



**UNIVERSITI PUTRA MALAYSIA**

**CODE HERO IN ERNEST HEMINGWAY'S  
A FAREWELL TO ARMS AND THE SUN ALSO RISES**

**ATHAREH SHAHSAVARI GOUGHARI**

**FBMK 2010 24**



**CODE HERO IN ERNEST HEMINGWAY'S  
*A FAREWELL TO ARMS AND THE SUN ALSO RISES***

**By**

**ATHAREH SHAHSAVARI GOUGHARI**

**Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia, in  
Fulfilment of the Requirements for the Degree of Master of Arts**

**August 2010**



Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfilment  
of the requirement for the degree of Master of Arts

**CODE HERO IN ERNEST HEMINGWAY'S  
*A FAREWELL TO ARMS AND THE SUN ALSO RISES***

By

**ATHAREH SHAHSAVARI GOUGHARI**

**August 2010**

**Chairman: Arbaayah Ali Termizi, PhD**

**Faculty: Modern Languages and Communication**

This research looks at Ernest Hemingway's *A Farewell to Arms* and *The Sun Also Rises* in order to explore the specific characteristics found in Hemingway's code hero as these heroes share certain attitudes and characteristics found in all Hemingway's novels. This project wants to illustrate the Phillip Young's concept of code hero in Hemingway's selected novels as he is the first scholar who has created characteristics Hemingway's code hero for the first time. He has named these heroes "code hero" due to certain characteristics such as sense of nothingness, grace under pressure, courage and dignity that recur through all these code heroes. By introducing this type of hero, Hemingway has added a new branch to the theory of heroism. By using heroism theory this project wants to illustrate the fundamental similarities and differences of this new type of hero with other definitions in heroism theory. This research will next examine the complexities and integrities found in Hemingway's code hero. Due to direct relationship between Hemingway's background and his code heroes, this thesis also discusses how Hemingway tries to foreshadow his own life and moralities in his



code heroes and will relate the creation of this certain hero to the characteristics of Hemingway's spiritual life. This research also examines how Hemingway throughout depiction of his code hero and especially with the description of nada conception highlights the pessimistic view of the code hero and shows the position of early modern man in civilized society.



Abstrak tesis yang diajukan kepada Senat Universiti Putra Malaysia sebagai sebahagian keperluan untuk ijazah Master Sastera

**HERO PASCAPERANG DALAM NOVEL ERNEST HEMINGWAY  
*A FAREWELL TO ARMS AND THE SUN ALSO RISES***

Oleh

**ATHAREH SHAHSAVARI GOUGHARI**

**August 2010**

**Pengerusi: Arbaayah Ali Termizi, Ph. D**

**Fakulti: Bahasa Moden dan Komunikasi**

Kajian ini menyelidiki novel Ernest Hemingway: *A Farewell to Arms* dan *The Sun Also Rises*, untuk mengenal pasti ciri khusus hero Hemingway. Projek ini berharap dapat menjelaskan konsep kod hero Phillip Young dalam novel pilihan Hemingway. Young telah menamakan hero Hemingway berdasarkan beberapa karekteristik, seperti konsepsi nada, kelembutan ketika tekanan, keberanian dan digniti yang sering wujud pada zaman Perang Dunia Pertama. Dengan memperkenalkan jenis hero sebegini Hemingway telah menambah satu lagi cabang baharu dalam teori heroisme. Oleh itu, projek ini berharap dapat menjelaskan karekteristik asas kod hero Hemingway berdasarkan konsep Phillip Young dan kajian ini juga bertujuan untuk memperkembang dan memperluas konsep ini dan menambah bahawa karektor wanita dan karektor sampingan juga mempunyai kesamaan dengan kod hero dalam novel Hemingway. Projek ini juga mengkaji kepelbagaian dan keutuhan kod hero Hemingway. Berdasarkan hubungan yang langsung antara latar belakang Hemingway dan kod hero, kajian juga membincangkan cara Hemingway membayangkan kehidupannya sendiri dan aspek moral pada kod heronya dan kecenderungan untuk mengaitkan maklumat



yang telah disebut terdahulu terhadap karekteristik kehidupan dan cara hidup Hemingway. Kajian ini juga mengkaji bagaimana Hemingway, melalui gambaran hero dan heroin beserta ciri khusus, terutama deskripsi konsepsi nada, menonjolkan keaburan dan kepesimistikan nada kehidupan dan perkara ini memperlihatkan keadaan manusia awal moden dalam masyarakat yang bertamadun.

## ACKNOWLEDGEMENT

I am ever grateful to the Almighty for being my guiding light throughout this thesis.

First of all, I am deeply indebted to my supervisor, Dr. Arbaayah Ali Termizi of the English Department. Her willingness in providing me with ample information and continuous support helps me through this journey.

I am also very grateful to Deputy Dean of Graduates Studies and International Affairs Associate Professor Dr Mardziah Hayati Abdullah. She showed me much kindness, warm encouragement and provided all help in the sphere of administration and academic matters. I am also grateful to Associate Professor Dr. Rosli Talif for valuable suggestions in reviewing the final draft for grammar and style.

I take this opportunity to thank my beloved husband, Kazem Naghavi and my devoted father, Rahmatollah Shahsavari, and my beloved mother, Tayebah Eslami who constantly stood by me ensuring success even during the most trying times in my life.

I also take this opportunity to thank my loving children Ali and Alma Naghavi who always inspire to me. Although it is impossible for me to mention all those who have extended me assistance in one form or another, I am nevertheless very much obligated to them.



## **APPROVAL**

I certify that an Examination Committee has met on **17 August 2010** to conduct the final examination of **Athareh Shahsavari Goghari** on her Master of Arts thesis entitled *Identifying Code Hero in Ernest Hemingway's A Farewell to Arms and The Sun Also Rises* in accordance with Universiti Pertanian Malaysia (Higher Degree) Act 1980 and Universiti Pertanian Malaysia (Higher Degree) Regulations 1981. The Committee recommends that the student be awarded the Master of Arts in English Literature. Members of the Examination Committee were as follows:

**Tan Bee Hoon, PhD**  
Associate Professor  
Faculty Modern Languages and Communication  
Universiti Putra Malaysia  
(Chairperson)

**Wan Roselezam binti Wan Yahya, PhD**  
Associate Professor  
Faculty Modern Languages and Communication  
Universiti Putra Malaysia  
(Internal Examiner)

**Washima binti Che Dan, PhD**  
Associate Professor  
Faculty Modern Languages and Communication  
Universiti Putra Malaysia  
(Internal Examiner).

**Carol Elizabeth Leon, PhD**  
Associate Professor  
English Department  
Faculty of Arts and Social Sciences  
University of Malaya  
(External examiner)

---

**BUJANG KIM HUAT, PhD**  
Professor and Deputy Dean  
School of Graduate Studies  
Universiti Putra Malaysia  
Date:





This thesis was submitted to the Senate of Universiti Putra Malaysia and has been accepted as fulfilment of the requirement for the degree of Master of Arts. Members of the Supervisory Committee were as follows:

**Arbaayah Ali Termizi , PhD**

Senior Lecturer

Faculty of Modern Languages and Communication  
Universiti Putra Malaysia  
(Chairman)

**Rosli Talif, PhD**

Associated Professor

Faculty of Modern Languages and Communication  
Universiti Putra Malaysia  
(Member)

---

**HASANAH MOHD GHAZALI, PhD**

Professor and Dean

School of Graduate Studies  
Universiti Putra Malaysia

Date:



## **DECLARATION**

I hereby declare that the thesis is based on my original work except for questions and citations which have been duly acknowledged. I also declare that it has not been previously, and is not concurrently, submitted for any other degree at Universiti Putra Malaysia or other institutions.

---

**ATHAREH SHAHSAVARI GOUGHARI**

**DATE: AUGUST 2010**



## TABLE OF CONTENTS

	Page
<b>ABSTRACT</b>	i
<b>ABSTRAK</b>	iii
<b>ACKNOWLEDGEMENTS</b>	v
<b>APPROVAL</b>	vi
<b>DECLARATION</b>	viii
<b>CHAPTER</b>	
<b>1 INTRODUCTION</b>	
1.1 Introduction	1
1.1.1 Nada Conception	5
1.1.2 Relationship of Nada Conception and Code Hero	7
1.2 Statement of the Problem	8
1.3 Scope and Limitation of the Study	10
1.4 Significance of the Study	11
1.5 Objectives of the Study	12
1.6 Methodology	12
<b>2 LITERATURE REVIEW</b>	
2.1 Conceptual Theory: Heroism	14
2.1.1 Hero in Literature	14
2.1.2 Code Hero in Hemingway	17
2.1.3 Hemingway and Young's Code Hero	22
2.2 Female Hero and Minor Character as Code Hero	26
2.3 Courage, Dignity and Grace Under Pressure	30
2.4 Hemingway's Hero and Code Hero	33
2.5 Nada Conception, Religion and Hostility of Life	35
2.6 Hedonistic System of Life in Code Hero	41
2.7 Conclusion	42
<b>3 IDENTIFYING CODE HERO IN ERNEST HEMINGWAY'S <i>A FAREWELL TO ARM</i></b>	
3.1 Introduction	44
3.2 Hemingway's Background and <i>A Farewell to Arms</i>	45
3.3 Identifying Code Hero in <i>A Farewell to Arms</i>	46
3.3.1 Frederick Henry as a main Code Hero	46
3.3.2 Nada Conception, Religion, and Enmity of Life	47
3.3.3 A Defeated and Disillusioned Code Hero	52
3.3.4 Anti-war Code Hero	54
3.3.5 A Man of Action	57
3.3.6 Manhood, Courage, Self-Control and Stoicism	59
3.4 Identifying Catherine Barkley as a Hemingway's Female Code Hero	65
3.5 Conclusion	71
<b>4 IDENTIFYING CODE HERO IN ERNEST HEMINGWAY'S <i>THE SUN ALSO RISES</i></b>	
4.1 Introduction	73



4.2 Hemingway's Background and <i>The Sun Also Rises</i>	74
4.3 Jake as Main Code Hero	76
4.3.1 Dignity, Self-Control and Grace under Pressure	76
4.3.2 Loyalty	79
4.3.3 Jake as an Emotionless Code Hero	80
4.3.4 Religion and Nada Conception	81
4.3.5 Jake as an Isolated and Fragmented Code Hero	84
4.4 Depiction of Lost Generation	87
4.5 Identifying Pedro Romero as a Code Hero	88
4.6 Comparison between Two Code Heroes: Romero and Jake	89
4.7 Pleasure Seeking Man and Bullfighting Episode	96
4.8 Identifying Brett Ashley as Hemingway's Female Code Hero	97
4.9 Conclusion	101
5 <b>CONCLUSION</b>	
5.1 Results of Findings	103
5.1.1 Connection between Hemingway's Background and His Code Heroes	103
5.1.2 Depiction of Early Modern Man	105
5.2 Recommendations for Further Research	107
<b>REFERENCES</b>	111
<b>APPENDIXES</b>	
A Identifying code hero in <i>A Farewell to Arms</i> and <i>The Sun Also Rises</i> in chart preview	115
B Identifying female code hero in <i>A Farewell to Arms</i> and <i>The Sun Also Rises</i> in chart preview	116
C Conceptual Theory: Heroism	117
<b>BIODATA OF SUDENT</b>	118

