



**THERMO-ECONOMIC TURBINE CYCLE HEAT RATE PERFORMANCE
ANALYSIS OF COAL FIRED POWER PLANT**

By

MANMIT SINGH A/L JASBEER SINGH

**Thesis Submitted to the School of Graduate Studies, Universiti Putra
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November 2022

**Chair : Associate Professor Ir. Nawal Aswan Bin Abdul Jalil,
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Coal Fired Power Plants (CFPP) are the backbone of the power generation sector in Malaysia, providing approximately 40% of the national energy demand. The efficient and optimum operations of the plants are vital to minimise the cost of producing energy per unit to ensure the plant remains profitable and sustainable to operate. Subcritical CFPP generally have an efficiency of 33-37% during the commissioning performance test. However, as the plant ages, the efficiency and Heat Rate (HR) deteriorate over time due to ageing and operational deviations from design values causing financial losses and since majority of CFPPs are base load plants producing maximum power output, the potential performance improvement is more significant. Since majority of the subcritical CFPP units only have 10 years remaining on Power Purchase Agreement (PPA), it is not viable to consider major retrofitting due to poor return on investment (ROI) thus this study focuses only on economic analysis of the CFPP based on operational aspects. The main objective of this study is to investigate the Turbine Cycle Heat Rate (TCHR) by developing a numerical mathematical model which enables the evaluation of the TCHR which forms the basis for conducting economic analysis of CFPP performance. The model is developed by utilizing energy and mass balance relationships of the turbine cycle which are validated against heat balance diagram of a 700MW_n CFPP. The usage of actual plant data improves the accuracy and confidence level of the results. In order to obtain the HR of a CFPP, the TCHR has to be evaluated first by conducting energy and mass balance of key components in the turbine cycle such as the Low-Pressure Heaters (LPH), High Pressure Heaters (HPH) and deaerator. The model is able to determine the extraction steam flows of the LPH, HPH and deaerator and subsequently the feedwater flow as these flows are not available on the Distributed Control System (DCS) but are required to evaluate the TCHR. The plant operational data such as pressure and temperature of extraction steam are readily available in the Plant Information (PI) system from which the data is extracted. In essence, the model enables comparison of plant HR

at various operating loads against available commissioning data thus the economic analysis is conducted and it is determined that at the operating baseload of 729 MW_g, there is a HR deviation of -1,135 kJ/kWh which inevitably causes daily losses of RM240,447 or USD 60,112. The developed model is also able to evaluate the daily losses at lower operating loads as the plant is now in transition to cyclic loads operation. At lower loads of 431 MW_g, the daily losses amount to RM 125,767 or USD 31,442. The second objective of this study is to investigate parameters which significantly affect the CFPP performance using HR deviations. The baseline or target values are obtained from the plant commissioning manuals and the Performance Guarantee Test (PGT). It is found that at the operating baseload, the most significant negative HR deviation is for the Rotary Air Heater (RAH) gas exit temperature with a negative HR deviation of -137.9 kJ/kWh leading to an annual loss of RM8.8 million at ACP of RM12/GJ while the superheater and reheater spray flows are contributing least to the HR deviation. The HR deviations analysis highlights the impact of parameters affecting the performance and the ranking of key parameters which affect the HR of the CFPP the most significantly which enables plant operations and maintenance teams to focus on such parameters to mitigate financial losses. The third objective of this study, which is conducting economic analysis of the of the CFPP with regard to TCHR analysis and HR deviation analysis based on the Applicable Coal Price (ACP). The influence of the ACP price towards daily losses or gains has been thoroughly analysed for key performance parameters and it is evident that as the ACP increases, the daily losses increase as well for parameters with negative HR deviation thus it is crucial for plant personnel to be more vigilant on monitoring and mitigating negative HR deviations to minimise monetary losses.

Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia
sebagai memenuhi keperluan untuk ijazah Doktor Falsafah

ANALISIS PRESTASI KADAR KITARAN HABA TURBIN TERMO-EKONOMI LOJI JANAKUASA PEMBAKARAN ARANG BATU

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Loji Kuasa Arang Batu (CFPP) adalah tulang belakang sektor penjanaan kuasa di Malaysia, menyediakan kira-kira 40% daripada permintaan tenaga negara. Operasi loji yang cekap dan optimum adalah penting untuk meminimumkan kos pengeluaran tenaga seunit bagi memastikan loji kekal menguntungkan dan mampan untuk beroperasi. CFPP subkritikal umumnya mempunyai kecekapan 33-37% semasa ujian prestasi pentauliah. Walau bagaimanapun, apabila loji semakin tua, kecekapan dan kadar haba (HR) merosot dari semasa ke semasa disebabkan oleh penuaan dan sisihan operasi daripada nilai reka bentuk yang menyebabkan kerugian kewangan dan memandangkan majoriti CFPP adalah loji beban asas yang menghasilkan output kuasa maksimum, potensi peningkatan prestasi adalah lebih ketara. Memandangkan majoriti unit CFPP subkritikal hanya mempunyai baki 10 tahun dalam Perjanjian Pembelian Kuasa (PPA), adalah tidak wajar untuk mempertimbangkan pengubahsuaian besar kerana pulangan pelaburan (ROI) yang lemah, justeru kajian ini hanya tertumpu pada analisis ekonomi CFPP berdasarkan aspek operasi. Objektif utama kajian ini adalah untuk menyiasat HR Kitaran Turbin (TCHR) dengan membangunkan model matematik mudah yang membolehkan penilaian TCHR yang menjadi asas menjalankan analisis ekonomi prestasi CFPP. Model ini dibangunkan menggunakan hubungan tenaga dan imbalan jisim kitaran turbin yang disahkan terhadap rajah imbalan haba daripada CFPP 700 MW_n. Penggunaan data tumbuhan sebenar meningkatkan ketepatan dan tahap keyakinan keputusan. Untuk mendapatkan HR CFPP, TCHR perlu dinilai terlebih dahulu dengan menjalankan tenaga dan keseimbangan jisim komponen utama dalam kitaran turbin seperti Pemanas Tekanan Rendah (LPH), Pemanas Tekanan Tinggi (HPH) dan deaerator. Model ini dapat menentukan aliran wap pengekstrakan LPH, HPH dan deaerator dan seterusnya aliran air suapan kerana aliran ini tidak tersedia pada Sistem Kawalan Teragih (DCS) tetapi diperlukan untuk menilai TCHR. Data operasi loji seperti tekanan dan suhu stim pengekstrakan sedia tersedia dalam sistem Maklumat Loji (PI) dari mana data diekstrak. Pada asasnya, model ini membolehkan perbandingan HR loji pada

pelbagai tahap beban dengan data pentauliahan loji yang tersedia, oleh itu analisis ekonomi dijalankan dan ditentukan bahawa pada beban asas operasi 729 MW_g, terdapat sisihan HR berjumlah -1,135 kJ/kWj yang pasti menyebabkan kerugian RM240,447 atau USD 60,112 setiap hari. Model yang dihasilkan juga mampu menilai kerugian harian pada beban operasi yang lebih rendah kerana loji kini beralih kepada operasi beban kitaran. Pada beban lebih rendah 431 MW_g, kerugian harian berjumlah RM 125,767 atau USD 31,442. Objektif kedua kajian ini adalah untuk menyiasat parameter yang secara signifikan mempengaruhi prestasi CFPP menggunakan sisihan HR. Nilai asas atau sasaran diperolehi daripada manual pentauliahan loji dan Ujian Jaminan Prestasi (PGT). Didapati bahawa pada beban asas operasi, sisihan HR negatif yang paling ketara adalah untuk suhu keluar gas Rotary Air Heater (RAH) dengan sisihan HR negatif -137.9 kJ/kWj membawa kepada kerugian tahunan sebanyak RM8.8 juta pada ACP sebanyak RM12/GJ manakala aliran semburan pemanas super dan pemanas semula menyumbang paling sedikit kepada sisihan HR. Analisis sisihan HR menyerlahkan kesan parameter yang mempengaruhi prestasi dan penarafan parameter utama yang paling ketara memberi kesan kepada HR CFPP yang membolehkan operasi loji dan pasukan penyelenggaraan menumpukan pada parameter tersebut untuk mengurangkan kerugian kewangan. Objektif ketiga kajian ini, iaitu menjalankan analisis ekonomi CFPP berkenaan dengan analisis TCHR dan analisis sisihan HR berdasarkan Harga Arang Gunaan (ACP). Pengaruh harga ACP terhadap kerugian atau keuntungan harian telah dianalisis secara menyeluruh untuk parameter prestasi utama dan terbukti bahawa apabila ACP meningkat, kerugian harian meningkat juga untuk parameter dengan sisihan HR negatif oleh itu adalah penting bagi kakitangan loji untuk lebih berhati-hati dalam memantau dan mengurangkan penyelewengan HR negatif untuk meminimumkan kerugian kewangan.

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Praises to the almighty I Love all, Serve All

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LIST OF ABBREVIATIONS

| | |
|-----------------|--------------------------------|
| ACP | Applicable Coal Price |
| BFP | Boiler Feed Pump |
| CAC | Contractual Available Capacity |
| CEP | Condensate Extraction Pump |
| CFPP | Coal Fired Power Plant |
| CO ₂ | Carbon Dioxide |
| DCA | Drain Cooler Approach |
| DCS | Distributed Control System |
| FWH | Feedwater Heaters |
| HBD | Heat Balance Diagram |
| HPH | High Pressure Heater |
| HPT | High Pressure Turbine |
| HTS | High Temperature Superheater |
| HR | Heat Rate |
| IPT | Intermediate Pressure Turbine |
| LPH | Low Pressure Heater |
| LPT | Low Pressure Turbine |
| PGT | Performance Guarantee Test |
| PI | Plant Information |
| PPA | Power Purchase Agreement |
| RAH | Rotary Air Heater |
| ROI | Returns on Investment |
| ST | Steam Turbine |
| TCHR | Turbine Cycle Heat Rate |

| | |
|------|-----------------------------------|
| TMCR | Turbine Maximum Continuous Rating |
| TR | Temperature Rise |
| TTD | Terminal Temperature Difference |



CHAPTER 1

INTRODUCTION

1.1 Background

Coal-Fired Power Plants (CFPP) are the main pillar of support for Malaysia's power generation sector, providing over 40% of the country's energy needs (Bujang et al., 2016). With the ever-growing demand of energy in a fast-developing economy, efficient and optimum operations of CFPP are critical to lowering the cost of producing energy and ensuring the plant remains profitable and sustainable for the nation's well-being (Zhang et al., 2018).

Subcritical CFPPs generally have an efficiency of 33-37% during the commissioning performance test. However, the efficiency of CFPPs deteriorate over time causing monetary losses due to poor heat rate. Furthermore, Majority CFPPs are base load plants producing maximum power output which further emphasizes the importance of the units operating at the maximum possible efficiency. The potential performance improvement for baseload CFPP is significant due to higher Net Energy Output (NEO) which translates into greater fuel cost savings.

With the increasing global prices of coal, it is vital to ensure the plant remains efficient to reduce coal consumption. Since majority of CFPP units only have around 10 years remaining on PPA, it is logical to only focus on operational parameters and not major retrofitting or redesign of the CFPP since the plant is operational and the opportunity for long shutdowns is not present due to stringent clauses in the Power Purchase Agreement (PPA) which has allocated limited shutdowns only for routine maintenance works. Furthermore, retrofitting works require capital investment and ageing plants will not be able to recover the cost. The CFPP also has obtained revised safety approvals from local authorities which further complicates the matter to consider retrofitting.

Actual operational data from a 700 MW CFPP is utilized in this study. The plant has been in operations since 2004. Therefore, relevant data from the commissioning stage are readily available to conduct investigation and analysis of the plant's performance deviation between the present and commissioning stages.

*Footnote

MW_n: Net Unit Load (MW)

MW_g: Gross Unit Load (MW)

1.2 Problem Statement

The CFPP's performance has been a topic of interest by several previous studies. However, the methodologies of the past research work had focused mainly on performance modelling of the CFPP using relationships of CFPP thermodynamics without focusing comprehensively on the Turbine Cycle Heat Rate which is more accurate methodology of evaluating CFPP performance (Opris et al., 2020; Almedilla et al., 2018; Gupta & Kumar, 2015a). In addition, a significant number of past research work has not incorporated economic analysis in their studies even though economic analysis is critical for plant operations and maintenance personnel to obtain the daily amount of profit or loss. Furthermore, majority of the units investigated are under 500MW_n (Neshumayev et al., 2018; Wijaya & Widodo, 2018).

Many subcritical CFPPs are approximately ten to fifteen years old with roughly 10 years or less remaining on the PPA. With the ageing of the plant, the deviations of key performance related parameters are expected due to ageing factor and other factors such as lack of knowledge among new plant operators as the senior operators retire. Therefore, it is of utmost importance that the deviations of the key performance parameters are continuously monitored and a relationship between deviation in parameters and HR is established to assist and guide plant personnel to make better and more well-informed decisions with regards to plant performance.

With less than 10 years remaining on the PPA, it is certainly not advisable to consider any major upgradation works on the plant which require retrofitting due to poor returns on investments. In an ageing plant, upgrading works are complex since most of the original design manuals may not reflect the actual plant setup due to frequent repair works being carried out over the course of the years. Furthermore, certain equipment at the plant may also be obsolete and the replacement process for newer modern equipment is complex and requires subsequent changes for similar functions which incurs even more capital cost. The most significant issue for ageing plants is that since upgradation works require a long time, there is insufficient downtime or outage time permitted in the PPA and any unplanned extended outage will lead to even more financial losses in terms of capacity payments of the plant. Last but not the least, design changes require local safety regulatory approvals which are both time consuming and complex as the original drawings and manufacturers drawings will require a long time to be reproduced for the regulatory approval process thus is it totally undesirable to even consider upgradation works.

A number of previous studies related to the CFPP boiler's operations have reviewed the boiler's parameters, unfortunately there is only minimal effort which can be done by the plant personnel since all of the recommendations require the plant to be on outage or shutdown to carry out the rectification works (Gupta & Kumar, 2015b; Pachaiyappan & Prakash, 2015). For example, most of the

improvements of the Rotary Air Heater (RAH), which is a critical equipment of the boiler may only be achieved by offline methods (Sundaravinayaka & Jayapaul, 2017). In addition, while there has been previous investigation about improvising intelligent boiler maintenance interface to address boiler tripping, such studies are not focusing on the CFPP performance (Nistah et al., 2014). In short, it is not feasible to consider such methods of improving CFPP performance as shutdown of the unit will lead to monetary losses of not producing load (Braun, 2021).

Majority of the past studies related to CFPP did not utilize real life CFPP data and thus the outcome and proposed recommendations may not be directly applicable to an existing operational CFPP. In contrast, this study utilizes actual real-life operational plant data and commissioning plant data to improve the accuracy of the simulation model's outcomes and results. The plant commissioning data is obtained from the commissioning phase of the CFPP when the plant has been tested to ensure the plant satisfies the design performance requirements. Therefore, the present operational data may be compared against the plant's commissioning data to conduct through analysis and identify performance gaps.

In addition, the present investigation focuses on the Turbine Cycle Heat Rate (TCHR) which has not been investigated thoroughly in previous studies. The TCHR is defined as the efficiency of the steam turbine in converting main steam from the boiler superheater outlet to usable rotational energy of the turbine's shaft which is interconnected to the generation. Furthermore, while there have been several previous studies which focuses on thermodynamic analysis, there is minimal or no relationship developed between thermodynamic analysis and economic analysis and this study fills the research gap by using a TCHR model incorporating thermodynamic relationships with economic analysis which is crucial to determine the present performance of the plant with regards to operational gains or losses.

Plant operations personnel frequently face difficulty in determining the TCHR as the energy and mass balance of CFPP are not available in past literature or plant manuals. Furthermore, the determination of CFPP's performance is usually conducted by utilizing a simple input-output method in which the total power generated is divided by total fuel energy input to determine the CFPP efficiency however this method only provides a numerical value of the overall CFPP efficiency without in depth analysis of the TCHR. Therefore, the CFPP performance determination based on the 700 MW_n CFPP unit will greatly benefit the power generation industry as the outcome of this research work will provide assistance and guidance to the operations teams in appreciating and understanding key concepts related to CFPP plant performance. Furthermore, the developed numerical model is able to determine the CFPP performance at various operating loads which can further support the plant operations personnel in understanding the performance of the plant at part loads especially during the new regime of cyclic load operations due to changes in the energy industry

outlook. The main goal of this investigation is to minimize financial losses caused by negative HR deviation.

In addition, previous studies have mainly focused on the CFPP performance as whole without paying any attention on the key operational parameters or indicators of the plant therefore the effect of HR deviations due to deviations of these crucial parameters has been overlooked. The lack of such important information also creates opportunity of plant personnel to overlook which key equipment of the plant is causing the most significant losses so that the plant personnel can address such issues with higher priority and proper planning with prior notice.

It is important to note that majority of past investigation related to CFPP performance have not conducted any economical or financial analysis of the CFPP although this information is crucial for plant personnel to evaluate the profit or loss of the CFPP based on the present operational condition. Furthermore, the impact of the coal price, namely the Applicable Coal Price (ACP) has not been considered in previous investigations related to CFPP performance.

One of the objectives of this paper is to investigate HR deviations of a CFPP based on the Applicable Coal Price (ACP) and the impact of ACP prices to the daily losses or gains to the plants are thoroughly analysed for key performance indicators. In the present global economic situation where prices of coal, or in other words, the price of ACP, the primary fuel of CFPP, have been soaring rocket high, it is of utmost importance to consider the effect of coal prices and its effect on plant performance. The main benefit of conducting HR deviation analysis is to analyse key areas of CFPP performance where the gaps or negative deviations of plant HR can be addressed by the operations personnel as the analysis is able to highlight key areas of concern which are significantly affecting plant performance.

The magnitude of HR deviation changes with the coal prices, known as Applicable Coal Price (ACP) which is quoted in RM/GJ and changes on a quarterly basis. In Malaysia, the ACP is regulated by the Energy Commission (EC) and the power plant management are informed in advance of the upcoming new ACP (Energy Commission of Malaysia, 2022). The ACP is affected by changes in coal pricing due to market demand and supply factors. In other countries, the ACP is affected by changes in coal pricing due to market demand and supply factors. However, it should be noted that the plant management has no authority to influence the ACP other than buying coal when the coal price is low and to delay coal shipments when the coal pricing is higher although the storage yard and ship handling capabilities are the main constraints, hindering such a move. Thus, during the higher ACP periods, it is even more crucial for plant operators to focus more on managing the plant at the optimum efficiency by frequent monitoring of the HR deviation to minimize any potential losses caused by operational parameters deviations.

There are three ACP prices considered in the HR deviations analysis, which are RM12, 18 and 24 per GJ and these three ACP prices reflects the transition of ACP from RM12 from the past 5 years to the higher ACP of RM24 due to increasing global coal prices (Energy Commission of Malaysia, 2022). At present, the coal price, or ACP, is Ringgit Malaysia (RM) 24/GJ which is equivalent to RM 482 per ton for coal with gross calorific value of 4800 kcal/kg. Therefore, it is vital for plant personnel to understand the more significant impact to gains or losses based on ACP so that more attention may be given to key parameters that might adversely affect the plant HR causing monetary losses.

The problem statement is summarized as per the following:

- CFPP units are mostly 20 years old with less than 10 years remaining on Power Purchase Agreement (PPA) thus it is not feasible to consider major retrofitting due to poor ROI.
- As the plant ages, the efficiency and heat rate deteriorate over time due to ageing and operational deviations from design values
- Previous investigations have only evaluated CFPP performance using simple input-output relationship without considering the comprehensive method of determining the Turbine Cycle Heat Rate (TCHR).
- Lack of economic analysis which is critical for plant personnel to obtain the daily amount of profit or loss.
- Previous investigations have not investigated effect of key operational parameters towards Heat Rate (HR).
- Impact of the coal price, the Applicable Coal Price (ACP), has not been considered in previous investigations related to CFPP performance.

1.3 Research Objectives

The main goal of this research is to develop a numerical model of the Turbine Cycle Heat Rate (TCHR) of a CFPP which will serve as a basis for conducting study on the performance of CFPP. The three key objectives are summarised as follows:

1. To investigate the Turbine Cycle Heat Rate (TCHR) based on the numerical simulation model.
2. To investigate the effect of key performance parameters deviations on the HR deviation of the CFPP and to determine which parameters affect CFPP performance the most.

3. To conduct economic analysis of the CFPP with regards to TCHR analysis and HR deviations analysis which include the effect of Applicable Coal Price (ACP).

1.4 Scope and limitations of the study

This study focuses on the development of a mathematical model to evaluate the TCHR of the CFPP. The model is developed from the first principle by utilising energy and mass balance relationships of the Turbine Cycle and the numerical model is validated against the Heat Balance Diagram (HBD) with an error of less than 1% to ensure the outcome and results obtained are valid. The model also includes economic analysis of the CFPP unit which is beneficial for the plant personnel to evaluate whether the plant is making a profit or loss. Furthermore, real life plant data from a 700 MW_n CFPP is utilised to further increase confidence level of the results of the study.

The impact of HR Deviations on the CFPP performance with regards to the ACP are analysed to determine the monetary daily gains or losses for a number of key performance parameters. Several rules of thumbs, as well as crucial information from plant commissioning manuals, are used in the process of monitoring HR deviation. The design parameters from the HBD serve as the guiding principles of having a robust HR deviation monitoring regime for any CFPP. In addition, the correct curves for several operational parameters are also provided in the Performance Guarantee Test which may be included in the HR deviation analysis. This enables comparative analysis to be conducted between the present key operating parameters against design data or from the commissioning data and therefore it is possible to identify gaps in the performance of the CFPP. In short, it is possible to study the effect of various parameters towards HR of the CFPP and to ultimately determine which parameters have the most significant effect on the CFPP.

The plant data utilised in this study is obtained from a 700 MW_n subcritical CFPP with a single reheat stage of the boiler. The plant's design main steam temperature is 540 °C and main steam pressure is 175 bar, the hot reheat steam temperature is 540 °C and hot reheat steam pressure is 35 bar. The condensate preheater is achieved by two duplex Low-Pressure Heaters (LPH) in the neck of the condenser and two LPH in series arrangement. The feedwater preheater is achieved by three High Pressure Heaters (HPH) in series arrangement. The cooling water system for the condenser is by means of seawater and the design cooling water temperature rise is 8 °C

1.5 Research Outcome

The CFPP performance study will be beneficial for the power generation industry as the outcomes of this study will assist and support plant personnel to understand and appreciate the concepts in evaluating the performance of the CFPP by utilising a numerical model.

Furthermore, the outcome and related recommendations will not impose any financial burden to implement as the outcomes will be purely operational in nature with no focus on major retrofitting which requires huge capital costs. The main purpose of this study is to ensure the CFPP remains profitable and sustainable in the ever-challenging power generation industry. The effects of changing ACP or coal prices towards the plant HR will also be thoroughly analysed.

1.6 Thesis outline

This thesis is structured into five main chapters in accordance with the thesis format of Universiti Putra Malaysia. The first chapter of the thesis, which is the introduction chapter has been further divided into subsections which focuses on key aspects of this research work. The subtopics provides the research background and objectives of this study as well as the scopes and limitation of the study.

The second chapter, the literature review, focuses on presenting the findings and shortcomings of the previous research work done in the area of the CFPP performance. This chapter is also divided into a number of subdivisions to categorise the particular areas from which the literature was obtained. In the final subsection, the summary of the gaps highlighted from the previous work has been discussed including the Table which highlights the gaps the current study will address.

The third chapter, methodology, covers the key concepts in developing the numerical mathematical model including identification of key parameters, data collection and model validation which is crucial to ensure the outcomes of the study are accurate and reliable. The process of evaluation HR deviations are also discussed with two subsections namely for the FWH and condenser.

The fourth chapter, results and discussions, focuses on discussing the results obtained from the model. The TCHR is obtained at a number of cyclic loads along side with comparison made against commissioning data in order to obtain the HR deviation. The economic analysis is also presented in one of the subsections.

The results of the HR deviation are also thoroughly discussed in the last subsection with the main focus on FWH and condenser.

The fifth chapter, the conclusion and recommendations for future works, contains the conclusion remarks of this study and a number of recommendations for future works have been presented.



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