



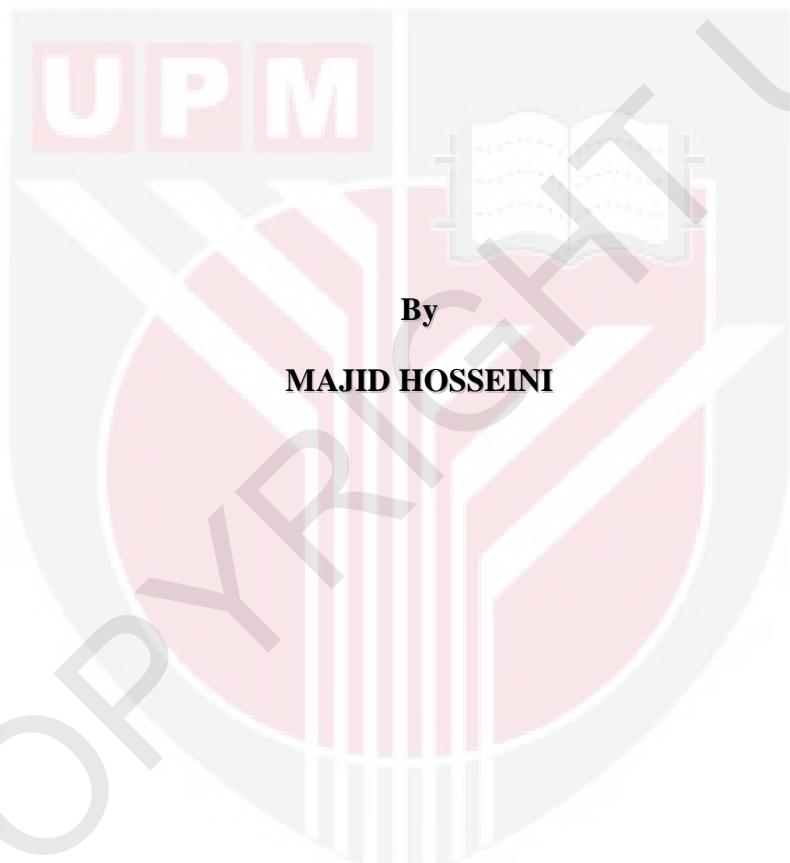
UNIVERSITI PUTRA MALAYSIA

***EFFECT OF LAND USE CHANGE ON WATER BALANCE AND
SUSPENDED SEDIMENT YIELD OF TALEGHAN CATCHMENT, IRAN***

MAJID HOSSEINI

FK 2010 35

**EFFECT OF LAND USE CHANGE ON WATER BALANCE AND
SUSPENDED SEDIMENT YIELD OF TALEGHAN CATCHMENT, IRAN**



**Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia,
in Fulfilment of the Requirements for the Degree of Doctor of Philosophy**

December 2010

DEDICATION



Dedicated to:

MY LATE PARENTS, MY WIFE AND MY CHILDREN



Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfilment
of the requirement for the degree of Doctor of Philosophy

**EFFECT OF LAND USE CHANGE ON WATER BALANCE AND
SUSPENDED SEDIMENT YIELD OF TALEGHAN CATCHMENT, IRAN**

By

MAJID HOSSEINI

December 2010

Chairman: Professor Mohd Amin Mohd Soom, PhD, P. Eng, FLEM.

Faculty: Engineering

The effects of global warming, climate change, and land use changes on catchment water balance and water quality have become the main concern in catchment water management in recent years. Due to rapid population growth upon dam construction, the Taleghan catchment in Iran has undergone rapid land use changes, urbanization, and water resource development for agriculture, industry, and domestic water supply. These changes could potentially cause devastating effects on both water balance and water quality in the catchment. However, there is no known study being conducted to predict the effect of land use changes on water balance and water quality in this area. Therefore there is a need for a physically-based and computationally efficient distributed hydrological model with a simple GIS interface to evaluate the effects of land use changes in Taleghan catchment. The main objective of this research was to examine the effect of land use changes on water balance and suspended sediment yield of the Taleghan catchment in northwest Iran. From a review of various models, a semi-distributed model, Soil and Water Assessment Tools (SWAT) was selected

for this study. The model was applied to the study area and its performance for predicting runoff was evaluated. Two popular programs that are linked into SWAT namely SUFI2 and ParaSol were applied for calibration and validation purposes, respectively.

Comparing the water balance between Joestan (upper part) and Galinak (outlet) stations showed evapotranspiration losses were around 38% and 49% of the precipitation, respectively. Surface runoff was 21% of the precipitation for the upper part of the catchment and 33% at the outlet. Groundwater and lateral flows took place mostly in the mountainous upper part of the catchment. The results of annual suspended sediment yield showed that more sedimentation took place upstream of Galinak with 7.3 t/ha but 5.8 t/ha at Joestan. The water balance at the outlet was predicted for the period between January 1995 and August 2004. The results shows 2.4% increase in surface runoff and 2.04% decrease of interflows. These results indicate the sediment yield increased from 7.3 t/ha to 8.3 t/ha at the outlet during the study period with progressively ascending surface runoff and suspended sediment yield and progressively descending interflows. Sediment yield predictions were compared to the seven land use scenarios. The maximum increase in sediment yields compared to the last observed land use (2007) was 1.6 t/ha (19%) for scenario 7 (all rangeland is bare). Scenario 2 (rangeland to agriculture, 0-20%) and scenario 6 (rangeland to the bare, 0-40%) showed increase of 4% and 12%, respectively. Scenario3 (agriculture to urban 0-20% slope), scenario 4 (agriculture to the urban, 0-40% slope), and scenario 5 (rangeland to the urban, 0-20% slope) showed decreases in sediment yields of 2.5%, 5.7% and 1.2%, respectively. The land use changes in the seven scenarios had varying effects on the water components in relation to slope steepness. These land use scenarios showed increase of 4%, 5.2%, 7.5%, 8%, 10%,

and 15% in surface runoff and decrease of 8.7%, 10%, 12.7%, 30%, 31%, and 45% in groundwater flow for scenarios 2, 3,4,5,6, and 7, respectively. This research has successfully developed a customized SWAT model useful for water engineers and managers in their planning of future land developments of the Taleghan catchment. The database system of the Taleghan catchment, using dispersed datasets in GIS environment could be used not only for modeling purposes but also for decision making. The information on soil erosion sensitivity of a particular area in the catchment will be useful for the government planning of soil and water conservation control measure, such that priority actions on sediment control and restoration budget will be allocated where it is most required. The study has produced a technique with reliable capability and high accuracy for annual and monthly water balance components and suspended sediment yield over different slope classes of the Taleghan catchment. This can pave the way for similar studies in other catchments with the same climatic conditions.

Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai
memenuhi keperluan untuk ijazah Doktor Falsafah

**KESAN PERUBAHAN PENGGUNAAN TANAH TERHADAP
KESEIMBANGAN AIR DAN ENAPAN TERAMPAL DI KAWASAN
TADAHAN TALEGHAN, IRAN**

Oleh

MAJID HOSSEINI

Disember 2010

Pengerusi: Profesor Mohd Amin Mohd Soom, PhD, P. Eng., FIEM
Fakulti : Kejuruteraan

Kesan pemanasan global, perubahan iklim dan perubahan penggunaan tanah terhadapimbangan air dan kualiti air di kawasan tadahan telah menjadi perhatian utama dalam pengurusan air dalam kawasan tadahan sejak beberapa tahun kebelakangan ini. Disebabkan pertumbuhan populasi yang pesat, pembinaan empangan, kawasan tadahan Taleghan di Iran telah melalui perubahan penggunaan tanah yang pesat, urbanisasi dan pembangunan sumber air untuk pertanian, industri dan bekalan air domestik. Perubahan-perubahan ini berpotensi menyebabkan kesan kemasuhan terhadap kedua-duaimbangan dan kualiti air dalam kawasan tadahan. Walaubagaimanapun, didapati tiada kajian yang dijalankan untuk mengkaji kesan perubahan penggunaan tanah terhadapimbangan dan kualiti air di kawasan ini. Oleh itu, model taburan hidrologi berdasarkan fizikal dan pengkomputeran yang efisien bersama dengan interface GIS yang ringkas diperlukan untuk menilai kesan-kesan perubahan penggunaan tanah di kawasan tadahan Taleghan. Objektif utama kajian ini

adalah untuk menilai kesan perubahan penggunaan tanah terhadap imbalan air dan jumlah sedimen terampai di kawasan tadahan Taleghan, Barat Laut Iran. Daripada ulasan pelbagai model terdahulu, model separa-taburan, Kaedah Penilaian Tanah dan Air (SWAT) telah dipilih untuk kajian ini. Model ini diaplikasi ke atas kawasan kajian dan prestasinya dalam menganggar larian air telah dinilai. Dua program popular yang dihubungkan dengan SWAT dinamakan SUFI2 dan ParaSol, masing-masing telah digunakan untuk tujuan penentukan dan pengesahan.

Perbandingan imbalan air di antara stesen Joestan (bahagian atas) dan Galinak (laluan keluar), masing-masing menunjukkan kehilangan evapotranspirasi daripada hujan adalah sekitar 38% dan 49%. Larian air permukaan daripada hujan untuk bahagian atas kawasan tadahan adalah 21% dan sebanyak 33% di laluan keluar. Kebanyakan aliran air bawah tanah dan sisi adalah di bahagian atas kawasan tadahan, di atas puncak gunung. Keputusan jumlah sedimen terampai menunjukkan lebih banyak sedimen di bahagian hulu, Galinak dengan jumlah 7.3 t/ha manakala sebanyak 5.8 t/ha di Joestan. Imbalan air di laluan keluar untuk jangkama masa antara Januari 1995 dan Ogos 2004 telahpun diramal. Keputusan menunjukkan kenaikan sebanyak 2.4% untuk larian permukaan dan penurunan sebanyak 2.4% untuk aliran antara. Keputusan ni menunjukkan hasil sedimen meningkat dari 7.3 t/a kepada 8.3 t/ha di laluan keluar dalam tempoh kajian dengan peningkatan larian permukaan dan hasil sedimen terampai secara progresif serta penurunan progresif untuk aliran antara. Ramalan hasil sedimen telah dibandingkan dengan tujuh senario penggunaan tanah. Kenaikan hasil sedimen yang maksimum dibandingkan dengan pemerhatian ke atas guna tanah terbaru (2007) adalah sebanyak 1.6 t/ha (19%) untuk senario 7 (semua kawasan ragut adalah terbuka). Senario 2 (kawasan ragut kepada pertanian, 0-20%) dan senario 6 (kawasan ragut kepada terbuka, 0-40%) masing-masing

enunjukkan kenaikan sebanyak 4% dan 12%. Scenario 3 (pertanian kepada bandar, 0-20% kecerunan), scenario 4 (pertanian kepada bandar, 0-40% kecerunan) dan scenario 5 (kawasan terbuka kepada bandar, 0-20% kecerunan) masing-masing menunjukkan penurunan jumlah sedimen iaitu 2.5%, 5.7% dan 1.2%. Perubahan penggunaan tanah di ketujuh-tujuh scenario yang disebutkan telah mempelbagaikan kesan-kesan terhadap komponen air berkaitan dengan kedalaman kecerunan. Kesemua scenario penggunaan tanah masing-masing menunjukkan peningkatan larian permukaan sebanyak 4, 5.2, 7.5, 8, 10 dan 15% serta penurunan dalam aliran air bawah tanah untuk scenario 2,3,4,5,6 dan 7, masing-masing sebanyak 8.7, 10, 12.7, 30, 31 dan 45%. Kajian ini telah berjaya membangunkan model SWAT bersesuaian yang berguna untuk jurutera-jurutera air dan para pengurus untuk merancang pembangunan tanah pada masa hadapan di kawasan tadahan Taleghan. Sistem pangkalan data kawasan tadahan Taleghan yang menggunakan dataset taburan dalam persekitaran GIS bukan sahaja boleh digunakan untuk tujuan pemodelan, tetapi juga untuk membantu dalam membuat keputusan. Maklumat mengenai sensitiviti hakisan tanah akan berguna dalam perancangan kerajaan untuk pemuliharaan tanah dan air, sedemikian tindakan utama terhadap kawalan sedimen serta bajet pemulihan akan diperuntukkan dimana ia lebih dikehendaki. Ini dapat membuka jalan untuk kajian yang serupa dalam kawasan tadahan lain dengan keadaan iklim yang sama. Kajian ini telah menghasilkan teknik dengan kebolehan yang boleh dipercayai dan kejituhan yang tinggi untuk komponen keseimbangan air tahunan dan bulanan serta jumlah sedimen terampai untuk kelas-kelas kecerunan yang berbeza di kawasan tadahan Taleghan.

ACKNOWLEDGEMENTS

First of all, praise is to the Merciful Allah, who has enabled me to complete this thesis in sound health.

I wish to express my deep sense of appreciation and gratitude towards my Professor Ir Dr Mohd Amin Mohd Soom for his valuable guidance and supervision of this thesis. Without his supervision, this study could never have been completed successfully.

I wish to express my deep sense of appreciation and gratitude towards my joint supervisor associate Professor Dr. Ghafouri, for his friendly guidance and supervision of this thesis.

I am grateful to Associate Professor Dr. Abdul Halim Ghazali and associate Professor Dr. Abdul Rashid Mohd Shariff for their recommendations and guidance that lead to successful completion of this research.

I am thankful to all staff of UPM, especially those in the Faculty of Engineering and ITMA who contributed to my learning process.

I am deeply indebted to many individuals especially researchers, Mr. Mahmoud Tabatabaei and Mr. Ali Akbar Norouzi, Associate Professor Dr. Amir H. Charkhabi, Professor Dr. Bahram Saghabian, Assistant Professor Nader Jalali, Mr. Ahmad Mokhtari, Mr. Bagher Ghermezcheshmeh, and other colleagues who have assisted me to perform the research and finalize this thesis by providing scientific, technical, administrative and moral support.

I have special thanks from my friend Dr. Masoud Sajedi Sabegh for his help during this study.

Words are not enough to express my gratitude to my family for their patience and perseverance during my absence.

Finally I am especially grateful to my dear wife for her love and to my son Hamed and daughter Zahra for their moral support and patience during of my research study.

Majid Hosseini



I certify that the Examination Committee has met on date of viva to conduct the final examination of Majid Hosseini on his PhD thesis entitled "Effect of Land use Changes on Water Balance and Suspended Sediment Yield of Taleghan Catchment, Iran" in accordance with Universiti Pertanian Malaysia (Higher Degree) Act 1980 and Universiti Pertanian Malaysia (Higher Degree) Regulations 1981. The Committee recommends that the candidate be awarded the relevant degree. Members of the Examination Committee are as follows:

Chairman, PhD

Professor
Faculty of Engineering
Universiti Putra Malaysia
(Chairman)

EXAMINER 1, PhD

Professor
Faculty of Engineering
Universiti Putra Malaysia
(Member)

Examiner 2, PhD

Professor
Faculty of Engineering
Universiti Putra Malaysia
(Member)

Independent Examiner, PhD

Professor
Faculty of Engineering
Universiti Putra Malaysia
(Independent Examiner)

BUJANG KIM HUAN, PhD

Professor and Deputy Dean
School of Graduate Studies
Universiti Putra Malaysia
Date:

This thesis was submitted to the Senate of Universiti Putra Malaysia and has been accepted as fulfilment of the requirement for the degree of Doctor of Philosophy. The members of the Supervisory Committee were as follows:

Mohd Amin Mohd Soom, PhD, P.Eng., FIEM

Professor

Faculty of Engineering

Universiti Putra Malaysia

(Supervisor)

Mohammad Ghafouri, PhD, P. Eng.

Associate Professor

Research Institute for Water Scarcity and Drought, Tehran-Iran

(Joint Supervisor)

Abdul Halim Ghazali, PhD

Associate Professor

Faculty of Engineering

Universiti Putra Malaysia

(Member)

Abdul Rashid Mohd Sharif, PhD

Associate Professor

Faculty of Engineering

Universiti Putra Malaysia

(Member)

HASANAH MOHD GHAZALI, PhD

Professor and Dean

School of Graduate Studies

Universiti Putra Malaysia

Date:

TABLE OF CONTENTS

	Page
ABSTRACT	iii
ABSTRAK	vi
ACKNOWLEDGEMENTS	ix
APPROVAL	xi
DECLARATION	xiii
LIST OF TABLES	xvii
LIST OF FIGURES	xxi
LIST OF ABBREVIATIONS	xxvi
LIST OF NOTATIONS	xxxi
1 INTRODUCTION	1
1.1 General	1
1.2 3	
1.3 Statement of the Problem	3
1.4 Hypothesis	5
1.5 Objectives	5
1.6 Scope and Limitations of the Research	6
1.7 Significance of the Study	7
1.8 Organization of the Thesis	8
2 LITERATURE REVIEW	10
2.1 The Water Balance	10
2.2 Types of Hydrological Models	11
2.3 Types of Hydrologic Models in terms of Relations within Routines	12
2.3.1 Empirical Models	12
2.3.2 Physical Models	13
2.4 Types of Hydrologic Models in Terms of their Spatial Variability	14
2.4.1 Lumped Models	14
2.4.2 Distributed Models	14
2.5 A Review for Land Use Effects on Water Components and Sediment Yield	15
2.5.1 Effect of Land Use Change on Runoff Generation and Flood	16
2.5.2 Effects of Land Use Changes on Evapotranspiration	19
2.5.3 Effect of Land Use Change on Sediment Yield	21
2.6 A Review of Hydrological Models Related to Land Use Land Cover Change (LUCC)	22
2.7 A Review of Estimating Hydrologic Budgets	30
2.8 Model Selection	32
2.9 Description of SWAT Model	36
2.10 SWAT-CUP	36
2.11 Model Complexity	37
2.12 Catchment Configuration by SWAT	39
2.12.1 Subbasins	39
2.12.2 Hydrologic Response Units (HRUs)	40

2.13	Model Theory	40
2.14	Model Variables	41
2.14.1	The Potential Evapotranspiration (PET)	43
2.14.2	Actual Evapotranspiration	44
2.14.3	Surface Runoff	45
2.14.4	Percolation	46
2.14.5	Interflow	47
2.14.6	Groundwater Flow	48
2.14.7	Vegetation Growth	49
2.14.8	Routing	51
2.15	Remote Sensing	52
2.16	Image Processing	53
2.17	Land Use Classification	54
2.17.1	Supervised Classification	55
2.17.2	Unsupervised Classification	56
2.18	The Normalized Difference Vegetative Index (NDVI)	56
2.19	Summary	57
3	METHODOLOGY	58
3.1	Introduction	58
3.2	The Study Area	60
3.2.1	Location	60
3.2.2	Topographical Elevations	61
3.2.3	Hydrological Subbasins	63
3.3	Geology	66
3.4	Morphology	68
3.5	Soil Types and Classification	68
3.6	Baseflow	70
3.7	Climatological Data	71
3.7.1	Climate	71
3.7.2	The Climatic Regime	72
3.7.3	Snowpack	75
3.7.4	Temperature	76
3.7.5	The Relative Humidity	77
3.7.6	Potential Evaporation	78
3.8	Climate change	79
3.9	Digital Image Processing (DIP)	80
3.10	Accuracy of Image Classification	89
3.11	The GIS Interface	91
3.12	SWAT-CUP Interface	92
3.12.1	SUFI2	93
3.12.2	ParaSol	96
3.13	Calibration and Validation Periods	99
3.14	Model Parameters	99
3.15	Material for calibration	101
3.16	Data Reliability	101
3.17	Steps in Calibration of the Model	101
3.18	Coding Land Use Classes	102
3.19	Catchment Delineation	103

3.20	Objective Function	104
3.21	Sensitivity Analysis (SA)	104
3.22	Model Evaluation	106
3.23	Summary	109
4	RESULTS AND DISCUSSION	111
4.1	Land Use Evaluation	111
4.2	Analysis of climate change	118
4.3	Estimation of Baseflow	123
4.4	Estimation of Sediment Yields	124
4.5	Parameter Sensitivity Test of the Modeling System	128
4.6	Flow Parameter Sensitivity	128
4.7	Sediment Parameter Sensitivity	130
4.8	Selection of objective function	131
4.9	Program Selection	132
4.10	Model Calibration by SWAT	134
4.10.1	Calibration of the Flow Parameters	134
4.10.2	Calibration of the Sediment Parameters	135
4.11	The Hydrological Output	136
4.11.1	The Total Water Yield	136
4.11.2	137	
4.11.3	Annual Discharge Output	137
4.11.4	Annual Sediment Yield Output	141
4.11.5	Monthly Discharge Output	146
4.11.6	Monthly Sediment Output	153
4.11.7	Daily Output	158
4.12	Runoff Components	161
4.13	The Water balance	163
4.14	Curve Number Variation	165
4.15	Effects of Land Use Changes on Water Component and Sediment Yield	169
4.16	Subbasins Sensitive to Erosion	173
4.17	Land Use Scenarios	174
4.18	Summary	178
5	CONCLUSIONS AND RECOMMENDATIONS	179
5.1	Conclusions	179
5.2	Contributions of this study	182
5.3	Recommendations for Future Studies	183
REFERENCES		185
APPENDICES		196
BIODATA OF STUDENT		224
LIST OF RELATED PUBLICATIONS		226