

UNIVERSITI PUTRA MALAYSIA

COMMUNITY BASED INSTITUTIONS AND SUSTAINABLE LIVELIHOODS OF INLAND FISHERMEN OF BANGLADESH

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COMMUNITY BASED INSTITUTIONS AND SUSTAINABLE LIVELIHOODS OF INLAND FISHERMEN OF BANGLADESH

By

GAZI MD NURUL ISLAM

Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia, in Fulfilment of the Requirement for the Degree of Doctor of Philosophy

September 2006



DEDICATION

To my parents, wife, daughter and late grandfather



Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfilment of the requirement for the degree of Doctor of Philosophy

COMMUNITY BASED INSTITUTIONS AND SUSTAINABLE LIVELIHOODS OF INLAND FISHERMEN OF BANGLADESH

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This study investigated the impact of Community Based Fisheries Management (CBFM)

on household's welfare by examining how the various types of assets contribute to

household income. A survey of 240 households in Bangladesh was conducted from

February to May 2005 to obtain information from fishermen at CBFM and non CBFM

sites (control sites).

The major objective of CBFM is to build local fishery community organizations for

managing fisheries sustainably and improve livelihood conditions of poor fishermen.

This is carried out by providing credit, training and developing social awareness so that

fishermen's capacities for managing their livelihood assets are enhanced. A livelihood

assets framework is utilized and a regression model is used to analyze the factors that

contribute to household income of poor fishermen under the CBFM and in non CBFM

control sites.

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The study finds that the fishermen under CBFM areas have improved their access to different assets such as social capital, human capital, physical capital, financial capital and natural capital. The Principal Component Analysis is used to develop an index of the key variables to be considered in measuring the different asset variables.

The results show that the levels of the assets are higher in the CBFM sites compared to the control sites. The participants have acquired use rights of water bodies through administrative support from the government. The organized fishermen participation in making decisions has increased. The participants received higher amount of credit (financial assets) including interest free loans from NGOs to pay for their lease fees and to meet other fisheries related costs. Both the fishermen in the project and control areas have poor productive assets. The fishermen sell their assets during the period of crisis since they do not have savings to fall back to during this period.

To examine the relationship between household's assets and income, the OLS regression is used. The explanatory variables include household characteristics such as age of household head, employment days and household size in addition to livelihood asset variables.

The results of the regression show that the social capital, employment days and area of fishing are significant contributors to household income in the project areas. On the other hand, the variables such as household size, age of household head and education are significant factors in determining household income in the control areas. The contribution of social capital factors is significant to household income which indicates that these social factors play a very important role in poverty alleviation in Bangladesh.



The overall findings of the study provides support for the development of community based fisheries and the investment in enhancing the multidimensional asset base of rural communities to achieve development goals of poverty alleviation and human development.



Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk ijazah Doktor Falsafah

INSTITUSI BERASASKAN KOMUNITI DAN KEHIDUPAN MAPAN NELAYAN PENDALAMAN DI BANGLADESH

Oleh

GAZI MD NURUL ISLAM

September 2006

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Kajian ini meneliti kesan Peungurusan Perikanan Berasakan Komuniti (CBFM) ke atas

kebajikan isi rumah dengan melihat bagaimana kepelbagaian jenis aset dapat

menyumbang kepada pendapatan isi rumah. Bagi memperolehi maklumat tentang

kegiatan nelayan di kawasan CBFM dan kawasan bukan CBFM (kawasan kawalan),

satu tinjauan telah dijalankan di Bangladesh yang melibatkan 240 isi rumah sebagai

respodan.

Objektif utama Peungurusan Perikanan Berasakan Komuniti (CBFM) adalah untuk

membangunkan sebuah organisasi masyarakat nelayan yang menguruskan perikanan

secara mampan dan meningkatkan keadaan hidup nelayan miskin. Ini dilaksanakan

dengan membekalkan kemudahan kredit, latihan serta meningkatkan tahap kesedaran

supaya keupayaan menguruskan harta pencarian para nelayan dapat

dipertingkatkan. Satu rangkakerja aset mata pencarian di gunapakai serta model regresi

digunakan untuk menganalisis fakto-faktor yang menyumbang kepada sumber

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pendapatan isi rumah bagi para nelayan miskin di kawasan CBFM dan juga kawasan bukan CBFM.

Kajian ini mendapati bahawa, para nelayan di kawasan CBFM telah memperbaiki capaian mereka terhadap aset-aset yang berbeza seperti modal sosial, modal manusia, modal fizikal, modal kewangan dan modal semulajadi. Analisis Prinsip Kompenon digunakan untuk membangunkan indeks bagi angkubah utama yang akan dipertimbangkan dalam mengukur angkubah aset yang berbeza.

Hasil kajian ini menunjukkan tingkat kepelbagaian aset adalah lebih tinggi di kawasan CBFM berbanding kawasan kawalan. Para peserta telah memperoleh hak guna kawasan air melalui sokongan pentadbiran kerajaan. Penglibatan nelayan yang tersusun di dalam membuat keputusan telah meningkat. Para peserta memperolehi jumlah kredit yang tinggi (aset kewangan) termasuk pinjaman tanpa faedah daripada badan bukan kerajaan untuk membayar yuran pajakan dan juga kos perikanan yang lain. Semua nelayan di kawasan CBFM dan di kawasan kawalan memiliki aset produktif yang rendah. Para nelayan menjual aset mereka semasa keadaan krisis oleh kerana mereka tidak mempunyai simpanan. untuk mengatasi jangkamasa krisis ini.

Bagi mengkaji hubungan di antara aset dan pendapatan isi rumah regresi OLS di gunakan. Pembolehubah luaran adalah terdiri daripada ciri-ciri isi rumah seperti umur ketua isi rumah, jumlah hari bekerja dan saiz isi rumah disamping angkubah aset mata pencarian. Keputusan regresi menunjukkan modal sosial, jumlah hari bekerja dan kawasan penangkapan ikan adalah penyumbang utama kepada punca pendapatan isi rumah di kawasan projek. Selain itu, pembolehubah seperti saiz isi rumah, umur ketua



isi rumah dan pendidikan adalah signifikan dalam menentukan pendapatan isi rumah bagi kawasan kawalan. Sumbangan modal sosial adalah faktor penting terhadap pendapatan isi rumah di mana faktor ini menunjukkan bahawa faktor sosial memainkan peranan penting dalam mengurangkan kemiskinan di Bangladesh.

Penemuan keseluruhan kajian memberi sokongan kepada perikanan berasakan komuniti di dalam memperkayakan aset multinasional komuniti masyarakat luar bandar dalam mencapai matlamat pembangunan bagi mengurangkan kemiskinan dan pembangunan manusia.



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I certify that an Examination Committee has met on 28 September 2006 to conduct the final examination of Gazi Md. Nurul Islam of his Doctor of Philosophy thesis entitled "Community Based Institutions and Sustainable Livelihoods of Inland Fishermen of Bangladesh" in accordance with Universiti Pertanian Malaysia (Higher Degree) Act 1980 and Universiti Pertanian Malaysia (Higher Degree) Regulations 1981. The Committee recommends that the candidate be awarded the relevant degree. Members of the Examination Committee are as follows:

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DECLARATION FORM

I hereby	declare	that t	he the	sis is	based	on my	y ori	ginal v	work exc	ept fo	or c	quota	tion	s and
citations	which	have	been	duly	ackno	wledg	ed.	also	declare	that	it	has	not	been
previous	y or co	ncurre	ntly su	ıbmitt	ed for	any of	her o	legree	at UPM	or otl	her	insti	itutio	ns.

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LIST OF ABBREVIATIONS/GLOSSARY

baor An oxbow lake

BBS Bangladesh Bureau of Statistics

beel Deepest part of a floodplain, often with a permanent area of

water/wetland

BMC Beel Management Committee

BRAC Bangladesh Rural Advancement Committee

Caritas An International NGO

CBFM Community Based Fisheries Management

CBN Costs of Basic Needs (a method of measuring quality of life)

CPR Common Property Resources

current jal Nylon monofilament gill net with small mesh

DANIDA Danish International Development Agency

DFID Department for International Development

DOF Directorate of Fisheries

EBSATA East Bengal Acquisition and Tenancy Act

FAP Flood Action Plan (a series of flood mitigation and water management

planning studies undertaken in 1990-1995)

FRSS Fisheries Resources Survey Systems

GAP Gross Agricultural Product

GDP Gross Domestic Product

GOB Government of Bangladesh

izaradars Middlemen/leaseholders

IFAD International Fund for Agricultural Development

haor Deeply flooded saucer shaped depression



jalmohal Fishery leased out by government for revenue

katha Brushpile constructed to attract fish (a fish aggregating device)

khas land Land owned by the government

kua A ditch in a floodplain area to concentrate fish as a water level falls

MOFL Ministry of Fisheries and Livestock

ISPAN Irrigation Support Project for Asia and the Near East

ITDG Intermediate Technology Development Group

MPO Master Plan Organization under the Water sector in Bangladesh

MOL Ministry of Land

mohajan Moneylender

NGO Nongovernmental Organisation

OLPII Oxbow Lakes Small scale Fishermen Project, Second Phase

ODA Overseas Development Administration of the U.K government

NFMP New Fisheries Management Project

parishad Council

PMS Poverty monitoring survey

PRA Participatory Rural Appraisal

PRSP Poverty Reduction Strategy Paper

RMC River Management Committee

SADP Second Aquaculture Development Project

Tk Taka, the Bangladeshi currency (in July 1995, Tk 65=US\$1)

TURF Territorial use rights in fisheries

UPAZILA Lowest administrative unit of Bangladesh

USAID United States Agency for International Development



UFO Upazila Fisheries Officer

WARPO Water Resources Planning Organization

UNDP United Nations Development Programme

Zaminder Family managing an state and collecting revenue during British period



CHAPTER 1

INTRODUCTION

Bangladesh has one of the richest fishery resources in South Asia. Fish and fisheries play a major role in employment, nutrition, foreign exchange earnings and other aspects of the economy. Fish alone supplies 60% of animal protein consumed. It provides full time employment to an estimated two million fishermen, small fish traders, fish transporters, packers and other related activities (World Bank, 1989), and another 14 million people are indirectly and partially dependent on fishing. The sector contributes about 5.5% of GDP, 18% of Gross Agricultural Product and 6.28% of export earnings (BBS, 2000).

1.1 Background of Fisheries Resources

Inland fisheries production comes from two sources: capture and culture. The total area of inland open water bodies in Bangladesh is 4.92 million ha. (93% total inland water bodies). They provide habitats for 260 species of fish, more than 20 species of shrimps, and numerous other species of plants and animals (Ali, 1991; Nishat et al., 1993).

During the last three decades, there has been a gradual decline in the production of fish from inland capture fisheries (Khan, 1993; Rahman, 1993; Ahmed, 1995). The contribution of inland open waters was 63% in 1983-1984 but it declined to 36% in 2002-2003 (DOF Bulletin, 2004). Aquaculture's contribution however has grown from 16% in 1984 to over 43% in 2003 (from 14,000 ha). Out of total fish production from



inland waters, 55% production came from closed waterbodies and rest 45% came from open waterbodies (Table 1.1). Average per capita fish consumption felt from 43.8 grams in 1995-96 to 38.45 grams in 2000 (BBS, 2001 p.17).

Table 1.1: Water areas for Bangladesh fisheries, 2003-2004

Type of waterbody	Water areas (ha)	Water areas	Fish production	Fish production
		(%)	(mt)	(%)
A. Inland open waters				
Rivers and estuaries	1,031,563	19.53	152,579	9.27
Floodlands	2,832,792	53.63	497,922	30.23
Beels	114,161	2.16	74,328	4.51
Kaptai lake	68,800	1.30	7,238	0.44
Polder/enclosure	873,000	16.53	-	-
Total Inland Openwater	4,920,316	93.15	732,067	44.45
B. Inland Closed waters				_
Ponds	215,000	4.07	795,810	48.32
Baors (oxbow lakes)	5,488	0.11	4,282	0.26
Coastal shrimp firms	141,353	2.68	114,660	6.96
Total inland closed waters.	361,841	6.85	914,752	55.55
Total inland waters (A+ B)	5,282,157	100.00	1,646,819	78.34

Source: Department of Fisheries (DOF, 2003).

Agriculture dominates the rural economy of Bangladesh and a vast inland fishery provides significant livelihood opportunities. Approximately half of its population lives below the poverty line. They live in extreme poverty – often without land or a homestead, without a source of regular income and in households that are headed by a female or have disabled or ill members (World Bank 2002). This amounts to 63 million people who are unable to meet their basic subsistence needs.