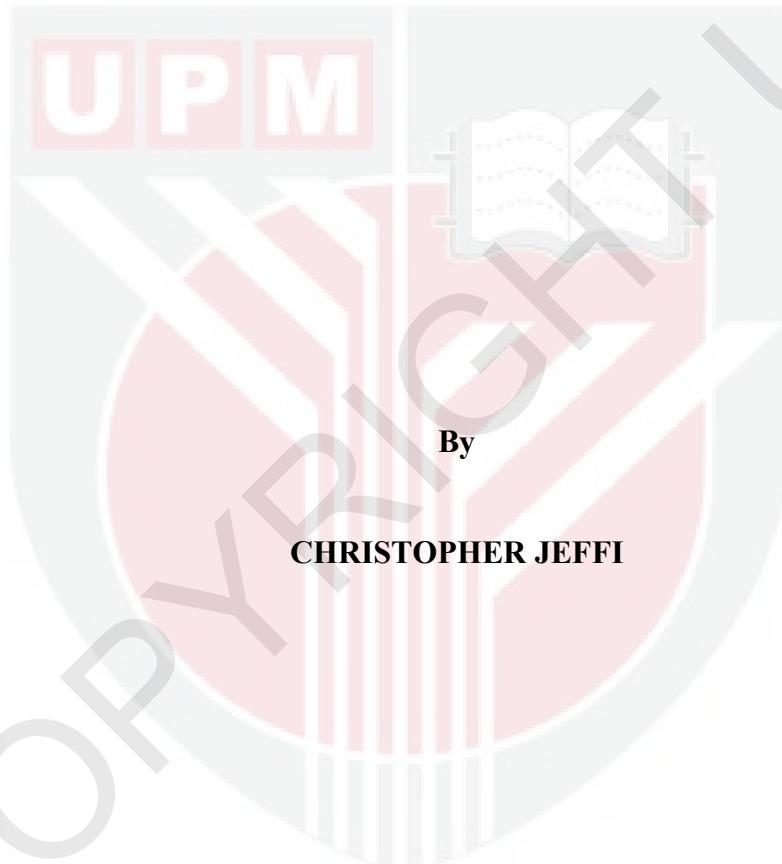




**EXTRACTION, OPTIMIZATION AND CHARACTERIZATION OF INCA  
INCHI OIL AND ITS OIL MEAL FOR CHEWABLE OIL TABLETS AND  
COOKIES FORMULATION**



**Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia,  
in Fulfilment of the Requirements for the Degree of Doctor of Philosophy**

**July 2024**

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Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfilment  
of the requirement for the degree of Doctor of Philosophy

**EXTRACTION, OPTIMIZATION AND CHARACTERIZATION OF INCA  
INCHI OIL AND ITS OIL MEAL FOR CHEWABLE OIL TABLETS AND  
COOKIES FORMULATION**

By

**CHRISTOPHER JEFFI**

**July 2024**

**Chairman : Professor Lai Oi Ming, PhD**  
**Faculty : Bioscience**

Inca Inchi Oil (*Plukenetia volubilis* L.), rich in essential fatty acid faces challenges that limit its practical applications. These include susceptibility to oxidation, low extraction yields and quality from conventional methods. This study aims to enhance oil yield, antioxidant properties, and quality through heat pretreatment (microwave and hot air oven) and enzyme-assisted extraction, develop chewable oil tablets, and evaluate the acceptability of its press cake in cookies. The study showed that microwave pretreatment of inca inchi seeds for 4 min resulted in the highest oil yield (43.39%), compared to control (37.76%). Pre-treated oil samples had better quality in terms of free fatty acids (<1%) and peroxide values (<8 meqO<sub>2</sub>/kg). The 2,2-diphenyl-1-1picrylhydrazyl (DPPH) and 2,2'-azino-bis 3-ethylbenzothiazoline-6-sulfonic acid (ABTS) study, showed that pretreated oil had good radical scavenging activity (70% and 90%). The extraction efficiency of the enzymes Neutrase 0.8L and Viscozyme L were evaluated. The study showed that, among the enzymes, Neutrase 0.8L was more efficient in extracting the oil (46.77%) when compared to Viscozyme L (14.7%). The

oil extracted had low free fatty acid (<1%) and peroxide value (<8 meqO<sub>2</sub>/kg). The oil showed high scavenging activity (73% and 82%) as analyzed by DPPH and ABTS assays. Spray drying was used to develop microcapsules of blends of inca inchi oil-virgin coconut oil and inca inchi oil-red palm oil. Evaluation of the microcapsules showed that the microcapsules exhibited good flowability and low moisture content (<3%). The microcapsules showed reduction in fatty acids and the retention of  $\beta$  carotene was about 60%. Through the process of optimization, high encapsulation efficiency (>90%) was achieved at 40% and 160°C for total solids and inlet air temperature, respectively for both the samples. Chewable oil tablets were developed from spray dried encapsulates by direct compression technique. Analyses of the tablets in terms of attributes such as hardness, disintegration time and friability showed that the tablets were within the standard value as recommended by United States Pharmacopoeia. The invitro release of oil showed that the % of oil release was higher in gastrointestinal condition (8.5-18.67%) than in gastric condition (6.2-7.78%). Storage studies on the attributes of the chewable tablets indicated significant differences ( $p<0.05$ ) in the attributes, but they remained within the acceptable limits over two months at 4°C and 25°C. Additionally inca inchi oil press cake at various proportions (5%, 10%, 20% and 30%) were used to develop cookies. The study observed that cookies containing 10% oil meal had high protein content (13.03%) against control (4.89%). The hardness of the cookies formulated with press cake were low (2.52-3.22 N) compared to control (3.30 N). Moisture stability of the cookies stored for a month showed that water activity remained below 0.6. Sensory analysis favored cookies with 10% formulation, suggesting its potential for developing healthier cookies. Overall, the study shows that by using innovative extraction techniques, developing dietary supplements, and incorporating press cake into food

products, the study paves the way for its application benefiting both consumers and the industry.

**Keywords:** Chewable oil tablets, cookies, enzymes; inca inchi oil; spray drying

**SDG:** GOAL 3: Good Health and Well-Being, GOAL 12: Responsible Consumption and Production



Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk ijazah Doktor Falsafah

**PENGEKSTRAKAN, PENGOPTIMUMAN DAN PENCIRIAN MINYAK INCA INCHI DAN BUNGKILNYA DALAM PEMBENTUKAN TABLET MINYAK KUNYAH DAN FORMULASI BISKUT**

Oleh

**CHRISTOPHER JEFFI**

**Julai 2024**

**Pengerusi : Profesor Lai Oi Ming, PhD**  
**Fakulti : Biosains**

Minyak Inca Inci (*Plukenetia volubilis*. L), kaya dengan asid lemak penting, mempunyai aplikasi yang berpotensi dalam bidang terapeutik, makanan dan farmaseutikal. Walau bagaimanapun, kerintangannya terhadap pengoksidaan menghalang aplikasinya. Kajian ini meneroka kaedah pengekstrakan dan pengapsulan melalui pengeringan semburan untuk membangunkan tablet minyak kunyah. Kajian juga melihat kebolehterimaa tepung inca inchi dalam biskut untuk mempelbagaikan penggunaannya dalam produk makanan. Pengekstrakan minyak menggunakan teknik konvensional mempunyai had dalam memaksimumkan hasil minyak. Kajian dijalankan untuk menentukan kesan prarawatan haba dalam bentuk ketuhar gelombang mikro dan udara panas ke atas hasil, kualiti minyak, antioksidan, dan komposisi asid lemak. Keputusan menunjukkan rawatan gelombang mikro selama 4 minit menghasilkan hasil minyak tertinggi (43.39%) berbanding kawalan (37.76%). Sampel minyak pra-rawatan menunjukkan asid lemak bebas dan nilai peroksid yang lebih rendah daripada kawalan. Kajian 2,2-diphenyl-1-picrylhydrazyl (DPPH) dan

2,2'-azino-bis 3-ethylbenzothiazoline-6-sulfonik acid (ABTS), menunjukkan minyak mempunyai aktiviti penghapusan radikal yang baik (70% dan 90%, masing-masing). Tambahan pula, pengekstrakan bebas pelarut menggunakan hidrolisis enzimatik dengan Neutrase 0.8 L dan Viscozyme L telah diterokai. Metodologi Permukaan Tindak Balas (RSM) digunakan untuk mengoptimumkan dos enzim (2-3.5%), masa (1-5 jam), dan suhu pengeraman (40-50°C). Kajian mendapati Neutrase 0.8 L lebih cekap daripada Viscozyme L dalam mengekstrak minyak. Kecekapan pengekstrakan tertinggi (47%) dicapai dalam masa optimum (5 jam), suhu pengeraman (40 °C), dan dos enzim (3.5%). Profil asid lemak dan kualiti minyak tidak terjejas dan berada dalam julat ( $\omega$ -3:48.0-50.8%;  $\omega$ -6:33.0-41%;  $\omega$ 9: 6.8% -11%; Palmitik acid:4.0-4.9%; Stearic acid: 2.0-3.68%). Nilai peroksida dan asid lemak bebas adalah <10 meq O<sub>2</sub>/kg; dan 5%, masing-masing. Pengeringan semburan meningkatkan kestabilan minyak dengan melindungi komponen teras. Kajian ini membangunkan mikrokapsul kering semburan campuran minyak inca inchi -minyak kelapa dara, dan minyak inca inchi - minyak sawit merah. Proses ini dioptimumkan dengan memilih jumlah pepejal (20-40%) dan suhu udara masuk (160-180°C) sebagai pembolehubah dan kecekapan pengkapsulan sebagai faktor tindak balas. Keputusan menunjukkan hasil serbuk sederhana (56.05-77.06%) dengan pengurangan ketara dalam asid lemak dan karotenoid. Kecekapan pengkapsulan tinggi (> 90%) dicapai pada 40% dan 160°C untuk jumlah pepejal dan suhu udara masuk untuk kedua-dua sampel. Tablet minyak kunyah diperkaya asid lemak penting dan karotenoid dihasilkan daripada pembungkusan kering semburan dengan teknik pemampatan terus. Pelepasan in vitro minyak menunjukkan % pelepasan minyak lebih tinggi dalam gastrousus (sehingga 18%) berbanding dalam gastrik (sehingga 10%). Kajian penyimpanan sifat-sifat tablet kunyah menunjukkan perbezaan ketara ( $p<0.05$ ) dalam tablet minyak kunyah, tetapi

ia kekal dalam had yang boleh diterima selama dua bulan pada 4°C dan 25°C. Akhir sekali, kandungan protein tinggi dalam tepung minyak membawa kepada penerokaannya dalam pembangunan biskut. Kajian menunjukkan biskut yang diformulasikan dengan tepung minyak menunjukkan kandungan protein yang tinggi berbanding kawalan (13.03% vs 4.89%) dan kekerasan berkurangan (2.52-3.22N) berbanding kawalan (3.30N). Analisis deria mengutamakan biskut berformulasi 10%, mencadangkan potensinya dalam pembangunan biskut yang lebih sihat. Kesimpulannya, kajian menunjukkan kepelbagaiannya minyak inca inchi dalam pelbagai aplikasi. Teknik pengekstrakan, pengapsulan dan formulasi yang dibangunkan menunjukkan potensinya menangani keperluan pemakanan seperti pengurusan disfagia dan peningkatan profil pemakanan biskut. Penemuan ini membuka ruang untuk penggunaan minyak inca inchi dan produk sampingannya dalam makanan fungsian, memenuhi keperluan terapeutik dan pemakanan.

**Kata Kunci:** Biskut, enzim, minyak inca inci, pengeringan semburan, tablet minyak kunyah

**SDG:** MATLAMAT 3: Kesihatan yang Baik dan Kesejahteraan, MATLAMAT 12: Penggunaan dan Pengeluaran Bertanggungjawab

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**Lai Oi Ming, PhD**

Professor

Faculty of Biotechnology and Biomolecular Sciences

Universiti Putra Malaysia

(Chairman)

**Tan Chin Ping, PhD**

Professor

Faculty of Food Science and Technology

Universiti Putra Malaysia

(Member)

**Helmi binWasoh @ Mohamad Isa, PhD**

Associate Professor

Faculty of Biotechnology and Biomolecular Sciences

Universiti Putra Malaysia

(Member)

---

**ZALILAH MOHD SHARIFF, PhD**

Professor and Dean

School of Graduate Studies

Universiti Putra Malaysia

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## TABLE OF CONTENTS

	Page
<b>ABSTRACT</b>	i
<b>ABSTRAK</b>	iv
<b>ACKNOWLEDGEMENTS</b>	vii
<b>APPROVAL</b>	ix
<b>DECLARATION</b>	xi
<b>LIST OF TABLES</b>	xviii
<b>LIST OF FIGURES</b>	xxi
<b>LIST OF ABBREVIATIONS</b>	xxiii
 <b>CHAPTER</b>	
<b>1 INTRODUCTION</b>	1
1.1 Background of the Study	1
1.2 Problem Statement	3
1.3 Research Hypotheses	4
1.4 Research Objectives	4
<b>2 LITERATURE REVIEW</b>	7
2.1 Inca Inchi	7
2.2 Composition of Inca Inchi Seed	9
2.2.1 Lipid Composition	9
2.2.2 Proteins	11
2.3 Antioxidant Components	12
2.3.1 Phenols	12
2.3.2 Flavonoids	13
2.3.3 Tocopherols	13
2.3.4 Phytosterols	15
2.4 Health Benefits of Inca Inchi Oil	16
2.4.1 Anti-Inflammatory and Antioxidant Activity	16
2.4.2 Prevention of and Cardiovascular Diseases	17
2.4.3 Type-II Diabetes Mellitus (T2DM)	18
2.4.4 Alleviating Skin Diseases	18
2.5 Extraction of Inca Inchi Oil	19
2.5.1 Supercritical (CO <sub>2</sub> ) Extraction	20
2.5.2 Cold Press Extraction	21
2.5.3 Screw Press Extraction	22
2.5.4 Aqueous Enzyme Assisted Extraction	24
2.5.5 Microwave Pretreatment	25
2.5.6 Dry Air Roasting	26
2.6 Application of Inca Inchi Oil	29
2.6.1 Application in Food Industries	29
2.6.2 Non Food Industries	30
2.7 Application of Inca Inchi Oil Press Cake	31
2.7.1 Application of Inca Inchi Oil Press Cake in Foods	31

2.7.2	Non-Conventional Application of Inca Inchi Oil Press Cake	32
<b>3</b>	<b>EFFECTS OF MICROWAVE AND DRY ROASTING PRE-TREATMENTS ON YIELD, FATTY ACID COMPOSITION, ANTIOXIDANT ACTIVITY, AND PHYSICO CHEMICAL PROPERTIES OF INCA INCHI SEED OIL</b>	<b>33</b>
3.1	Introduction	33
3.1.1	Hypothetical Statement	34
3.2	Materials and Methods	35
3.2.1	Materials	35
3.2.2	Preparation of Inca Inchi Seeds	36
3.2.3	Thermal Pre-Treatment Techniques	36
3.2.4	Oil Extraction	37
3.2.5	Proximate Composition	38
3.2.6	Thermal Profile Study Using Differential Scanning Calorimetry (DSC)	42
3.2.7	Fatty Acid Composition	43
3.2.8	Antioxidant Study	44
3.2.9	Physico Chemical Properties	46
3.2.10	Statistical Analysis	49
3.3	Results and Discussion	49
3.3.1	Effect of Pre-Treatment on Oil Yield	49
3.3.2	Proximate Composition of Inca Inchi Oil Seed and Inca Inchi Oil Press Cake	52
3.3.3	Thermal Profile of Inca Inchi Oil	55
3.3.4	Effect of Thermal Pre-Treatment on the Fatty Acid Composition of Inca Inchi Oil	56
3.3.5	Effect of Thermal Pre-Treatment on the Antioxidant Properties of Inca Inchi Oil	57
3.3.6	Effect of Thermal Pre-Treatment on the Physico Chemical Properties of Inca Inchi Oil	61
3.4	Conclusion	63
<b>4</b>	<b>OPTIMIZATION AND CHARACTERIZATION OF ENZYME-ASSISTED OIL EXTRACTION FROM INCA INCHI SEEDS USING RESPONSE SURFACE METHODOLOGY</b>	<b>64</b>
4.1	Introduction	64
4.1.1	Hypothetical Statement	66
4.2	Materials and Methods	67
4.2.1	Materials	67
4.3	Experimental Design	67
4.3.1	Influence of Enzymes on Oil Extraction	69
4.3.2	Determination of Fatty Acids	70
4.3.3	Antioxidant Study	70
4.3.4	Physicochemical Properties	70
4.3.5	Statistical Analysis	71
4.4	Results and Discussion	71
4.4.1	Effect of Enzymes on Oil Recovery	71

4.4.2	Analysis of Response Surface for Neutrerase 0.8 L	73
4.4.3	Analysis of Response Surface for Viscozyme L	75
4.4.4	Influence of Interaction Factors on the Oil Recovery	77
4.4.5	Optimization of the Model	78
4.4.6	Characterization of Oil	79
4.5	Conclusion	82
<b>5</b>	<b>EFFECT OF PROCESS CONDITIONS ON THE ENCAPSULATION EFFICIENCY OF SPRAY DRIED MICROCAPSULES OF INCA INCHI OIL-RED PALM OIL BLEND AND INCA INCHI OIL- VIRGIN COCONUT OIL BLEND (IIO-RPO AND IIO-VCO)</b>	<b>83</b>
5.1	Introduction	83
5.1.1	Hypothetical Statement	85
5.2	Materials and Analytical Samples	85
5.3	Preliminary Studies to Choose Wall Materials	85
5.3.1	Emulsion Stability	86
5.4	Experimental Design for Response Surface Methodology (RSM)	87
5.4.1	Emulsion Viscosity	88
5.4.2	Spray Drying	88
5.5	Physicochemical Characterization of Powders	89
5.5.1	Powder Yield	89
5.5.2	Bulk Density( $\rho_B$ ) and Tapped Density ( $\rho_T$ )	89
5.5.3	Carr Index and Hausner Ratio	90
5.5.4	Moisture Content and Water Activity	90
5.5.5	Colour	90
5.5.6	Wettability and Solubility	91
5.5.7	Particle Size Distribution	91
5.5.8	Powder Morphology	92
5.5.9	Estimation of $\beta$ -Carotene Content and Fatty Acid Composition	92
5.5.10	Microencapsulation Efficiency (MEE)	93
5.5.11	Statistical Evaluation of the Experimental Design	93
5.6	Results and Discussion	94
5.6.1	Emulsion Viscosity	94
5.6.2	Physicochemical Characterization of Powders	94
5.6.3	Particle Size	104
5.6.4	Powder Morphology	106
5.6.5	$\beta$ -Carotene Content and Fatty Acid Composition	107
5.6.6	Microencapsulation Efficiency	109
5.6.7	Effect of Total Solids and Inlet Air Temperature on MEE	110
5.6.8	Optimization of the Model	113
5.7	Conclusion	114

<b>6</b>	<b>FORMULATION AND EVALUATION OF CHEWABLE OIL TABLETS FROM INCA INCHI OIL-VIRGIN COCONUT OIL AND INCA INCHI OIL-RED PALM OIL ENCAPSULATES</b>	115
6.1	Introduction	115
6.1.1	Hypothetical Statement	116
6.2	Materials and Methods	117
6.2.1	Materials	117
6.2.2	Methods	118
6.2.3	Preparation of Chewable Oil Tablet	118
6.2.4	Physicochemical Characterizations of Chewable Oil Tablets	120
6.2.5	Equilibrium Moisture Content	124
6.2.6	<i>In vitro</i> Release of Oil from Chewable Tablets	125
6.2.7	Storage Study	126
6.2.8	Statistical Analysis	127
6.3	Results and Discussion	127
6.3.1	Physico Chemical Characterization of Chewable Oil Tablets	127
6.3.2	Equilibrium Moisture Content	134
6.3.3	<i>In vitro</i> Release of Oil from Chewable Tablets	134
6.3.4	Storage Stability of Chewable Oil Tablets	135
6.3.5	Correlation Study	138
6.4	Conclusion	139
<b>7</b>	<b>FORMULATION, PHYSICOCHEMICAL AND SENSORY EVALUATION OF COOKIES PREPARED FROM INCA INCHI OIL PRESS CAKE</b>	141
7.1	Introduction	141
7.1.1	Hypothetical Statement	143
7.2	Materials and Methods	144
7.2.1	Materials	144
7.2.2	Preparation of flour blends	144
7.2.3	Functional Properties of Flour Blends	144
7.2.4	Preparation of Cookies	145
7.2.5	Proximate Analysis of Cookies	146
7.2.6	Physical Properties of Cookies	147
7.2.7	Color and Hardness of Cookies	147
7.2.8	Microstructural Analysis of Cookies	148
7.2.9	Moisture Stability of Cookies	148
7.2.10	Sensory Evaluation of Cookies	148
7.2.11	Statistical Analysis	149
7.3	Results and Discussion	149
7.3.1	Functional Properties of Flour Blends	149
7.3.2	Proximate Composition of Cookies	151
7.3.3	Physical Parameters of Cookies	154
7.3.4	Color and Hardness of Cookies	155
7.3.5	Microstructure Analysis of Cookies	157
7.3.6	Moisture Stability of Cookies	158
7.3.7	Sensory Evaluation of Cookies	160

7.3.8	Correlation Studies	163
7.4	Conclusion	165
<b>8</b>	<b>SUMMARY, CONCLUSION AND RECOMMENDATIONS FOR FUTURE RESEARCH</b>	166
8.1	Summary	166
8.2	Conclusion	167
8.3	Future Recommendations	168
<b>REFERENCES</b>		169
<b>APPENDICES</b>		195
<b>BIODATA OF STUDENT</b>		203
<b>LIST OF PUBLICATIONS</b>		204



## LIST OF TABLES

<b>Table</b>	<b>Page</b>
2.1 Fatty acid composition of inca inchi oil and inca inchi seed	10
2.2 Comparison of the fatty acid content between olive oil, rape seed oil, lin seed oil and inca inchi oil (%)	10
3.1 Effect of thermal pre-treatment process on the yield of oil	51
3.2 Proximate analyses of the inca inchi oil press cake	54
3.3 Effect of thermal pre-treatment on the fatty acid composition of inca inchi oil (%)	57
3.4 Effect of thermal pre-treatment on the antioxidant properties of inca inchi oil	60
3.5 Effect of thermal pre-treatment on the physico chemical properties of inca inchi oil	62
4.1 Coded parameters chosen for the study	69
4.2 Experimental design for the study	69
4.3 Effect of enzymes on oil extraction	72
4.4 ANOVA for Neutrerase 0.8 L	74
4.5 ANOVA for Viscozyme L	76
4.6 Fatty acid composition of inca inchi oil	80
4.7 Antioxidant activity of inca inchi oil	81
4.8 Physicochemical properties of inca inchi oil	81
5.1 Composition of the oil -in-water emulsion	87
5.2 Coded parameters chosen for the study	88
5.3 Experimental design used for spray drying of oil blend	88
5.4 Viscosity of IIO–VCO (1:1) and IIO–RPO (1:2) emulsions prepared with different solid contents (20%-40%) using maltodextrin-sodium caseinate as wall materials at 28°C	94

5.5	Powder yield of IIO-VCO (1:1) and IIO-RPO (1:2) powders obtained with different solid contents (20%-40%) using maltodextrin-sodium caseinate as wall material at various inlet temperatures (160 °C-180 °C) during spray drying	96
5.6	Bulk density and tapped density of IIO-VCO (1:1) and IIO-RPO (1:2) powders obtained with different solid contents (20%-40%) using maltodextrin-sodium caseinate as wall material at various inlet temperatures (160°C-180°C) during spray drying	97
5.7	Flowability of spray dried IIO-VCO (1:1) and IIO-RPO (1:2) powders obtained with different solid contents (20%-40%) using maltodextrin-sodium caseinate as wall material at various inlet temperatures (160°C-180°C) during spray drying	98
5.8	Moisture content and water activity of IIO-VCO (1:1) and IIO-RPO (1:2) powders obtained with different solid contents (20%-40%) using maltodextrin-sodium caseinate as wall material at various inlet temperatures (160°C-180°C) during spray drying	99
5.9	Colour of IIO-VCO (1:1) and IIO-RPO (1:2) powders obtained with different solid content (20%-40%) using maltodextrin-sodium caseinate as wall material at various inlet temperature (160°C-180°C) during spray drying	101
5.10	Wettability and solubility of IIO-VCO (1:1) and IIO-RPO (1:2) powders prepared with different solid contents (20%-40%) using maltodextrin-sodium caseinate as wall material at various inlet temperatures (160°C-180°C) during spray drying	103
5.11	Particle size analysis and span value of IIO-VCO (1:1) and IIO-RPO (1:2) powders obtained with different solid contents (20%-40%) using maltodextrin-sodium caseinate as wall material at various inlet temperatures (160°C-180°C) during spray drying	105
5.12	Changes in fatty acid composition and β-carotene content before and after spray drying	109
5.13	Microencapsulation efficiency of IIO-VCO (1:1) and IIO-RPO (1:2) powders prepared with different solid contents (20%-40%) using maltodextrin-sodium caseinate as wall material at various inlet temperatures (160°C-180°C) during spray drying	110
5.14	Linear effect of total solids and inlet drying temperature on the MEE for spray dried IIO-VCO and IIO-RPO powders	111
6.1	Formulation of chewable oil tablets	120
6.2	Classification of hygroscopicity of powders as per (European Pharmacopoeia, 2010)	123

6.3	Physicochemical characterization of chewable oil tablets	133
6.4	Equilibrium moisture content of chewable oil tablets at different relative humidity (%) at 25°C	134
6.5	In vitro release of oil from chewable tablets (%)	135
6.6	Changes in physico chemical properties of chewable oil tablets after two months of storage at 4°C	137
6.7	Changes in physico chemical properties of chewable oil tablets after two months of storage at 25°C	138
6.8	Pearson correlation study between friability, disintegration, hardness, tensile strength and hygroscopicity of chewable oil tablets	139
7.1	Formulation of cookies	146
7.2	Functional properties of composite flour blends	151
7.3	Proximate composition of cookies	153
7.4	Physical parameters of cookies	155
7.5	Effect of incorporation of IIOPC on color and hardness of cookies	156
7.6	Sensory evaluation of cookies	162
7.7	Pearson correlation study between physical and sensory attributes in IIOPC cookies	164

## LIST OF FIGURES

Figure	Page
2.1 Global region for the cultivation of inca inchi seeds	8
2.2 Plukkenetia volubilis.L.: A: Immature green inca inchi seed; B: Dried capsules; C:inca inchi seeds with shell; D: Dehulled inca inchi seed.	9
2.3 Chemical structure of tocopherol isomers	15
3.1 Overview of the oil extraction process	38
3.2 Melting profile of inca inchi oil.	56
4.1 Predicted Vs Actual variable for Neutrerase 0.8 L	74
4.2 Predicted Vs Actual variable for Viscozyme L	76
4.3 3 D-surface plot for enzyme dose Vs temperature	77
4.4 3 D-surface plot for enzyme dose Vs hydrolysis time	78
4.5 Optimized experimental model to get maximum oil recovery using Neutrerase 0.8 L	79
4.6 Optimized experimental model to get maximum oil recovery using Viscozyme L	79
5.1 Particle size distribution of encapsulated IIO-VCO powder using different total solids (20-40%) and inlet air temperature (160-180°C)	105
5.2 Particle size distribution of encapsulated IIO-RPO powder using different total solids (20-40%) and inlet air temperature (160-180°C)	106
5.3 Morphology of IIO-RPO (A) and IIO-VCO encapsulates (B): 20% total solids and spray dried at 160°C	107
5.4 Morphology of IIO-RPO(C) and IIO-VCO encapsulates (D): 40% total solids and spray dried at 170°C	107
5.5 3D surface plots for MEE of encapsulated IIO-VCO powder as a linear plot of the independent variables: total solids (%) Vs inlet air temperature (°C)	112
5.6 3D surface plots for MEE of encapsulated IIO-RPO powder as a linear plot of the independent variables: total solids (%) Vs inlet air temperature (°C)	112
5.7 Optimized condition to encapsulate IIO-VCO oil blend to obtain highest encapsulation efficiency	113

5.8	Optimized condition to encapsulate IIO-RPO oil blend to obtain highest encapsulation efficiency	114
6.1	Overview of the experimental design used for the study	118
6.2	Tablet compression machine (A) and mould (B).	119
6.3	Diametrical compression of chewable tablets	131
6.4	Chewable oil tablets: A: IIO-VCO (control); B: IIO-VCO chewable tablets with 2.5% fish gelatine and 10% multi grain cereal; C: IIO-RPO (control); D: IIO-RPO with 2.5% fish gelatine	132
7.1	The physical appearance of cookie samples after baking	157
7.2	Microstructural analysis of cookies	158
7.3	Changes in moisture content of the cookies during 30-day storage at room temperature	160
7.4	Changes in water activity of the cookies during 30-day storage at room temperature	160
7.5	Sensory evaluation of IIOPC cookies	163

## LIST OF ABBREVIATIONS

ABTS	2,2'-azino-bis (3-ethylbenzothiazoline-6-sulfonic acid))
AI	Active Ingredient
ALA	Alpha-Linolenic acid
ANOVA	Analysis of Variance
AOAC	Association of Official Analytical Chemists
AOCS	American Oil Chemist Society
CI	Carr's Index
DPA	Docosapentaenoic Acid
DPPH	2,2-diphenyl-1-picryl-hydrazyl-hydrate
DSC	Differential Scanning Calorimetry
EMC	Equilibrium Moisture Content
EP	European Pharmacopeia
EPA	Eicosapentaenoic Acid
FCCD	Face Centered Central Composite Design
FFA	Free Fatty Acid
FID	Flame Ionization Detector
GA	Gum Arabic
GC	Gas Chromatography
HDL-C	High-Density Lipoprotein Cholesterol
HPLC	High Performance Liquid Chromatography
HR	Hausner Ratio
II	Inca Inchi
IIO	Inca Inchi Oil
IIOPC	Inca Inchi Oil Press Cake
IV	Iodine Value
LCT	Long-Chain Triglycerides

LDL-C	Low-Density Lipoprotein Cholesterol
MAE	Microwave Assisted Extraction
MD	Maltodextrin
MEE	Micro Encapsulation Efficiency
MUFAs	Mono Unsaturated Fatty Acids
OAC	Oil Absorption Capacity
PUFAs	Poly Unsaturated Fatty Acids
PV	Peroxide Value
PVA	Provitamin A
QE	Quercetin Equivalent
RPO	Red Palm Oil
RSM	Response Surface Methodology
SEM	Scanning Electron Microscopy
SFAs	Saturated Fatty Acids
SGC	Simulated Gastric Condition
SGF	Simulated Gastric Fluid
SO	Surface Oil
TAG	Tri Acyl Glycerol
TG	Triglycerides
TLE	Total Life Expectancy
TO	Total Oil
USFDA	United States Food and Drug Administration
USP	US Pharmacopeia
VCO	Virgin Coconut Oil
WAC	Water Absorption Capacity
WHO	World Health Organization

# **CHAPTER 1**

## **INTRODUCTION**

### **1.1 Background of the Study**

Fatty acids, the fat-soluble components found in both animals and plants, are the primary building blocks of lipids and are classified as either saturated or unsaturated based on the presence of double bonds in their structure. Saturated fatty acids (SFAs) have no double bonds, while unsaturated fatty acids can be further divided into monounsaturated (MUFAs), containing one double bond, and polyunsaturated fatty acids (PUFAs), which have two or more double bonds (Sokoła-Wysoczańska et al., 2018; Islam et al., 2023). PUFAs are crucial for maintaining cell membrane integrity and are important in treating various conditions, including chronic illness, non-alcoholic fatty liver disease, and autoimmune disorders. Among PUFAs, essential fatty acids (EFAs) are a vital subclass that the human body cannot synthesize and must be obtained through the diet. EFAs are characterized by multiple double bonds and are classified into omega-3 and omega-6 fatty acids based on the position of the first double bond relative to the terminal methyl group in the aliphatic chain (Rizzo et al., 2023; Kapoor et al., 2021). Omega-3 fatty acids, such as alpha-linolenic acid (ALA), eicosapentaenoic acid (EPA), and docosahexaenoic acid (DHA), have their first double bond between the third and fourth carbon atoms from the methyl end, while omega-6 fatty acids, like linoleic acid (LA) and arachidonic acid (AA), have their first double bond between the sixth and seventh carbon atoms (Mariamenatu & Abdu, 2021). Omega-3 and omega-6 fatty acids provide significant health benefits, particularly for cardiovascular, inflammatory, and neurological health. EPA and DHA, lower triglyceride levels, improve blood flow, and support heart function, reducing the

risk of cardiovascular diseases. Their anti-inflammatory effects help manage conditions like arthritis and support mental health by reducing depressive symptoms and enhancing cognitive function. DHA is crucial for brain development, especially during pregnancy and early childhood. Meanwhile, omega-6 fatty acids, such as arachidonic acid, contribute to brain development and help lower LDL cholesterol, further promoting cardiovascular health (Djuricic & Calder, 2021).

The omega-6 to omega-3 ratio is a key indicator of the balance between these fatty acids, that is critical for overall health. However, modern Western diets often display a much higher ratio, sometimes exceeding 16:1, largely due to the prevalent consumption of foods rich in omega-6 fatty acids. The ideal ratio should range between 1:1 and 4:1. This imbalance in the ratio has significant health implications, as omega-6 fatty acids tend to promote inflammation, while omega-3s are known for their anti-inflammatory effects. Research highlights that excessive omega-6 intake, can exacerbate oxidative stress and inflammation, adversely affecting heart health and increasing the risk of chronic conditions such as diabetes, cardiovascular disease, and metabolic syndrome. Therefore, maintaining an appropriate balance by reducing the intake of omega 6 fatty acid and increasing the intake of omega 3 fatty acids can mitigate associated health risk (Brown et al., 2019; Djuricic & Calder, 2021; Jang & Park, 2020).

Seafood is often the best source of omega-3 fatty acids. Fishes known for their high omega-3 content include mackerel, herring, sardines, salmon, pollock, anchovies, and tuna (Oliver et al., 2020). Other sources of plant-based omega 3 fatty acids include nuts such as walnuts, seed oils such as garden cress, chia seed, inca inchi and flax seed,

seaweeds and microalgae (Saini et al., 2021; Kodahl et al., 2022; Rizzo et al., 2023).

Fish oil is well known as the primary source of PUFAs. However, due to ecological, ethical, and taste-related factors, they are not consumed by everyone. However, PUFAs obtained from plant sources are beneficial over fish oil due to their neutral odour thus making it easier to develop omega fatty acid supplements in the form of chewable tablets as a better choice for consumers seeking alternatives to fish based products. Hence there is a need to identify plant-based sources that are globally acceptable and sustainable (Rizzo et al., 2023; Supriyanto et al., 2022).

## **1.2 Problem Statement**

Inca Inchi Oil obtained from the seeds of inca inchi has been known to improve health due to the presence of PUFAs. However, the nutritional composition, antioxidant properties and quality of the oil depends on the extraction techniques such as Soxhlet extraction, supercritical CO<sub>2</sub> extraction, screw press extraction, aqueous enzyme assisted extraction etc. Moreover, inca inchi oil pressed cake, a byproduct obtained after extracting the inca inchi oil is known to contain functional ingredients such as protein, fiber, and minerals. However, the application of inca inchi oil press cake in bakery products is limited and the potential of developing oil into chewable oil tablets for delivering its health benefits has not been fully explored. Thus, this study aims to evaluate different extraction methods (microwave assisted extraction, dry roasting and aqueous enzyme assisted extraction) on the fatty acid composition, quality and antioxidant properties of inca inchi oil and to assess the feasibility of incorporating inca inchi oil and inca inchi oil press cake in chewable tablets and cookies.

### **1.3 Research Hypotheses**

The study aims to address the following hypotheses

1. Microwave and dry roasting pre-treatments will significantly affect the yield, fatty acid composition, antioxidant properties, and overall quality of inca inchi oil.
2. Enzyme-assisted extraction of oil from inca inchi seeds can be optimized using response surface methodology, and the extracted oil will exhibit desirable characteristics.
3. Spray-dried microcapsules of oil blends (inca inchi oil-virgin coconut oil and inca inchi oil-red palm oil) will have high encapsulation efficiency, and the wall material will significantly influence the encapsulation efficiency.
4. Chewable tablets enriched with oil blend encapsulates can be successfully developed and characterized.
5. Partial replacement of whole wheat flour with inca inchi oil press cake in cookies will improve the physico-chemical and organoleptic properties of the cookies.

### **1.4 Research Objectives**

The study aims to utilize inca inchi oil and its byproduct, press cake to develop chewable oil tablets from oil encapsulates and cookies from press cake. The following five objectives outlines the overall view of the research. The initial step involves investigating the effect of microwave and dry roasting pre-treatment on the oil yield, quality, antioxidant property and fatty acid composition. In the second part the study aims to optimize the extraction of inca inchi oil using enzymes such as Neutrase 0.8L and Viscozyme L by varying enzyme dose, hydrolysis time and incubation temperature to determine the extraction efficiency of the enzymes. These enzymes

were chosen based on their ability to break down the key components (proteins and cell wall components). Enzyme Neutrerase 0.8 L is a protease enzyme that helps to break down the protein molecules surrounding the oil, facilitating the release of oil. Enzyme Viscozyme L is a multi-enzyme complex with various activities such as cellulase, hemicellulose, xylanase and beta-glucanase activities. They help to release the oil by breaking down the cell wall components such as cellulose, hemicellulose, pectin and  $\beta$ -glucans. Further the oil obtained were characterized for their quality, antioxidant property and fatty acid composition. In the third part of the study, two spray dried microcapsules of oil blend were developed by combining inca inchi oil with virgin coconut oil and red palm oil to assess the influence of total solids and inlet air temperature on the encapsulation efficiency. The oil encapsulates developed were used to develop chewable oil tablets as a convenient way of delivering the nutritional benefits of the oil blends. Finally, the research will investigate the incorporation of inca inchi oil press cake into cookie formulations by partially replacing whole wheat flour, and to assess the effect of partial replacement on the nutritional, physical and overall acceptability of the cookies.

Thus, the five objectives of the study were:

1. To determine the effect of microwave and dry roasting pre-treatments on the yield, fatty acid composition, antioxidant and quality of inca inchi seed oil.
2. To optimize the enzyme assisted extraction of oil from inca inchi seeds using response surface methodology and its characterization.
3. To evaluate the effect of process conditions and wall materials on the encapsulation efficiency of spray-dried microcapsules containing blends of inca inchi oil with virgin coconut oil or red palm oil.

4. To develop and characterize chewable tablets enriched with oil blend encapsulates
5. To evaluate the effect of partial replacement of whole wheat flour with inca inchi oil press cake in the formulation of cookies and to determine the physico chemical and organoleptic parameters of the cookies.



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