



**UNIVERSITI PUTRA MALAYSIA**

**DETECTION OF HEAVY METAL IONS IN SOLUTION USING  
SURFACE PLASMON RESONANCE OPTICAL SENSOR**

**YAP WING FEN**

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SURFACE PLASMON RESONANCE OPTICAL SENSOR**



**Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia,  
in Fulfilment of the Requirements for the Degree of Doctor of Philosophy**

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of the requirement for the degree of Doctor of Philosophy

**DETECTION OF HEAVY METAL IONS IN SOLUTION USING  
SURFACE PLASMON RESONANCE OPTICAL SENSOR**

By

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**January 2012**

**Chairman : Professor W. Mahmood Mat Yunus, PhD**

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The sensitive, selective and cost-effective method for detection of heavy metal ions in solution is very important in environmental application. Therefore in this study, surface plasmon resonance (SPR) optical sensor has been proposed as an alternative for detection of heavy metal ions ( $Hg^{2+}$ ,  $Cu^{2+}$ ,  $Pb^{2+}$ ,  $Zn^{2+}$  and  $Mn^{2+}$ ) in solution by developing a chitosan based active layer.

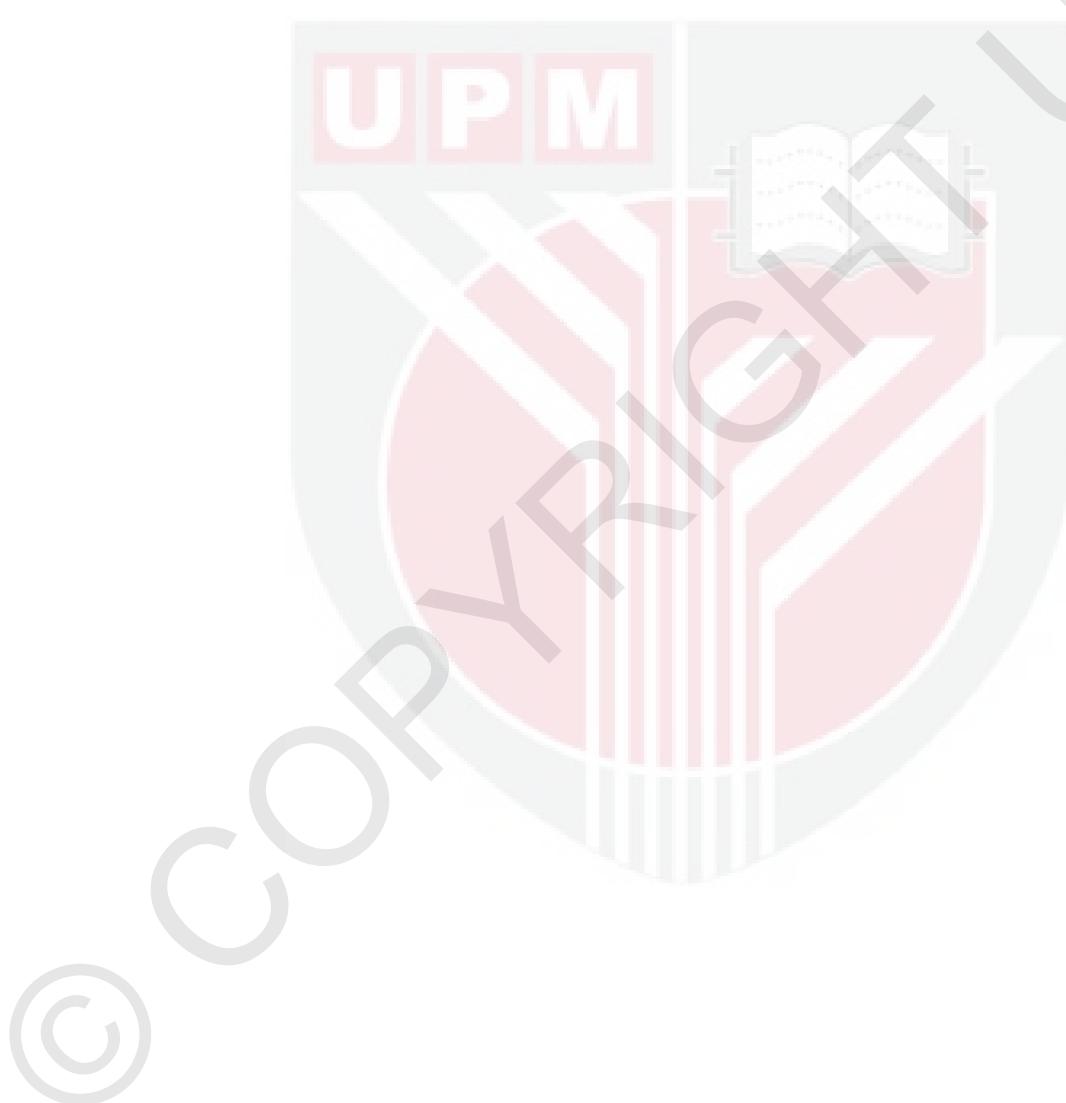
The optical properties of heavy metal ions were studied using Kretschmann SPR technique, where gold thin film was used as the interface. Both real and imaginary part of refractive index of the heavy metal ions solution increased with the concentration. This result reveals the basic idea such that SPR can be used to detect heavy metal ions in solution.

In order to enhance the sensitivity for SPR in sensing these heavy metal ions, a thin chitosan based active layer is introduced. The chitosan solution was synthesized by homogeneous reaction of medium molecular weight chitosan in aqueous acetic acid solution with glutaraldehyde as crosslinking agent. It was deposited on the gold layer by spin coating. The optical properties of the chitosan active layer before and after contacting with different concentration of heavy metal ions ranging 0.5 to 100 ppm were studied by fitting the experimental results to theoretical data. The results also show that the shift of resonance angle is directly proportional to the concentration of all heavy metal ions solution. The sensitivity of this heavy metal ions optical sensor is in the range of  $10^{-3} \text{ }^{\circ} \text{ ppm}^{-1}$ , which follows the order:  $\text{Hg}^{2+} > \text{Cu}^{2+} > \text{Pb}^{2+} > \text{Zn}^{2+} > \text{Mn}^{2+}$ . In this case, the gold/chitosan interface in SPR technique is sensitive with detection limit of 0.5 ppm.

The kinetic behaviour of the heavy metal ions on the surface of gold/chitosan was studied by monitoring the self-assembling process in real time. The shift of resonance angle was found to increase exponentially with time, for all concentration of heavy metal ions in the range of 0.5 to 100 ppm, and reach to a saturation value. At low concentration (5 ppm and below), the kinetic reaction is complete in about 100 s. A more complex kinetic profile was observed and complete at approximately 500 s for higher concentration (more than 5 ppm).

It is difficult to detect a specific heavy metal ion optically since all heavy metal ions solution are transparent and have similar refractive index when they are at low concentration. Therefore, the modification of the active layer was investigated so that it can selectively detect a specific heavy metal ion and also increase the sensitivity of

the detection. The immobilization of p-tert-butylcalix[4]arene-tetrakis in the chitosan thin film has been used. In this case,  $\text{Pb}^{2+}$  can be selectively detected with increased sensitivity of  $0.045^\circ \text{ ppm}^{-1}$  and detection limit has been improved down to 0.03 ppm. The immobilization of tetrabutyl thiuram disulfide in chitosan as active layer gives the selective detection of  $\text{Zn}^{2+}$  with sensitivity of  $0.032^\circ \text{ ppm}^{-1}$  and detection limit of 0.1 ppm.



Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai  
memenuhi keperluan untuk ijazah Doktor Falsafah

**PENGESANAN ION-ION LOGAM BERAT DALAM LARUTAN DENGAN  
MENGGUNAKAN SENSOR OPTIK RESONAN PLASMON PERMUKAAN**

By

**YAP WING FEN**

**Januari 2012**

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Kaedah sensitif, selektif dan kos efektif bagi pengesanan ion-ion logam berat dalam larutan adalah sangat penting dalam aplikasi alam sekitar. Oleh yang demikian dalam kajian ini, sensor optik resonan plasmon permukaan (SPR) telah dicadangkan sebagai alternatif untuk mengesan ion-ion logam berat ( $Hg^{2+}$ ,  $Cu^{2+}$ ,  $Pb^{2+}$ ,  $Zn^{2+}$  dan  $Mn^{2+}$ ) dalam larutan dengan membangunkan satu lapisan aktif yang berasaskan kitosan.

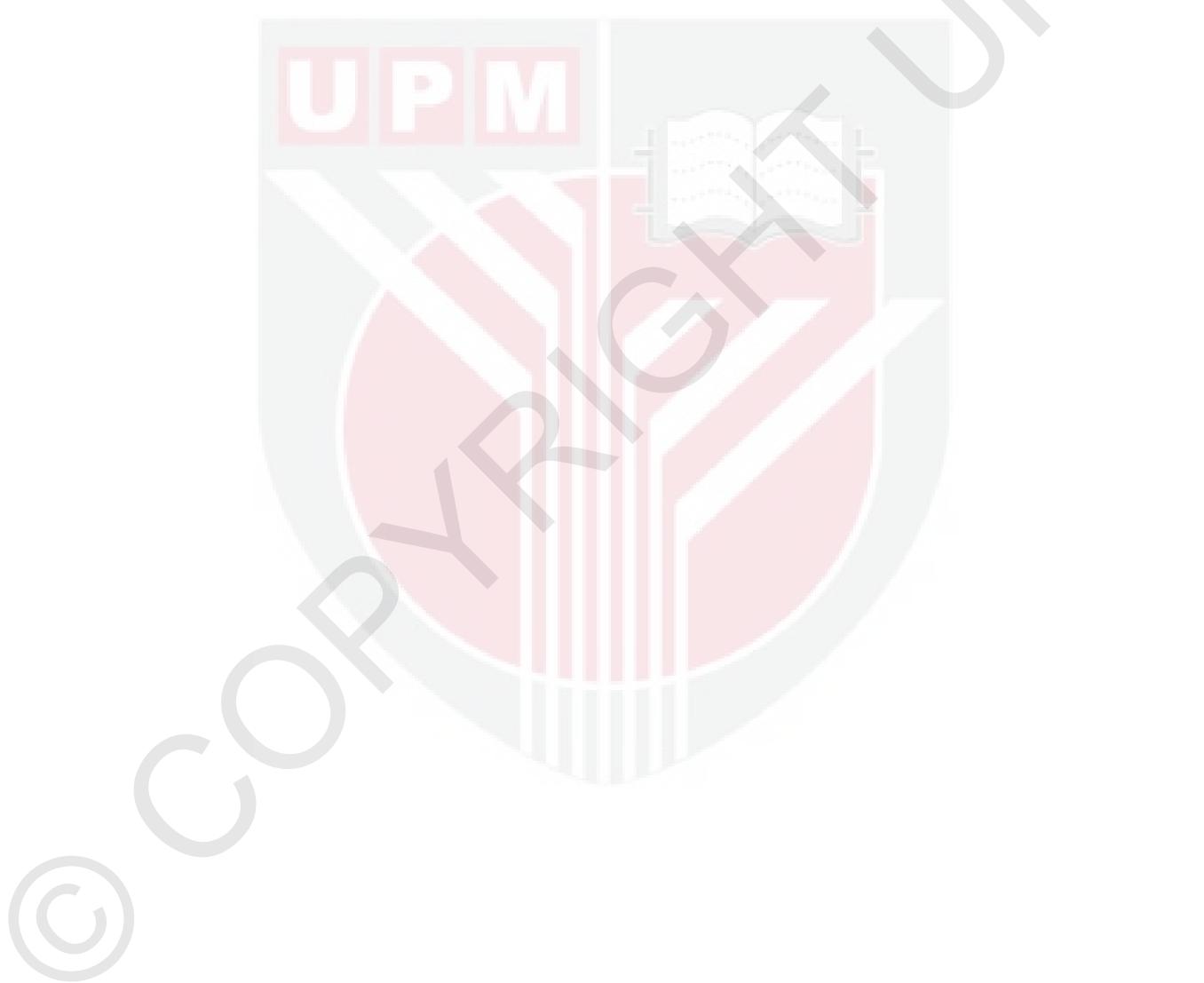
Sifat optik ion-ion logam berat telah dikaji dengan menggunakan teknik SPR Kretschmann, di mana filem nipis emas digunakan sebagai muka perantara. Kedua-dua nilai bahagian nyata dan khayalan indeks biasan larutan ion-ion logam berat meningkat dengan kepekatan. Keputusan ini menunjukkan idea asas bahawa SPR boleh digunakan untuk mengesan ion-ion logam berat di dalam larutan.

Untuk meningkatkan kepekaan SPR dalam pengesanan ion-ion logam berat ini, satu lapisan nipis aktif yang berasaskan kitosan telah diperkenalkan. Larutan kitosan telah disintesis dengan menggunakan tindak balas homogen kitosan berjisim molekul sederhana dalam larutan akueus asid asetik dengan glutaraldehid sebagai ejen penyilang. Ia disalutkan ke atas lapisan nipis emas dengan menggunakan salutan putaran. Ciri-ciri optik lapisan aktif kitosan sebelum dan selepas berhubung dengan ion-ion logam berat berlainan kepekatan yang berjulat 0.5 hingga 100 ppm telah dikaji dengan memuatkan keputusan eksperimen kepada data teori. Keputusan juga menunjukkan bahawa anjakan sudut resonan adalah berkadar terus dengan kepekatan larutan ion-ion logam berat. Kepekaan sensor optik ion-ion logam berat ini adalah dalam julat  $10^{-3} \text{ }^\circ \text{ ppm}^{-1}$ , yang mengikut turutan:  $\text{Hg}^{2+} > \text{Cu}^{2+} > \text{Pb}^{2+} > \text{Zn}^{2+} > \text{Mn}^{2+}$ . Dalam kes ini, perantara muka emas/kitosan dalam teknik SPR adalah sensitif dengan had pengesanan 0.5 ppm.

Perlakuan kinetik ion-ion logam berat pada permukaan emas/kitosan telah dikaji dengan memantau proses berkumpul sendiri dalam masa nyata. Anjakan sudut resonan didapati meningkat secara eksponen dengan masa, bagi semua kepekatan ion logam berat dalam julat 0.5 hingga 100 ppm, dan mencapai satu nilai ketepuan. Pada kepekatan rendah (5 ppm dan kurang), reaksi kinetik adalah lengkap pada kira-kira 10 s. Profil kinetik yang lebih kompleks diperhatikan dan lengkap pada kira-kira 500 s untuk kepekatan yang lebih tinggi (lebih daripada 5 ppm).

Ia adalah sukar untuk mengesan ion logam berat secara optik kerana semua larutan ion logam berat adalah lutsinar dan mempunyai indeks biasan yang sama apabila mereka berada dalam kepekatan yang rendah. Oleh itu, pengubahsuaian lapisan aktif

dikaji supaya ia dapat mengesan ion logam berat yang khusus secara terpilih dan juga meningkatkan kepekaan pengesan. Imobilisasi “p-tert-butylcalix[4]arene-tetrakis” dalam filem nipis kitosan telah digunakan. Dalam kes ini,  $Pb^{2+}$  boleh dikesan secara terpilih dengan peningkatan kepekaan  $0.045^\circ \text{ ppm}^{-1}$  dan had pengesan telah bertambah baik kepada 0.03 ppm. Imobilisasi “tetrabutyl thiuram disulfide” dalam kitosan sebagai lapisan aktif memberikan pengesan terpilih  $Zn^{2+}$  dengan kepekaan  $0.032^\circ \text{ ppm}^{-1}$  dan had pengesan 0.1 ppm.



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This thesis was submitted to the Senate of Universiti Putra Malaysia and has been accepted as fulfilment of the requirement for the degree of Doctor of Philosophy. The members of the Supervisory Committee were as follows:

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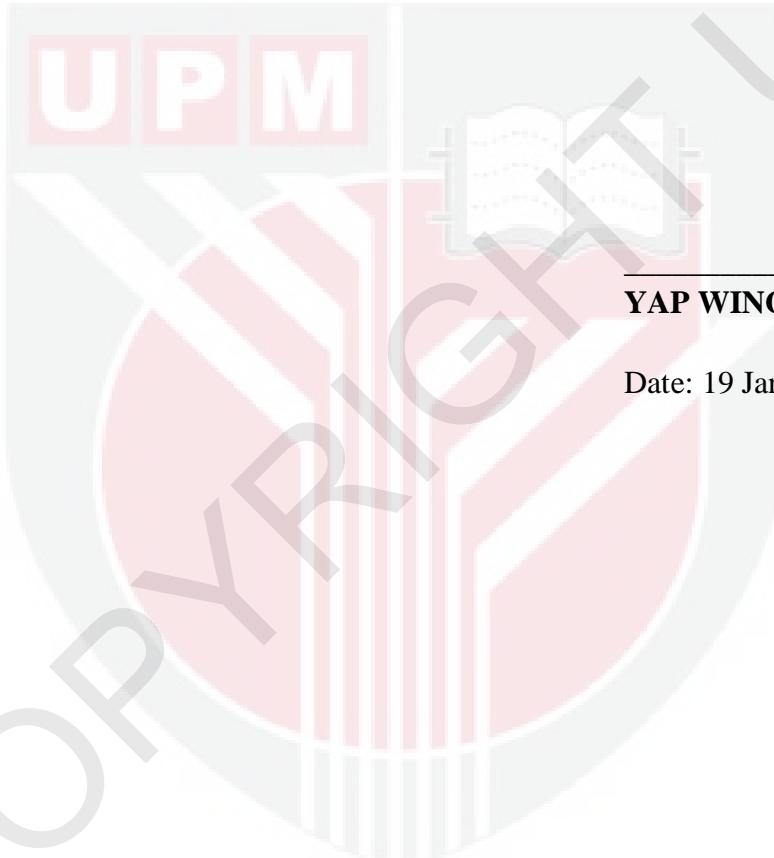
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## **DECLARATION**

I declare that the thesis is my original work except for quotations and citations which have been duly acknowledged. I also declare that it has not been previously, and is not concurrently, submitted for any other degree at Universiti Putra Malaysia or at any other institution.



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**YAP WING FEN**

Date: 19 January 2012

## TABLE OF CONTENTS

	Page
<b>ABSTRACT</b>	ii
<b>ABSTRAK</b>	iv
<b>ACKNOWLEDGEMENTS</b>	vi
<b>APPROVAL</b>	vii
<b>DECLARATION</b>	viii
 <b>CHAPTER</b>	
<b>1 INTRODUCTION</b>	1
1.1 Heavy Metals in the Environment	1
1.2 Surface Plasmon Resonance	5
1.2.1 Basic Principle	5
1.2.2 Prism Coupler	6
1.2.3 Metal Layer	8
1.2.4 Active Layer	8
1.2.5 Chitosan as an Active Layer	9
1.3 Problem Statement	10
1.4 Objectives	11
1.5 Chapter Organization	11
<b>2 LITERATURE REVIEW</b>	12
2.1 Review on Conventional Methods for Heavy Metal Ions Detection	12
2.2 Background of Surface Plasmon Resonance	14
2.3 Surface Plasmon Resonance as an Optical Sensor for Heavy Metal Ions	16
2.4 Surface Plasmon Resonance for Detection of Other Pollutants and Biomedical Analytes	22
<b>3 THEORETICAL</b>	24
3.1 Surface Plasmon Resonance	24
3.1.1 Surface Electromagnetic Waves at Two Media Interface	24
3.1.2 Surface Plasmon Resonance Scattering	27
3.1.3 Angle Dependence of the Reflectivity of Surface Plasmon Resonance	28
3.1.4 Multilayer Thin Films	30
3.2 Real Time Interaction Analysis	31
<b>4 MATERIALS AND METHODS</b>	32
4.1 Materials and Reagents	32
4.2 Preparation of Gold Thin Film	33
4.3 Preparation of Active Layer Thin Film	33

4.4	Preparation of Sample	34
4.5	Surface Plasmon Resonance System	35
4.5.1	Modulated Beam System	36
4.5.2	Sample Cell	36
4.5.3	Data Acquisition	37
4.5.4	Fitting Experimental Data to the Theoretical Data	38
4.6	X-ray Photoelectron Spectroscopy	38
4.7	Atomic Force Microscopy	40
4.8	Field Emission Scanning Electron Microscopy	40
4.9	Principle of Heavy Metal Ions Detection	41
<b>5</b>	<b>CHARACTERIZATION OF THE OPTICAL PROPERTIES OF HEAVY METAL IONS USING SURFACE PLASMON RESONANCE TECHNIQUE</b>	<b>42</b>
	Article 1 ( <i>Published in Optics and Photonics Journal, Vol. 1, No. 3, 2011</i> )	42
	Acceptance letter / Copyright permission	59
<b>6</b>	<b>OPTICAL PROPERTIES OF CROSSLINKED CHITOSAN THIN FILM WITH GLUTARALDEHYDE USING SURFACE PLASMON RESONANCE TECHNIQUE</b>	<b>61</b>
	Article 2 ( <i>Published in American Journal of Engineering and Applied Sciences, Vol. 4, No. 1, 2011</i> )	61
	Acceptance letter / Copyright permission	74
<b>7</b>	<b>X-RAY PHOTOELECTRON SPECTROSCOPY AND ATOMIC FORCE MICROSCOPY STUDIES ON CROSSLINKED CHITOSAN THIN FILM</b>	<b>76</b>
	Article 3 ( <i>Published in International Journal of Physical Sciences, Vol. 6, No. 11, 2011</i> )	76
	Acceptance letter / Copyright permission	86
<b>8</b>	<b>SURFACE PLASMON RESONANCE OPTICAL SENSOR FOR MERCURY ION DETECTION BY CROSSLINKED CHITOSAN THIN FILM</b>	<b>88</b>
	Article 4 ( <i>Published in Journal of Optoelectronics and Advanced Materials, Vol. 13, No. 3, 2011</i> )	88
	Acceptance letter / Copyright permission	107
<b>9</b>	<b>DETECTION OF MERCURY AND COPPER IONS USING SURFACE PLASMON RESONANCE OPTICAL SENSOR</b>	<b>109</b>
	Article 5 ( <i>Published in Sensors and Materials, Vol. 23, No. 6, 2011</i> )	109
	Acceptance letter / Copyright permission	125

<b>10</b>	<b>OPTICAL PROPERTIES OF CROSSLINKED CHITOSAN THIN FILM AS COPPER ION DETECTION USING SURFACE PLASMON RESONANCE TECHNIQUE</b>	<b>127</b>
	Article 6 ( <i>Accepted to be published in Optica Applicata</i> )	127
	Acceptance letter	147
<b>11</b>	<b>EVIDENCE OF CU(II) ION INTERACTION IN CROSSLINKED CHITOSAN THIN FILM FROM X-RAY PHOTOELECTRON SPECTROSCOPY AND FIELD EMISSION SCANNING ELECTRON MICROSCOPY</b>	<b>148</b>
	Article 7 ( <i>Accepted to be published in Journal of Materials Science and Engineering B</i> )	148
	Acceptance letter	161
<b>12</b>	<b>SURFACE PLASMON RESONANCE OPTICAL SENSOR FOR DETECTION OF ESSENTIAL HEAVY METAL IONS WITH POTENTIAL FOR TOXICITY: COPPER, ZINC AND MANGANESE IONS</b>	<b>162</b>
	Article 8 ( <i>Accepted to be published in Sensor Letters</i> )	162
	Acceptance letter	184
<b>13</b>	<b>ANALYSIS OF PB(II) ION SENSING BY CROSSLINKED CHITOSAN THIN FILM USING SURFACE PLASMON RESONANCE SPECTROSCOPY</b>	<b>185</b>
	Article 9 ( <i>Accepted to be published in Optik</i> )	185
	Acceptance letter	210
<b>14</b>	<b>REAL-TIME MONITORING OF LEAD ION INTERACTION ON GOLD/CHITOSAN SURFACE USING SURFACE PLASMON RESONANCE SPECTROSCOPY</b>	<b>211</b>
	Article 10 ( <i>Submitted to Indian Journal of Physics</i> )	211
	Acknowledgement letter	222
<b>15</b>	<b>SURFACE PLASMON RESONANCE OPTICAL SENSOR FOR DETECTION OF LEAD ION BASED ON IMMOBILIZED P-TERT-BUTYLCALIX[4]ARENE-TETRAKIS IN CHITOSAN THIN FILM AS AN ACTIVE LAYER</b>	<b>223</b>
	Article 11 ( <i>Submitted to Sensors and Actuators B: Chemical</i> )	223
	Acknowledgement letter	238

<b>16</b>	<b>IMMOBILIZATION OF TETRABUTYL THIURAM DISULFIDE IN CHITOSAN THIN FILM FOR SENSING ZINC ION USING SURFACE PLASMON RESONANCE TECHNIQUE</b>	<b>239</b>
	Article 12 ( <i>Submitted to Sensors and Materials</i> )	239
	Acknowledgement letter	251
<b>17</b>	<b>SUMMARY, GENERAL CONCLUSION AND RECOMMENDATION FOR FUTURE RESEARCH</b>	<b>252</b>
	17.1 Summary and General Conclusion	252
	17.2 Recommendation for Future Research	254
	<b>REFERENCES</b>	<b>255</b>
	<b>OTHER PUBLICATIONS</b>	<b>271</b>
	<b>BIODATA OF STUDENT</b>	<b>272</b>