\mathcal{C} \setminus \mathcal{D} = \{C_i \in \mathcal{C} \mid C_i \cap D_j = \emptyset \text{ for all } D_j \in \mathcal{D}\}$

**Example 1.2.1:**

Let  $I = [a, b]$  where  $a < b$

If  $I = [c, d]$  where  $c < d$ . Then

$$I \cap J = [a, b] \cap [c, d]$$

$$I \cup J = [a, b] \cup [c, d]$$

If  $I = [a, b]$  where  $a < b < c < d$ . Then

$$I \cap J = \emptyset$$

$$I \cup J = [a, b] \cup [c, d]$$

$$I \cap J = \emptyset$$

If  $I = [a, b]$  where  $a < b < c < d$ . Then

$$I \cap J = \emptyset$$

$$I \cup J = [a, b] \cup [c, d]$$

$$I \cap J = \emptyset$$

**Definition 1.2.6:**

The distance between two intervals  $I = [a, b]$  and  $J = [c, d]$  is defined as

$$d(I, J) = \begin{cases} \min\{a, c\} - \max\{a, c\} & \text{if } a < c \\ \min\{b, d\} - \max\{b, d\} & \text{if } b < d \\ 0 & \text{if } I \cap J \neq \emptyset \end{cases}$$

The following properties is hold

$d(I, J) \geq 0$  and  $d(I, J) = d(J, I)$ ,

$d(I, K) \leq d(I, J) + d(J, K)$  (triangle inequality).

**Definition 1.2.7:**

The absolute value of an interval  $I = [a, b]$  is defined as

$$|I| = \max\{a, b\} - \min\{a, b\}$$

can also be written

$$|I| = b - a$$

Clearly, if  $I = [a, b]$  then

$$|I| = b - a \quad \text{if } a < b$$

**Definition 1.2.8:**

The width  $S_k$  of an interval  $I_k = [a_k, b_k]$  where  $I_k \subseteq \mathbb{R}$  is defined by

$$S_k = b_k - a_k \quad I_k \subseteq \mathbb{R}$$

**Definition 1.2.9:**

The midpoint  $m_k$  of  $I_k$  is defined by

$$m_k = \frac{a_k + b_k}{2} \quad I_k \subseteq \mathbb{R}$$

**Theorem 1.2.1:**

Every sequence of intervals  $I_k$  for which

$$I_k \supseteq I_{k+1} \supseteq I_{k+2} \supseteq \dots$$

is valid converges to the interval  $I = \bigcap_{k=1}^{\infty} I_k$

**1.3 Interval Evaluation of Real Function**

In this section, we assume  $B$  is a continuous real function. An expression  $B(T)$  belonging to  $B$  is a calculating procedure that will determine a value of the function  $B$  for every argument  $T$ . If an expression belonging to  $B$  also contains constants  $c_1, c_2, \dots, c_n$  then this can be clarified by writing  $B_k(T, c_1, c_2, \dots, c_n)$ . Assume that each constant  $c_i \in \mathbb{R}$ , occurs only once in an expressions. Otherwise, introduce new constant that made equivalent for the multiple occurrences of these new constants, one may transform the expression into the required form.

The following expression referred to Alefeld and Herzberger (1983)

$$B_k(T, c_1, c_2, \dots, c_n)$$

$$I_k = [B_k(a_k, c_1, c_2, \dots, c_n), B_k(b_k, c_1, c_2, \dots, c_n)]$$

$$I_k \supseteq I_{k+1} \supseteq I_{k+2} \supseteq \dots$$

denote the interval of all values of the function  $B$  when  $T \in I_k$  and  $c_1, c_2, \dots, c_n \in \mathbb{R}$  are considered independent of each other. This definition is independent of the expression for  $B$ .

Let an expression be given for the function  $B$ . In this expression all operands are replaced by intervals and all operations by interval operations resulting in the expression  $B_k$ . Then, this is called *interval evaluation* or *interval arithmetic evaluation* if all operands within the domain of definition of  $B$ . The constants as well as the variable  $T$  are replaced by intervals.

The following example illustrates to well-defined interval evaluation when all operands by interval operations leads to interval expression.

**Example 1.3.1:**

Let  $B(T) = \frac{1}{6} T^6 - T$  and  $T \in [5, 7]$  then we get

$$B_1(T) = \frac{1}{6} T^6 - T \quad B_2(T) = \frac{1}{6} T^6 - T \quad B_3(T) = \frac{1}{6} T^6 - T$$

The example for equivalent expressions in multiple occurrences of  $T$ :

Expression 1 (Triple occurrences of  $T$ ):

$$B_5(T) = \frac{1}{6} T^6 - T \quad B_6(T) = \frac{1}{6} T^6 - T \quad B_7(T) = \frac{1}{6} T^6 - T$$

Expression 2 (Double occurrences of  $T$ ):

$$B_8(T) = \frac{1}{6} T^6 - T \quad B_9(T) = \frac{1}{6} T^6 - T \quad B_{10}(T) = \frac{1}{6} T^6 - T$$

Expression 3 (Single occurrences of  $T$ ):

$$B_{11}(T) = \frac{1}{6} T^6 - T \quad B_{12}(T) = \frac{1}{6} T^6 - T \quad B_{13}(T) = \frac{1}{6} T^6 - T$$

where  $B_5 \supset B_6 \supset B_7 \supset B_8 \supset B_9 \supset B_{10} \supset B_{11} \supset B_{12} \supset B_{13}$ .

Clearly the interval evaluation of a function  $B$  is dependent on the choice of expression for  $B$ . This theorem is proven in Alefeld and Herzberger (1983).

**1.4 The Concept of the  $R$ -order of Convergence**

The  $R$ -order of convergence of an iterative procedure is used in this thesis as a measure of the asymptotic convergence rate of the procedure. The concept of  $R$ -order of convergence is discussed in detail in Ortega and Rheinboldt (1970), Alefeld and Herzberger (1983) and Monsi and Wolfe (1988).

The proof of following theorem is in Ortega and Rheinboldt (1970).

### Theorem 1.4.1

Let  $I$  be an iteration procedure with the limit  $T$ , and let  $\{x_n\}$  be the set of all sequences generated by  $I$  having the properties that  $x_n \neq T$  and  $\|x_n - T\| < R$ . If there exist a  $\delta > 0$  and a constant  $k$  such that for all  $n \in \mathbb{N}$  and for a norm  $\|\cdot\|$  it holds that

$$\|x_{n+1} - T\| \leq k \|x_n - T\| + \delta$$

then follow that  $R$ -order of  $I$  satisfies the inequality  $\frac{1}{R} \leq \frac{1}{R}$ . The  $R$ -order of convergence of procedure  $I$  which converges to  $T$  is denoted by  $\frac{1}{R}$ .

### Definition 1.4.1

If there exists a  $\delta > 0$  such that for any null sequence  $\{s_n\}$  generated from  $I$ , then the

$R$ -factor of the sequence is define to be,

$$\limsup_{n \rightarrow \infty} \frac{\|s_{n+1}\|}{\|s_n\|} = \frac{1}{R}$$

where  $\frac{1}{R}$  is independent of the norm  $\|\cdot\|$ . Suppose that  $\lim_{n \rightarrow \infty} \|s_n\| = 0$  then it follow from Ortega and Rheinboldt (1970) that the  $R$ -order of  $I$  satisfied the inequality  $\frac{1}{R} \leq \frac{1}{R}$ . The  $R$ -factor of a null sequence  $\{s_n\}$  generated from the procedure  $I$  is denoted by  $\frac{1}{R}$ .

### Definition 1.4.2

Let  $I$  be an iteration procedure converging to  $T$ . Then, we may now define the  $R$ -order of the procedure  $I$  in term of  $R$ -factor as

$$\frac{1}{R} = \limsup_{n \rightarrow \infty} \frac{\|x_{n+1} - T\|}{\|x_n - T\|} = \frac{1}{R}$$

Suppose that  $\lim_{n \rightarrow \infty} \|x_n - T\| = 0$  then it follow from Ortega and Rheinboldt (1970) that the  $R$ -order of convergence of procedure  $I$  which convergence to  $T$  satisfies the inequality  $\frac{1}{R} \leq \frac{1}{R}$ . We used this result in order to calculate the rate of convergence of all the modified procedures in the subsequent chapters.



## 1.5 Research Objectives

The main objective of the study is to find the real and simple zeros of the polynomial simultaneously by using the interval analysis approach.

In particular, the objectives of this thesis are:

1. to modify the Interval Symmetric Single-step (ISS2) procedure introduced by Salim et al. (2011) and developed with four modified procedures namely; Interval Symmetric Single-Step (ISS2-5D) procedure, Interval Zoro-Symmetric Single-Step (IZSS2-5D) procedure, Interval Midpoint Symmetric Single-Step (IMSS2-5D) procedure and Interval Midpoint Zoro-Symmetric Single-Step (IMZSS2-5D) procedure on bounding simple and real polynomial zeros simultaneously.
2. to developed numerical algorithm to solve the problems in objective 1 using a program Matlab associate with Intlab toolbox.
3. to compare the efficiencies for both original and modified procedures in terms of CPU times, number of iterations and the value of the intervals width of the procedures by collecting numerical data.
4. to analyze the  $R$ -order of convergence for each modified procedures for comparisons.

## 1.6 Scope of the Problem

The scope of this study is on polynomial. In order to narrow down the scope of the problem, this thesis focuses on finding the inclusion of real and simple polynomial zeros simultaneously.

Furthermore, one must apply some numerical methods to find the zeros of polynomials of higher degree (Petkovic, 1989), thus numerical (iterative) interval analysis approach is applied throughout this thesis. According to (McNamee et al., 2007) iterative procedure is the process of reiterating the procedure in obtaining an outcome considered to be closed enough to the required number.

A polynomial is an expression of the form

$$L(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$$

where  $a_i \in \mathbb{R}$ . If the highest power of  $x$  is  $x^n$ , the polynomial is said to have degree  $n$ . It was proved by Gauss in the early 19<sup>th</sup> century that every

polynomial has at least one zero. It follows that a polynomial of degree  $n$  has  $n$  zeros and they are not necessarily distinct. Often we used  $\mathbb{R}$  for a real variable, and  $\mathbb{C}$  for a complex. A zero of a polynomial is equivalent to a "root" such that a value  $\alpha$  which makes  $P(\alpha)$  equal to zero of the equation  $P(x) = 0$  (McNamee et al., 2007).

Let consider Newton's method which is start with a single initial guess  $x_0$ , preferably fairly close to a true root  $\alpha$  and apply the iteration:

$$x_{n+1} = x_n - \frac{P(x_n)}{P'(x_n)}$$

and stop when

$$\frac{|P(x_n)|}{|P'(x_n)|} \leq \epsilon$$

or  $|x_n - x_{n-1}| \leq \epsilon$ .

Next, let consider simultaneous methods, such as

$$x_i^{(k+1)} = x_i^{(k)} - \frac{p(x_i^{(k)})}{\prod_{\substack{j=1, \\ j \neq i}}^n (x_i^{(k)} - x_j^{(k)})} \quad (i = 1, \dots, n),$$

starting with initial guesses  $x_i^{(0)}$ , where  $x_i^{(k)}$  is the  $k$ -th approximation to the  $i$ -th zero  $\alpha_i$  (McNamee et al., 2007).

The interval iterative procedure is in need of some pre-conditions for initial interval  $I_i$  to be converged to the zeros  $\alpha_i$  respectively, starting with some disjoint intervals  $I_1, I_2, \dots, I_n$  each of which contains a polynomial zero. It will produce a set of intervals of smallest possible width such that each interval includes one or more zeros of  $P(x)$  from a given interval  $I_i$ . In the other words, the interval sequence generated by the procedures are always converging to the zeros, which is

$$I_i^{(k)} \supseteq I_i^{(k+1)} \supseteq I_i^{(k+2)} \supseteq \dots \supseteq I_i^{(k+m)}$$

or the sequence comes to the rest at  $\alpha_i$  after a finite of steps (Rusli et al., 2011).

In the matter of finding zeros of polynomial it is necessary to have reliable bounds on the errors in the estimated solutions (McNamee et al., 2007). The interval iterative procedures which are used in this research are the very significant steps in meeting the needs of these criteria.

Thus, in this research, it is suppose that  $P$  has  $n$  real zeros  $\alpha_1, \alpha_2, \dots, \alpha_n$  and they are distinct. It is then assumed henceforth that  $\alpha_i \neq \alpha_j$  in the sequel (1.6.1). The including intervals  $[\alpha_i, \beta_i], [\alpha_i, \gamma_i], [\alpha_i, \delta_i]$  are the initial intervals and are pairwise disjoint, that is

$$[\alpha_i, \beta_i] \cap [\alpha_j, \beta_j] = \emptyset, \quad [\alpha_i, \gamma_i] \cap [\alpha_j, \gamma_j] = \emptyset, \quad [\alpha_i, \delta_i] \cap [\alpha_j, \delta_j] = \emptyset$$

The polynomial  $P(x)$  will be written as

$$P(x) = (x - \alpha_1)(x - \alpha_2) \dots (x - \alpha_n)$$

or equivalent

$$P(x) = (x - \alpha_1)(x - \alpha_2) \dots (x - \alpha_n)$$

thus let  $\alpha_i, \beta_i, \gamma_i, \delta_i$ . These principal and necessary tools are to be applied in the algorithm, which will be discussed in detail in chapters 3, 4, 5, 6 and 7.

## 1.7 Thesis Outline

The thesis comprises of the following:

In chapter 1 the background of interval analysis is introduced. Brief yet concise descriptions on some of the definitions and properties of interval analysis will be provided. In addition, the  $R$ -order of convergence concept is included in this section as well.

Chapter 2 includes brief discussions on the previous work on simultaneous procedure as well as the point and interval iterative procedure of finding the zero of polynomials.

Chapter 3 comprehends the description on the original Interval Symmetric Single-step (ISS2) procedure by Salim et al. (2011). The  $R$ -order of convergence of ISS2 procedure is analyzed, thus utilizing its full potential as a stepping stone for the new modified procedures generated in the next four chapters.

Chapter 4, 5, 6 and 7 consist of detail discussions on all four modified procedures, elaborating how the new procedures are generated, as well as elements involved. These modified procedures are called Interval Symmetric Single-step (ISS2-5D) procedure, Interval Zoro-Symmetric Single-step (IZSS2-5D) procedure, Interval Midpoint Symmetric Single-step (IMSS2-5D) procedure and lastly Interval Midpoint Zoro-Symmetric Single-step (IMZSS2-5D) procedure. The mentioned chapters include the algorithms, theoretical analysis of  $R$ -order of convergence, with numerical results for

each modification in their respective chapters to support findings. The efficiencies for both the modified procedures and the original procedure are compared in terms of CPU time, number of iterations and the value of the width of the intervals. Serving the purpose of providing readers with clearer view of the overall outcome, the numerical results for each test polynomials will be displayed in the forms of tables and bar charts in each chapter.

Finally, Chapter 8 summarizes the conclusion of the research. Future works, which are made to relate to the research findings will also be recommended towards the end of the said section.



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