



UNIVERSITI PUTRA MALAYSIA

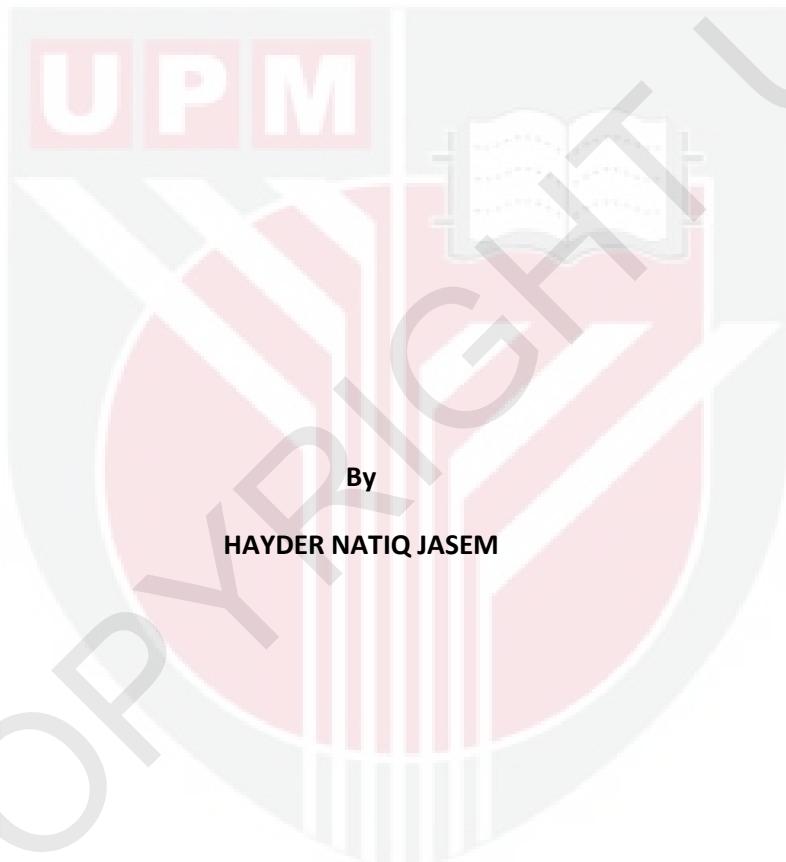
***PERFORMANCE ENHANCEMENT OF AIMD ALGORITHM
FOR CONGESTION AVOIDANCE AND CONTROL***

HAYDER NATIQ JASEM

FSKTM 2011 34

PERFORMANCE ENHANCEMENT OF AIMD ALGORITHM

FOR CONGESTION AVOIDANCE AND CONTROL



Thesis submitted to the School of Graduate Studies, University Putra Malaysia, in

Fulfillment of the Requirements for the Degree of Doctor of Philosophy

August 2011

Abstract of thesis presented to the Senate of University Putra Malaysia in fulfilment of
the requirement for the degree of Doctor of Philosophy

**PERFORMANCE ENHANCEMENT OF AIMD ALGORITHM
FOR CONGESTION AVOIDANCE AND CONTROL**

By

HAYDER NATIQ JASEM

August 2011

Chairman: Zuriati Ahmad Zukarnain, PhD.

Faculty: Computer Science and Information Technology.

Computer networks have revolutionized the human life in the end of the last century.

Two major technical differences between telephone communication and the computer networks are as follows. First, telephones use a dedicated physical wire for each communication between two parties (circuit switched network). In contrast, computers share this physical line between many – unlimited, at least theoretically – computers (packet switch network). Secondly, telephone communication is used only for voice messages while the packets in packet switch network can contain any kind of information, even voice messages.

It should be obvious that these automatic robots (computers) need specific rules and regulations (protocols) to be able to communicate, interleaving data, through a single connection channel (e.g. bottleneck link). These protocols have been developed and

improved since the advent of the Internet. One major issue arose in 80s when routers got congested and the connections collapsed because they were pushed to their limits by the competing robots for connection. Since then, many mechanisms have been suggested and developed to solve this problem. New applications in computers and their specific requirements for communication have even added more complexity to this issue. Hence, more work needs to be done.

Additive Increase Multiplicative decrease (AIMD) is one of the best principles offered to solve this problem. This study is also in this field and offers an enhanced version of this mechanism. The contribution of this research is to improve the performance of AIMD in terms of efficiency (the network utilization) and fairness. National Chiao Tung University's network simulation (NCTUNs) has been used in this development to compare the new algorithm with the older versions and determine its advantages over the older versions.

In addition to theoretical (mathematical) proof and to provide laboratory confirmation, this research has also used NCTUNs to conduct tests and experiments to measure different performance metrics of the new algorithm such as end-to-end delay, link utilization, efficiency and fairness, and compared the results with the results of the same tests on older versions. The results have shown improvement in all aspects particularly the major ones, efficiency and fairness over the previous algorithms.

Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia

sebagai memenuhi keperluan untuk ijazah Doktor Falsafah

**PERFORMANCE ENHANCEMENT OF AIMD ALGORITHM
FOR CONGESTION AVOIDANCE AND CONTROL**

Oleh

HAYDER NATIQ JASEM

August 2011

Pengerusi: Zuriati Ahmad Zukarnain, PhD.

Fakulti: Sains Komputer dan Teknologi Maklumat.

Rangkaian komputer telah merevolusi kehidupan manusia pada akhir abad lalu. Dua perbezaan teknikal utama antara komunikasi telefon dan rangkaian komputer adalah sebagai berikut. Pertama, telefon menggunakan kawat fizikal khusus untuk setiap komunikasi antara dua pihak (circuit switched rangkaian). Sebaliknya, komputer membahagi garis fizikal antara banyak - tak terbatas, sekurang-kurangnya secara teori - komputer (rangkaian pakej switch). Kedua, komunikasi telefon hanya digunakan untuk mel suara apabila pakej dalam rangkaian pakej switch boleh mengandungi pelbagai macam maklumat, bahkan mel suara.

Harus jelas bahawa robot automatik (komputer) perlu Peraturan dan peraturan peraturan (protokol) untuk dapat berkomunikasi, interleaving data, melalui saluran Sambungan perseorangan (link bottleneck misalnya). Protokol ini telah dibangunkan

dan dipertingkatkan sejak munculnya internet. Salah satu isu utama terangsang pada tahun 80-an ketika router mendapat padat dan sambungan runtuh kerana mereka didorong ke batas mereka oleh robot bersaing untuk sambungan. Sejak itu, pelbagai mekanisme telah dicadangkan dan dibangunkan untuk menyelesaikan masalah ini. aplikasi baru di komputer dan keperluan khusus mereka untuk komunikasi bahkan telah menambah kompleksitas yang lebih tinggi untuk masalah ini. Oleh kerana itu, bekerja lebih perlu dilakukan.

Kenaikan Aditif penurunan multiplikatif (AIMD) adalah salah satu prinsip terbaik ditawarkan untuk mengatasi masalah ini. Kajian ini juga dalam bidang ini dan menawarkan peningkatan versi dari mekanisme ini. Sumbangan daripada kajian ini adalah untuk meningkatkan prestasi AIMD dalam hal kecekapan (pemanfaatan rangkaian) dan keadilan. Simulasi rangkaian Nasional Chiao Tung Universiti (NCTUns) telah digunakan dalam pembangunan ini untuk membandingkan algoritma baru dengan versi yang lebih tua dan menarik keuntungan atas versi lama.

Selain teori (matematik) bukti dan untuk memberikan pengesahan makmal, kajian ini juga digunakan NCTUns untuk melakukan ujian dan percubaan untuk mengukur metrik prestasi yang berbeza dari algoritma baru seperti delay end-to-end, utilisasi link, kecekapan dan keadilan dan membandingkan hasil dengan keputusan ujian yang sama pada versi yang lebih tua. Keputusan kajian menunjukkan peningkatan dalam semua aspek khususnya yang utama, kecekapan dan keadilan atas algoritma sebelumnya.

ACKNOWLEDGMENTS

In the name of ALLAH, the most gracious and merciful.

I thank ALLAH who gave me the patience and the strength during this period of study.

After that, I would like to give my thanks to my supervisor, Dr. Zuriati Ahmad Zukarnain, for providing assistance and guidance to me to complete this research. As well as I would like to thank the members of the supervisory committee, Prof. Dr. Mohamed Othman and Dr. Shamala Subramaniam, to give me their precious time to help me and make this research as better.

I would like to thank my wife and my children for their patience with me in the difficulties of the study period. Also I like to give my thanks to my parents.

And I would like to say to all the people at University Putra Malaysia 'Thank you very much' for your help and support for me in my study.

HAYDER NATIQ JASEM

I certify that a thesis Examination Committee has met on 18 August 2011 to conduct the final examination of Hayder Natiq Jasem on his thesis entitled “Performance enhancement of AIMD algorithm for congestion avoidance and control” in accordance with the Universities and University Colleges Act 1971 and Constitution of the Universiti Putra Malaysia [P.U.(A) 106] 15 March 1998. The Committee recommends that the student be awarded the Doctor of Philosophy. Members of the Thesis Examination Committee were as follows:

Abdul Azim b Abd Ghani, PhD

Professor

Faculty of Computer Science and Information Technology

Universiti Putra Malaysia

(Chairman)

Ali b Mamat, PhD

Associate Professor

Faculty of Computer Science and Information Technology

Universiti Putra Malaysia

(Internal Examiner)

Ramlan b Mahmud, PhD

Professor

Faculty of Computer Science and Information Technology

Universiti Putra Malaysia

(Internal Examiner)

Mike Wood Ward, PhD

Professor

Department of Computing

School of Information

University of Bradford

(External Examiner)

NORITAH OMAR, PhD

Associate Professor and Deputy Dean

School of Graduate Studies

Universiti Putra Malaysia

Date:

This thesis was submitted to the senate of Universiti Putra Malaysia and has been accepted as fulfillment of the requirement for the degree of Doctor of Philosophy. The members of the Supervisory Committee were as follows:

Zuriati Ahmad Zukarnain, PhD

Senior Lecturer

Faculty of Computer Science and Information Technology

Universiti Putra Malaysia

(Chairman)

Mohamed Othman, PhD

Professor

Faculty of Computer Science and Information Technology

Universiti Putra Malaysia

(Member)

Shamala Subramaniam, PhD

Senior Lecturer

Faculty of Computer Science and Information Technology

Universiti Putra Malaysia

(Member)

HASANAH MOHD GHAZALI, PhD

Professor and Dean

School of Graduate Studies

Universiti Putra Malaysia

Date:

DECLARATION

I declare that the thesis is my original work except for quotations and citations which have been duly acknowledged. I also declare that it has not been previously, and is not concurrently, submitted for any other degree at University Putra Malaysia or at any other institution.

HAYDER NATIQ JASEM

Date:

TABLE OF CONTENTS

	Page
ABSTRACT	ii
ABSTRAK	iv
ACKNOWLEDGEMENTS	vi
APPROVAL	vii
DECLARATION	viii
LIST OF TABLES	xii
LIST OF FIGURES	xiii
LIST OF ABBREVIATION	xvi
 CHAPTER	
1 INTRODUCTION	1
1.1. Background and Motivation	1
1.2. Problem Statement	5
1.3. Research Objectives	9
1.4. Research Scope	10
1.5. Research Contributions	12
1.6. Thesis Organization	14
1.7. Summary	16
2 LITERATURE REVIEW	17
2.1. Introduction	17
2.2. Brief Historical Overview	19
2.3. Transmission Control Protocol	19
2.3.1 The Structure of the TCP Segment	23
2.3.2. Sequence Numbers and Acknowledgement Number	25
2.4. States of the TCP Congestion Control	28
2.4.1. Slow Start State	29
2.4.2. Congestion Avoidance State	30
2.4.3. The Exponential Back-Off State	30
2.4.4. Fast Recovery State	32
2.5. The TCP and AIMD	33
2.5.1. TCP TAHOE	33
2.5.2. TCP RENO	36
2.5.3. TCP SACK	39
2.5.4. TCP NEW-RENO	40
2.5.5. TCP VEGAS	41
2.6. Congestion Window-based Control	44
2.7. The Network Model and AIMD Algorithm	45
2.8. The Additive Increase Multiplicative Decrease in TCP	50
2.8.1. AIMD with the Congestion Problem	51

2.8.2.	Principle of AIMD Algorithm	52
2.8.3.	The AIMD Control Algorithm	55
2.9.	Active Queue Management (AQM)	60
2.9.1.	Droptail AQM	61
2.10.	Routing Information Protocol	62
2.11.	Performance Metrics	63
2.12.	Summary	65
3	RESEARCH METHODOLOGY	67
3.1.	Introduction	67
3.2.	Architecture of a Modern Communication Network	68
3.3.	Congestion in Modern Communication Systems	69
3.4.	The New Approach of AIMD Algorithm (New-AIMD)	72
3.4.1.	Algorithm correctness	74
3.5.	Evaluation of Simulation Results on NCTU Network Simulator	86
3.5.1.	Analysis of NCTU Network Simulator	87
3.5.2.	Unique and Important Feature of NCTUNs	90
3.5.3.	Dumbbell Network Topology	94
3.6.	Methods of Studying and Evaluation the Performance of New-AIMD Algorithm	95
3.7.	Performance Metrics	99
3.8.	Summary	104
4	RESULTS AND DISCUSSION	105
4.1.	Introduction	105
4.2.	The Efficiency with the New-AIMD Algorithm	106
4.3.	Fairness in Computer Networks	107
4.3.1.	Bottleneck Link and Fairness	109
4.3.2.	Criteria of Evaluating Fairness	110
4.4.	Experiment Set-Up for Evaluation AIMD Algorithms within TCP-SACK	113
4.5.	Simulation Results and Discussion of the Efficiency and Fairness	114
4.5.1.	Results and Discussion of the First Scenario	114
4.5.2.	Results and Discussion of the Second Scenario	119
4.6.	Delays	127
4.6.1.	Causes of Delay	128
4.6.2.	Congestion Delay	129
4.7.	Network Utilization	130
4.8.	Simulation Results and Discussion of the Delay and Bottleneck Link Utilization	132
4.8.1.	Results and Discussion of the First Scenario	132
4.8.2.	Results and Discussion of the Second Scenario	138
4.9.	Summary	143

5	CONCLUSION AND RECOMMENDATIONS FOR FUTURE RESERCH	145
5.1.	Conclusion	145
5.1.1.	The Limitation	148
5.2.	Recommendation for Future Research	148
REFERENCES		150
APPENDIX		159
A	The Pseudo-code of New-AIMD	159
B	The Analysis of New-AIMD	168
C	The NCTUns Network Simulator	177
BIODATA OF STUDENT LIST OF PUBLICATIONS		184
		185