



## **EFFICIENT DYNAMIC DNA-BASED BLOCK CIPHER ALGORITHM**

By

**CHNG CHERN WEI**

**Thesis Submitted to the School of Graduate Studies, Universiti Putra  
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Abstract of thesis presented to the Senate of Universiti Putra Malaysia in  
fulfilment of the requirement for the degree of Doctor of Philosophy

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**Chairman : Sharifah bte Md. Yasin, PhD**  
**Faculty : Computer Science and Information Technology**

The significance of block cipher algorithms lies in their versatility and resilience. They possess a wide range of applications, spanning from guaranteeing the security of web traffic through protocols like SSL/TLS to encrypting confidential data stored on hard drives. Block ciphers are designed with a specific focus on maximising speed and efficiency, making them highly suitable for use in both hardware and software systems. Ensuring sufficient non-linearity is a crucial factor in the design of S-Box. The use of non-linear S-Box is essential in preventing linear approximations that could lead to successful attacks, such as differential cryptanalysis. This form of assault exploits the correlations between changes in the input and their effects on the output. When an S-box displays a high degree of linearity, it becomes vulnerable to these assaults, hence undermining the security of the cipher and making it easier to decipher. ShiftRow and MixColumns are essential for achieving the required dispersion and obfuscation in a safe block cipher. Nevertheless, they provide unique challenges in relation to security.

Consequently, a Dynamic DNA-based S-box was proposed to enhance the non-linearity of the S-Box. The utilisation of four sets of  $4 \times 4$  S-Box structures contributes to the simplicity and stability of the S-Box construction. The proposed method involves utilising DNA-based components consisting of the nucleotides {A, T, G, C} to generate a novel Dynamic DNA-based S-Box. The suggested method enhances the non-linearity of the S-Box, offering a dynamic solution that effectively defends against linear and differential cryptanalysis.

Additionally, a DNA-based ShiftRow function was proposed to enhance the execution of permutation by methodically displacing the rows of the state array using different offset values. This outcome is characterised by a linear procedure and lacks the ability to combine data from multiple rows. The suggested

ShiftRows algorithm utilises DNA-specific characteristics of the nucleotides {A, T, G, C}. The ShiftRow operation in this system, which is based on DNA, operates as a pseudo-random number generator. It generates encrypted random numbers for the encryption process. To guarantee that the random numbers generated by this DNA-based block cipher satisfy particular requirements and specifications, all values and parameters will conform to the standards established by the National Institute of Standards and Technology (NIST).

Moreover, a DNA-based MixColumns function was proposed to enhance the linear transformation executed in a finite field by employing fixed polynomials and preventing vulnerability to linear and differential attacks. The proposed MixColumns operation is designed to incorporate the unique characteristics of DNA, specifically the nucleotides {A, T, G, C}. The DNA-based MixColumns operation functions as a pseudo-random number generator, generating an encrypted random number for the purpose of encryption. In order to guarantee that the random numbers produced by the suggested DNA-based block cipher satisfy the necessary criteria, all values and parameters will conform to the requirements established by the National Institute of Standards and Technology (NIST).

Finally, the findings confirm that the proposed approaches have demonstrated enhancements, such as passing the randomness test, exhibiting the avalanche effect, and withstanding cryptanalysis. In general, the research has demonstrated encouraging evidence of the DNA-based block cipher's ability to enhance security and withstand linear and differential attacks.

Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia  
sebagai memenuhi keperluan untuk ijazah Doktor Falsafah

## **ALGORITMA SIFIR BLOK YANG CEKAP BERASASKAN DINAMIK DNA**

Oleh

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Kepentingan algoritma block cipher terletak pada kepelbagaian dan ketahanannya. Mereka memiliki pelbagai aplikasi, merentasi dari menjamin keselamatan trafik web melalui protokol seperti SSL/TLS hingga mengenkripsi data rahsia yang disimpan pada cakera keras. Block cipher direka dengan fokus khusus pada memaksimumkan kelajuan dan kecekapan, menjadikannya sangat sesuai untuk digunakan dalam sistem perkakasan dan perisian. Memastikan kecukupan bukan linear adalah faktor penting dalam reka bentuk S-Box. Penggunaan S-Box bukan linear adalah penting dalam mencegah penghampiran linear yang boleh membawa kepada serangan yang berjaya, seperti kriptanalisis berbeza. Bentuk serangan ini mengeksploitasi korelasi antara perubahan dalam input dan kesan mereka pada output. Apabila S-box menunjukkan tahap lineariti yang tinggi, ia menjadi rentan terhadap serangan ini, dengan itu menggugat keselamatan cipher dan menjadikannya lebih mudah untuk dipecahkan. ShiftRow dan MixColumns adalah penting untuk mencapai penyebaran dan pengaburan yang diperlukan dalam block cipher yang selamat. Namun, mereka menyediakan cabaran unik berkaitan dengan keselamatan.

Akibatnya, S-Box berasaskan DNA Dinamik telah dicadangkan untuk meningkatkan bukan lineariti S-Box. Penggunaan empat set struktur S-Box 4 x 4 menyumbang kepada kesederhanaan dan kestabilan pembinaan S-Box. Kaedah yang dicadangkan melibatkan penggunaan komponen berasaskan DNA yang terdiri daripada nukleotida {A, T, G, C} untuk menghasilkan S-Box berasaskan DNA Dinamik yang baru. Kaedah yang dicadangkan meningkatkan bukan lineariti S-Box, menawarkan penyelesaian dinamik yang berkesan melawan kriptanalisis linear dan berbeza.

Selain itu, fungsi ShiftRow berasaskan DNA telah dicadangkan untuk meningkatkan pelaksanaan permutasi dengan menggeser baris array keadaan

menggunakan nilai offset yang berbeza. Hasil ini dicirikan oleh prosedur linear dan kekurangan kemampuan untuk menggabungkan data dari beberapa baris. Algoritma ShiftRows yang dicadangkan menggunakan ciri-ciri khusus DNA nukleotida {A, T, G, C}. Operasi ShiftRow dalam sistem ini, yang berasaskan DNA, berfungsi sebagai penjana nombor rawak semu. Ia menghasilkan nombor rawak yang disulitkan untuk proses penyulitan. Untuk memastikan bahawa nombor rawak yang dihasilkan oleh block cipher berasaskan DNA ini memenuhi keperluan dan spesifikasi tertentu, semua nilai dan parameter akan mematuhi piawaian yang ditetapkan oleh Institut Standard dan Teknologi Kebangsaan (NIST).

Selanjutnya, fungsi MixColumns berasaskan DNA telah dicadangkan untuk meningkatkan transformasi linear yang dilaksanakan dalam medan terhingga dengan menggunakan polinomial tetap dan mengelakkan kerentanan terhadap serangan linear dan berbeza. Operasi MixColumns yang dicadangkan direka untuk menggabungkan ciri-ciri unik DNA, khususnya nukleotida {A, T, G, C}. Operasi MixColumns berasaskan DNA berfungsi sebagai penjana nombor rawak semu, menghasilkan nombor rawak yang disulitkan untuk tujuan penyulitan. Untuk memastikan bahawa nombor rawak yang dihasilkan oleh block cipher berasaskan DNA yang dicadangkan memenuhi kriteria yang diperlukan, semua nilai dan parameter akan mematuhi keperluan yang ditetapkan oleh Institut Standard dan Teknologi Kebangsaan (NIST).

Akhirnya, penemuan mengesahkan bahawa pendekatan yang dicadangkan telah menunjukkan peningkatan, seperti lulus ujian rawak, menunjukkan kesan avalanche, dan bertahan terhadap kriptanalisis. Secara umum, penyelidikan telah menunjukkan bukti yang menggalakkan mengenai kemampuan block cipher berasaskan DNA untuk meningkatkan keselamatan dan bertahan terhadap serangan linear dan berbeza.

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## LIST OF ABBREVIATIONS

UPM	Universiti Putra Malaysia
°C	degree Celsius
DNA	Deoxyribonucleic acid
MSB	Most significant bit
LSB	Least significant bit
NIST	National Institute of Standards and Technology
AES	Advanced Encryption Standard
ECB	Electronic Code Book
IOT	Internet of Things
PCR	Polymerase Chain Reaction
DES	Data Encryption Standard
DNA-PKC	DNA Public Key Cryptography
DNASC	DNA Signature System
DNA	Deoxyribonucleic acid
MSB	Most significant bit
LSB	Least significant bit

# CHAPTER 1

## INTRODUCTION

### 1.1 Introduction

The advent of advanced communication channels has endowed our civilization with the capacity to engage in rapid and secure communication. In the pursuit of enhancing communication security, researchers in the field of computer security from many academic institutions worldwide continue to explore and develop more effective approaches. In order to accomplish this goal, researchers in the field of cryptography continue to investigate security techniques with the aim of enhancing the security of vulnerable communication channels. In order to develop a highly effective cognitive algorithm for secure communication, it is imperative for researchers to thoroughly consider all pertinent elements of digital security. Of particular importance is the recognition that the primary goal of digital security is to serve as a standard against which performance may be measured (Saravanan & Kumar, 2018).

Upon the user's submission of data to the network, the data will undergo a transformation into a publicly accessible state, subsequently being permanently kept on the server. The tracking and consolidation of individual personal data, as well as its subsequent analysis, will persist through the involvement of third-party entities.

Cryptography has the capability to offer safeguarding measures for data and information that are being communicated across a network. The transmission of data, such as video, audio, or text, can be safeguarded to ensure the protection of privacy, ambiguity, and the overall security of human communication channels (Schneider, 2015).

The symmetric block cipher algorithm is well recognized as a prominent cryptographic technique within the field of cryptography due to its notable attributes of simplicity, speed, and robustness. Symmetric block cipher algorithms are widely utilized in the realm of information security systems, particularly in the context of cloud storage services. The utilization of symmetric block encryption is employed for the purpose of both encrypting and decrypting data throughout its storage (Kamara & Papamanthou, 2013).

Joan Daemen together with Vincent Rijmen developed an AES block cipher with a block size of 128 bits or sixteen bytes. The key size for AES cipher has three types which consist of 128 bits, 192 bits or 256 bits, which is sixteen bytes, twenty-four bytes, or thirty-two bytes (Daemen, Daemen, Rijmen, Rijmen, & Leuven, 1999) and (Khamis, 2019). AES is popular used block cipher today to



protect data, especially widely used in the social media industry as well as users' personal information.

The motivation for studying DNA-based block cipher stems from the necessity for innovative and effective approaches to tackle the increasing intricacies of data security risks. Amidst the increasing computational capabilities and advanced approaches, classic cryptographic systems face challenges. However, DNA-based block cipher emerges as a promising and unique solution. The deep and diversified character of DNA computing enhances security. This area of research not only offers the potential to enhance data protection, but also to greatly simplify and accelerate security procedures. In the face of growing and complex cyber-attacks, DNA-based block cipher provides a proactive solution by harnessing the DNA-based capabilities of genetic coding to strengthen the digital domain for future generations.

## 1.2 Problem Statement

The S-Box (Elumalai & Reddy, 2011; NIST, 2001; Al-wattar, Mahmod, Zukarnain, & Udzir, 2015) is a crucial component of the 3D-DNA algorithm, is deliberately constructed to possess non-linearity and complexity in order to effectively counteract linear and differential cryptanalysis. However, the structure of the system, which consists of four sets of  $4 \times 4$  matrices, may contain inherent weaknesses that could be prone to these specific types of attacks. The static aspect of the S-Box in the 3D-DNA method improves performance and simplicity, but it also poses significant hazards for linear and differential cryptanalysis (Ayman, 2019).

The ShiftRow function (Daemen et al., 1999; Al-wattar et al., 2015; Ali Ari, 2023) in the 3D-DNA algorithm executes permutation by systematically shifting the rows of the state array by varying offsets. While this contributes to data rearrangement within each row, the 3D-DNA ShiftRow (Ayman, 2019) remains a linear operation, lacking the capability to intermix data across different rows or columns. As a deterministic process that operates independently of any direct key material, its predictable nature could potentially be exploited by an attacker familiar with the 3D-DNA algorithm. The absence of additional complexifying steps in the algorithm means this predictability might pose a security vulnerability of linear and differential cryptanalysis.

In the 3D-DNA algorithm, the MixColumns is a linear transformation that is performed within a finite field using fixed polynomials. The diffusion components for each round encompass the MixColumns operations. This singularity indicates a diminished encryption quality, rendering the ciphertext susceptible to potential attacks. This leads to a consistent and predictable approach to byte blending. By analysing both the plaintext and its associated ciphertext, it is possible to break the linear features of MixColumns, as noted by Al-wattar et al. in 2015 and Ayman in 2019. To address this issue, a solution is proposed wherein bits are

shuffled in each round using DNA-based, as suggested by Al-Wattar et al., in 2015, Ayman in 2019 and Nik Azura et al., in 2022.

### **1.3 Objective of the Research**

The objective of this research is to design a secure DNA-based block cipher that is inspired by the human biology system. To achieve that goal, the following process will be executed.

- a) To propose a new Dynamic DNA-based S-Box that it satisfies the minimum-security requirement to increase the non-linearity of the DNA-based Block Cipher.
- b) To propose a DNA-based ShiftRow function, which contains DNA-based components, {A, T, G, C}, to increase the security of the DNA-based block cipher and satisfies the minimum-security requirement.
- c) To propose a DNA-based MixColumns function, which contains DNA-based components, {A, T, G, C}, to increase the security of the DNA-based block cipher and satisfies the minimum-security requirement.

### **1.4 Scope of the Research**

This research aims to produce a new proposed DNA-based block cipher and the following features to be considered:

- a) 128 bits for key length;
- b) 128 bits for block size length;
- c) Electronic Code Book (ECB) is used as the process of controlling the encryption process for each block;
- d) Proposed DNA-based block cipher are required to meet the NIST randomness tests, avalanche effects and resist against linear cryptanalysis and differential cryptanalysis for the security requirements.

### **1.5 Contribution of the Research**

This research outcome will contribute to the following areas:

- a) This study utilizes DNA-based components to develop a novel Dynamic DNA-based S-Box. The recently developed Dynamic DNA-based S-Box has demonstrated the capability to enhance the complexity associated with generating the sub-keys and state-keys within the Function Layer of the algorithm. This enhances the efficacy of memory utilization. The novel Dynamic DNA-based S-Box, which is based on DNA, utilizes the

security features inherent in substitution and permutation transformations. The substitution transformation encompasses the application of substitute approaches using the newly Dynamic proposed DNA-based S-Box.

- b) The newly proposed DNA-based block cipher incorporates DNA-specific features of {A, T, G, C}. The DNA-based ShiftRow operation in this system functions as a pseudo-random number generator, producing encrypted random numbers for the encryption procedure. In order to ensure that the random numbers produced by this DNA-based block cipher meet specific requirements and specifications, all values and parameters will adhere to the standards set by the National Institute of Standards and Technology (NIST). The statistical properties of the random numbers generated by the DNA-based block cipher are assessed by employing NIST's statistical testing tools. Furthermore, the avalanche effect is examined, and cryptanalysis is performed.
- c) The newly proposed DNA-based block cipher integrates DNA-specific features of {A, T, G, C}. DNA-based MixColumns operation that acts as a pseudo-random number generator, creating an encrypted random number for encryption purposes. To ensure the generated random numbers from the proposed DNA-based block cipher meet the required standards, all values and parameters will adhere to the guidelines set by the National Institute of Standards and Technology (NIST). The statistical randomness of the random numbers produced by the DNA-based block cipher is evaluated using NIST's statistical test tools, alongside assessments of the avalanche effect and cryptanalysis.

## 1.6 Organization of the Thesis

This chapter will provide a comprehensive overview of the study objectives to be attained and delineate the scope of the investigation. This chapter serves as the first foundation for the present study. The organization of this thesis is as follows:

**Chapter 2** provides an in-depth analysis of the existing literature and background research pertaining to various works that are relevant to the present inquiry. The literature review will encompass an examination of the foundational principles of cryptography, an exploration of the mechanisms underlying cryptographic techniques, and an analysis of existing research pertaining to DNA-based block cipher.

**Chapter 3** provides a comprehensive exposition of the research methodologies employed in the study. This chapter will additionally address the various experimental designs to be conducted, along with the determination of the number of rotations and measurement procedures. It will also explore the comprehension, propagation, and frequency of the suggested novel DNA-based block cipher.

**Chapter 4** discusses the selection of an appropriate system design for the investigation. This chapter also provides a comprehensive explanation of a newly introduced block cipher algorithm. The components encompassed within this framework consist of decision, notation and convention, as well as the early specification of the mathematical procedure and specification. Additionally, it provides verification of the employed methodology. This chapter additionally addresses the topics of algorithm encoding and execution. This phase is a critical juncture at which the established protocol will be converted into a set of controls specified using suitable computer programming language tools. Prior to executing the coding for a new system, it is imperative to do thorough testing to ensure that the implemented system is devoid of computer errors and maintains accurate logical flow.

**Chapter 5** specifically examines the research results, with a special emphasis on analysing the randomness test outputs for both the DNA-based block cipher and DNA Cryptographic Algorithm. The analysis focuses on the testing outcomes of the DNA-based block cipher, utilising multiple criteria such as the Frequency Test and investigating various densities of plaintext and key (Random, Low-Density, High-Density). Furthermore, it incorporates evaluations such as the NIST Randomness Test, Avalanche Effect, Correlation Coefficient, Bit Error Analysis, and Key Sensitivity Analysis, employing distribution charts to conduct a thorough review. This chapter also focuses on the association between the branch number and key parts of linear and differential cryptanalysis, providing a more profound comprehension of the security and efficacy of the DNA-based block cipher.

**Chapter 6** is the final chapter for this thesis. This chapter discusses about the conclusion of the study and future research.

## 1.7 Summary

This chapter offers a summary and justification for the initiation and driving forces behind the current investigation. Additionally, the researchers highlight the study's breadth and its importance to the research domain. The chapter also tackles the problem statement concerning cryptographic challenges, setting the stage for the study's objectives. It delivers an extensive outline of the research context, motivation, objectives, as well as the scope and structural organization of the thesis.

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