

## **UNIVERSITI PUTRA MALAYSIA**

# COMMUNITY PARTICIPATION IN FOREST MANAGEMENT PROGRAMS IN AZAD JAMMU KASHMIR AND HAZARA, PAKISTAN

MAMOONA WALI MUHAMMAD FH 2009 13



## COMMUNITY PARTICIPATION IN FOREST MANAGEMENT PROGRAMS IN AZAD JAMMU KASHMIR AND HAZARA, PAKISTAN

By

MAMOONA WALI MUHAMMAD

Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia, in Fulfilment of the Requirements for the Degree of Doctor of Philosophy

December 2009



## Especially Dedicated to

My Father --- Khawaja Wali Muhammad (Late)

A forester who always shared my love of learning for
forestry and I gave his sacrifice on the very first
mile stone of road to PhD

My Mother --- My Paradise
My Husband --- My Love
My Brothers and Sisters & their better halves
My Father-in-law & Mother-in-law
All My Students --- My Asset
&
Maaha & Sahar --- My Hope



Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfilment of the requirement for the degree of Doctor of Philosophy

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Chairman: Associate Professor Rusli Bin Mohd, PhD

Faculty: Forestry

Participatory forest management (PFM) initiatives have been in place in Pakistan for almost three decades, but apparently there have not been any visible impacts. The goal of this study was to evaluate two participatory forest management (PFM) programs, namely Integrated Land Management (ILM) and Participatory Watershed Management (PWSM), by assessing the levels of participation, factors influencing participation and the impacts of these programs on the socioeconomic conditions of local people and forest/watershed resource development.

A multistage random sampling technique was applied to select units of analysis, households (respondents). The total sample drawn was 1,817 units, 1,479 from the *program* sample group and 338 from the *no-program* group. A structured questionnaire was used in face to face interviews to collect research data. However, qualitative data collected through informal discussions, group meetings, and focus

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groups were used to subjectively support the results of the quantitative data. The social, economic and forest resources impacts were assessed using different statistical analyses. The levels of participation of participants, forms of participation with reference to programs, and socio-demographic characteristics of participants and non-participants were measured using a three dimensional framework and Participants' Participation Index (PPI).

The study found that the anticipated objective, "to attain high level of peoples' participation in the forestry programs", has not optimally been accomplished. The majority of participants have not participated in all the program activities designed at four different levels of participation. Their participation was overall passive in both the PFM programs. In terms of socio-demographic characteristics of participants' landholding size (p=0.012) is an important factor in predicting who will participate in PFM. The other statistically significant factors include family sizes (p=0.041), source of secondary occupation (p=0.000) and levels of household income (p=0.000). The people were not given access to power in designing the projects according to their needs. Both the programs need to re-orientate their approaches toward making PFM better serve the needs of the local people.

The program had positive socio-economic impacts in terms of increase in the household income of the participants (p=0.027) and employment generation within program (p=0.001). The programs have not produced significant social impacts with regard to training opportunities (p=0.377).



Both the programs should be focused on delivering appropriate training courses, people empowerment and strengthening local institutions.

Likewise, the results on reforestation activities indicated no significant differences (p=0.231) between program and no-program areas, nor between participants and non-participants (p=0.128), even though more forest trees were planted under PWSMP. The participants planted more trees than nonparticipants. The programs succeeded in getting significant involvement (p=0.000)of people in forest protection activities. These two accomplishments serve the forest management and development aims of the programs, but do not materially improve the livelihood of the people at this time.

The PFM programs under study have not optimally achieved their desired objectives due to strategic and policy limitations in design and the implementation approach regarding people's participation. But, such programs can achieve substantial success through the participation of local people at all levels in forest management.



Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia bagi memenuhi keperluan Ijazah Doktor Falsafah

PENGLIBATAN PENDUDUK DI DALAM PROGRAM PENGURUSAN HUTAN DI DAERAH AZAD JAMMU KASHMIR DAN HAZARA, PAKISTAN

Oleh

MAMOONA WALI MUHAMMAD

Disember 2009

**Pengerusi:** 

Profesor Madya Rusli Bin Mohd, PhD

Fakulti:

Perhutanan

Pengurusan hutan secara penglibatan telah di amalkan di Pakistan lebih kurang tiga

dekad, tetapi sehingga kini impaknya adalah tidak jelas. Matlamat kajian ini adalah

untuk menilai program pengurusan hutan secara penglibatan, iaitu Pengurusan Tanah

Bersepadu (Integrated Land Management – ILM) dan Program Pengurusan Tadahan

Hujan Secara Penglibatan (Participatory Watershed Management - PWSM), melalui

penilaian ke atas tahap penglibatan, faktor yang mempengaruhi penglibatan dan

impak program ke atas kedudukan sosioekonomi penduduk tempatan dan

pembangunan sumber hutan.

Teknik persampelan pelbagai peringkat telah digunakan untuk memilih unit analisis,

isirumah (responden). Jumlah sampel adalah sebanyak 1,817 unit, di mana 1,479

daripada kawasan yang terlibat dengan program dan 338 daripada kawasan yang

tidak dalam program. Soalselidik berstruktur telah digunakan dalam temubual bagi

pengumpulan data kajian. Walau bagaimanapun, data kualitatif dikumpulkan melalui

perbincangan tidak formal, perjumpaan kelompok, dan perbincangan berfokus

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kelompok juga digunakan bagi menyokong hasil kajian daripada data kuantitatif. Impak sosial, ekonomi dan sumber hutan telah dinilai menggunakan analisis statistik yang berbeza-beza. Tahap penglibatan peserta, bentuk penglibatan dengan merujuk kepada program, dan ciri-ciri sosiodemografi peserta dan bukan peserta telah diukur menggunakan rangka kerja tiga dimensi dan Indek Penglibatan Peserta (Participants' Participation Index –PPI).

Kajian mendapati bahawa objektif yang diharapkan daripada program, "untuk mencapai tahap tinggi dalam penglibatan penduduk dalam program perhutanan", adalah belum dicapai secara optima. Majoriti daripada peserta belum lagi terlibat dalam semua aktiviti program yang disusun berdasarkan kepada empat tahap penglibatan yang berbeza. Secara keseluruhannya penglibatan mereka adalah pasif dalam kedua-dua program PFM. Dari segi ciri-ciri demografi peserta yang berkaitan dengan saiz pemilikan tanah (p=0.012) merupakan faktor penting dalam meramalkan siapa yang akan terlibat dalam PFM. Faktor lain yang signifikan dari segi statistic adalah termasuk saiz keluarga (p=0.041), sumber pekerjaan sekunder (p=0.000) dan tahap pendapatan isirumah (p=0.000). Penduduk telah tidak diberikan akses terhadap kuasa untuk merekabentuk projek sebagaimana mengikut keperluan mereka. Keduadua program memerlukan orientasi semula pendekatan mereka terhadap menjadikan PFM lebih baik dalam memenuhi keperluan penduduk tempatan.

Program ini mempunyai impak sosioekonomi positif dari segi peningkatan dalam pendapatan isirumah peserta (p=0.027) dan penjanaan pendapatan dalam program (p=0.001). Walau bagaimana pun program ini tidak menghasilkan impak sosial signifikan yang berkaitan dengan peluang latihan (p=0.377). Kedua-dua program

seharusnya memberikan fokus kepada pemberian kursus latihan yang sesuai, pendayaupayaan penduduk setempat dan pengukuhan institusi tempatan.

Begitu juga, hasil kajian ke atas aktiviti penghutanan semula (reforestation) memperlihatkan tidak terdapat perbezaan (p=0.231) antara kawasan yang ada program dengan kawasan yang tiada program, dan juga antara peserta dengan bukan-peserta (p=0.128), walaupun lebih banyak pokok hutan ditanam di PWSM. Peserta telah menanam lebih banyak pokok berbanding dengan bukan-peserta. Program ini telah berjaya dalam memperolehi penglibatan (p=0.000) penduduk dalam aktiviti perlindungan. Dua pencapaian ini dapat memenuhi matlamat program pengurusan dan pembangunan hutan, tetapi tidak memperbaiki kehidupan material penduduk pada masa ini.

Program PFM yang dikaji tidak mencapai objektif yang dihasratkan secara optima akibat batasan dasar dan strategi dalam rekabentuk dan pendekatan pelaksanaan yang berkaitan dengan penglibatan penduduk. Pada hakikatnya program seperti ini mampu mencapai kejayaan besar melalui penglibatan penduduk setempat di dalam pengurusan hutan.



#### **ACKNOWLEDGEMENTS**

In the name of Allah SWT, the Compassionate and the Merciful, without who's grace and blessings it would not have been accomplished. I wish to express my appreciation and sincere thanks to a number of people and organizations for their contributions to achieve this task. To the chairman of the supervisory committee (SC), Dr. Rusli Bin Mohd, for constructive suggestions, valuable guidance and positive criticism throughout this research. To the valuable member SC, Dr. Ma'rof Redzuan, who provided suggestions, assistance and brilliant comments to accomplish my research target. To the worthy member SC, Dr. Mohd Zaki Hamzah, who has shared his expertise and commitment and provided me constant guidance. To the external member SC, Dr. Bashir Ahmad Wani, for all his support throughout my professional career. To Associate Professors Dr. Bahaman Abu Samah, Dr. Saidon Amri and Dr. Mohd Roslan who always welcomed with a smiling face and three of them never fail to offer me support especially in statistical analysis. My sincere gratitude is due to them. To the Dean, the Deputy Dean for Research and Postgraduate Studies, Faculty of Forestry, for being helpful whenever I need.

To Gary Naughton, Professor Emeritus, Kansas State University, USA for constant encouragement and untiring task of thesis editing, this will always be remembered but can never be repaid. To Dr. Shifiqur Rehman, CF AJK, whom contributions from planning till end, is meant a lot to me. To Dr. A. L. Tom Hammett, Professor, Virginia Tech, USA for all his help especially for providing valuable literature. To M/S Raja Khizar Hyat, CCF AJK, and Mr. Iqbal Swati, CCF NWFP for making all possible arrangements for the successful data collection phase and I ensure my services as and



when departments needed. To Forest Department Officers, to name a few, Syed Noor Afzal Shah, PD/ CF PWSM, Mr. Mumtaz Qadir, PD/ CF ILM, Sardar Sultan, DFO Abbottabad, Mr. Khalid Javed, DFO Mansehra, Malik Saghir, DFO, Mr. Imtiaz Ahmed Awan, DFO ILM, Mr. Gohar Rehman, DFO Muzaffarabad, Mr. Manzoor Maqbool, DFO JV Muzaffarabad, Khawaja Nazir, CF AJK, Sheikh Abdul Hameed, Principal Kashmir Forest School and Mr. Aamir Shakeel, M&E Specialist for all their assistance. To Raja Muhammad Zarif, DFE PFI for allowing me to pursue my Ph.D. To the Team of Enumerators, for bearing the snowy weather and cold winds especially female team members whose kids were waiting for feed and they were committed to accomplish our target, thank you all for helping in the data collection.

I am also thankful to the examination committee Dr. Zahid Bin Emby, Dr. Mohamad Azani Alias and Dr. Khamurudin Bin Mohd Noor; and the external reviewer, Dr. Murari Suvedi, Professor and Senior Associate to the Dean, Michigan State University, USA, whose valuable comments helped to improve the quality of study.

Finally, to my husband who was remarkably patient and supportive during the inevitably antisocial period of research. To daughters, who were serene and considerate when they wanted to cuddle in me and I was away in field or busy writing. Mere words are not enough to thank them all and I would like them to know that this thesis is theirs. Finally, with my mother' prayers and strong determination, I recognized as I kept walking and even I took small steps every day, I have reached to my aspirations which seemed higher than sky!!

Mamoona Wali Muhammad UPM, December 2009



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Date: 11 February 2010



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#### LIST OF ABBREVIATIONS

ADB Asian Development Bank

AHKCRD Akhtar Hameed Khan Centre for Rural Development, Islamabad

AJK Azad Jammu and Kashmir, Pakistan

CBFM Community Based Forest Management

CBNRM Community Based Natural Resource Management

CBS Central Bureau of Statistic, Pakistan

CCF Chief Conservator of Forests

CF Community Forestry

CFUG Community Forestry User Group

CO Community Organization

DFO Divisional Forest Officer

FAO Food and Agriculture Organization

FBAs Forest or Forest Resource Based Activities

FD Forest Department

GOAJK Government of AJK

GONWFP Government of North West Frontier Province, Pakistan

GOP Government of Pakistan

hh Households

HRD Human Resource Development

IGAs Income Generation Activities

IGF Inspector General Forests, Ministry of Environment, Pakistan

ILM Integrated Land Management

ILMP Integrated Land Management Program, AJK, Pakistan

JFM Joint Forest Management

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LFMCs Local Forest Management Committees

LPG Liquefied Petroleum Gas

MHDC Mahboob-Ul-Haq Human Development Centre, Pakistan

NA Not Applicable

NGO Non Governmental Organization

NPT Non Participants

NTFP Non Timber/ Wood Forest Products

NRM Natural Resource Management

NWFP North West Frontier Province, Pakistan

PD Project Director

PFI Pakistan Forest Institute, Peshawar

PFM Participatory Forest Management

PMU Program Management Unit

PPI Participants' Participation Index

PRFC-ILM ILM Project Revolving Fund for Credit

PT Participants

PWS Participatory Watershed Management

PWSM Participatory Watershed Management Program, NWFP, Pakistan

SF Social Forestry

UC Union Council (administrative sub unit at lower level )

VO/ VDC Village Organization/ Village Development Committee

WO Women Organization

