



**DISTRIBUTION, VIRULENCE FACTORS AND ANTIMICROBIAL  
RESISTANCE OF *Vibrio* SPECIES ISOLATED FROM CULTURED  
SHRIMP IN PENINSULAR MALAYSIA**

**WAN HAIFA HARYANI BINTI WAN OMAR**

**By**



**Thesis Submitted to the School of Graduate Studies, Universiti Putra  
Malaysia, in Fulfilment of the Requirements for the Degree of Doctor  
Philosophy**

**January 2024**

**IB 2024 4**

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Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfilment of the requirement for the degree of Doctor of Philosophy

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By

**WAN HAIFA HARYANI BINTI WAN OMAR**

**January 2024**

**Chairman : Ina Salwany Md Yasin, PhD  
Institute : Bioscience**

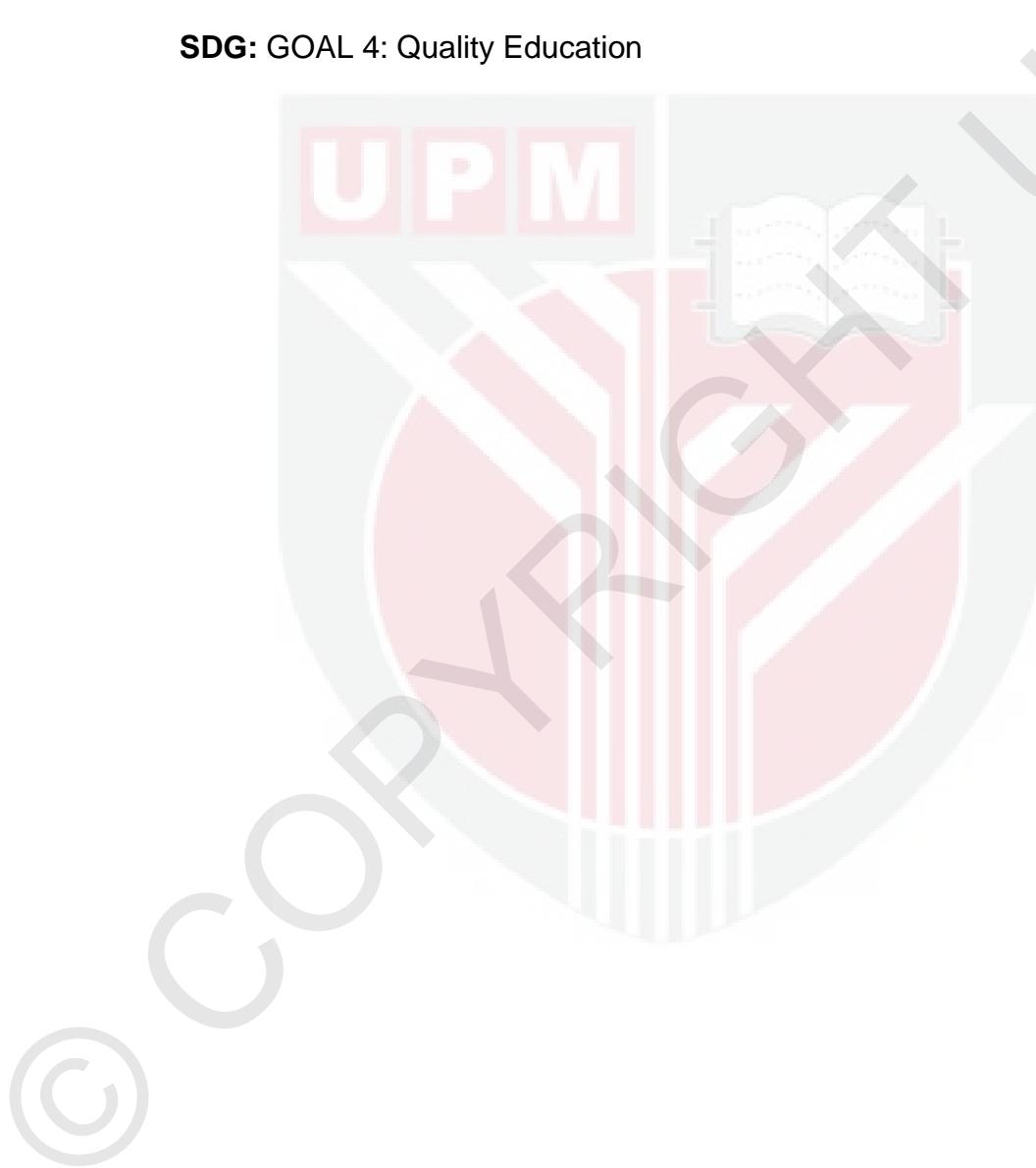
*Vibrio*, the most common bacterium associated with crustacean diseases, poses a significant threat to shrimp farming due to outbreaks of vibriosis. Therefore, the study aims to provide a comprehensive database on the distribution of *Vibrio* spp. as well as virulence genes and antimicrobial resistance profiling in Malaysian shrimp farms. Briefly, a total of 210 cultured shrimp were collected from seven farms in Peninsular Malaysia. The findings revealed that 225 presumptive *Vibrio* isolates were isolated from cultured shrimp which were then subjected to biochemical test and molecular detection using *pyrH* gene analysis. Eventually, 13 different *Vibrio* spp. were successfully isolated and characterized including *Vibrio parahaemolyticus* (55%), *V. communis* (9%), *V. campbellii* (8%), *V. owensii* (7%), *V. rotiferianus* (5%), *Vibrio* spp. (4%), *V. alginolyticus* (3%), *V. brasiliensis* (2%), *V. natriegens* (2%), *V. xuii* (1%), *V. harveyi* (1%), *V. hepatarius* (0.4%) and *P. damselae* (3%). Based on virulence genes assessment, eight of the eleven

virulence genes in this study were detected, including *chiA* (89%), *flaC* (74%), *tih* (72%), *toxR* (70%), *luxR* (40%), *pirA* (11%), *pirB* (11%) and *hlyA* (0.4%). Other virulence genes, such as *tdh*, *trh* and *ctxA*, were not detected in any isolates (0%). From the virulence gene assessment, three *Vibrio* isolates were chosen for the pathogenicity test based on highest number present in each of the species. The isolates including *V. parahaemolyticus* S12-3, *V. campbellii* S10-4, and *V. rotiferianus* S24-4 were tested by immersion challenge test against postlarvae (PL) *Penaeus monodon* approximately PL-20 with three different concentrations of  $1 \times 10^6$  CFU/mL,  $1 \times 10^7$  CFU/mL, and  $1 \times 10^8$  CFU/mL. The LC<sub>50</sub> result revealed that the lowest were *V. parahaemolyticus* S12-3 ( $1 \times 10^5$  CFU/mL) followed by *V. rotiferianus* S24-4 ( $1 \times 10^{11}$  CFU/mL) and *V. campbellii* S10-4 ( $1 \times 10^{13}$  CFU/mL). Moreover, histopathological analysis showed sloughing of epithelial cells in hepatopancreatic tubule, haemocytic infiltration, massive vacuolation and loss of hepatopancreatic tubule structure. Meanwhile, the majority of the species tested were resistant to penicillin G (100%), but susceptible to norfloxacin (96%). According to the multiple antibiotic resistance (MAR) index, 84% of *Vibrio* spp. exhibited a MAR index value of more than 0.2. Thus, the findings suggested that a large number of *Vibrio* spp. were resistant to several antibiotics and had been exposed to antibiotics on a regular basis at the farms. Plasmid profiling revealed that 125 isolates from a total 225 of *Vibrio* isolates harboured plasmid with the size ranging from 1 kb and above 10 kb, separating the isolates into 23 plasmid profiles. After plasmid curing, *Vibrio*-positive plasmid was lost resistance to antibiotics. The findings revealed that the resistance to antibiotics in isolated *Vibrio* spp. were either chromosomal or plasmid mediated. In conclusion, ongoing

monitoring of antibiotic-resistant microorganisms as well as understanding the genetic basis of resistance are essential to implement a strategy for minimizing AMR strain yet to improve shrimp farming management in Malaysia.

**Keywords:** Antimicrobial resistance, Peninsular Malaysia, shrimp, *Vibrio* spp., virulence

**SDG:** GOAL 4: Quality Education



Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk ijazah Doktor Falsafah

**TABURAN, FAKTOR VIRULEN DAN KERINTANGAN ANTIMIKROB  
TERHADAP SPESIES *Vibrio* YANG DIPENCILKAN DARIPADA TERNAKAN  
UDANG DI SEMENANJUNG MALAYSIA**

Oleh

**WAN HAIFA HARYANI BINTI WAN OMAR**

**Januari 2024**

**Pengerusi : Ina Salwany Md Yasin, PhD  
Institut : Biosains**

*Vibrio* adalah bakteria yang paling kerap dikaitkan dengan penyakit pada krustasea yang akhirnya memberikan ancaman yang serius terhadap penternakan udang disebabkan oleh wabak vibriosis. Oleh itu, kajian ini dijalankan bertujuan untuk menyediakan pangkalan data komprehensif mengenai taburan spesies *Vibrio*, gen virulensi dan profil kerintangan antibiotik terhadap spesies *Vibrio* yang dipencarkan daripada ternakan udang di Semenanjung Malaysia. Secara ringkasnya, sebanyak 210 ekor ternakan udang dari tujuh ladang yang terletak di kawasan geografi yang berbeza di Semenanjung Malaysia. Hasil penemuan menunjukkan sebanyak 225 spesies *Vibrio* telah berjaya dipencarkan daripada ternakan udang yang kemudiannya disaring dengan ujian biokimia dan pengesan molecular berdasarkan gen *pyrH*. Melalui pengesan molecular menggunakan gen *pyrH*, sebanyak 13 spesies *Vibrio* telah dipencarkan dan dicirikan iaitu *Vibrio parahaemolyticus* (55%), *V. communis* (9%), *V. campbellii* (8%), *V. owensii* (7%), *V. rotiferianus* (5%), *Vibrio* spp. (4%), *V. alginolyticus* (3%), *V.*

*brasiliensis* (2%), *V. natriegens* (2%), *V. xuii* (1%), *V. harveyi* (1%), *V. hepatarius* (0.4%) dan *P. damselae* (3%). Kesemua isolat daripada spesies *Vibrio* kemudiannya disaring untuk gen virulensi di mana lapan daripada 11 gen virulensi dikesan termasuk *pirA* (11%), *pirB* (11%), (72%), *flaC* (74%), *toxR* (70%), *chiA* (89%), *luxR* (40%) dan *hlyA* (0.4%). Gen virulensi yang lain seperti *tdh*, *trh*, dan *ctxA* tidak ditemui dalam mana-mana isolate (0%). Daripada pencirian virulensi, sebanyak tiga spesies *Vibrio* telah dipilih untuk ujian patogenisiti berdasarkan bilangan gen virulensi tertinggi yang terdapat dalam setiap isolat. Isolat yang terdiri daripada *V. parahaemolyticus* S12-3, *V. campbellii* S10-4, dan *V. rotiferianus* S24-4 telah diuji dengan kaedah infeksi secara rendaman terhadap benih udang *Penaeus monodon* (PL-20) dengan tiga kepekatan yang berbeza iaitu  $1 \times 10^6$  CFU/mL,  $1 \times 10^7$  CFU/mL dan  $1 \times 10^8$  CFU/mL. Keputusan LC<sub>50</sub> menunjukkan bacaan yang paling rendah ialah *V. parahaemolyticus* S12-3 ( $1 \times 10^5$  CFU/mL) diikuti oleh *V. rotiferianus* S24-4 ( $1 \times 10^{11}$  CFU/mL) dan *V. campbellii* S10-4 ( $1 \times 10^{13}$  CFU/mL). Analisis histopatologi pula menunjukkan terdapat penyusutan sel epitelium dalam tubul hepatopankreatik, penyusupan hemositik, pembesaran pada vakuolasi dan kehilangan struktur tubul hepatopankreatik. Sementara itu, kesemua isolat bersifat rintang terhadap penisilin G (100%), tetapi rentan terhadap norfloxacin (96%). Tambahan pula, 84% daripada isolat menunjukkan indeks kerentanan antibiotik berbilang (MAR) lebih daripada 0.2. Manakala 16% menunjukkan indeks kerentanan antibiotik berbilang (MAR) kurang daripada 0.2. Pemprofilan plasmid mendedahkan sebanyak 125 isolat daripada jumlah 225 isolat yang mengandungi plasmid dengan saiz plasmid di antara 1 kb dan lebih daripada 10 kb, yang memisahkan sebanyak 23 profil plasmid. Semasa proses pemulihan

plasmid, kebanyakan *Vibrio*-positif plasmid telah kehilangan kerentanan terhadap antibiotik. Hasil penemuan mendapati isolat yang rentan terhadap antibiotik ini mungkin disebabkan oleh mediasi-kromosom atau mediasi-plasmid. Secara kesimpulannya, pemantauan yang berterusan terhadap mikroorganisma rintang antibiotik serta pemahaman asas genetik rintangan adalah penting untuk melaksanakan strategi meminimumkan strain AMR dan pada masa yang sama meningkatkan pengurusan ternakan udang di Malaysia.

**Kata Kunci:** Kerintangan antimikrob, Semenanjung Malaysia, spesies *Vibrio*, udang, virulen

**SDG:** MATLAMAT 4: Kualiti Pendidikan

## **ACKNOWLEDGEMENTS**

Assalamualaikum W.B.T. and Alhamdulillah.

In the first place, a huge thanks to my supervisor Assoc. Prof. Dr. Ina Salwany Md Yasin for her guidance, motivation, inspiration and patiently support in my study. An appreciation also goes to my co-supervisors, Prof. Dr. Mohd Zamri Saad, Assoc. Prof. Dr. Mohammad Noor Amal Azmai and Assoc Prof. Dr. Natrah Fatin binti Mohd Ikhsan for their support and advice.

My deepest gratitude always goes to my husband, Mohd Hafiz Mohd Zainal, my independent and lovely daughters, Hanan Husna and Hana Haura, my parents Wan Omar bin Wan Ab Ghani and Hamidah Omar, my mother-in-law, Rogayah Senek and my siblings. No words can be written to picture their endless love, motivation, emotional and support, and prayers throughout the whole study. Without their support and caring, I would not be able to pass through the hardest time during this challenging PhD journey. Thank you again for being supportive and encourage me to complete my PhD.

Extended my gratitude to all my fellow laboratory mates, especially to Amatul, Shidaa, Izyan, Chin, Azzam, Amir, Aslah, Sofie, Lee, Syafiq, Monir and other students and academic staffs of the Aquatic Animal Health and Therapeutics Laboratory, Institute of Bioscience and Biotechnology Aquaculture Laboratory, Faculty of Aquaculture, Universiti Putra Malaysia for their tremendous support and endless motivation. I do appreciate all your help.

This research was financially funded by International Development Research Center (IDRC), Canada and Global AMR Innovation fund (GAMRIF), United Kingdom under the INNOVET-AMR Grant (Project number: 109055). The facilities supported by Higher Institution Centre of Excellence (HICoE) (Animal Vaccines and Therapeutics) by the Ministry of Education (MOE), Malaysia. A huge thank also goes to Universiti Putra Malaysia for providing me the SGRA to support my study.

Thank you very much.



This thesis was submitted to the Senate of Universiti Putra Malaysia and has been accepted as fulfilment of the requirement for the degree of Doctor of Philosophy. The members of the Supervisory Committee were as follows:

**Ina Salwany binti Md Yasin, PhD**

Associate Professor  
Faculty of Agriculture  
Universiti Putra Malaysia  
(Chairman)

**Natrah Fatin binti Mohd Ikhsan, PhD**

Professor  
Faculty of Agriculture  
Universiti Putra Malaysia  
(Member)

**Mohammad Noor Amal bin Azmai, PhD**

Professor  
Faculty of Science  
Universiti Putra Malaysia  
(Member)

**Mohd Zamri bin Saad, PhD**

Professor  
Faculty of Veterinary Medicine  
Universiti Putra Malaysia  
(Member)

---

**ZALILAH MOHD SHARIFF, PhD**

Professor and Dean  
School of Graduate Studies  
Universiti Putra Malaysia

Date: 13 June 2024

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## LIST OF ABBREVIATIONS

α	alpha
β	beta
°C	degree celsius
%	percentage
µL	microliter
µm	micrometre
µM	micromolar
AMP	ampicillin
AMR	antimicrobial resistance
AO	acridine orange
ARG	antimicrobial resistance genes
BLASTn	nucleotide basic local alignment search tool
bp	base pair
C	chloramphenicol
CaCl <sub>2</sub>	calcium chloride
CAZ	ceftazidime
CFU/mL	colony forming units per millilitre
chiA	chitinase a
CIP	ciprofloxacin
CN	gentamicin
CTX	cefotaxime
ctxA	cholera toxin
DNA	deoxyribonucleic acid
dNTP	deoxynucleotide triphosphate
DO	dissolved oxygen
DOF	Department of Fisheries
E	erythromycin
EB	ethidium bromide
F	nitrofurantoin
FAO	Food and Agriculture Organization of the United Nations

FDA	Food and Drug Administration
FEP	cefepime
<i>fla</i>	flagella
g	gram
g/L	gram per litre
H&E	haematoxylin and eosin
HGT	horizontal gene transfer
<i>hly</i>	hemolysin
IACUC	Institutional Animal Care and Use Committee
K	kanamycin
kb	kilobase
KF	cephalothin
kg	kilogram
L	litre
LC <sub>50</sub>	lethal concentration of 50
LDC	lysine decarboxylase
LPS	lipopolysaccharide
<i>luxR</i>	transcriptional activator protein
M	molar
MAR	multiple antibiotic resistance
mg	milligram
mL	millilitre
MgCl <sub>2</sub>	magnesium chloride
MHA	Muller-Hinton agar
ML	Maximum Likelihood
mM	millimolar
MT	metric tonnes
Na <sub>2</sub> HPO <sub>4</sub>	sodium hydrogen phosphate
NaCl	sodium chloride
NaH <sub>2</sub> PO <sub>4</sub>	sodium dihydrogen phosphate
NOR	norfloxacin
OMP	outer membrane protein
ONPG	ortho-nitrophenyl-β-galactoside

P	penicillin G
PBPs	penicillin-binding proteins
PCR	polymerase chain reaction
<i>pirA/pirB</i>	photorhabdus insect-related toxin
<i>pyrH</i>	uridylate kinase
ppt	part per thousand
qPCR	quantitative polymerase chain reaction
RNA	ribonucleic acid
rpm	revolutions per minute
rRNA	ribosomal ribonucleic acid
SD	standard deviation
SDS	sodium dodecyl sulfate
spp.	species
SXT	sulfomethiozole-trimethoprim
TAE	tris-acetic EDTA
TCBS	thiosulfate citrate bile salts sucrose
<i>tdh</i>	thermostable direct hemolysin
TET	tetracycline
<i>tlh</i>	thermolabile hemolysin
<i>toxR</i>	transcriptional regulatory protein
<i>trh</i>	thermostable direct hemolysin
tRNA	transfer ribonucleic acid
TSA	tryptic soy agar
TSB	tryptic soy broth
TSI	triple sugar iron
U/mL	units per millilitre
UV	ultra violet
V	voltan
v/v	volume per volume
VA	vancomycin

## CHAPTER 1

### INTRODUCTION

#### 1.1 Background of the study

Aquaculture is one of the fastest growing industries in the world. According to FAO (2022), marine shrimp was among the highest demand in aquaculture, approximately USD 34.2 billion accounting for 5.51 metric tonnes (MT) followed by freshwater crustaceans at USD 24.3 billion accounting for 2.53 MT. However, the shrimp industry faces numerous challenges such as disease outbreak caused by bacterial, viruses and fungal diseases in the aquaculture system (El- Saadony et al., 2022).

Vibriosis caused by *Vibrio* spp. has gained attention as a causative agent of diseases in aquatic animals, and it is recognised as significant contributor to the diseases with huge economic impacts on global aquaculture industry including shrimp aquaculture industry (Hossain et al., 2024). This *Vibrio*-related disease causes significant losses in yield and causing substantial economic damage every year (Li et al., 2020). In order to solve the problem, antibiotics are commonly used to promote growth, prevent and treat bacterial infections. However, the widespread and inappropriate usage of antibiotics are leading for emergence and spread of antimicrobial resistance (AMR). This alarming issue threatens public health by diminishing the effectiveness of antibiotics in treating diseases (Tang et al., 2023).

In addition, the presence or absence of virulence factors can influence how severe an infection is and how easily it can be treated (Li et al., 2019). There

is some evidence to suggest that certain mechanisms of AMR can also contribute to the virulence. This means that when the bacteria become resistant to antibiotics, it may also increase virulence (De Nies et al., 2021). Some bacteria may acquire resistance genes on mobile genetic elements such as plasmid, that also carry genes involved in virulence (Dionisio et al., 2019). The plasmid plays a crucial role in facilitating the transfer of antibiotic-resistant genes, which can be transmitted to the next generation via vertical gene transfer or exchanged with other bacteria via horizontal gene transfer (Liu et al., 2022).

Overall, the surveillance of antimicrobial resistance and monitoring of antibiotic use in aquaculture should be encouraged to improve antibiotics management. In addition, aquaculture producers must adhere to the regulations and guidelines set by their local governments to minimize the risk of antibiotic resistance. Furthermore, it is also important to develop alternative methods for preventing and controlling disease to eliminate shrimp health risks.

## **1.2 Problem statements**

Although there were several studies related to distribution, virulence and antimicrobial resistance on *Vibrio* spp. isolated from shrimp across the world, however in Malaysia, there were limited information regarding on these studies. In fact, if it covered *Vibrio* spp., it was just one or two species, and some of the studies only covered a limited number of geographical areas.

Therefore, the epidemiology of vibriosis in shrimp Malaysia's aquaculture remains poorly understood.

According to Lulijwa et al. (2020), there is a lack of data and information on antibiotic use in Malaysia. This causes difficulty in making an accurate comparison with other studies. Moreover, the research revealed that only 5.9% studies on antibiotic resistance in aquaculture and associated environments have been performed. The species involved were catfish (*Clarias gariepinus*), tilapia (*Tilapia mossambica*), banana prawn (*Penaeus indicus*), red prawn (*Solenocera subnuda*), groupers (*Epinephelus* spp.), Asian seabass (*Lates calcarifer*) and red snapper (*Lutjanus* sp.) (Budiaty et al., 2013; Kathleen et al. 2016; Laith et al. 2017; Letchumanan et al. 2019; Amalina et al., 2019; Mohamad et al., 2019). With increasing concern over AMR, a threat to both aquaculture industries and public health, it is critical to monitor and prevent AMR among *Vibrio* species.

In addition, the virulence factors associated with *Vibrio* spp. pathogenicity in Malaysian cultured shrimp are yet unknown. As a result, some knowledge on the distribution and expression of virulence genes in *Vibrio* spp. might provide essential insights into environmentally friendly techniques to treating *Vibrio* infection in shrimp farming. Thus, a better understanding of vibriosis epidemiology will allow for the development of more effective curative and preventative methods to fight the disease.

### **1.3 Significance of study**

In Malaysia, there is currently limited documentation regarding the presence of *Vibrio* spp. in cultured shrimp. Therefore, by investigating the distribution, virulence factors and antimicrobial resistance of *Vibrio* spp. in cultured shrimp, the valuable information for shrimp farmers, aquaculture researchers, and policymakers will be provided. In addition, the knowledge of the prevalence and distribution of diseases may assist farmers, researchers, and policymakers in the development of control measures for diseases in shrimp farming.

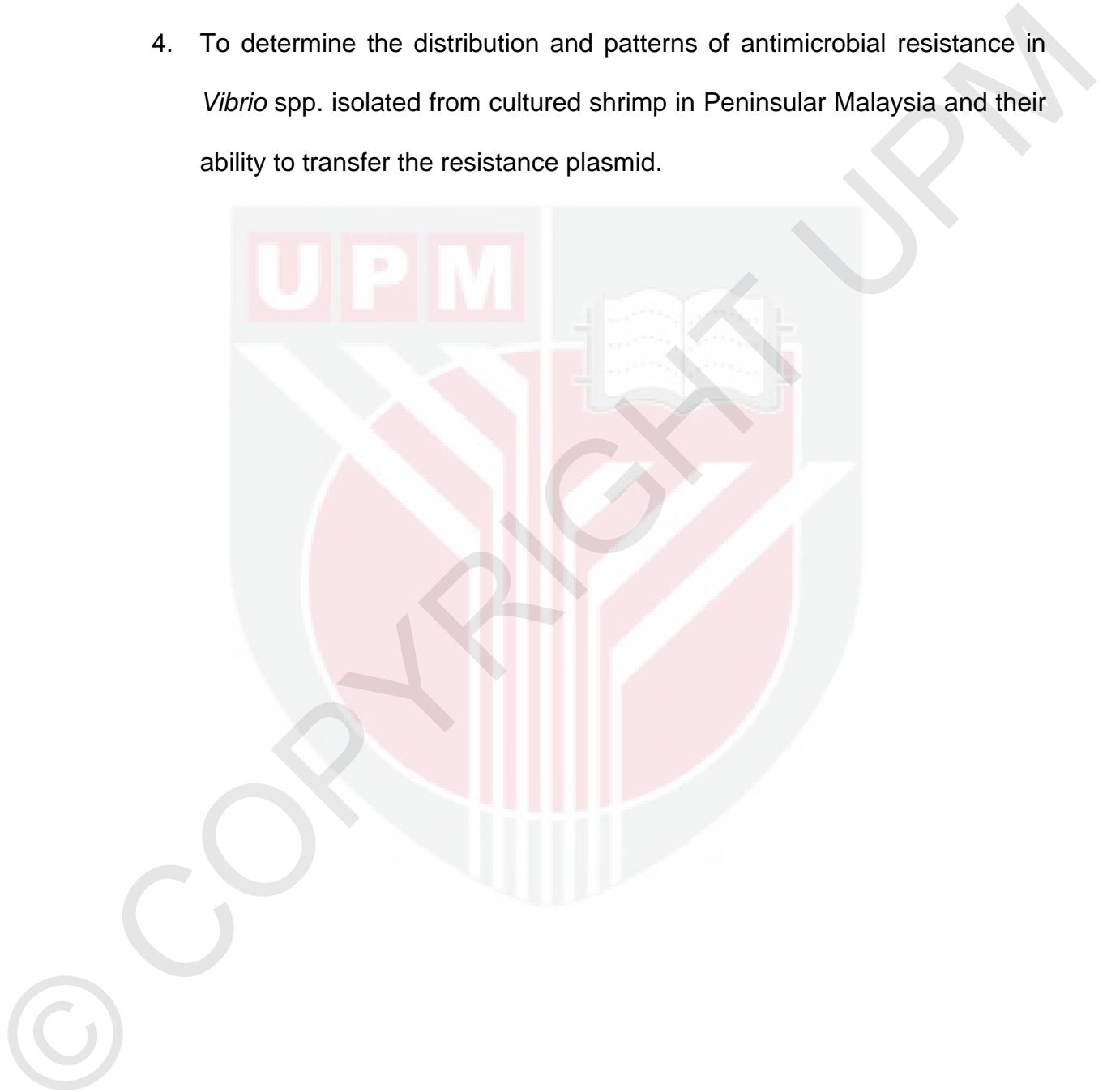
Moreover, the information is useful for regulations to control practices on the farms and minimize the magnitude of the antibiotic resistance among *Vibrio* spp. For this reason, information regarding on distribution of *Vibrio* spp. in the farms around Peninsular Malaysia particularly was important in order to provide greater knowledge of the epidemiology of *Vibrio* infection throughout a geographical region. The findings can contribute to the development of effective management strategies to mitigate the risk of disease outbreaks and promote sustainable shrimp farming practices in Peninsular Malaysia.

### **1.4 Objectives of the study**

The research framework of the study was summarized in Figure 1.1. The study was carried out with the following objectives:

1. To isolate and characterize of *Vibrio* spp. from cultured shrimp collected from various geographical region in Peninsular Malaysia.

2. To assess virulence genes profiles of *Vibrio* spp. isolated from cultured shrimp in Peninsular Malaysia.
3. To evaluate the most virulent strain of isolated *Vibrio* spp. by experimental infection study in shrimp.
4. To determine the distribution and patterns of antimicrobial resistance in *Vibrio* spp. isolated from cultured shrimp in Peninsular Malaysia and their ability to transfer the resistance plasmid.



Distribution, virulence factors and antimicrobial resistance of <i>Vibrio</i> species isolated from cultured shrimp in Peninsular Malaysia			
Objective	Experimental Chapter 3	Experimental Chapter 4	Experimental Chapter 5
To isolate and characterize of <i>Vibrio</i> spp. from cultured shrimp collected from various geographical region in Peninsular Malaysia	<ul style="list-style-type: none"> <li>• Shrimp sampling from seven selected farms in Peninsular Malaysia</li> <li>• Biochemical test and Gram staining</li> <li>• Molecular identification using <i>pyrH</i> gene.</li> </ul>	<ul style="list-style-type: none"> <li>• Virulence-related gene profiling analysis</li> <li>• Challenge experiment</li> <li>• Histopathology analysis</li> </ul>	<ul style="list-style-type: none"> <li>• Antibiotic profiling</li> <li>• Plasmid profiling and curing</li> </ul>
Experiment	Distribution of <i>Vibrio</i> spp. in selected shrimp farms in Malaysia were identified.	The multiple of virulence genes, percentage of mortality and histology changes of <i>Vibrio</i> spp. were determined.	Antibiotics and plasmid profiling were determined.
Output	The data provided will help to enhance the understanding of bacterial pathogens' dynamic in shrimp farming and develop target approaches to mitigate the risk of disease outbreak in shrimp farming.		
Outcome			

**Figure 1.1: Research framework of this study based on the objectives throughout Chapter 3, 4 and 5.** The other chapters included in this study were Chapter 1, Chapter 2 and Chapter 6.

## **1.5 Hypotheses of the study**

The hypotheses of this study are as below:

Hypothesis 1:

$H_0$ : The *Vibrio* spp. was not present in the cultured shrimp from the selected farms in Peninsular Malaysia.

$H_1$ : The *Vibrio* spp. was present in the cultured shrimp from the selected farms in Peninsular Malaysia.

Hypothesis 2:

$H_0$ : There are no difference in the presence of virulence gene profiling of *Vibrio* spp. isolated from cultured shrimp in Peninsular Malaysia.

$H_1$ : There are difference in the presence of virulence gene profiling of *Vibrio* spp. isolated from cultured shrimp in Peninsular Malaysia.

Hypothesis 3:

$H_0$ : There are no difference in the pathogenicity of selected *Vibrio* spp. as determined by the experimental infection study.

$H_1$ : There are difference in the pathogenicity of selected *Vibrio* spp. as determined by the experimental infection study.

Hypothesis 4:

$H_0$ : There are no difference in the presence of antibiotic resistance and plasmid profiling of *Vibrio* spp. isolated from cultured shrimp in Peninsular Malaysia.

$H_1$ : There are difference in the presence of antibiotic resistance and plasmid profiling of *Vibrio* spp. isolated from cultured shrimp in Peninsular Malaysia.

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