



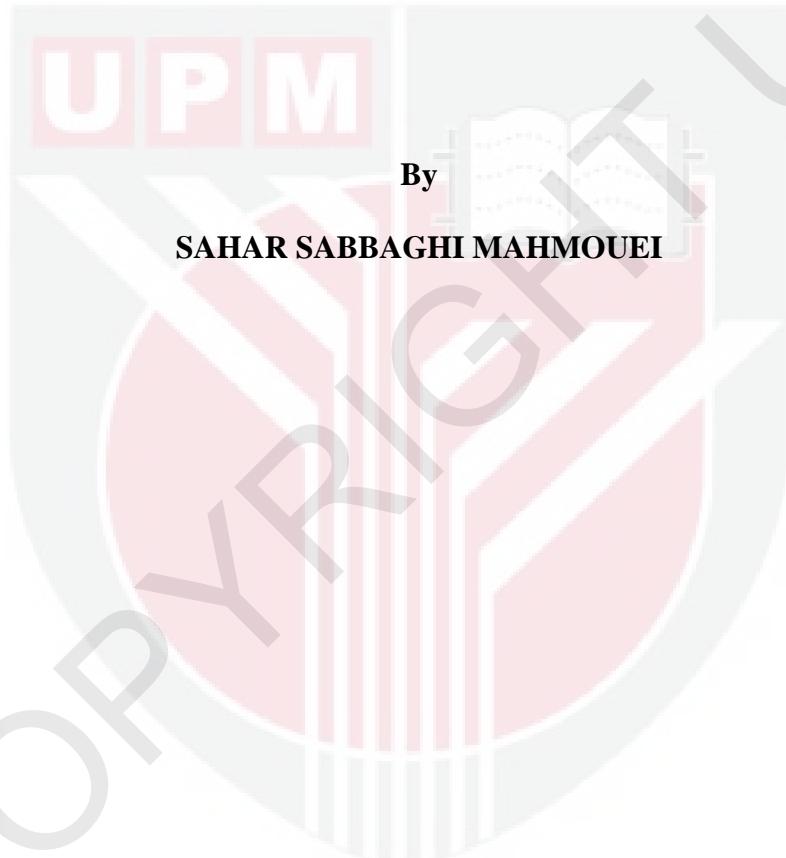
**UNIVERSITI PUTRA MALAYSIA**

**HYPERSPECTRAL IMAGE PROCESSING SYSTEM**

**SAHAR SABBAGHI MAHMOUEI**

**ITMA 2012 3**

**HYPERSPECTRAL IMAGE PROCESSING SYSTEM**

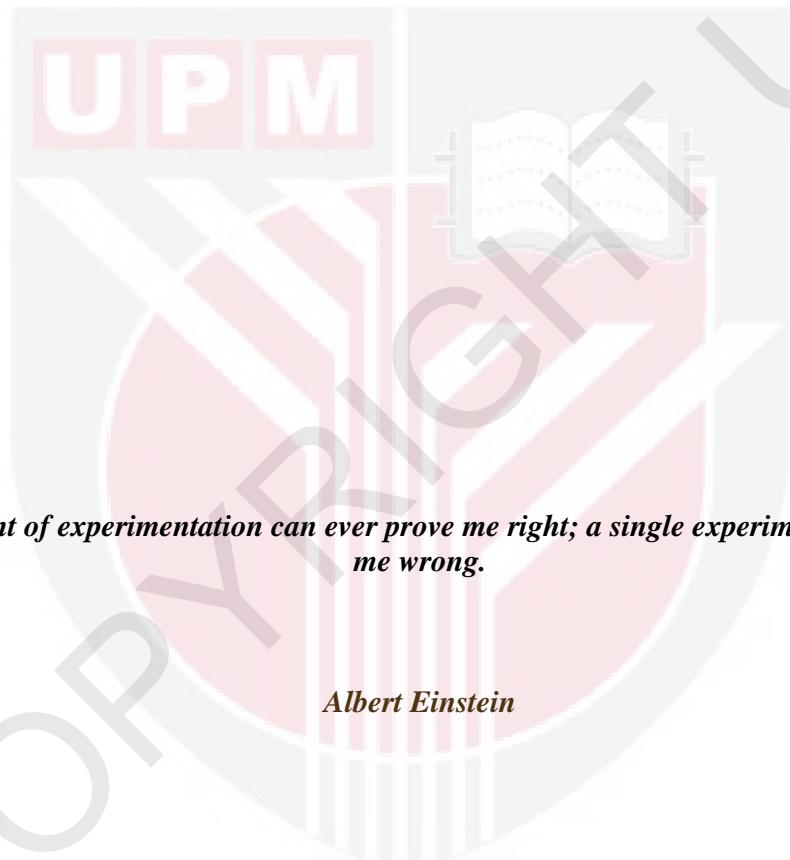


**Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia,  
in Fulfillment of the Requirement for the Degree of Master of Science**

**January 2012**

To

*Whom beyond all thoughts  
And the one who Guides me through the thinking path*



Abstract of thesis presented to the Senate of University Putra Malaysia in fulfillment  
of the requirement for the degree of Master of Science

## **HYPERSPECTRAL IMAGE PROCESSING SYSTEM**

By

**SAHAR SABBAGHI MAHMOUEI**

**January 2012**

**Chairman: Professor Shattri B Mansor, PhD**

**Faculty: Engineering**

Hyperspectral imaging system is a new technique, which provides an alternative way to increasing the accuracy by adding another dimension: the wavelength. Recently, hyperspectral imaging is also finding its way into many more applications, ranging from medical imaging in endoscopy for cancer detection to quality control in the sorting of fruit and vegetables. But effective use of hyperspectral imaging requires an understanding of the nature and limitations of the data and of various strategies for processing and interpreting it. Also, the breakthrough of this technology is limited by its cost, speed and complicated image interpretation.

We have therefore initiated work on designing real-time hyperspectral image processing to tackle these problems by using a combination of smart system design, and pseudo-real time image processing software. Traditional hyperspectral imaging systems acquire one-dimensional spectral images and require relative motion of sensor and scene in addition to data processing to form a two-dimensional image

cube. There is much interest in developing hyperspectral imagers based on unique prism-grating-prism (PGP) optical design that acquire a 2D dimensional spectral image can be formed and build up an image cube as a function of time.

The main focus of this research is the development of hyperspectral imaging system for laboratory or stationary remote sensing applications. The system consists of a high performance digital CCD camera, an intelligent processing unit, an imaging spectrograph, an optional focal plane scanner and a laptop computer equipped with a frame-grabbing card. In addition, special software has been developed to synchronize between the frame grabber (video capture card), and the digital camera with different image processing techniques for both digital and hyperspectral data. The CCD camera provides 1280(h) x 1024(v) pixel resolution and true 12-bit dynamic range. The imaging spectrograph is attached to the camera via an adapter to disperse radiation into a range of spectral bands. The effective spectral range resulting from this integration is from 400 nm to 1000 nm. The optional focal plane array can be attached to the back of the spectrograph via C-mount for stationary image acquisition. The camera and the frame grabbing board are connected via a PCI interface board, and the utility software allows for complete camera control and image acquisition. The imaging system captures one line image for all the bands at a time and a focal plane array serves as a mobile platform to carry out pushbroom scanning in the along-track direction. Preliminary image acquisition testing indicates that this CCD camera-based hyperspectral imaging system has potential for agricultural and food industry applications.

Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai  
memenuhi keperluan untuk ijazah Master Sains

## **SISTEM PEMPROSESAN IMEJ HIPERSPEKTRA**

Oleh

**SAHAR SABBAGHI MAHMOUEI**

**Januari 2012**

**Pengerusi: Profesor Shattri B Mansor, PhD**

**Fakulti: Kejuruteraan**

Pengimejan hiperspektral adalah suatu teknik baru yang menawarkan satu cara alternatif untuk meningkatkan kejituhan dengan menambah suatu lagi dimensi: jarak gelombang. Kebelakangan ini, pengimejan hiperspektral juga telah menerokai pelbagai bidang, dari pengimejan perubatan dalam endoskopi untuk pengesan barah hingga dalam penjagaan kualiti dalam penapisan buah-buahan dan sayur-sayuran.

Tetapi penggunaan yang berkesan pengimejan hiperspektral ini memerlukan pemahaman dari sudut naluri dan had data dan juga strategi yang berbagai cara untuk memproses dan menterjemahkannya. Dan juga, segala penemuan unggul dalam teknologi ini dihadkan oleh kos, kelajuan serta penterjemahan imej yang kompleks. Oleh itu kami telah memulakan kerja-kerja dalam merekabetuk pemrosesan imej hiperspektral dalam masa nyata untuk menangani masalah-masalah ini dengan menggunakan kombinasi rekabentuk system yang pintar dan perisian pseudo-masa nyata.

Sistem-sistem pengimejan hiperspektral yang tradisi memerlukan imej-imej hiperspektral satu dimensi dan memerlukan pergerakan relatif pengimbas dan

pemandangan disamping pemprosesan data untuk membentuk kiub dua dimensi.Terdapat banyak minat dalam pembinaan imej-imej hiperspektral berdasarkan rekabentuk optic prism-grating-prism (PGP) yang memerlukan imej spectral dua dimensi yang boleh dibina dan imej kiub yang bergantung kepada fungsi masa.

Fokus penyelidikan ini adalah pembinaan satu system pengimejan hiperspektral yang berdasarkan kamera untuk kegunaan makmal dan juga aplikasi-aplikasi pengimbasan jarak jauh.Sistem ini mengandungi sebuah kamera digital CCD berkuasa tinggi, sebuah unit pemproses yang pintar, sebuah pengimejan spektograf, sebuah focal plane array dan sebuah komputer riba dengan kad yang boleh merakam bingkai imej. Selain itu, perisian khusus telah dibangunkan untuk mengsinkronisasikan diantara frame grabber dan kamera digital dengan berlainan teknik pemprosesan imej untuk kedua-dua imej digital dan hiperspektral. Kamera CCD menghasilkan resolusi 1280\*1024 piksel dan julat imej dinamik 12 bit.

Spektograf pengimejan dicantumkan ke kamera melalui sebuah alat untuk meleraikan radiasi ke dalam lingkungan band spetral. Julat spektral yang berkesan yang akan terhasil adalah dari 400 hingga 1000nm. Focal plane array juga boleh dicantumkan dihadapan spektograf melalui bingkai-C untuk menghasilkan imej yang stabil. Kamera dan kad framegrabber dihubungkan melalui kad antaramuka PCI dan sebuah perisian membolehkan kawalan kamera yang lengkap dan penangkapan imej.

Sistem pengimejan ini merakam satu garis untuk kesemua band pada satu masa dan focal plane scanner bertindak sebagai asas untuk pushbroom scanning dalam arah kehadapan.Penagkapan imej awal menunjukkan bahawa kamera CCD yang

berdasarkan pengimajian hiperspektral ini berpotensi dalam industri pertanian dan pemakanan dan juga dalam aplikasi-aplikasi sumber asli.



## **ACKNOWLEDGEMENTS**

First and foremost, I would like to thank my compassionate supervisor, Professor Dr Shattri B Mansor who always guides me through all ups and downs, joyful and hopeless moments during my research. The delicacy and enthusiasm were two main lessons that I've learned from him. I truly appreciate his support, concerns, times and sincerity I received during my study. He was always there whenever I needed, no matter what time or where he was, he treats me with kindness and gentle sense of humor.

I would like to thank Dear Dr Azlan, for his advices and insightful comments, which guide me through the proper direction. I am indebted for his knowledge and helpful contributions on this thesis. I would also like to thank my other supervisory committee members, Associate Professor Dr Mohd Hamiruce Marhaban, and Associate Professor Dr Abd. Rahman Ramli for their guidance and advice whenever I encountered problems in the course of my research.

I would like to express my deepest gratitude to my beloved father, my supportive mother and my brother who is always there for me. This thesis would not have been possible without their supports, love and understandings.

Finally, and most importantly, I would like to thank my husband Abed. His support, encouragement, quiet patience and unwavering love were undeniably the bedrock upon which the past three years of my life have been built. His tolerance of my occasional vulgar moods is a testament in itself of his unyielding devotion and love.

The main appreciation is always goes to the one that I hope I can be a small manifestation of his kindness and glory.

## APPROVAL

I certify that a Thesis Examination Committee has met on **27<sup>th</sup> January 2012** to conduct the final examination of **Sahar Sabbaghi Mahmouei** on her thesis entitled "**Hyperspectral Image Processing System**" in accordance with the Universities and University Colleges Act 1971 and the Constitution of the University Putra Malaysia [P.U.(A) 106] 15 March 1998. The Committee recommends that the student be awarded the **Master of Science degree**.

Members of the Thesis Examination Committee were as follows:

**Abdul Rashid Bin Mohamed Sharif, PhD**

Associate Professor

Faculty of Engineering

University Putra Malaysia

(Chairman)

**Ashurov Ravshan, PhD**

Professor

Institute of Advanced Technology (ITMA)

University Putra Malaysia

(Internal Examiner)

**Iqbal Bin Saripan, PhD**

Associate Professor

Faculty of Engineering

University Putra Malaysia

(Internal Examiner)

**Kostas Berberidis, PhD**

Professor

School of Engineering

University of Patras, Italy

(External Examiner)

---

**SEOW HENG FONG, PhD**

Professor and Deputy Dean

School of Graduate Studies

University Putra Malaysia

Date:

This thesis was submitted to the Senate of Universiti Putra Malaysia and has been accepted as fulfillment of the requirements for the degree of Master of Science. Members of the Supervisory Committee were as follows:

**Shattri B Mansor, PhD**

Professor

Faculty of Engineering  
Universiti Putra Malaysia  
(Chairman)

**Mohd Hamiruce Marhaban, PhD**

Associate Professor

Faculty of Engineering  
Universiti Putra Malaysia  
(Member)

**Abd. Rahman Raml, PhD**

Associate Professor

Faculty of Engineering  
Universiti Putra Malaysia  
(Member)

---

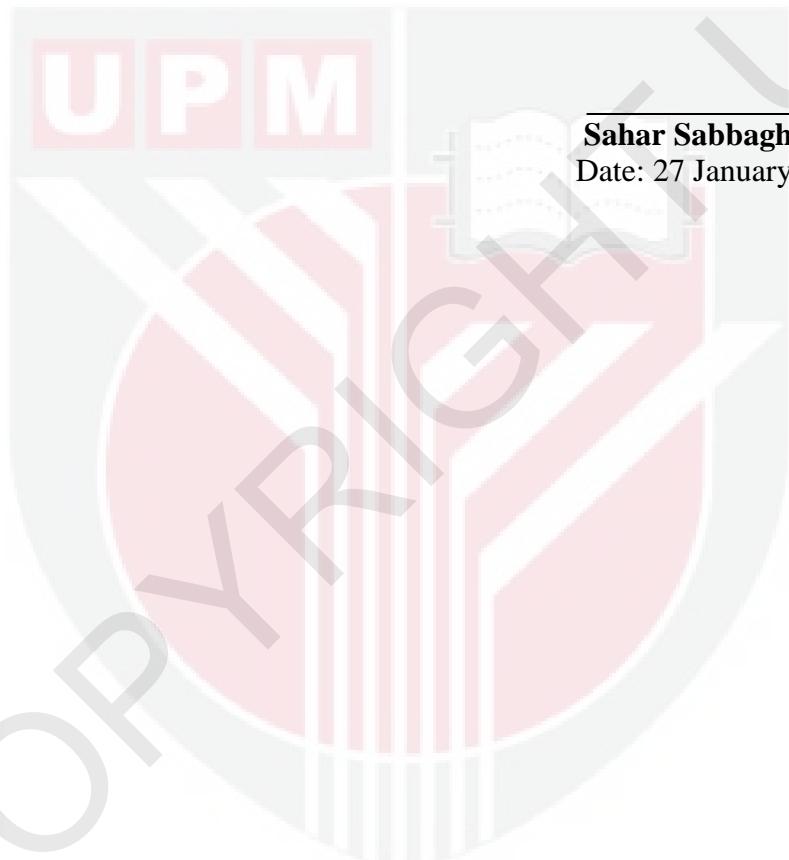
**BUJANG BIN KIM HUAT, PhD**

Professor and Deputy Dean  
School of Graduate Studies  
Universiti Putra Malaysia

Date:

## **DECLARATION**

I declare that the thesis is my original work except for quotations and citations, which have been duly acknowledged. I also declare that it has not been previously, and is not concurrently, submitted for any other degree at Universiti Putra Malaysia or at any other institution.



**Sahar Sabbaghi Mahmouei**

Date: 27 January 2012

## TABLE OF CONTENTS

	Page
<b>ABSTRACT</b>	iii
<b>ABSTRAK</b>	v
<b>ACKNOWLEDGEMENTS</b>	viii
<b>APPROVAL</b>	ix
<b>DECLARATION</b>	xii
<b>LIST OF TABLE</b>	xii
<b>LIST OF FIGURES</b>	xii
<b>LIST OF ABBREVIATIONS</b>	xii
<b>CHAPTER</b>	
<b>1. INTRODUCTION</b>	1
1.1 Background	1
1.2 Problem Statement	7
1.3 Research Objectives	8
1.4 Research Scope	10
1.5 Research Contribution	10
1.6 Overview of Thesis	11
<b>2. LITERATURE REVIEW</b>	12
2.1 Introduction	12
2.2 Near-Infrared Technology	14
2.2.1 Overview of Near Infrared Spectroscopy	14
2.2.2 Typical Applications of NIR Spectroscopy	14
2.2.3 NIR Technology in Fruits and Vegetables	18
2.3 Machine Vision System	19
2.3.1 Background	19
2.3.2 Limitations	21
2.3.3 Future Trends of Machine Vision	21
2.4 Components of Computer Vision System	23
2.4.1 Illumination	23
2.4.2 Camera	24
2.4.3 Frame-grabber	24
2.4.4 Computer Hardware and Software	24
2.5 Applications of Computer Vision System	24
2.6 Machine Vision as a Controlled System	25
2.7 Machine Vision as a Real-Time System	27
2.7.1 Onboard Real-Time Processing	29
2.8 Hyperspectral Sensing and Imaging	31
2.8.1 Brief Overview	31
2.8.2 Hypercube Formation	32
2.8.3 Components	35

2.8.4 Advantages	36
2.8.5 Limitations	37
2.9 Analysis of Hyperspectral Images	37
2.9.1 Calibration	38
2.9.2 Pre-processing	38
2.9.3 Spectral Data Analysis	39
2.9.4 Image Processing	39
2.10 Application of HSI to Food Quality and Safety	40
2.10.1 Defect Detection	40
2.10.2 Bruise Detection	41
2.10.3 Disease Detection	41
2.10.4 Contaminant Detection	42
2.10.5 Quality Evaluation	42
2.11 Summary	44
<b>3. REASERCH METHODOLOGY</b>	<b>46</b>
3.1 Introduction	46
3.2 Concepts and Characteristics	47
3.3 Hardware Architecture	47
3.3.1 Select Sensor	48
3.3.2 Operating of Imaging Spectrograph	53
3.3.3 Select Objective Lens	59
3.3.4 Mounting Objective Lens	63
3.3.5 Connecting ImSpector to CCD camera	65
3.3.6 Frame grabber and Camera Interface Options	66
3.3.7 Illumination	72
3.3.8 Stages and Platform	77
3.3.9 Computer System	78
3.4 Software Architecture	81
3.4.1 Camera Parameters	81
3.4.2 Capture and Frame rate Settings:	87
3.4.3 Examples of how to use Capture timers and counters:	89
3.4.4 Key features of Image Acquisition Toolbox	90
3.5 List Prices of whole system:	91
3.6 Laboratory Work	93
3.6.1 Alignment	94
3.6.2 Adjustment	95
3.6.3 Characterization	95
3.6.4 Calibration	96
3.7 Materials and Methods	98

3.7.1 Sample Preparation	98
3.7.2 Image Acquisition	98
3.7.3 Acquiring Real-Time Ground based Hyperspectral Images	101
3.8 Image Processing and Analysis	105
3.8.1 Reflectance Calibration	105
3.9 Summary	105
<b>4. RESULTS AND DISCUSSION</b>	107
4.1 Introduction	107
4.2 Developing a Graphical User Interface	109
4.3 Image Processing Toolbox	112
4.3.1 Color Transformation	113
4.3.2 Image Enhancement	113
4.3.3 Image Registration	113
4.3.4 Image Segmentation	114
4.3.5 Image Analysis	115
4.4 The key capabilities are described below:	115
4.5 Example of a hyperspectral system for early detection of bruise damage	117
4.5.1 Experimental Results and Evaluation	118
4.5.2 Histogram Filter	120
4.5.3 Homogeneity Edge Detector	123
4.5.4 Difference Edge Detector	126
4.5.5 Sobel Edge Detector	127
4.5.6 Canny Edge Detector	129
4.5.7 Bayer Dithering Thresholding Filter	133
4.5.8 Otsu Thresholding Filter	135
4.6 Summary	136
<b>5. CONCLUSION AND FUTURE WORKS</b>	138
5.1 Research Summary	138
5.2 Evaluation of the Contributions	141
5.3 Futures Work	142
<b>REFERENCES</b>	144
<b>BIODATA OF THE STUDENT</b>	156
<b>LIST OF PUBLICATIONS</b>	157