



UNIVERSITI PUTRA MALAYSIA

***CONTROLLED ISLANDING STRATEGY FOR POWER SYSTEMS BASED
ON FLEXIBLE SEMI-SUPERVISED SPECTRAL CLUSTERING***

FARSHAD AZADIAN

FK 2014 3



**CONTROLLED ISLANDING STRATEGY FOR POWER SYSTEMS BASED
ON FLEXIBLE SEMI-SUPERVISED SPECTRAL CLUSTERING**

By

FARSHAD AZADIAN

**Thesis Submitted to the School Graduate Studies, Universiti Putra Malaysia, in
Fulfillments of the Requirements for the Degree of Master of Science.**

June 2014



© COP YRIGHT UPM

COPYRIGHT

All material contained within the thesis, including without limitation text, logos, icons, photographs and all other artwork, is copyright material of Universiti Putra Malaysia unless otherwise stated. Use may be made of any material contained within the thesis for non-commercial purposes from the copyright holder. Commercial use of material may only be made with the express, prior, written permission of Universiti Putra Malaysia.

Copyright © Universiti Putra Malaysia



DEDICATION



To my parents

© COPYRIGHT UPM

Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfilment of the requirement for the degree of Master of Science

CONTROLLED ISLANDING STRATEGY FOR POWER SYSTEMS BASED ON FLEXIBLE SEMI-SUPERVISED SPECTRAL CLUSTERING

By

FARSHAD AZADIAN

June 2014

Chairman : Mohd Amran Mohd Radzi, PhD

Faculty : Engineering

Many blackout occurrences such as those in USA, Canada, and Italy (2003), Brazil and Paraguay (2009), and India (2012) are some evidences proving the vulnerability of current electrical power systems. Having a preventive plan is necessary to protect systems from experiencing blackout. Intentional islanding is a self-healing method with the main goal is to prevent the system from cascading outages which lead to blackout. Islanding strategy is based on splitting power systems by means of cutting lines into several smaller isolated ones called islands, so that the cascading effects and disturbances flowing in the grid are stopped. However, without considering specific constraints, these islands will not be stable and will collapse soon and even the stability of the grid worsens.

Previous methods can minimize partitioning cutsets (either power imbalance or power disruption) while fully satisfying only one constraint (slow coherency). Thus, there is a possibility that by not considering other factors during islanding, the final suggested islands are not stable enough. The framework proposed in this research is capable of handling multiple constraints applied to the system. Furthermore, unlike prior spectral clustering methods which are not capable of satisfying a constraint partially, here it is possible to define degree of satisfaction. It is a value defined for the combined constraint specifying how much satisfied constraints should be. The combined constraint is the combination of all constraints built based on preferred factors such as slow coherency and minimal power imbalance. Hence, the proposed method is called flexible semi-supervised spectral clustering for controlled islanding. In this work, slow coherency is chosen as the first and most preferred constraint, so that generators are categorized in slowly coherent groups. To generate stable islands, minimal load-generation imbalance is computed which results the second constraint. As the final step, lines with lower power flow are discovered and chosen to find minimum power flow disruption.

In order to verify applicability of the proposed framework, it is applied to two IEEE test cases: 39-bus and 118-bus. By using this framework containing several constraints, it is shown that this method of islanding generates more stable islands by causing as few as possible power flow disruption and load shedding.

The obtained results clearly confirm that the proposed framework is able to find several cutsets based on the defined constraints. This new method generates islands while considering different factors of power systems simultaneously which is expected to lead to the most stable islands, and therefore save systems from blackouts.



Abstrak tesis yang dikemukakan ke hadapan Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk ijazah Master Sains

**STRATEGI PEMULAUAN TERKAWAL BAGI SISTEM KUASA
BERDASARKAN KELOMPOK SPEKTRUM SEPARUH FLEKSIBEL
TERSELIA**

Oleh

FARSHAD AZADIAN

Jun 2014

Pengerusi : Mohd Amran Mohd Radzi, PhD

Fakulti : Kejuruteraan

Banyak kejadian putus bekalan seperti di Amerika Syarikat, Kanada, dan Itali (2003), Brazil dan Paraguay (2009), dan India (2012) adalah beberapa bukti menunjukkan kelemahan sistem kuasa elektrik semasa. Memiliki pelan mencegah adalah perlu untuk melindungi sistem daripada mengalami putus bekalan. Pemulauandengan niat adalah satu kaedah penyembuhan sendiri dengan matlamat utama untuk menghalang sistem daripada gangguan melata yang membawa kepada putus bekalan. Strategi pemulauan adalah berdasarkan kepada pemisahan sistem kuasa melalui pemotongan talian kepada beberapa kawasan lebih kecil, yang dipanggil pulau, supaya kesan gangguan melata yang mengalir di dalam grid dihentikan. Walau bagaimanapun, tanpa mengambil kira kekangan tertentu, pulau-pulau ini tidak akan stabil dan akan runtuh nanti dan juga kestabilan grid akan menjadi lebih teruk.

Kaedah terdahulu boleh mengurangkan pembahagian set potong (ketidakeimbangan kuasa atau gangguan kuasa) sambil memuaskan sepenuhnya hanya salah satu kekangan (kejelasan perlahan). Oleh itu, terdapat beberapa kemungkinan bahawa dengan tidak mempertimbangkan parameter lain semasa pemulauan, pulau-pulau terakhir yang dicadangkan tidak cukup stabil. Rangka kerja yang dicadangkan dalam kajian ini mampu mengendalikan pelbagai kekangan yang digunakan untuk sistem berkenaan. Tambahan pula, tidak seperti kaedah kelompok spektrum sebelumnya yang tidak mampu memuaskan kekangan sebahagiannya, di sini ia boleh menetapkan tahap kepuasan kekangan. Ia adalah satu nilai yang ditetapkan bagi kekangan tergabung yang menyatakan bagaimana banyak sepatutnya kekangan yang memuaskan. Kekangan tergabung tersebut merupakan gabungan semua kekangan yang dibina berdasarkan kepada faktor-faktor pilihan seperti kejelasan perlahan dan ketidakeimbangan kuasa yang minimum. Oleh itu, kaedah yang dicadangkan dipanggil kelompok spektrum separuh fleksibel terselia untuk pemulauan terkawal.

Dalam kerja ini, kejelasan perlahan dipilih sebagai kekangan pertama dan yang paling penting, supaya penjana dapat dikategorikan dalam beberapa kumpulan kejelasan perlahan. Untuk menghasilkan pulau yang stabil, ketidakseimbangan beban-penjaanan akan dikira yang menjadikannya kekangan kedua. Sebagai langkah terakhir, talian dengan aliran kuasa yang lebih rendah diselidiki dan dipilih untuk mencari gangguan aliran kuasa yang minimum.

Untuk mengesahkan rangka kerja yang dicadangkan dapat digunakan, ia dilaksanakan dalam dua kes ujian IEEE: 39-bas dan 118-bas. Dengan menggunakan rangka kerja ini yang mengandungi beberapa kekangan, ia menunjukkan bahawa kaedah pemuluan ini menghasilkan pulau yang lebih stabil sambil menyebabkan kemungkinan gangguan kuasa dan tumpahan beban sekurang mungkin.

Keputusan yang diperolehi dengan jelas mengesahkan bahawa rangka kerja yang dicadangkan mampu untuk mencari beberapa set potong berdasarkan kekangan yang ditakrifkan dan tahap kepentingannya. Kaedah baru ini menjana pulau-pulau sambil mempertimbang ciri-ciri yang berbeza pada sistem kuasa dalam masa yang sama yang dijangka membawa kepada pulau-pulau yang paling stabil, dan oleh yang demikian melindungi sistem daripada putus bekalan.

ACKNOWLEDGEMENTS

First and foremost, I would like to thank my supervisor Associate Professor Dr. Mohd Amran Mohd Radzi for all his words of encouragement, support, and guidance through this long process. I would also like to thank Dr. Noor Izzri Abdul Wahab for the valuable instructions and advice. This dissertation could not have been written without their extensive help and guidance.

Besides, I would like to take this opportunity to express my appreciation to my friend Rad for his inspiration and support during this project.

Finally, an honorable mention goes to my family for their love, understandings, and supports on me in completing this project.

Without helps of the particular mentioned above, I would have faced many difficulties while the progress of this thesis.

I certify that a Thesis Examination Committee has met on 26 June.2014 to conduct the final examination of Farshad Azadian on his thesis entitled “Controlled Islanding Strategy for Power Systems Based on Flexible Semi-Supervised Spectral Clustering” in accordance with the Universities and University Colleges Act 1971 and the Constitution of the Universiti Putra Malaysia [P.U. (A) 106] 15 March 1998. The Committee recommends that the student be awarded the Master of Science.

Members of the Thesis Examination Committee were as follows:

Nurul Amziah binti Md Yunus, PhD

Senior Lecturer
Faculty of Engineering
Universiti Putra Malaysia
(Chairman)

Hashim Hizam, PhD

Associate Professor
Faculty of Engineering
Universiti Putra Malaysia
(Internal Examiner)

Gorakanage Arosha Chandima Gomes, PhD

Professor
Faculty of Engineering
Universiti Putra Malaysia
(Internal Examiner)

Hussain Shareef, PhD

Associate Professor
Universiti Kebangsaan Malaysia
Malaysia
(External Examiner)

NORITAH OMAR, PhD

Associate Professor and Deputy Dean
School of Graduate Studies
Universiti Putra Malaysia

Date: 19 September 2014

This thesis was submitted to the Senate of Universiti Putra Malaysia and has been accepted as fulfillment of the requirement for the degree of Master of Science. The members of the Supervisory Committee were as follows:

Mohd Amran Mohd Radzi, PhD

Associate Professor
Faculty of Engineering
Universiti Putra Malaysia
(Chairman)

Noor Izzri Abdul Wahab, PhD

Senior Lecturer
Faculty of Engineering
Universiti Putra Malaysia
(Member)



BUJANG BIN KIM HUAT, PhD

Professor and Dean
School of Graduate Studies
Universiti Putra Malaysia

Date:

DECLARATION

Declaration by graduate student

I hereby confirm that:

- this thesis is my original work;
- quotations, illustrations and citations have been duly referenced;
- this thesis has not been submitted previously or concurrently for any other degree at any other institutions;
- intellectual property from the thesis and copyright of thesis are fully-owned by Universiti Putra Malaysia, as according to the Universiti Putra Malaysia (Research) Rules 2012;
- written permission must be obtained from supervisor and the office of Deputy Vice-Chancellor (Research and Innovation) before thesis is published (in the form of written, printed or in electronic form) including books, journals, modules, proceedings, popular writings, seminar papers, manuscripts, posters, reports, lecture notes, learning modules or any other materials as stated in the Universiti Putra Malaysia (Research) Rules 2012;
- there is no plagiarism or data falsification/fabrication in the thesis, and scholarly integrity is upheld as according to the Universiti Putra Malaysia (Graduate Studies) Rules 2003 (Revision 2012-2013) and the Universiti Putra Malaysia (Research) Rules 2012. The thesis has undergone plagiarism detection software.

Signature: _____ Date: 5 November, 2014

Name and Matric No: Farshad Azadian, GS32637

Declaration by Members of Supervisory Committee

This is to confirm that:

- The research conducted and the writing of this thesis was under our supervision;
- Supervision responsibilities as stated in the universiti Putra Malaysia (Graduate Studies) Rules 2003 (Revision 2012-2012) are adhered to.

Signature _____
Name of
Chairman of
Supervisory **Mohd Amran**
Committee: **Mohd Radzi, PhD**

Signature _____
Name of
Member of
Supervisory **Noor Izzri**
Committee: **Abdul Wahab, PhD**

TABLE OF CONTENTS

ABSTRACT	Page
	i
ABSTRAK	iii
ACKNOWLEDGEMENTS	v
APPROVAL	vi
DECLARATION	viii
LIST OF TABLES	xiii
LIST OF FIGURES	xiv
LIST OF ABBREVIATIONS	xv

CHAPTER

1 INTRODUCTION	1
1.1 Background	1
1.2 Problem statement	2
1.3 Aims and objectives	3
1.4 Scope of work	3
1.5 Thesis organization	4
1.6 Summary	6
2 LITERATURE REVIEW	7
2.1 Definition of blackouts	7
2.2 Blackouts damage to society and economy	8
2.3 Power system security, stability and reliability	9
2.3.1 Power system security	9
2.3.2 Power system stability	10
2.3.3 Power system reliability	12
2.4 Load shedding	12
2.5 Islanding concept	13
2.5.1 Time to initiate islanding	13
2.5.2 The best splitting points	14
2.5.3 System restoration methods	14
2.6 Critical factors in islanding	15
2.6.1 Coherency of generators	15

2.6.2	Load-generation balance	17
2.6.3	Power flow disruption	18
2.7	Islanding background	18
2.8	Graph theory	22
2.9	Realization in power systems	23
2.10	Graph partitioning algorithms	25
2.10.1	Geometric methods	25
2.10.2	Spectral methods	25
2.10.3	Multilevel algorithms	25
2.11	Rules of graph simplification	26
2.11.1	Remove vertices of degree one	27
2.11.2	Remove vertices of degree two	27
2.11.3	Equivalence of parallel lines	28
2.11.4	Remove transformers	28
2.12	Mathematical background	29
2.12.1	Eigenvalues and eigenvectors	29
2.13	Spectral clustering	30
2.13.1	Unnormalized graph Laplacian	31
2.13.2	Normalized Laplacian matrix	32
2.13.3	Semi-supervised spectral clustering	33
2.13.4	Flexible semi-supervised spectral clustering	34
2.14	K-means	34
2.15	Summary	35
3	METHODOLOGY	36
3.1	Introduction	36
3.2	Flexible semi-supervised spectral clustering	38
3.2.1	Steps of the proposed method	38
3.2.2	Flexible semi-supervised spectral clustering example	41
3.3	Specifying islanding factors	43
3.4	Determination of the Q matrix for each constraint	43
3.4.1	Slow coherency constraint matrix	43
3.4.2	Minimal power imbalance constraint matrix	44
3.5	Generating constraints from clustering information	44
3.6	Slow coherency	45

3.6.1	Determining coherent generators groups	46
3.7	Minimal load-generation imbalance	48
3.7.1	Breadth-first search algorithm	48
3.7.2	Determining load-generation balance islands by modified BFS	48
3.7.3	An illustrative example (IEEE 9-bus)	49
3.8	Minimal power flow disruption	50
3.9	Test cases	51
3.10	Summary	52
4	RESULTS AND DISCUSSION	53
4.1	IEEE 39-bus	53
4.2	IEEE 118-bus	69
4.3	Comparison of the results	74
4.4	Constraint and satisfaction factors	74
4.4.1	Determination of weighting coefficient (q)	74
4.4.2	Determining satisfaction degree (β)	75
4.5	Summary	78
5	CONCLUSION AND SUGGESTIONS	79
5.1	Conclusion	79
5.2	Suggestions for future work	80
	REFERENCES	81
	APPENDICES	89
	Appendix A: IEEE 39-bus system data	89
	Appendix B: IEEE 118-bus system data	95
	BIODATA OF STUDENT	110
	LIST OF PUBLICATIONS	111

LIST OF TABLES

Table		Page
2.1.	History of major blackouts	9
2.2.	Review of previous methods	21
3.1.	Slow coherency results for IEEE 9-bus system	49
4.1.	Reduced admittance (sparse) matrix of IEEE 39-bus system	55
4.2.	Laplacian matrix of IEEE 39-bus reduced system	56
4.3.	Matrix M for IEEE 39-bus	56
4.4.	Eigenvalues and corresponding eigenvectors of IEEE 39-bus	57
4.5.	First step result of slow coherency	57
4.6.	Final results of slow coherency for IEEE 39-bus	57
4.7.	Constraint matrix for slow coherency	64
4.8.	Constraint matrix for minimal power imbalance	65
4.9.	Adjacency (sparse) matrix	66
4.10.	Laplacian (sparse) matrix of IEEE 118-bus system	67
4.11.	Final islanding results for 39-bus system	68
4.12.	Slow coherent generators	71
4.13.	Island 1 for IEEE 118-bus system	72
4.14.	Final islanding results for 118-bus system	73

LIST OF FIGURES

Figure	Page
2.1. Blackout consequences	8
2.2. Power system states	14
2.3. IEEE 9-bus test case	24
2.4. Equivalent graph of IEEE 9-bus test case	25
2.5. Various steps of the multilevel graph partitioning algorithm	26
2.6. Remove vertices of degree one	27
2.7. Remove vertices of degree two	27
2.8. Equivalence of parallel lines	28
2.9. Network size before and after the simplification	29
3.1. The proposed controlled islanding method	37
3.2. The assumed graph	42
3.3. Solutions for the example with different β	42
3.4. IEEE 9-bus corresponding graph with generation/load data	50
4.1. IEEE 39-bus system	54
4.2. BFS result for generator 1	58
4.3. BFS initiation for generator 9	59
4.4. Stage 2 of BFS for generator 9	59
4.5. Stage 3 of BFS for group 2	60
4.6. Stage 4 of BFS for group 2	60
4.7. Final result for group 2	61
4.8. Stage 1 for group 3	61
4.9. Stage 2 for group 3	62
4.10. Stage 3 for group 3	62
4.11. Stage 4 for group 3	63
4.12. Final stage for group 3	63
4.13. Final solutions for IEEE 39-bus system	68
4.14. IEEE 118-bus	70
4.15. Island 1 for IEEE 118-bus system	71
4.16. Final solutions for 118 –bus system	73
4.17. Number of violations for different β values	75
4.18. Comparison of obtained results from both test cases	76

LIST OF ABBREVIATIONS

NP	Non-deterministic Polynomial
BFS	Breadth First Search
DFS	Depth First Search
NERC	North American Electric Reliability Council
RMS	Root Mean Square
PMU	Phasor Measurement Unit
DYRNED	Dynamic Reduction
OBDD	Ordered Binary Decision Diagrams
FSE	Fault Section Estimation
MLRGPA	Multilevel Reduced Graph Partitioning Algorithm



© COP YRIGHT UPM

CHAPTER 1

INTRODUCTION

1.1 Background

Rapid population growth and industrial development have caused a steep growth in energy demand. The main share of this need is provided in the form of electrical energy. To satisfy this increasing demand, power systems have to be merged and united together in order to form larger interconnected network of lines connecting loads and generators to each other [1].

Although electrical energy is supplied more efficiently in this type of network, its construction, operation and maintenance are excessively intricate and several parameters should be analyzed in order to control and guarantee its performance. Having acceptable performance in steady-state mode is necessary. However, it is essential for power systems to withstand sudden and unpredicted disturbances such as loss of some major lines, loads or generations which can completely change their power flow pattern. Power security is one of the major abilities of a network. It is defined as the ability of an electrical system to survive through sudden disturbances and catastrophic outages [2].

In the near future, there will be several factors that affect maintenance and control procedure of power systems. Distributed generation as a new form of power generation, advent of new electrical vehicles (undoubtedly future transportation will be based on electricity), and other cutting edge technologies make the control and maintenance procedure even more difficult. Thus, power systems are more vulnerable and of course recent blackouts (which are listed in detail later in Table 2.1) have proved that current power systems are not ready yet for the future demands. Specially, the blackout in U.S. and Canada in year 2003 was an eye-opening experience. Afterwards, numerous studies focused on blackouts to find their reasons, behaviors, and processes.

Generally, security concept can be divided into two main categories [2], [3]:

- 1- Preventive strategies: network switching, reactive compensation, and generation rescheduling.
- 2- Emergency control strategies: direct or indirect load shedding, generation shedding, and controlled islanding (network splitting).

Most power systems only rely on preventive strategies for their security. A comprehensive survey covering 111 different Special Protection Schemes (SPSs) in

17 countries, revealed that only 6.3% are controlled islanding schemes [4]. Furthermore, most of those schemes are predefined based on off-line study and do not represent real-time system states and operating conditions. Hence, there is a high possibility that they will not be effective enough to prevent blackouts. This investigation proved the urgent need to design and implement proper islanding strategies for power systems.

1.2 Problem statement

Islanding strategy is the last step to prevent a widespread blackout. In this strategy, the disturbed large-scale power system is forced to be split into relatively smaller isolated systems called islands. With a proper islanding method, not only all possible power is supplied for loads and major blackout is prevented, but also disturbances and their impacts are blocked from propagate into the rest of the power network. Thus, saving the power system and then having an appropriate restorative plan are totally depending on effectiveness of the islanding strategy applied to the system.

To form stable islands, the islanding strategy must consider several factors such as coherency of generators, load-generation balance, thermal limits, transient stability and power flow pattern of the system and so forth. It would be too intricate to search for islands in large-scale power systems and satisfying all those constraints. It is considered as a Non-deterministic Polynomial time (NP) hard problem. It is not even possible to anticipate whether such solutions exist. However, by considering only a sub-set of those factors, for example slow coherency and load-generation balance, now it is feasible to find proper islanding solutions by using heuristic methods. This approximation makes it possible to find islanding solutions in reasonable time.

In terms of objective functions, existing methods can be classified in two groups; considering either minimal power imbalance or minimal power-flow disruption. The first group tries to minimize the difference between load and generations in each island. While the second group, tries to minimize the implication of islanding on power flow pattern of the system. Moreover, it is observed that after disturbances, coordination between generators will be changed and some of them tend to swing together. Neglecting this phenomenon will result in unstable islands with non-coherent generators within them which will collapse soon. Therefore, it is crucial to consider the coherency between generators in each island.

One group of islanding methods are to find proper islands based only on either minimal power imbalance [5]–[7] or minimal power flow disruption [8], [9] without considering slow coherency. Since slow coherency of generators is not considered in these works, their final islands may contain non-coherent group of generators which is not desirable. Some heuristic methods are proposed to improve final answers by creating islands based on either minimal power imbalance [10]–[17], or minimal power flow disruption [18], [19] while considering slow coherency as the only

constraint. Although the final solutions are more stable in these attempts, obviously several important constraints are still left unsatisfied. The first group does not consider the power flow pattern of the system during their search for proper islands. Therefore, the islanding process itself (due to quick change of flow pattern) can cause significant disruption in the system and leads to islands collapse. The second group neglects load-generation imbalance in each island which may results in islands collapse due to overloading, and unnecessary generation trimming or load shedding. Unfortunately, since it is not possible to define more than one constraint in those works, they cannot be developed any further. Obviously, it is necessary to propose a new method with the ability of handling several constraints to solve the problem. Moreover, the previous works are not able to define all types of constraints or control them. Finally, it is necessary to prepare a flexible islanding method so that final solutions can be regulated and systemized due to situation and conditions of the system.

1.3 Aims and objectives

The aim of this work is to propose an islanding framework which is capable of saving power systems from widespread blackout. In order to achieve this aim, the specific objectives are listed as follow:

- 1- To develop a framework for controlled islanding which can consider more than one constraint simultaneously while generating islands. Furthermore, this framework should be capable of handling both types of constraints namely full and partial constraints.
- 2- To develop a flexible islanding framework in which it is possible to define degree of satisfaction for constraints and find the splitting points based on them.
- 3- To prove the applicability and effectiveness of this new framework by applying it to IEEE 39-bus and IEEE 118-bus test cases.

1.4 Scope of work

This thesis is concerned with the preparation of a flexible multi-constraint method for controlled. To have a successful islanding strategy, three main problems should be solved. Those are:

1. Finding the appropriate time to initiate islanding.
2. Determining the best splitting points.
3. Suggesting a proper method to restore the system (also known as “black start”) after stabilizing the system.

In this thesis, it is intended to cope with the second issue. The other two issues are not in the scope of this thesis.

In order to find proper splitting points, slow coherency, minimal load-generation imbalance, and minimal power flow disruption are critical factors that should be considered to have a promising islanding. The scope of this work is to suggest a flexible multi-constraint framework for controlled islanding to consider all those parameters when determine boundaries of the created islands. In this work, it is discussed how a constraint should be defined, the proper method for creation combined constraint matrix, and how to provide a new method for controlled islanding based on flexible semi-supervised spectral clustering [20]. In this work, slow coherency and minimal load-generation imbalance (minimal power imbalance) are defined as constraints and the combined constraint is constructed based on them. Finally, minimal power flow disruption is computed as the objective function. The framework proposed here is completely independent of constraints' types, numbers, and methods used to compute them. Thus, methods which are used to compute those factors are not in the scope of this work. In this research, it is not intended to go deep in those methods or try to improve them for instance to make them faster or more precise. Methods used in this work are only suggestions and comparing them to other methods or prove their efficiency is not in the scope of this work. Finally, this research has no intention to judge about the importance of those factors. The flexibility of this method provides this option to have more than one result according to the users' preferences.

1.5 Thesis organization

A brief introduction has been presented in chapter 1. The remainder of this thesis is organized as explained further.

The literature review begins with introducing blackouts, reasons and process of blackouts, and history of major previous ones. Then, a brief discussion is brought about three closely related concepts in power systems namely power system security, power system stability, and power system reliability. After that, the controlled islanding is discussed thoroughly and important aspects of it are presented. Furthermore, previous methods of controlled islanding are reviewed. Since, the proposed method is based on graph theory and spectral clustering; these two fields are introduced and discussed in literature review. The background of spectral clustering and its development to constrained spectral clustering is reviewed. Lastly, flexible semi-supervised spectral clustering used in this research is introduced.

Chapter 3 describes application of the flexible semi-supervised spectral clustering in controlled islanding. The generator grouping based on slow coherency is utilized to obtain coherent groups. The procedure to define the result of each step as a constraint for future steps is demonstrated subsequently. Breadth First Search (BFS)

algorithm is used to find the best boundaries for islands according to minimal power imbalance criterion which is the second constraint. To apply all constraints to the islanding process, a new method is defined which converts all of them into one combined constraint to be used in islanding. Lastly, based on power flow equations in power systems, while satisfying constraints; minimum power flow cutset is obtained.

The test cases for efficiency evaluation of this framework are presented in chapter 4. Two different IEEE test cases namely 39-bus and 118-bus are used to demonstrate the procedure of this strategy and to prove its efficiency in different conditions and scenarios.

Finally, chapter 5 provides the concluding remarks and proposed future works.



1.6 Summary

In this chapter, the concept of power systems security was briefly described and controlled islanding strategy and its importance to prevent cascading outages and widespread blackouts were reviewed. After presenting an overview of previous works, objectives and scope of this research work were declared and classified. At last, the layout of this thesis was described for each chapter.



REFERENCES

- [1] P. M. Anderson and A. Fouad, "Power system control and stability," The Iowa State University Press, Second edition. 2008.
- [2] L. Wehenkel and M. Pavella, "Preventive vs. emergency control of power systems," *IEEE PES Power Syst. Conf. Expo. 2004.*, pp. 628–633, 2004.
- [3] L. Wehenkel, "Emergency control and its strategies," in *Proc. 13th Power Syst. Comput. Conf.*, 1999, pp. 35–48.
- [4] P. M. Anderson and B. K. Lereverend, "Industry experience with special protection schemes," *IEEE Trans. Power Syst.*, vol. 11, no. 3, pp. 1166–1179, 1996.
- [5] Q. Zhao, K. Sun, D. Zheng, J. Ma, and Q. Lu, "A study of system splitting strategies for island operation of power system: a two-phase method based on OBDDs," *IEEE Trans. Power Syst.*, vol. 18, no. 4, pp. 1556–1565, Nov. 2003.
- [6] K. Sun, D. Zheng, and Q. Lu, "Splitting strategies for islanding operation of large-scale power systems using OBDD-based methods," *IEEE Trans. Power Syst.*, vol. 18, no. 2, pp. 912–923, May 2003.
- [7] K. Sun, D.-Z. Zheng, and Q. Lu, "A simulation study of OBDD-based proper splitting strategies for power systems under consideration of Transient Stability," *IEEE Trans. Power Syst.*, vol. 20, no. 1, pp. 389–399, Feb. 2005.
- [8] G. W. Rosenwald and J. Jung, "Strategic Power Infrastructure Defense," *Proc. IEEE*, vol. 93, no. 5, pp. 918–933, May 2005.
- [9] A. Peiravi, "A fast algorithm for intentional islanding of power systems using the multilevel kernel k-means approach," *J. Appl. Sci.*, vol. 9, pp. 2247–2255, 2009.
- [10] G. Xu and V. Vittal, "Slow coherency based cutset determination algorithm for large power systems," *IEEE Trans. Power Syst.*, vol. 25, no. 2, pp. 877–884, 2010.
- [11] G. Xu, V. Vittal, A. Meklin, and J. E. Thorman, "Controlled islanding demonstrations on the WECC system," *IEEE Trans. Power Syst.*, vol. 26, no. 1, pp. 334–343, 2011.
- [12] C. Wang, B. Zhang, P. Li, J. Shu, L. Cheng, Z. Hao, Z. Bo, and A. Klimek, "Power system islanding based on multilevel reduced graph partitioning algorithm," *2008 43rd Int. Univ. Power Eng. Conf.*, no. 1, pp. 1–6, Sep. 2008.
- [13] C. G. Wang, B. H. Zhang, Z. G. Hao, J. Shu, P. Li, and Z. Q. Bo, "A novel real-time searching method for power system splitting boundary," *IEEE Trans. Power Syst.*, vol. 25, no. 4, pp. 1902–1909, 2010.

- [14] W. Cheng-gen, Z. Bao-hui, B. O. Zhi-qian, and A. Klimek, "A novel fast searching algorithm for power system self-adaptive islanding," in *Power and Energy Engineering Conference, 2009. APPEEC 2009. Asia-Pacific, 2009*, pp. 1–6.
- [15] X. Wang and V. Vittal, "System islanding using minimal cutsets with minimum net flow," *Power Syst. Conf. Expo.*, pp. 379–384, 2004.
- [16] B. Yang, V. Vittal, and G. T. Heydt, "A novel slow coherency based graph theoretic islanding strategy," in *Power Engineering Society General Meeting, 2007. IEEE, 2007*, pp. 1 – 7.
- [17] B. Yang, V. Vittal, and G. T. Heydt, "Slow coherency based controlled islanding – A demonstration of the approach on the August 14 , 2003 blackout scenario," *IEEE Trans. Power Syst.*, vol. 21, pp. 1840–1847, 2006.
- [18] L. Ding and V. Terzija, "A new controlled islanding algorithm based on spectral clustering," in *2011 4th International Conference on Electric Utility Deregulation and Restructuring and Power Technologies (DRPT), 2011*, pp. 337–342.
- [19] L. Ding, F. M. Gonzalez-longatt, P. Wall, and V. Terzija, "Two-step spectral clustering controlled islanding algorithm," *IEEE Trans. Power Syst.*, vol. 28, no. 1, pp. 75–84, 2013.
- [20] X. Wang, "Flexible constrained spectral clustering," *Proc. 16th ACM SIGKDD Int. Conf. Knowl. Discov. data Min.*, pp. 563–572, 2010.
- [21] R. Moreno and A. Torres, "Security of the power system based on the separation into islands," *2011 IEEE Pes Conf. Innov. Smart Grid Technol. Lat. Am. (Isgt La)*, pp. 1–5, Oct. 2011.
- [22] Y. V. Makarov, V. I. Reshetov, V. A. Stroeve, and N. I. Voropai, "Blackout prevention in the United States, Europe, and Russia," *Proc. IEEE*, vol. 93, no. 11, pp. 1942–1955, 2005.
- [23] M. Aichinger, "Power Blackout Risks," CRO Forum, Nov. 2011.
- [24] D. L. Brooks, R. C. Dugan, T. E. Grebe, and A. Sundaram, "Disturbances recorded by power quality monitors during the 'West Coast Outage,'" in *Proceedings of 1996 Transmission and Distribution Conference and Exposition*, pp. 25–30, 1996.
- [25] D. N. Kosterev, C. W. Taylor, and W. Fellow, "Model validation for the August 10 , 1996 WSCC system outage," *IEEE Trans. Power Syst.*, vol. 14, no. 3, pp. 967–979, 1999.
- [26] UCTE. Final Report, "Final report of the investigation committee on the 28 september 2003 blackout in italy," 2004.

- [27] “U.S.-Canada power system outage task force. Final report on the August 14, 2003 blackout in the United States and Canada,” April, 2004.
- [28] UCTE. Final Report, “System disturbance on 4 November 2006,” 2006.
- [29] Power Systems Engineering Research Center (PSERC), “Detection , prevention and mitigation of cascading events,” PSERC Publication, 2008.
- [30] D. Hill, “Definition and classification of power system stability,” *IEEE Trans. Power Syst.*, vol. 19, no. 2, pp. 1387–1401, 2004.
- [31] T. E. Dy-Liacco. Ph.D. Thesis, “Control of power systems via the multi-level concept,” Western Reserve University, 1968.
- [32] G. Andersson, “Modelling and analysis of electric power systems,” *Lecture Script*, EEH-Power Systems Laboratory, ETH Zurich, 2008.
- [33] P. Kundur, “Power system stability and control,” McGraw-Hill, 1994.
- [34] G. Andersson, “Dynamics and control of electric power systems,” *Lecture Script*, EEH-Power Systems Laboratory, ETH Zurich, 2012.
- [35] M. Vaiman, P. Hines, J. Jiang, S. Norris, M. Papic, A. Pitto, Y. Wang, and G. Zweigle, “Mitigation and prevention of cascading outages: Methodologies and practical applications,” *2013 IEEE Power Energy Soc. Gen. Meet.*, pp. 1–5, Jul. 2013.
- [36] T. Cutsem, “Validation of a fast voltage stability analysis method on the Hydro-Quebec system,” *IEEE Trans. Power Syst.*, vol. 12, no. 1, pp. 282–292, 1997.
- [37] L. Fink, “Operating under stress and strain,” *IEEE Spectr.*, vol.15, no.3, pp. 48-53, March. 1978.
- [38] NERC North American Electric Reliability Corporation, “Glossary of Terms Used in NERC Reliability Standards,” 2014.
- [39] V. Vittal and G. T. Heydt, “The problem of initiating controlled islanding of a large interconnected power system solved as a Pareto optimization,” *2009 IEEE/PES Power Syst. Conf. Expo.*, pp. 1–7, Mar. 2009.
- [40] Z. Pakdel. Ph.D. Thesis, “Intelligent instability detection for islanding prediction intelligent instability detection for islanding prediction,” University of Blacksburg, Virginia, 2011.
- [41] J. H. Chow, “Power system coherency and model reduction,” Springer, 2013.
- [42] D. L. Hackett and M. Ieee, “Modal-coherent equivalents derived from an rms coherency measures,” *IEEE Trans. Power Syst.*, vol. PAS-99, no. 4, pp. 1415–1425, 1980.

- [43] R. Podmore, "Identification of coherent generators for dynamic equivalents," *IEEE Trans. Power Syst.*, vol. PAS-97, no. 4, pp. 1344–1354, 1978.
- [44] J. Dorsey and G. Troullinos, "Coherent and model reduction: state space point of view," *IEEE Trans. Power Syst.*, vol. 4, no. 3, pp. 988–995, 1989.
- [45] J. S. Lawler and R. A. Schlueter, "Computational algorithms for constructing modal-coherent dynamic equivalents," vol. PAS-101, no. 5, pp. 1070–1080, 1982.
- [46] M. A. Pal and R. P. Adgaonkar, "Electromechanical distance measure for decomposition of power systems," vol. 6, no. 4, pp. 249–254, 1984.
- [47] M. Montagna and G. . Granelli, "Detection of Jacobian singularity and network islanding in power flow computations Cfilrz," *IEE Proc. - Gener. Transm. Distrib.*, vol. 14, no. 6, 1995.
- [48] T. N. Veeramaju, K. T. Nagabhushana, and I. Shivanna, "Coherency identification using growing self organizing feature maps," *IEEE Cat.*, pp. 113–116, 1998.
- [49] Z. Shuqiang, C. Xianrong, P. Yunjiang, and H. Renmu, "A reduced order method for swing mode eigenvalue calculating based on fuzzy coherency recognition," *POWERCON '98. 1998 Int. Conf. Power Syst. Technol. Proc.*, vol. 2, pp. 1402–1405, 1998.
- [50] N. Gacic, A. I. Zecevic, and D. D. siljak, "Coherency recognition using epsilon decomposition," *IEEE Trans. Power Syst.*, vol. 13, no. 2, pp. 314–319, 1998.
- [51] S. Ghosh and N. Senroy, "The localness of electromechanical oscillations in power systems," *Int. J. Electr. Power Energy Syst.*, vol. 42, no. 1, pp. 306–313, Nov. 2012.
- [52] J. H. Chow, "Time-scale modeling of dynamic networks with applications to power systems," *Springer-Verlag*, vol. 46, 1982.
- [53] J. H. Chow, J. R. Winkelman, M. A. Pai, and P. W. Sauer, "Singular perturbation analysis of large-scale power systems," *Int. J. Electr. Power Energy Syst.*, vol. 12, no. 2, pp. 117–126, 1990.
- [54] P. Kokotovic, H. K. Khali, and J. O'reilly, *Singular perturbation methods in control: analysis and design*, vol. 25. 1987.
- [55] J.H. Chow, J. Cullum, and R. A. Willoughby, "A sparsity-based technique for identifying slow-coherent areas in large power systems," *IEEE Trans. Power Syst.*, vol. PAS-103, no. 3, pp. 463–473, 1984.
- [56] N. Martins, "Efficient eigenvalue and frequency response methods applied to power system small-signal stability studies," *IEEE Trans. Power Syst.*, vol. PWRS-1, no. 1, pp. 217–224, 1986.

- [57] N. Uchida and T. Nagao, "A new eigen-analysis method of steady-state stability studies for large power systems: s matrix method," *IEEE Trans. Power Syst.*, vol. 3, no. 2, pp. 706–714, 1988.
- [58] L. Wang and A. Semlyen, "Application of sparse eigenvalue technique to the small signal stability analysis of large power systems," in *IEEE power industry computer application*, pp. 635–642, 1990.
- [59] J. Zaborszky and K. Whang, "A clustered dynamic model for a class of linear autonomous systems using simple enumerative sorting," *IEEE Trans. circuits Syst.*, vol. CAS-29, no. 11, pp. 747–758, 1982.
- [60] S. Lamba and R. Nath, "Coherency identification by the method of weak coupling," *Int. J. Electr. Power Energy Syst.*, vol. 7, no. 4, pp. 233–242, Oct. 1985.
- [61] H. You, V. Vittal, and Z. Yang, "Self-healing in power systems : an approach using islanding and rate of frequency decline-based load," vol. 18, no. 1, pp. 174–181, 2003.
- [62] H. You, V. Vittal, and X. Wang, "Slow coherency-based islanding," *IEEE Trans. Power Syst.*, vol. 19, no. 1, pp. 483–491, 2004.
- [63] L. Liu, W. Liu, D. a. Cartes, and I.-Y. Chung, "Slow coherency and Angle Modulated Particle Swarm Optimization based islanding of large-scale power systems," *Adv. Eng. Informatics*, vol. 23, no. 1, pp. 45–56, Jan. 2009.
- [64] K. Sun, K. Hur, P. Zhang, and S. Member, "A new unified scheme for controlled power system separation using synchronized phasor measurements," vol. 26, no. 3, pp. 1544–1554, 2011.
- [65] A. Peiravi and R. Ildarabadi, "Comaprison of computational requirements for spectral and Kernel k-means bisectioning of power systems," *Australian Journal of Basicand Applied Sciences*, vol. 3, no. 3, pp. 2366–2388, 2009.
- [66] S. N. M. Abedi and S. H. Hosseinian, "A Strategy for Frequency Stability of Islanded Power Systems," *PECon 08*, pp. 112–116, 2008.
- [67] A. M. Miah, "Study of a coherency-based simple dynamic equivalent for transient stability assessment," *IET Gener. Transm. Distrib.*, vol. 5, no. 4, p. 405, 2011.
- [68] S. Tiptipakorn. Master Thesis, "A spectral bisection partitioning method for electric power network applications," University of Wisconsin, Madison, 2001.
- [69] J.S. Thorp and H. Wang, "Computer simulation of cascading disturbances in electric power systems," Power Systems Engineering Research Center (PSERC), Cornell University, 2001.

- [70] P. McNabb and J. Bialek, "A priori transient stability indicator of islanded power systems using extended equal area criterion," *IEEE Power and Energy Society General Meeting*, no. 1, pp. 1–7, 2012.
- [71] Y. Xue, T. van cutsem, and M. Ribbens-pavella, "A simple direct method for fast transient stability assessment of large power systems," *IEEE Trans. Power Syst.*, vol. 3, no. 2, pp. 400–412, 1988.
- [72] Y. Xue, T. van cutsem, and M. Ribbens-pavella, "Extended equal area criterion justifications, generalizations, applications," *IEEE power Eng. Rev.*, no. February, pp. 38–39, 1989.
- [73] T. Bi, Y. Ni, C. M. Shen, and F. F. Wu, "Efficient multiway graph partitioning method for fault section estimation in large-scale power networks," *IEE Proc. - Gener. Transm. Distrib.*, vol. 149, no. 3, p. 289, 2002.
- [74] S. Koch, S. Chatzivasileiadis, M. Vrakopoulou, and G. Andersson, "Mitigation of cascading failures by real-time controlled islanding and graceful load shedding," *2010 IREP Symp. Bulk Power Syst. Dyn. Control - VIII*, pp. 1–19, Aug. 2010.
- [75] S. Koch, M. D. Galus, S. Chatzivasileiadis and G. Andersson, "Emergency control concepts for future power systems," *Proc 18th IFAC World Congr.*, 2011
- [76] J. L. Gross and J. Yellen, *Hand Book of Graph Theory*. CRC Press, 2003.
- [77] Robin J. Wilson, *Introduction to graph theory*. Longman, 1996.
- [78] B. Yang. Ph.D. Thesis, "Slow coherency based graph theoretic islanding strategy," Arizona State University, 2007.
- [79] S. Teng, J. Gilbert, and G. Miller, "Geometric mesh partitioning: implementation and experiments," *Soc. Ind. Appl. Math.*, vol. 19, no. 6, pp. 2091–2110, 1998.
- [80] G. Karypis and V. Kumar, "A fast and high quality multilevel scheme for partitioning irregular graphs," *SIAM J. Sci. Comput.*, vol. 20, no. 1, pp. 359–392, Jan. 1998.
- [81] G. Xu. Ph.D. Thesis, "Controlled islanding algorithms and demonstrations on the WECC system," Arizona State University, 2010.
- [82] A.R. Gourlay and G.A. Watson, "Computational methods for matrix Eigenproblems," John Wiley & Sons Ltd, 1979.
- [83] J.K. Cullum and R.A. Willoughby, "Lanczos algorithms for large symmetric eigenvalue computations," Society for Industrial and Applied Mathematics, 2002.

- [84] F. L. Alvarado and W. F. Tinney, "Sparsity in large-scale network computation," *Adv. Electr. Power Energy Convers. Syst. Dyn. Control*, pp. 1–67, 1991.
- [85] U. Luxburg, "A tutorial on spectral clustering," *Stat. Comput.*, vol. 17, no. 4, pp. 395–416, Aug. 2007.
- [86] J. MacQueen, "Some methods for classification and analysis of multivariate observations," *Proceedings of the Fifth Berkeley Symposium on Mathematical Statistics and Probability*, vol. 1, pp. 281–297, University of California Press, Berkeley, Calif., 1967.
- [87] Z. Huang, "Extensions to the k -means algorithm for clustering large data sets with categorical values," *Data Min. Knowl. Discov.*, pp. 283–304, 1998.
- [88] J. Dunn, "Well-separated clusters and optimal fuzzy partitions," *J. Cybern.*, vol. 4, no. 1, pp. 95–104, 1974.
- [89] A. Dempster, N. Laird, and D. Rubin, "Maximum likelihood from incomplete data via the EM algorithm," *J. R. statistcal Soc.*, vol. 39, no. 1, pp. 1–38, 2007.
- [90] Y. L. Cai XY, Dai GZ, "Survey on spectral clustering algorithms," *Comput. Sci.*, vol. 35, no. 7, pp. 14–18, 2008.
- [91] W.E. Donath and A. Hoffman, "Lower bounds for the partitioning of graphs," *IBM Journal of Research and Development*, vol.17, no.5, pp.420,425, Sept. 1973.
- [92] M. Fiedler, "algebraic connectivity of graphs," *Czechoslov. Math. J.*, vol. 23, no. 2, pp. 298–305, 1973.
- [93] M. Fiedler, "A property of eigenvectors of nonnegative symmetric matrices and its application to graph theory," *Czechoslov. Math. J.*, vol. 25, no. 4, pp. 619–633, 1975.
- [94] L. Hagen and A. B. Kahng, "New spectral methods for ratio cut partitioning clustering," *IEEE Trans. Comput. Des.*, vol. 11, no. 9, pp. 1074–1085, 1992.
- [95] J. Malik, "Normalized cuts and image segmentation," *IEEE Trans. Pattern Anal. Mach. Intell.*, vol. 22, no. 8, pp. 888–905, 2000.
- [96] B. Mohar, "Some applications of laplace eigenvalues of graphs," *Graph Symmetry Algebr. Methods Appl.*, pp. 225–275, 1997.
- [97] B. Mohar, "The Laplacian spectrum of graphs," *Graph theory, Comb. Appl.*, vol. 2, pp. 871–898, 1991.
- [98] A. NG, M. Jordan, and Y. Weiss, "On spectral clustering analysis and an algorithm," *Adv. Neural Inf. Process. Syst. 14*, pp. 849–856, 2002.
- [99] U. von Luxburg, M. Belkin, and O. Bousquet, "Consistency of spectral clustering," *Ann. Stat.*, vol. 36, no. 2, pp. 555–586, Apr. 2008.

- [100] F. Chung, "Spectral graph theory," in *CBMS Regional Conference Series in Mathematics*. Conference Board of the Mathematical Sciences, Washington, vol. 92, 1997.
- [101] L. C. Jiao, F. Shang, F. Wang, and Y. Liu, "Fast semi-supervised clustering with enhanced spectral embedding," *Pattern Recognit.*, vol. 45, no. 12, pp. 4358–4369, Dec. 2012.
- [102] W. Chen and G. Feng, "Spectral clustering: A semi-supervised approach," *Neurocomputing*, vol. 77, no. 1, pp. 229–242, Feb. 2012.
- [103] T. Velmurugan and T. Santhanam, "Computational complexity between K-Means and K-Medoids clustering algorithms for normal and uniform distributions of data points," *J. Comput. Sci.*, vol. 6, no. 3, pp. 363–368, 2010.
- [104] S. S. Singh, "K-means v/s K-medoids: A comparative study," *National Conference on Recent Trends in Engineering & Technology*, May. 2011.
- [105] X. Wang. Ph.D. Thesis, "Spectral clustering for complex settings," University of California - Davis, 2013.
- [106] E. Sotiropoulos. Project Report, "Evaluation of coherency-based aggregation methods," Swiss federal institute of technology (ETH), 2011.
- [107] Arthur R. Bergen & Vijay Vittal, "Power system analysis," Tom Robbins, Second edition, 1999.
- [108] V. E. Henner, "A network separation scheme for emergency control," *Int. J. Electr. Power Energy Syst.*, vol. 2, no. 2, pp. 109–114, 1980.
- [109] R. D. Zimmerman, C. E. Murillo-Sánchez, and R. J. Thomas, "MATPOWER: Steady-state operations, planning and analysis tools for power systems research and education," *IEEE Trans. Power Syst.*, vol. 26, no. 1, pp. 12-19, Feb. 2011.