



UNIVERSITI PUTRA MALAYSIA

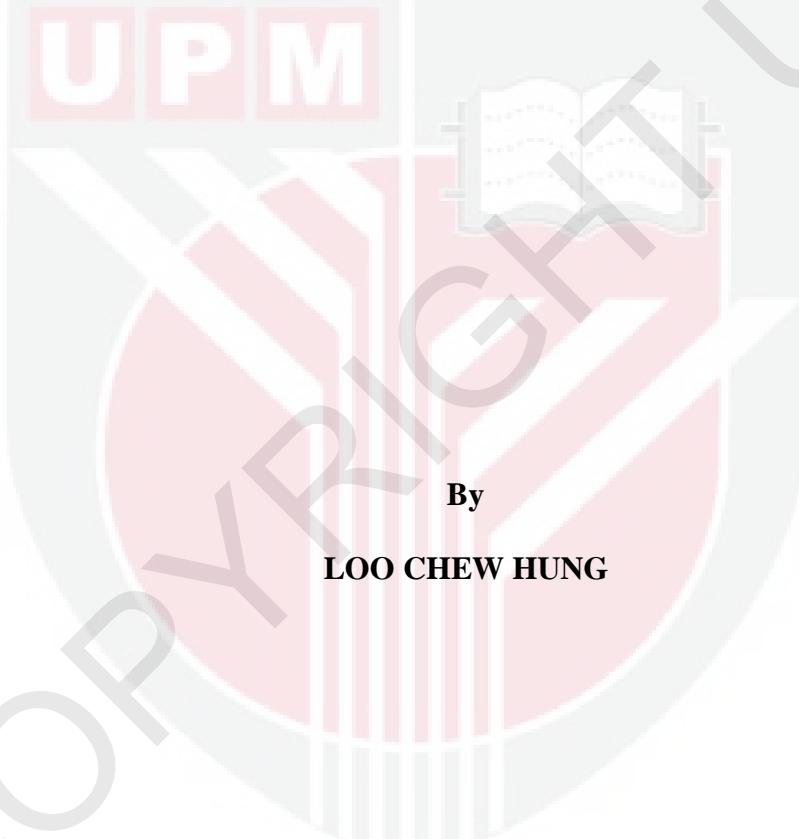
**FORMATION OF NANOSTRUCTURED LIPID CARRIER SYSTEMS
LOADED WITH PALM PHYTONUTRIENTS FOR
APPLICATION IN COSMETICS**

LOO CHEW HUNG

FS 2013 17

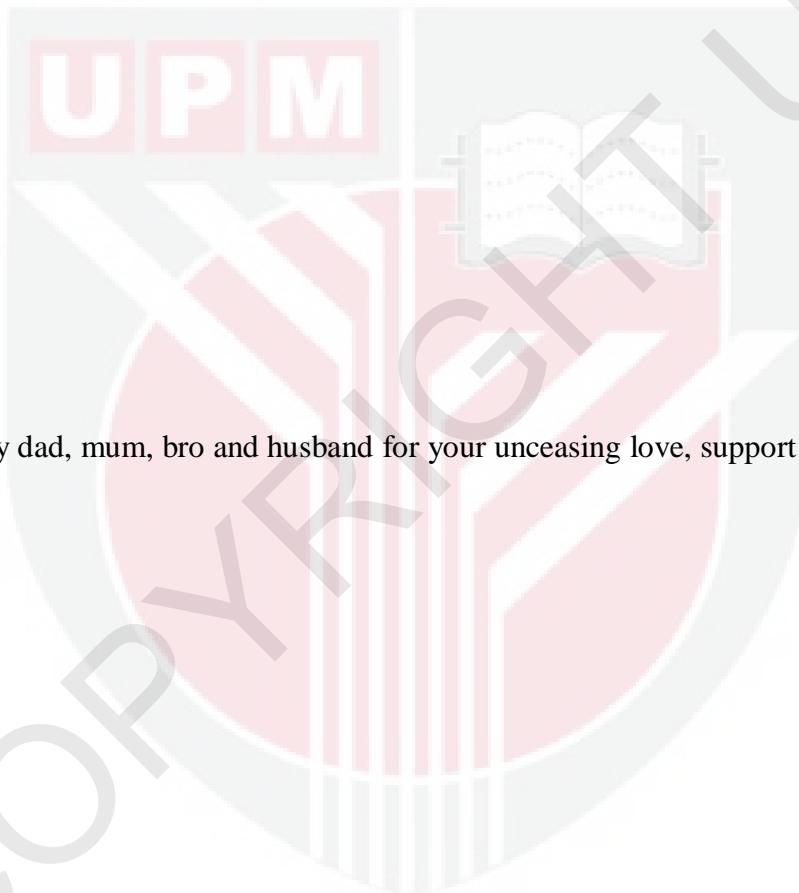


**FORMATION OF NANOSTRUCTURED LIPID CARRIER SYSTEMS LOADED
WITH PALM PHYTONUTRIENTS FOR APPLICATION IN COSMETICS**



**Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia, in
Fulfillment of the Requirements for the Degree of Doctor of Philosophy**

July 2013



To my dad, mum, bro and husband for your unceasing love, support and faith in me.

Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfillment of
the requirement for the degree of Doctor of Philosophy

**FORMATION OF NANOSTRUCTURED LIPID CARRIER SYSTEMS LOADED
WITH PALM PHYTONUTRIENTS FOR APPLICATION IN COSMETICS**

By

LOO CHEW HUNG

July 2013

Chair: Professor Mahiran Basri, PhD

Faculty: Science

Nanostructured lipid carrier has gained increasing interest in cosmetic field but the major problems are physical stability of NLC, chemical stability of bioactives in NLC and efficacy of NLC. The aim of this study was to develop nanostructured lipid carrier for delivery of antioxidant, palm phytonutrients (PP). The study was divided into four parts consisting of characterization of PP, development of preparation methods of NLC, optimization of formulation of NLC, and efficacy of NLC as delivery system for PP. Safety tests (*in-vitro* dermal and ocular irritation assay, human patch test and human repeated patch test) showed that PP was safe to be used as cosmetic bioactives. PP showed much higher fibroblasts cell viability compared to commercial phytonutrient (Tocomin 50% and Gold Tri. E) which indicated that PP was more effective in promoting proliferation of fibroblasts cells. Tocols and beta-carotene were found as the most abundant components in PP. Di(phenyl)-(2,4,6-trinitrophenyl)iminoazanium (DPPH), 2,2'-azino-bis(3-ethylbenzothiazoline-6-sulphonic acid) (ABTS) nitric oxide

radical scavenging assays analysis showed that PP had good scavenging activity. PP was found to have excellent UV-blocking activity as it absorbed light at UVA and UVB light region (290-400 nm). Particle size analysis, zeta potential analysis, rheological measurement and accelerated stability testing were used to assess the physical stability of NLC formulation. Two novel preparation methods of NLC which produced NLC with high physical stability and enhanced chemical stability of PP were successfully developed. The optimized NLC production conditions were 500-1000 bars homogenization pressure, 3 cycles of high pressure homogenization, and the cooling temperature was 25 °C after hot high pressure homogenization. The optimized NLC formulation were 6% of Span 40 and Tween 80 (50:50) as surfactants, 20% of lipid phase containing mixture of hydrogenated palm kernel and palm glycerides and isopropyl palmitate (90:10) as emollients and 0.10% of PP as bioactives, and 0.5% of MC-NP4 as preservatives. The optimized formulation exhibited good physical and microbiological stability and enhanced chemical stability of PP in the formulation. NLC loaded with PP showed high physical stability and chemical stability of PP when compared to macroemulsion loaded with PP and nanoemulsion loaded with PP. Percentage of fibroblasts cells viability of NLC was much higher at concentration of 400-2000 µg/ml when compared to macroemulsion loaded with PP and nanoemulsion loaded with PP. Increase in percentage of fibroblasts cell viability indicated that more collagen could be produced to reduce skin aging. NLC loaded with PP greatly increased skin hydration and reduced skin roughness when compared to macroemulsion loaded with PP and nanoemulsion loaded with PP. This work concluded that NLC loaded with PP which exhibited good physical stability and chemical stability of PP, and promising anti-wrinkle efficacy was successfully developed.

Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk ijazah Doktor Falsafah

**PEMBENTUKAN SISTEM PEMBAWA BERSTRUKTUR NANO YANG
DIMUATKAN DENGAN FITONUTRIEN SAWIT UNTUK APLIKASI
KOSMETIK**

By

LOO CHEW HUNG

Julai 2013

Pengerusi: Profesor Mahiran Basri, PhD

Fakulti: Sains

Kepentingan pembawa berstruktur nano (NLC) di bidang kosmetik semakin meningkat tetapi penghalangnya adalah kestabilan fizikal NLC, kestabilan kimia bioaktif dalam NLC dan Efikasi NLC. Tujuan penyelidikan ini adalah untuk membangunkan NLC yang dimuatkan dengan antioksidan, fitonutrient sawit. Kajian ini dibahagikan kepada empat bahagian, iaitu pencirian fitonutrien sawit, pembangunan kaedah penyediaan NLC, pengoptimuman formulasi NLC, dan efikasi NLC sebagai sistem penghantaran untuk fitonutrien sawit. Kajian keselamatan (iritasi dermis dan okular *in-vitro*, kajian penambalan pada manusia dan kajian penambalan berulang pada manusia) menunjukkan fitonutrien sawit adalah selamat dipakai sebagai bioaktif kosmetik. Kebolehhidupan sel fibroblas terhadap fitonutrien sawit adalah lebih tinggi berbanding dengan fitonutrien komersial (Tocomin 50% and Gold Tri.E) menunjukkan fitonutrien sawit adalah lebih berkesan untuk mendorong pergandaan sel fibroblas. Tokol dan beta-karoten didapati sebagai komponen-komponen yang paling banyak di fitonutrien sawit. Kajian-kajian

aktiviti penyingkiran radikal bebas (Di(phenyl)-(2,4,6-trinitrophenyl)iminoazanium (DPPH), 2,2'-azino-bis(3-ethylbenzothiazoline-6-sulphonic acid (ABTS) dan nitrik oksida) menunjukkan fitonutrien mempunyai aktiviti penyingkiran radikal yang baik. Fitonutrien sawit didapati mempunyai aktiviti penyekatan sinaran ultralembayung yang baik disebabkan ia menyerap sinaran dalam lingkungan jarak gelombang ultra unggul A dan B (290-400 nm). Analisis-analisis saiz zarah, potensi zeta, reologi dan pengujian kestabilan telah digunakan untuk mengkaji kestabilan fizikal formulasi NLC. Dua kaedah baharu penyediaan NLC yang menghasilkan NLC dengan kestabilan fizikal yang tinggi dan kestabilan kimia fitonutrien sawit yang dipertingkatkan telah dibangunkan dengan jayanya. Keadaan produksi NLC yang optimum adalah tekanan tinggi penghomogenan pada 500-1000 Pa, tiga kitaran penghomogenan tekanan tinggi dan NLC segar disejukan kepada 25 °C dengan kukusan ais selepas penghomogenan tekanan tinggi. Ramuan-ramuan NLC yang telah dioptimumkan adalah 6% Span 40 dan Tween 80 sebagai surfaktan, 20% fasa lipid mengandungi campuran hidrogenasi isirong sawit dan gliserida sawit dan isopropyl palmitat (nisbah 90:10) sebagai emolien dan 0.10% fitonutrient sawit sebagai bioaktif, dan 0.5% MC-NP4 sebagai pengawet. Formulasi ini mempunyai kestabilan fizikal dan mikrobiologi yang baik dan kestabilan kimia fitonutrien sawit dalam NLC dipertingkatkan. NLC yang dimuatkan dengan fitonutrien sawit menunjukkan kestabilan fizikal yang tinggi dan kestabilan kimia fitonutrien sawit lebih tinggi jika berbanding dengan makroemulsi dan nanoemulsi yang dimuatkan dengan fitonutrien sawit. Peratus kebolehhidupan sel fibroblas bagi sampel NLC adalah lebih tinggi pada kepekatan 400-2000 µg/ml apabila berbanding dengan makroemulsi dan nanoemulsi yang dimuatkan dengan fitonutrien sawit. Peningkatan peratus kebolehhidupan sel fibroblas menunjukkan pergandaan sel fibroblas berlaku yang

menghasilkan lebih kolagen untuk melambatkan penuaan kulit. NLC dimuatkan dengan fitonutrien sawit meningkatkan penghidrasi kulit dan mengurangkan kekasaran kulit secara drastik apabila dibandingkan dengan makroemulsi dan nanoemulsi dimuatkan dengan fitonutrien sawit. Penyelidikan ini menyimpulkan bahawa NLC yang dimuatkan dengan fitonutrien sawit menunjukkan kestabilan fizikal dan kestabilan kimia fitonutrien sawit yang bagus dan efikasi anti-kedutan yang dijanjikan telah dibangunkan dengan jayanya.



AKNOWLEDGEMENTS

I would like to take this opportunity to thank my committee members, Prof. Dr. Mahiran Bt. Basri (chairman), Dr. Bimo Ario Tejo, Dr. Harrison Lau Lik Nang, Pn. Rosnah and Assoc. Prof. Kanthimathi A/P M S Subramaniam for their great advice, guidance, concern and encouragement throughout the course of my study.

I would like to thank MPOB and UPM for granting this research work. I am very grateful to MPOB for providing the scholarship without which this study could not have been conducted.

I would like to thank AOTD for their kind cooperation and assistance throughout my study and for the willingness to share your expertise with me.

I would like to thank Assoc. Prof. Dr. Cornelia Keck for her valuable advice and her generosity by sharing her valuable experiences in formulation science with me.

I would also like to thank my friends who are threading the same path as I am, thank you for your encouragement, company and support throughout my study, without you all, my life would be boring and uneventful.

Last but not least, I would like to thank my parents for their unconditional love, understanding, encouragement and also patience in sharing my excitements and frustrations of research with me.

I certify that a Thesis Examination Committee has met on **23 July 2013** to conduct the final examination of **Loo Chew Hung** on her thesis entitled "**Formation Of Nanostructured Lipid Carrier Systems Loaded With Palm Phytonutrients For Application In Cosmetics**" in accordance with the Universities and University Colleges Act 1971 and the Constitution of the Universiti Putra Malaysia [P.U.(A) 106] 15 March 1998. The Committee recommends that the student be awarded the Doctor of Philosophy.

Members of the Thesis Examination Committee were as follows:

Zulkarnain Zainal, PhD

Professor and Deputy Dean
School of Graduate Studies
Universiti Putra Malaysia
(Chairman)

Gwendoline Ee Cheng Lian, PhD

Professor
Faculty of Science
Universiti Putra Malaysia
(Internal Examiner)

Mansor Hj Ahmad @ Ayob, PhD

Associate Professor
Faculty of Science
Universiti Putra Malaysia
(Internal Examiner)

Varaporn Junyaprasert, PhD

Associate Professor
Faculty of Pharmacy
Mahidol University
(External Examiner)

NORITAH OMAR, PhD

Associate Professor and Deputy Dean
School of Graduate Studies
Universiti Putra Malaysia

Date: 19 September 2013



This thesis was submitted to the Senate of Universiti Putra Malaysia and has been accepted as fulfillment of the requirement for the degree of Doctor of Philosophy. The members of the Supervisory Committee were as follows:

Mahiran Basri, PhD

Professor

Faculty of Science

Universiti Putra Malaysia

(Chairman)

Bimo Ario Tejo, PhD

Senior Lecturer

Faculty of Science

Universiti Putra Malaysia

(Member)

Kanthimathi A/P M S Subramaniam, PhD

Associate Professor

Faculty of Medicine

University of Malaya

(Member)

Harrison Lau Lik Nang, PhD

Group Leader of Biodiesel Technology Group

Engineering and Processing Division

Malaysian Palm Oil Board

(Member)

BUJANG BIN KIM HUAT, PhD

Professor and Dean

School of Graduate Studies

Universiti Putra Malaysia

Date:

DECLARATION

I declare that the thesis is my original work except for quotations and citations which have been duly acknowledged. I also declare that it has not been previously, and is not concurrently, submitted for any other degree at Universiti Putra Malaysia or at any other institution.

LOO CHEW HUNG

Date: 23 July 2013



TABLE OF CONTENTS

	Page
ABSTRACT	iii
ABSTRAK	v
ACKNOWLEDGEMENT	viii
APPROVAL	ix
DECLARATION	xi
LIST OF TABLES	xv
LIST OF FIGURES	xviii
LIST OF ABBREVIATIONS AND SYMBOLS	xxii
 CHAPTER	
1 INTRODUCTION	1
1.1 Introduction of Research	1
1.2 Research Problems	5
1.3 Scope of Research	7
1.4 Research Objectives	9
2 LITERATURE REVIEW	10
2.1 Phytonutrients	10
2.1.1 Palm Phytonutrients	10
2.1.2 Production of Palm Phytonutrients	20
2.2 Cosmetic Delivery System	20
2.2.1 Emulsion	22
2.2.2 Nanoemulsion	23
2.2.3 Nanostructured Lipid Carriers (NLC)	26
2.3 Anti-aging Product	34
2.4 Characterization of NLC	36
2.4.1 Rheological Properties	36
2.4.2 Droplet or Particle Size and Distribution	41
2.4.3 Preservation	42
2.4.4 Efficacy Testing	44
3 MATERIALS AND METHODS	45
3.1 Materials	45
3.2 Methods	47
3.2.1 Characterization of Palm Phytonutrients and NLC	50
3.2.2 Development of Preparation Methods and Formulation of NLC	61
3.2.3 Efficacy Testing of NLC as Delivery System for Palm Phytonutrients	70

4	RESULTS AND DISCUSSION	72
4.1	Characterization of Palm Phytonutrients	72
4.1.1	<i>In-vitro</i> Ocular and Dermal Irritation Assay	72
4.1.2	Patch Test and Human Repeated Insult Patch Test	73
4.1.3	Cell Viability Assay	77
4.1.4	Composition of Palm Phytonutrients	79
4.1.5	Antioxidant Activities	82
4.1.6	<i>In-vitro</i> UV-blocking Effect	89
4.2	Development of Preparation Methods of NLC	91
4.2.1	Comparison of Preparation Methods	91
4.2.2	Effect of Cycles of High Pressure Homogenization	102
4.2.3	Effect of Pressure of High Pressure Homogenization	106
4.2.4	Effect of Cooling Temperature	109
4.2.5	Effect of Incorporation of Rheology Modifier Before and After High Pressure Homogenization	112
4.3	Development of Formulation of NLC	115
4.3.1	Effect of Concentration of Surfactants	115
4.3.2	Effect of Types Surfactants	118
4.3.3	Effect of Presence of Palm Phytonutrients	125
4.3.4	Effect of Types of Solid Lipid	128
4.3.5	Effect of Lipid Content and Ratio of Solid Lipid and Liquid Lipid	135
4.3.6	Effect of Rheology Modifiers	146
4.3.7	Effect of Addition of Lecithin	152
4.3.8	Minimum Inhibitory Concentration of Commercial Preservatives	159
4.3.9	Incorporation of Preservatives to NLC Formulations	164
4.3.10	Aerobic Plate Count and Yeast Mold Count	166
4.3.11	Preservatives Challenge Test	169
4.3.12	Comparisons of NLC, Nanoemulsion and Macroemulsion	172
4.4	Safety Evaluation of NLC for Topical Application	182
4.4.1	<i>In-vitro</i> Dermal Irritation Assay	182
4.4.2	Patch Test and Human Repeated Insult Patch Test	184
4.5	Efficacy Testing of NLC as Delivery System for Palm Phytonutrients	187
4.5.1	Fibroblasts Cell Proliferation Study	187
4.5.2	<i>In-vivo</i> Skin Hydration Measurement	189
4.5.3	<i>In-vivo</i> Wrinkle Depth Measurement	190
5.	CONCLUSION	194
5.1	Recommendations for Further Research	196

REFERENCES	198
APPENDICES	217
BIODATA OF STUDENT	262
LIST OF PUBLICATIONS	263

