

UNIVERSITI PUTRA MALAYSIA

WRITING STYLES AND STRATEGIESIN DRAFTING THE STATEMENT OF CLAIM

GERALDINE DE MELLO

FBMK 2002 13

WRITING STYLES AND STRATEGIES IN DRAFTING THE STATEMENT OF CLAIM

By

GERALDINE DE MELLO

Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia, in Partial Fulfillment of the requirements for the Degree of Master of Arts

September 2002



Abstract of thesis presented to the Senate of Universiti Putra Malaysia in partial fulfillment of the requirements for the degree of Master of Arts

WRITING STYLES AND STRATEGIES IN DRAFTING THE STATEMENT OF CLAIM

By

GERALDINE DE MELLO

September 2002

Chairperson:Dr. Shameem Khan @ Shameem Rafik-GaleaFaculty:Modern Languages and Communication

This study examined the generic structure of a legal document, namely, the statement of claim. The writing styles and strategies employed by Malaysian lawyers in the drafting of the statement of claim, particularly pertaining to contracts were also identified whilst looking at the generic structure.

Legal writing in English is a branch of English for specific purposes (ESP) particularly in ESL/EFL contexts and as such, theories pertaining to general and ESP writing were looked into. The writing product in most legal writing involves the conventions of the particular genre involved.



The first research question looked at why the statement of claim as a legal genre is structured the way it is. The subsequent question aimed at discovering the intricacies and processes that influence the writing styles and strategies employed by lawyers in drafting the statement of claim. The research looked at sentence structures, choice and arrangement of words and sentences, and finally how ideas are conveyed. Finally, the third research question sought to determine whether years of work experience among lawyers in any way influenced their writing styles and strategies.

The study sample comprised fifteen lawyers practising in the town of Seremban, Negeri Sembilan, Malaysia. Data collection was via questionnaires, analysis of standard formats of statements of claim, and statements of claim drafted by the sample group. Interviews were also carried out based on semi-structured questions.

The findings suggest that firstly the English Language still holds a dominant position in the courts of law, despite the fact that Bahasa Malaysia is the official oral and written language of the courts in the country.

Secondly, the statement of claim as a legal genre was found to have a formulaic structure with certain obligatory moves which enable lawyers to identify with them, thus assisting them in drafting their statements of claim effectively, and



optional moves that make for some level of creativity, and hence, variation within the prototype.

The writing styles and strategies also varied among the lawyers. In this study, the perceived styles and strategies of the lawyers were discussed. The findings indicate that lawyers follow the recursive nature of writing and do constant revision in the process of drafting in order to produce an effective and yet clear and concise statement of claim.

Finally, the findings also reveal that work experience influences the writing styles and strategies of lawyers in their document writing process.

It can be concluded then that the significance of this study is to guide and assist lawyers, students and legal practitioners as to the drafting of statements of claim.



Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi sebahagian keperluan untuk ijazah Master Sastera.

GAYA DAN STRATEGI PENULISAN DALAM PENYATA TUNTUTAN

Oleh

GERALDINE DE MELLO

September 2002

Pengerusi: Dr. Shameem Rafik Khan @ Shameem Rafik-Galea

Fakulti: Fakulti Bahasa Moden dan Komunikasi

Kajian ini mengkaji struktur generic dokumen perundangan, ia itu, penyata tuntutan. Kajian ini juga menyenaraikan gaya penulisan dan strategi yang digunakan oleh para peguam di Malaysia dalam menulis penyata tuntutan, khasnya penyata tuntutan kontrak.

Penulisan perundangan dalam Bahasa Inggeris adalah sebahagian daripada bidang Bahasa Inggeris untuk tujuan khusus English for Specific Purposes atau *ESP*, teori-teori mengenai tulisan biasa dan tulisan ESP yang berkaitan. Penulisan dalam bidang undang-undang mempengaruhi kelaziman dalam sesuatu genre yang berkenaan.



Soalan pertama melihat bagaimana pernyataan tuntutan sebagai genre undang-undang distruktur. Soalan seterusnya bertujuan mendapat tahu kerumitan dan proses-proses yang mempengaruhi gaya penulisan dan strategi yang digunakan oleh para peguam dalam merangka pernyataan tuntutan. Kajian ini menyelidiki struktur ayat, pemilihan dan susunan perkataan serta ayat dan akhir sekali, bagaimana idea-idea ini disampaikan. Soalan penyelidikan ketiga bertujuan untuk menentukan samada pengalaman bekerja di kalangan para peguam mempengaruhi strategi, cara serta gaya penulisan mereka.

Sampel pengajian terdiri daripada 12 orang peguam di Bandar Seremban, Negeri Sembilan.Pemungutan data adalah melalui soalselidik, analisa pernyataan tuntutan yang mempunyai format piawai serta pernyataan tuntutan yang dirangka oleh kumpulam sample Temubual dijalankan berdasarkan soalan-soalan yang bersifat separuh struktur.

Dapatan kajian menunjukkan bahawa Bahasa Inggeris masih memegang posisi yang penting di Makhamah-makamah perundangan walaupun Bahasa Malaysia adalah digunakan secara resmi untuk semua urusan lisan dan penulisn.

Kedua, penyata tuntutan sebagai sebuah genre perundangan didapaati mempunyai struktur formulaic dengan beberapa perubahn. tertentu yang



membolehkan para peguam dapat mengaitkan diri mereka dengan perubahan tersebut. Ini dapat membantu mereka merangka penyata tuntutan secara berkesan.

Dapatan kajian ini menunjukkan pengalaman bekerja mempengaruhi gaya penulisan dan strategi penulisan dalam proses penulisan dokumen tersebut. Kepentingan kajian ini adalah untuk memberi panduan dan membantu para peguam, pelaja dan pengamal undang-undang dalam perangkaan penyata tuntutan yang lebih effektif.



ACKNOWLEDGEMENTS

Much time and toil went into the moulding of this thesis and in the process many people were instrumental in its final materialization.

First and foremost, I wish to express my most sincere and heartfelt gratitude and appreciation to my supervisor, Dr. Shameem Khan @ Shameem Rafik-Galea, for her untiring and awe-inspiring supervision, guidance and advice without which this thesis would not have acquired its proper perspective..

My heartfelt thanks also goes to members of my supervisory committee, Prof. Dr. Shaik Md Noor Alam S.M. Hussain, Dr. Mohd Faiz Abdullah and Dr. Mardziah Hayati Abdullah, who provided invaluable comments during the supervisory meetings

Not forgetting my dearest parents, Mr. and Mrs. J.P de Mello and siblings to whom I would like to convey my gratitude. They nurtured me on with the immeasurable moral support right from the onset of the entire M.A journey.

I would also like to thank UiTM for awarding me the scholarship to pursue my M.A studies. My thanks also goes out to all the twelve respondents for their willing participation and kindness in the interview and drafting of the statements of claim.



The list would not be complete without acknowledging my gratitude to all my dear friends, Iswander, my mentor, who was instrumental in getting me started on my M.A studies, Annie, Meena, and Pama who have given me moral support and helped me in one way or another in the culmination of this study. Special thanks and gratitude goes to Angie for her tireless effort in proof reading my work and not forgetting Yatie who helped me with the typing and formatting of this study.

Last but not least, my greatest appreciation should be conveyed to my dear husband, Chandran and my wonderful children, Daren and Diane who have willingly sacrificed their hours of leisure and pleasure and put up with my timeconsuming schedule and at times, my temperamental behaviour, too.



DECLARATION

I hereby declare that the thesis is based on my original work except for quotations and citations, which have been duly acknowledged. I also declare that it has not been previously or concurrently submitted for any other degree at UPM or other institutions.

•••••

GERALDINE DE MELLO

Date:



TABLE OF CONTENTS

ABSTRACT	ii
ABSTRAK	iii
ACKNOWLEDGEMENTS	viii
APPROVAL SHEETS	х
DECLARATION FORM	xii
TABLE OF CONTENTS	xiii
APPENDIX	XV
LIST OF TABLES	xvi
LIST OF FIGURES	xix

CHAPTER

1

INTRO	DUCTI	ON	1
1.1	Backg	round to the Study	1
	1.1.1	Language and Law Making	3
	1.1.2	Language and the Judiciary	5
	1.1.3	English for Legal Purposes	8
	1.1.4	The Importance of the Statement of Claim	12
1.2	Staten	nent of the Problem	14
1.3	Resea	rch Questions	18
1.4	Purpo	se of the Study	18
1.5	A The	oretical Framework	20
1.6	Signifi	cance of the Study	30
1.7	Limita	tions of the Study	31
1.8	Definit	tion of Key Terms	32
1.9	Concl	usion	37

2 LITERATURE REVIEW

2.1	Introduction	39
2.2	Writing	39
2.3	Writing in ESL and ESP	42
2.4	Role of Genre In ESP	44
2.5	The Statement of claim as a Legal 'Genre'	46
2.6	Language of the law	47
2.7	The Composing Process	49
	2.7.1 Product Approach to Writing	52
	2.7.2 Process Approach to Writing	54



	2.7.3	Genre-Based Approaches to Writing	56
	2.7.4	Comparisons of the Product, Process	
		and Genre Approaches to Writing	
2.8	Reflec	tion in the Writing Process	60
2.9	Legal	Writing	62
	2.9.1	Legal Writing Process	68
	2.9.2	Research Studies on Legal Writing	71
2.10	Writing	g Style	76
	2.10.1	General Writing Styles	77
	2.10.2	Legal Writing Styles	78
	2.10.3	Rationale of the Statement of Claim	82
2.11	Writing	g Strategies	84
	2.11.1	General Writing Strategies	84
	2.11.2	Legal Writing Strategies	84
	2.11.3	Strategies for Writing the Statement of Claim	87
2.12	Concl		88

III RESEARCH METHODOLOGY

3.1	Introdu	uction	91
3.2	The R	esearch Design	92
3.3	Subject	ts and Sampling	94
3.4	Instrur	nentation	96
	3.4.1	Standard Format of Statements of Claim	96
	3.4.2	Drafts and Fair Copies of Statement of	97
		Claim of Respondents	
	3.4.3	Questionnaire	97
	3.4.4	Interviews	98
3.5	Pilot S	tudy	98
3.6	Data 0	Collection Procedure	
	3.6.1	Examination of Standard Format of Statements of Claim	100
	3.6.2	Administration of Facts of Cases	101
3.7	Analys	sis Procedures	102
	3.7.1	The Type of Content Conveyed	107
	3.7.2	Differences in Writing Style	107
	3.7.3	Examination of Drafts for Writing Strategies	107
3.8	Exami	nation of Fair Copies	109
3.9	Condu	ucting the Semi-Structured Interview	109



IV RESULTS AND DISCUSSION

4.1	Introduction	110
4.2	Analysis of Standard Format of the Statement of Claim	110
	4.2.1 The 'Move' Structure in the Statement of Claim	113
	4.2.2 The Relevance of 'Moves' in the Statement of Claim	114
4.3	Analysis of Drafts of Statement of Claim of Respondents	115
4.4	The Frequency Count of Categories of Words Used in	116
	the Statement of Claim of Respondents	
4.5	The Frequency Count of Sentence Types in the Statement of Claim	129
4.6		131
4.0	The Frequency Count of Sentences in the Active and Passive Voice	131
4.7	The Frequency Count of Simple and Compound	133
	Sentences in the Statement of Claim	
4.8	Arrangement of Words in the Sentences in the Statement of Claim	136
4.9	Arrangement of Sentences in Drafts	138
4.10	Type of Content Conveyed	139
4.11	Writing Styles	140
4.12	'Recursive Nature' of the Writing Process	141
	4.12.1 Junior Lawyers	141
	4.12.2 Senior Lawyers	142
4.13	Constant Revision	143
4.14	Writing Strategies	147
4.15	Analysis of Fair Copies	148
4.16	Semi-Structured Questionnaire	149
4.17	Discussion of Research Findings	155

V CONCLUSION

5.1	Summary and Conclusions	164
5.2	Implications of the Study	165
5.3	Recommendations	167
5.4	Suggestions for Further Research	168
5.5	Concluding Remarks	168



REFEF	RENCES	175
APPE	NDIX	170
A B C D E F	Standard Format of Statement of Claim Semi-Structured Questionnaire Contract Questions Drafts of Statement of Claim Fair Copies of the Statement of Claim Tapescripts of Interviews	175 178 186 197 205 212
BIODATA OF THE AUTHOR		216



LIST OF TABLES

Table		Page
1	The Samples According to Years of Experience and Employment	94
2	Categorisation of Drafts and Fair Copies According to Respondent's Years of Experience	102
3	Data to be Obtained from Drafts of the Statement of Claim of Respondents	104
4	Word Categories Used in the Statement of Claim	105
5.	Categories of Sentence Types and Structures Used in the Statement of Claim	106
6	Categories of the Emphatic Word Order Used in the Statement of Claim	107
7	'Moves' Analysis in the Statement of Claim	111
8(a)	The Frequency Count of Technical Words Used by	116
(b)	Junior Lawyers in the Statement of Claim Examples of Technical Words Used by Junior Lawyers in the Statement of Claim	117
9(a)	The Frequency Count of Technical Words Used by Senior Lawyers in the Statement of Claim	117
(b)	Examples of Technical Words Used by Senior Lawyers in the Statement of Claim	118
10(a)	The Frequency Count of Archaic Words Used by Junior Lawyers in the Statement of Claim	120
(b)	Examples of Archaic Words in the Drafts of Junior Lawyer	rs120
11(a)	The Frequency Count of Archaic Words Used by Senior Lawyers in the Statement of Claim	121
(b)	•	ers121
12(a)	The Frequency Count of Legal Tautologies Used by Junic Lawyers in the Statement of Claim	or 122
(b)	Examples of Legal Tautologies Used by Junior Lawyers in the Statement of Claim	n 123
13(a)	The Frequency of Legal Tautologies Used by Senior Lawyers in the Statement of Claim	123
(b)	Examples of Legal Tautologies Used by Senior Lawyers in the Statement of Claim	123

.

14(a) (b)	The Frequency Count of Compound Prepositions Used by Junior Lawyers in the Statement of Claim Examples of Compound Prepositions Used in the Statement of Claim of Junior Lawyers	125 125
15(a) (b)	The Frequency Count of Compound Prepositions Used by Senior Lawyers in the Statement of Claim Examples of Compound Prepositions used by Senior	126 126
16	Lawyers in the Statement of Claim Frequency Count of Sentence Types Used by Junior	129
	Lawyers in the Statement of the Claim	
17	Frequency Count of Sentence Types Used by Senior Lawyers in the Statement of Claim	130
18(a)	The Frequency Count of Sentences in the Active and	131
(b)	Passive Voice used by Junior Lawyers Examples of the Active and Passive Voice used by Junior Lawyers in the Statement of Claim	131
19(a)	The Frequency Count of Sentences in the Active and	132
(b)	Passive Voice used by the Senior Lawyers Examples of the Active and Passive Voice used by Senior Lawyers in the Senior Lawyers	132
20(a)	The Frequency Count of Simple and Compound Sentences Used by Junior Lawyers in the Statement of Claim	134
(b)	Examples of Simple and Compound Sentences Used by Junior Lawyers in the Statement of Claim	134
21(a)	The Frequency Count of Simple and Compound Sentences Used in the Statement of Claim of Senior	135
(b)	Lawyers Examples of Simple and Compound Sentences Used in the Statement of Claim of Senior Lawyers	135
22(a)	•	144
(b)	of Junior Lawyers Examples of Surface Level and Content Level Changes made in drafts of Junior Lawyers	144
23(a)	•	145
(b)	Drafts of Senior Lawyers Examples of Surface Level and Content Level Changes made in Drafts of Senior Lawyers	145
24	Tabulation of Respondents' Response to the Semi-Structured Interview Questionnaire	150

.



LIST OF FIGURES

Figure		Page
1.	A Process Genre Model of Writing	23
2.	The Flower and Hayes Writing Process Model	28
3.	Genre Distinctions	49
4.	Martin's Model of Genre	56
5.	The Research Design	92
6.	Research Processes	93



CHAPTER I

INTRODUCTION

This chapter sets out the background to the study, identification of the research problem, the research questions and the purpose of the study and the limitations within. Last but not least, this chapter sets the parameters for the findings by stating the significance of the study and the definition of key terms.

1.1 Background to the Study

In Malaysia today there is much talk concerning the role of the English language. Policy makers are, on one hand, very comfortable with English as an unofficial language, but on the other, the impact of globalization and the Information Technology era have inevitably enhanced the position of English to a higher status. The legal profession in Malaysia is bound by the language policy of Malaysia. When Malaysia achieved Independence in 1957, the language policy was to achieve 'nationalism' and 'nationism'. 'Nationalism' according to Fishman (1968:41) is a "process of transforming from fragmentary and tradition-bound ethnicity to unifying and ideolized nationality". Thus, Bahasa Malaysia or Malay was chosen to serve the purpose of developing self-identity and group identity. 'Nationism' is a "process where the political boundaries are most salient and most efforts are



directed towards maintaining and strengthening them, regardless of the immediate socio-cultural characteristics of the population they embrace" (Fishman, 1968:42). The use of English was maintained because it was deemed practical and pragmatic.

This dual language policy was not meant to be divisive. In fact, it was aimed at accelerating development and progress. Hence, as a developing, industrialized and innovative society, Malaysia has pragmatically chosen the English Language for facilitating the needs of international communication, that is, a language that is to be used globally to access both knowledge and education.

In order to trace this aspect of nationism, the Federal Constitution, the Supreme Law of our land, must be adhered to. Article 152 of the Federal Constitution, states the position of language use in Malaysia as follows:

Clause (1) – that the national language is the Malay language or what is now known as Bahasa Malaysia (BM), but this is read together with the subsequent clauses provided for the use of English

Clause (2) – English is deemed as an official language for a period of ten years after dependence (up to 1967). This retention



is fully endorsed in Clause (3).

Thus, BM became the national language. But with the realization that a drastic switch is more harmful than good, the government had accorded a flexibility in the use of English for official purposes for a period of ten years after independence. One of the official purposes relevant here was the law-making process (Noraini, 1998: xviii).

Specifically, this study is an attempt to understand the drafting of the statement of claim as a legal genre, and to see how this genre resides within the academic and professional community. The study focuses on the issues relating to the writing styles and strategies of Malaysian lawyers in the drafting of the statement of claim as a legal genre. The fair copy of the statement of claim is currently filed into court in BM. However, most of the drafts of the statement of claim is drafted in English and then translated into BM.

1.1.1 Language and Law-Making

When Malaysia was formed in 1963, the coalition of various political parties which represented the various groups in Malaysia made it necessary for the continued use of English as BM (as mentioned earlier) was only just introduced, and had yet to gain widespread acceptance. Hence, English was allowed to be used for official purposes, albeit for a period of ten years only.



An instance of this official purpose is the conduct and mode of law-making, that is, the passing of Bills or acts of Parliament (Noraini, 1998: xix). Since law-making is a very important process, the flexibility in using English (for a period of ten years) was granted in Article 152, as follows:

Clause (3) – that all authoritative texts (Bills or Acts of Parliament, to be introduced or amended) shall be in the English language.

Clause (4) - that all proceedings in the Supreme Court shall be in English unless otherwise pleaded, and

Clause (5) - that all proceedings in the subordinate courts, other than the taking of evidence shall be in English

Hence, it can be seen that Clause (3) above is concerned with the making of written law, whereas Clause (4) and Clause (5) are more concerned with the use of English in courts. This flexibility in language for court proceedings was for a very practical reason: most of the judges and the counsels at that time were well versed in English only. English, therefore, became the main language of the legal service, and it was preserved lest miscommunication should hinder the meting out of justice or, in other words, "to see that justice was upheld" (Asmah, 1992:109).



In addition, other reasons that contributed to the use of English relate to the fact that the Constitution and earlier laws were drafted in English and then translated into Malay, and that most draftsmen were, by confession, not well versed in Malay (Asmah, 1992: 44).

1.1.2 Language and the Judiciary

The flexibility accorded to the law-making processes mentioned above had a parallel effect on the judicial processes. During the initial years, proceedings were carried out in English even when the parties in contention were Malays. This went on until the 80s, when the use of BM was implemented, albeit very gradually. In the lower courts, BM was implemented only in 1981, while in the higher courts, the implementation was only in 1990 (Noraini, 1998:xix).

Malay has been the language of the courts since 1 June 1990. The amended Section 8 of The National Language Act 1963/67 states:

"All proceedings (other than the giving of evidence by a witness) in the Supreme Court, the High Court or any Subordinate courts shall be in the national language: Provided that the Court may either of its own motion or on the application of any party to any proceedings and after considering the interests of justice in those proceedings, order that the proceedings (other than the giving of evidence by a witness) shall be in the national language and partly in the English Language".

It is clear from the above wordings that English could not be used wholly in any proceedings in any of the courts. The provision of discretion to allow the

