

UNIVERSITI PUTRA MALAYSIA

THE EFFECT OF SCAFFOLDING TRAINING ON LITERARY TEXT COMPREHENSION AMONG ADULT ESL LEARNERS

PREMALATHA NAIR

FBMK 2008 9



THE EFFECT OF SCAFFOLDING TRAINING ON LITERARY TEXT COMPREHENSION AMONG ADULT ESL LEARNERS

PREMALATHA NAIR

DOCTOR OF PHILOSOPHY UNIVERSITI PUTRA MALAYSIA



THE EFFECT OF SCAFFOLDING TRAINING ON LITERARY TEXT COMPREHENSION AMONG ADULT ESL LEARNERS

By

PREMALATHA NAIR

Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia, In Fulfilment of the Requirement for the Degree of Doctor of Philosophy





Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfilment of the requirement for the degree of Doctor of Philosophy

THE EFFECT OF SCAFFOLDING TRAINING ON LITERARY TEXT COMPREHENSION AMONG ADULT ESL LEARNERS

By

PREMALATHA NAIR

March 2008

Chairman: Shameem Rafik-Galea, PhD

Faculty: Modern Languages and Communication

This study examined the ways ESL teacher trainees from one teacher training

institute in Malaysia use scaffolding as a form of learning strategy to

comprehend literary texts. The study investigated the types and

characteristics of scaffolding and the effectiveness of scaffolding strategy

training (SST) as used by teacher trainees of three different levels of

proficiency.

The study utilized a quasi-experimental design and employed a mixed

method of collecting and analyzing data that ran concurrently. In the first

phase, 96 subjects were selected based on the proficiency test administered

and divided accordingly into the experimental and control groups for the

quasi-experimental design. Each group consisted of subjects from three levels

of proficiency: high proficiency (HP), low proficiency (LP) and mixed

proficiency (MP). In this study, the researcher looked into the

possibility of using SST to improve comprehension of literary texts among dyads from the experimental group. A pre- and posttests of comprehension questions based on two short stories were carried out. SST was used as a treatment for the experimental group. Subjects from the control group were exposed to the same short stories through the normal lecture conducted by the lecturer from the teacher training institute. In the second phase, subjects' interactions (in dyads) from the experimental group were recorded twice: before and after SST. Interviews and diary studies were analyzed to identify the types and characteristics of scaffolding utilized in the meaning making process. SST was conducted for a duration of 2 months involving eight sessions on the experimental group. The training sessions mainly focused on the use of five different types of scaffolding; modeling, feedback, cognitive structuring, questioning and asking for participation.

The results of the quasi-experimental study revealed that mixed proficiency subjects from the experimental group out performed their peers from other levels of proficiency. One of the reasons identified from the qualitative study is the choice on the types of scaffolding utilized by the peers. High proficiency participants from the mixed proficiency dyads are seen to use analogy as a form of scaffolding to assist their partners understanding. The scaffolding strategy training was observed to have little impact on subjects from the low and high proficiency levels. The qualitative data analysis



identified negative scaffolding among the low proficiency subjects which could contribute to their performance. High proficiency subjects on the other hand, preferred to study alone rather than having discussion with their peers. This preference could lead to over confidence among the high proficiency subjects that led to their low performance in the posttest. These findings suggest the need for educators to be aware of the strategies teacher trainees employ and the possibility of peer scaffolding to improve their comprehension.



Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk ijazah Doktor Falsafah

KEBERKESANAN LATIHAN 'SCAFFOLDING' SEBAGAI SATU STRATEGI DALAM PEMBELAJARAN TEKS SASTERA INGGERIS DIKALANGAN GURU PELATIH ESL

Oleh

PREMALATHA NAIR

Mac 2008

Pengerusi: Shameem Rafik-Galea, PhD

Fakulti

: Bahasa Moden dan Komunikasi

Kajian ini dilaksanakan untuk melihat bagaimana guru pelatih Bahasa

Inggeris dari sebuah institut perguruan di Malaysia menggunakan

'scaffolding' sebagai satu kaedah strategi untuk pemahaman teks

kesusasteraan Bahasa Inggeris. Kajian ini mengkaji jenis-jenis dan karaktur

'scaffolding' yang digunakan oleh subjek yang terdiri dari tiga kumpulan

mengikut aras kefasihan bahasa Inggeris.

Kajian ini merupakan satu eksperimen berbentuk kuasi dan menggunakan

metodologi kuantitatif dan kualitatif untuk mengumpul and menganalisa

data. Di dalam fasa 1, 96 subjek dipilih untuk mewakili kumpulan-kumpulan

kawalan dan eksperimen. Setiap kumpulan terdiri dari tiga kategori subjek

mengikut tahap kefasihan: aras tinggi, rendah dan gabungan aras kefasihan

tinggi dan rendah. Ujian pra dan pasca dijalankan untuk melihat

keberkesanan latihan strategi 'scaffolding' dalam pemahaman cerpen sastera Inggeris dikalangan subjek dari kumpulan eksperimen. Latihan strategi 'scaffolding' dijalankan selama dua bulan melibatkan lapan sesi pengajaran. Semasa latihan 'scaffolding', subjek dari kumpulan eksperimen didedahkan kepada lima jenis 'scaffolding' dan cara penggunaan setiap jenis 'scaffolding' untuk diaplikasikan dalam pemahaman teks kesusasteraan bahasa Inggeris. Di dalam fasa 2, perbincangan subjek dalam kumpulan (satu kumpulan mewakili dua subjek) mengenai cereka pendek dirakam untuk analisa. Temubual dan penulisan dairi juga digunakan untuk mengenalpasi bentuk dan ciri-ciri 'scaffolding' yang digunakan oleh subjek. Subjek dari kumpulan kawalan pula mengikuti kuliah sedia ada yang dijalankan oleh pensyarah institut perguruan berkenaan.

Hasil kajian kuantitatif menunjukkan bahawa gabungan subjek dari aras kombinasi kefasihan didapati memperolehi markah yang lebih tinggi berbanding dengan subjek dari aras kefasihan rendah dan tinggi dalam kumpulan eksperimen dan kawalan. Subjek dari aras kefasihan rendah dan tinggi tidak menunjukkan kemajuan dalam ujian pemahaman sastera Inggeris. Hasil kajian kualitatif pula menunjukkan bahawa subjek dari tiga aras menggunakan bentuk 'scaffolding' yang hampir serupa. Namun, ciri-ciri 'scaffolding' didapati berlainan mengikut aras kefasihan subjek. Sebagai contoh, gabungan subjek dari aras kombinasi kefasihan didapati



menggunakan teknik 'scaffolding' berbentuk analogi sebagai strategi untuk memahami cerpen. Hasil kajian ini mencadangkan bahawa tenaga pengajar harus peka terhadap bentuk strategi yang digunakan oleh pelatih guru dalam pemahaman cerpen sastera Inggeris. 'Scaffolding' juga dilihat sebagai satu strategi yang membolehkan pelajar menguasai pemahaman mereka dalam bidang sastera Bahasa Inggeris.



ACKNOWLEDGEMENTS

It would be fair to say that no words can truly express the way I feel at this moment. At this juncture I must rely on the ubiquitous saying – 'behind every man's success there is a woman'. I will therefore take this universal belief and adapt it to my situation. I feel truly indebted to the four wonderful persons who have been supporting me throughout this journey of discovery. I wish to take this opportunity to express my heartfelt gratitude to each one of them.

My sincere appreciation goes to my chief supervisor Asso. Prof. Dr. Shameem Rafik-Galea. I consider myself to be among the lucky ones to have her as my supervisor. Dr. Shameen's endless devotion and dedication is an unforgettable as well as indelible part of this research endeavor. I remain truly touched by the constant communicate initiated by Dr. Shameen to monitor my progress. She has not only taught me how to complete my thesis but went beyond that to equip me to be an efficient as well as effective researcher. This is the most precious gift that Dr. Shameen has given me. And I will carry those skills with me for the rest of my life.

My gratitude also goes to Asso. Prof. Dr. Wong Bee Eng. I thank Dr. Wong for the time invested to supervise my work, and this amidst her busy schedule. In particular, Dr. Wong has taught me that there is no easier way to achieve



success but through hard work. I also thank her very much for leading me down the path that was not taken by many.

To Asso. Prof. Dr. Edwin Malachi Vethamani, whom I admire for his knowledge and intellectual in the literature field, I thank him as well. Words always failed me whenever I had the opportunity to meet him. It's an honour to have such an astute supervisor.

I would like to thank Asso. Prof. Dr. Bahaman Abu Samah for opening the world of statistics to my mind. Dr. Bahaman's ceaseless guidance has given me the confidence to venture into the world I feared the most.

I would also like to thank my parents for the support that they have given me through-out the years. Finally, to my husband, I thank him for being such an understanding person.



I certify that an Examination Committee met on 24th of March, 2008 to conduct the final examination of Premalatha d/o K. Bhaskaran Nair on her Doctor of Philosophy thesis entitle 'The Effect of Scaffolding Training on Literary Text Comprehension among Adult ESL Learners' in accordance with Universiti Pertanian Malaysia (Higher Degree) Act 1980 and Universiti Pertanian Malaysia (Higher Degree) Regulations 1981. The Committee recommends that the candidate be awarded the degree of Doctor of Philosophy.

Members of the Examination Committee were as follows:

Washima Che Dan, PhD.

Faculty of Modern Languages and Communication Universiti Putra Malaysia (Chairperson)

Hj. Rosli Talif, PhD.

Associate Professor Faculty of Modern Languages and Communication Universiti Putra Malaysia (Internal Examiner)

Chan Swee Heng, PhD.

Professor Faculty of Modern Languages and Communication Universiti Putra Malaysia (Internal Examiner)

Allan Maley, PhD.

Professor 13, Water Meadows, Fordwich Kent CT2 OBF United Kingdom (External Examiner)

HASANAH MOHD. GHAZALI, PhD

Professor and Deputy Dean School of Graduate Studies Universiti Putra Malaysia

Date:



The thesis was submitted to the Senate of Universiti Putra Malaysia and has been accepted as fulfilment of the requirement for the degree of Doctor of Philosophy. The members of the Supervisory Committee were as follows:

Shameem Rafik-Galea, PhD

Associate Professor Faculty of Modern Languages and Communication Universiti Putra Malaysia (Chairperson)

Wong Bee Eng, PhD

Associate Professor Faculty of Modern Languages and Communication Universiti Putra Malaysia (Member)

Malachi Edwin Vethamani, PhD

Associate Professor Faculty of Educational Studies Universiti Putra Malaysia (Member)

Bahaman Abu Samah, PhD

Associate Professor Faculty of Educational Studies Universiti Putra Malaysia (Member)

AINI IDERIS, PhD

Professor and Dean School of Graduate Studies Universiti Putra Malaysia

Date: 12 June 2008



DECLARATION

I declare that the thesis is my original work except for quotations and citations which have been duly acknowledged. I also declare that it has not been previously, and is not concurrently, submitted for any degree at Universiti Putra Malaysia or any other institution.

PREMALATHA d/o K. BHASKARAN NAIR

Date: 23 March 2008



TABLE OF CONTENTS

			Page
ABSTRA	CT		ii
ABSTRA	K		\mathbf{v}
ACKNOV	VLEDGE	EMENTS	viii
APPROV	AL		X
DECLARATION		xii	
LIST OF	TABLES		xvi
LIST OF FIGURES LIST OF ABBREVIATIONS		xxi	
		xxiii	
LIST OF	APPEND	PICES	xxv
СНАРТЕ	R		
1	INT	RODUCTION	
	1.1	Background to the Study	1
	1.2	Study of Literature in English in Malaysia	8
	1.3	Statement of the Problem	14
	1.4	Purpose of the Study	23
	1.5	Research Questions	24
	1.6	Scope and Limitation of the Study	26
	1.7	Significance of the Study	26
	1.8	Theoretical Perspectives	28
	1.9	Conceptual Framework	36
	1.10	Definition of Key Terms	39
	1.11	Organization of Thesis	40
2	REVI	IEW OF LITERATURE	
	2.1	Introduction	42
	2.2	Theory of Learning	43
		2.2.1 Sociocultural Theory	45
	2.3	Overview of Learning Strategies	72
		2.3.1 Types of Learning Strategies	73
		2.3.2. Scaffolding as a Learning Strategy	78
		2.3.3 Types of Scaffolding	81
	2.4	Related Studies on Scaffolding	87
		2.4.1 Scaffolding in Parent/Adult-Child	
		Interaction	87
		2.4.2 Scaffolding in Teacher-Student Interaction	92
		2.4.3 Scaffolding Among Peers	102



		2.4.4 Related Studies on Scaffolding in Local	
		Context	109
		2.4.5 Summary	111
	2.5	Approaches to Literary Study	112
		2.5.1 Literary Text Comprehension	113
		2.5.2 Reader Response Theory	115
		2.5.3 Related Studies on Reader Response Theory	119
		2.5.4 Related Studies on Literary Text	
		Comprehension	124
		2.5.5 Summary	127
	2.6	Relationships between Scaffolding, Language and	
		Literature	128
	2.7	Scaffolding Strategy Training	130
		2.7.1 Needs for Strategy Training	131
		2.7.2 Related Studies on Strategy Training	131
		2.7.3 Instructional Model for Strategy Training	134
	2.8	Conclusion	139
3	MET	THODOLOGY	
	3.1	Introduction	141
	3.2		142
	3.3	Subjects	144
	3.4	Data Collection Procedure and Instrumentation	148
		3.4.1 Quantitative Method of Collecting Data	149
		3.4.2 Instrumentation for Quantitative Data	
		Collection	151
		3.4.3 Data Analysis for Quantitative Study	155
		3.4.4 Qualitative Method of Collecting Data	158
		3.4.5 Instrumentation for Qualitative Data	
		Collection	160
		3.4.6 Data Analysis Procedure for Qualitative	
		Method	178
	3.5	Pilot Study	185
		3.5.1 Background of Subjects	187
		3.5.2 Results of Pilot Study	187
	3.6	Conclusion	188
4	DAT	A ANALYSIS AND DISCUSSION	
_	4.1	Introduction	191
	4.2	Analysis of Quantitative Data	192
	- ·-	4.2.1 Inter-rater Reliability for Comprehension	
		Ouestions	194



		4.2.2 Inter-rater Reliability for Pre and Posttests Scores	194
		4.2.3 Proficiency Test Results	196
		4.2.4 Analysis of Differences on the Comprehension Test	
		Scores between Control and Experimental Groups	199
		4.2.5 Analysis of Differences in Scores between Pre-	
		and Posttests	205
		4.2.6 Overall Analysis of the Three Levels of Subjects	212
		4.2.7 Analysis between Group Differences in Scores	218
		4.2.8 Summary	220
	4.3	Analysis of Qualitative Data	221
		4.3.1 Linguistic Focus	229
		4.3.2 Cognitive Focus	256
		4.3.3 Social Focus	290
		4.3.4 Aesthetic Focus	307
	4.4	Conclusion	325
5	CON	NCLUSION	
	5.1	Introduction	327
	5.2	Summary	327
		5.2.1 Research Question 1	328
		5.22 Research Question 2	329
	5.2	Conclusions	341
	5.3	Implications of the Findings	342
	5.4	Suggestions for Further Research	344
	REF	ERENCES	346
	APP	PENDICES	377
	BIO	DATA OF STUDENT	498
	TIST	COF PURLICATIONS	199



LIST OF TABLES

Table		Page
2.1	Three Kinds of Learners	51
2.2	Differences between LMF and HMF	55
2.3	A Summary of Studies Reviewed on Parent/Adult-child Scaffolding	88
2.4	A Summary of Studies Reviewed on Teacher-Student Scaffolding	93
2.5	A Summary of Studies Reviewed on Peer Scaffolding	103
3.1	Selection of Subjects	148
3.2	Notation of Illustration	150
3.3	Quantitative Method of Data Collection Procedure	151
3.4	Textual Property of the Short Stories	153
3.5	Qualitative Method of Data Collection Procedure before SST (Step 1)	158
3.6	Qualitative Method of Data Collection Procedure after SST (Step 2)	159
3.7	Types of Questions for Interview	165
3.8	Overall Module for Scaffolding Strategy Training	172
3.9	The SST Programme Procedure	175
3.10	Pilot Study	185
4.1	Research Questions and Data Analysis	192
4.2	Inter-rater Reliability of Raters for Pretest	195



4.3	Inter-rater Reliability of Raters for Posttest	195
4.4	Overall Proficiency Test Results for Control and Experimental Groups	196
4.5	Comparison of Proficiency Test Results between Control and Experimental Groups across the 3 Levels of Proficiency	198
4.6	Pretest Score Comparison between Control and Experimental Groups	200
4.7	Posttest Score Comparison between Control and Experimental Groups	202
4.8	Result of Paired-sample T-test for the Experimental Group	206
4.9	Result of Paired-sample T-test for the Control Group	210
4.10	Control Group Pretest Score Comparison	213
4.11	Control Group Posttest Score Comparison	214
4.12	Experimental Group Pretest Score Comparison	214
4.13	Experimental Group Posttest Score Comparison	215
4.14	Overall Analysis	219
4.15	Inter-coder Reliability Process	223
4.16	Emerging Themes from Step 1	224
4.17	Emerging Themes from Step 2	225
4.18	Emerging Themes from Step 3	226
4.19	Framework for Analysis on Types of Scaffolding According to Proficiency Levels	228
4.20	Use of L1: Linguistic Constrains among LP, HP and MP Subjects	230



4.21	Use of L1: Code Mixing among LP, HP and MP Subjects	234
4.22	Interlingual Repetition among LP, HP and MP Subjects	237
4.23	The Use of L1 to Convey Attitude among LP Subjects	241
4.24	Self-Scaffolding among LP Subjects	243
4.25	The Use of Tag Questions to Seek Agreement among LP and MP Subjects	246
4.26	The Use of Tag Questions to Seek Attention among LP And MP Subjects	248
4.27	The Use of Tag Questions to Seek Clarification/Check Accuracy among LP and MP Subjects	249
4.28	Use of Tag Question in L1 among LP Dyads	252
4.29	The Use of L1 as Negative Scaffolding among LP Subjects	253
4.30	Elaboration among LP, HP and MP Subjects	258
4.31	Retelling among LP Dyads	265
4.32	Resourcing: To Seek Answers among LP, HP and MP Subjects	268
4.33	Resourcing : To Support Statement among LP, HP and MP Subjects	272
4.34	Questioning among LP, HP and MP Subjects	277
4.35	Refute and Give Opinion among HP and MP Subjects	284
4.36	Topic Shift among LP and MP Subjects	291
4.37	Feedback: Completion among LP Subjects	294
4.38	Feedback: Correcting others among LP Subjects	296



4.39	Self-correction among MP Subjects	300
4.40	Negative Feedback among LP and HP Subjects	301
4.41	Asking for Participation among HP Subjects	304
4.42	Association: Use of Prior Knowledge among LP, HP and MP Subjects	308
4.43	Use of Intertextualilty among LP, HP and MP Subjects	314
4.44	Making Inferences among LP Subjects	318
4.45	Personal Reactions among LP Subjects	320
4.46	Evaluation among HP Subjects	324
5.1	How LP Subjects Scaffold their Partners	330
5.2	How HP Subjects Scaffold their Partners	334
5.3	How MP Subjects Scaffold their Partners	338
A.1	Band Description of MUET Test Scores	377
A.2	Flesh Reading Index	403
A.3	Level of Questions Based on Barrett Taxonomy (Pretest)	424
A.4	Level of Questions Based on Barrett Taxonomy (Posttest)	426
A.5	Score Sheet	428
A.6	Comprehension Evaluation Sheet	429
A.7	Types and Function of Scaffolding	431
A.8	Example of Primary Questions	432
A.9	Types of Primary and Probe Questions for Interview Session	433
A.10	Transcription Conventions	491



A.11	Coding Data Pages to Sources	492
A.12	Facesheet Codes	493
A.13	Thematic Chart	494



LIST OF FIGURES

Figure		Page
1.1	Theoretical Framework of the Study	35
1.2	Conceptual Framework of the Study	37
2.1	The Spiral of Knowing	50
2.2	The Three Major Condition for Meaningful Learning	52
2.3	Stages of Development	54
2.4	Development in the ZPD	56
2.5	The Learning Process	60
2.6	Zone of Proximal Development	65
2.7	Level of Intersubjectivity	71
2.8	An Overview of Learning Strategies	76
2.9	A Model of Literary Understanding	117
2.10	Literary Text Comprehension	126
2.11	Relationships between Language, Literature and Scaffolding	129
2.12	CALLA Lesson Plan Model	135
2.13	Strategy Training Model	136
2.14	Model of Learning Strategy Training Cycle	138
3.1	Conceptual Design of the Study	143
3.2	Factorial Design for the Quantitative Method	149
3.3	Quantitative Data Analysis Procedure	157
3.4	Breadth of Questions Continuum	163



3.5	Seven Stages of Interview Investigation	166
3.6	Procedure of Diary Studies	170
3.7	Qualitative Method of Data Collection	170
3.8	Model of Transactional Literature Discussion	172
3.9	Stages of Coding	181
3.10	The Ladder of Analytical Abstraction	183
3.11	Research Procedure	189
4.1	Mean Plot of the Overall Performance	220
A.1	Interview Sheet	496
A.2	Activity Diagram	497

